

Validation of Plasma Proteomic Biomarkers Relating to Brain Amyloid Burden in the EMIF-Alzheimer's Disease Multimodal Biomarker Discovery Cohort

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Abstract. We have previously investigated, discovered, and replicated plasma protein biomarkers for use to triage potential trials participants for PET or cerebrospinal fluid measures of Alzheimer's disease (AD) pathology. This study sought to undertake validation of these candidate plasma biomarkers in a large, multi-center sample collection. Targeted plasma analyses of 34 proteins with prior evidence for prediction of *in vivo* pathology were conducted in up to 1,000 samples from cognitively healthy elderly individuals, people with mild cognitive impairment, and in patients with AD-type dementia, selected from the EMIF-AD catalogue. Proteins were measured using Luminex xMAP, ELISA, and Meso Scale Discovery assays. Seven proteins replicated in their ability to predict *in vivo* amyloid pathology. These proteins form a biomarker panel that, along with age, could significantly discriminate between individuals with high and low amyloid pathology with an area under the curve of 0.74. The performance of this biomarker panel remained consistent when tested in apolipoprotein E ϵ 4 non-carrier individuals only. This blood-based panel is biologically relevant, measurable using practical immunocapture arrays, and could significantly reduce the cost incurred to clinical trials through screen failure.

Keywords: Alzheimer's disease, amyloid- β , biomarkers, plasma, proteomics

INTRODUCTION

Clinical trials for Alzheimer's disease (AD) modification have recently started to target the earlier

prodromal and pre-symptomatic stages in the belief that disease modification efforts are most likely to be effective earlier in the disease process [1, 2]. However, conducting trials in prodromal/preclinical

individuals necessitates the use of biomarkers to detect evidence of *in vivo* AD pathology. Currently, pathology detection is possible using biochemical measures in cerebrospinal fluid (CSF) obtained from lumbar puncture and by positron emission tomography (PET) coupled to ligands for amyloid imaging. Both methods are employed routinely in clinical studies but their limitations impact significantly upon the efficiency of clinical trials. Both CSF sampling and PET can be costly and invasive and are therefore not suitable for large-scale screening or where repeated measures are desirable. The relatively low prevalence of amyloid pathology in people with mild cognitive impairment (MCI), and even more so in cognitively healthy individuals, inevitably results in high screen failure rates when trying to detect prodromal or pre-clinical AD [3]. The cost of this screen failure by either CSF or PET can be prohibitive as well as contributing to the delay in start-up of clinical trials. More worryingly, given that such screening is likely to be mandatory as part of clinical implementation of a successful therapeutic, this screen failure rate is likely to constitute an obstacle to clinical translation through a combination of cost factors and the capacity of health systems to enable lumbar puncture or PET imaging at the scale likely to match demands of their populations. One option to overcome this issue is to employ prediction methods such as apolipoprotein E (*APOE*) genotyping prior to trial entry, as *APOE* ϵ 4 carriers are more likely to harbor AD pathology. However, not all individuals with preclinical or prodromal AD have an *APOE* ϵ 4 allele and furthermore *APOE* genotyping only reveals risk and does not indicate current pathological state. Therefore, in order to increase the efficiency of recruitment to clinical trials, a cost effective, minimally invasive method that can be implemented on a large scale to predict current AD pathology would be enormously valuable. A blood-based assay that predicted likely pathological load would become part of a diagnostic screening funnel triaging potential trials participants or users of therapy to direct markers of pathology such as CSF measures or PET imaging.

Over a decade ago, we conducted a large agnostic, or untargeted, proteome-wide, discovery study of blood biomarkers in dementia [4]. This study was successful in detecting a signal in the blood that reflected the presence of AD-type dementia and since then many other studies, by others and by ourselves, have aimed to replicate and improve upon this original signal and have identified blood-based protein biomarkers able to distinguish AD-type dementia

‘cases’ from cognitively healthy elderly ‘controls’ [5]. However, the relatively low rate of replication of individual biomarkers across studies may be in part due to issues of a study design that compares people with clinical AD, some of whom will not have pathology, to cognitively healthy elderly controls, many of whom will harbor silent pathology. Other factors limiting replication include technical issues such as assay variance and quality, differences in sampling and storage protocols and the frequently small size of many studies [6, 7]. Nonetheless, such studies demonstrate that there is a signature of disease detectable in blood and the task is now to find a signature that is reproducible.

To address this, we have gradually refined our study design, focusing on an ‘endophenotype’ approach predicating on outcomes not of clinical diagnosis, but of a phenotype indicative of disease such as brain atrophy measured by structural MRI or amyloid- β (A β) plaque burden measured by PET and CSF. Using this approach, we have identified putative plasma markers relating to *in vivo* AD pathology and disease progression using a range of proteomic approaches including mass spectrometry, SOMAscan, and immunocapture [8–15]. In addition, we also performed a series of iterative studies targeting complement and related inflammatory proteins; neuroinflammation itself being an endophenotype associated with disease and amyloid pathology [16]. Many of the proteins identified in these discovery-phase ‘endophenotype’ studies replicate across proteomic platforms and different cohort types. However, unsurprisingly given the wide range of techniques and study designs, not every protein identified replicates in every study. The aim of the present study was to determine the most replicable set of plasma protein markers predicting *in vivo* brain amyloid pathology, by testing 31 of our previously discovered candidate biomarkers in a large, multi-center cohort of individuals with high and low amyloid burden.

METHODS

Subjects: EMIF-AD Multimodal Biomarker Discovery study (EMIF-AD MBD) cohort

The EMIF-AD MBD is part of the European Medical Information Framework for Alzheimer’s Disease (EMIF-AD; <http://www.emif.eu/>), a European wide collaboration to facilitate the re-use of existing healthcare data and the sharing of cohort

samples for the benefit of AD research. The EMIF-AD MBD study design, including subject selection criteria, clinical diagnoses, brain amyloid classification, and plasma sample collection have all been described previously [17]. In essence though, we sought to assemble a collection of samples from participants in cohort studies from across the full clinical disease spectrum from preclinical through prodromal to advanced disease, in each category seeking to balance those with amyloid pathology to those without. To do this we selected, using existing data, samples for inclusion from apparently normal, cognitively healthy elderly controls, from participants with diagnosed MCI and from people with established AD-type dementia. Samples were selected within each category with proven high and low amyloid load as previously described [17]. Overall, 1,221 participants were recruited to the EMIF-AD MBD study from 11 European cohorts. Each parent cohort was approved by the local medical ethics committee.

The present study selected two sub-cohorts of participants from the EMIF-AD MBD study, all with plasma samples available for analysis. Firstly, 1,000 individuals comprising 408 cognitively healthy individuals, 400 individuals with MCI, and 192 AD-type dementia patients were included for proteomic analysis in the University of Oxford laboratories. Secondly, 866 individuals (93 AD, 413 MCI, 360 control) were included for proteomic analysis in the laboratories at Cardiff University. 679 (78%) of the Cardiff sample set were also included in the Oxford sample set. Participants were included in these ‘Oxford’ and ‘Cardiff’ sample sets from across three multi-center studies: DESCRIPA [18], EDAR [19], and PharmaCog [20], and eight single center studies: Amsterdam [21], Antwerp [22], San Sebastian GAP [23], Gothenburg [24], Barcelona IDIBAPS [25], Lausanne [26], Leuven [27], and Barcelona St Pau [28]. Sample number differences between the ‘Oxford’ and ‘Cardiff’ sample sets were necessary due to plasma sample availability. Differences in the distribution of participants across multiple diagnostic categories between the ‘Oxford’ and ‘Cardiff’ sample sets are displayed in Supplementary Figure 1. The methods for addressing the challenge these differences presented are described in full in Bos et al. [17].

Plasma analyses

Targeted plasma protein analyses were conducted at both Oxford and Cardiff laboratories using

Luminex xMAP (Cat#: HNDG1MAG-36K-06, HNDG2MAG-36K-05, HNDG3MAG-36K-07, HND2MAG-39K-02, HKI6MAG-99K-03, HNDG1MAG-36K-01), ELISA (Cat#: CSB-EL008551HU and CSB-E13319H), and MSD assays (in-house optimized using U-plex platform). All assays were performed according to the manufacturer’s instructions. Overall, concentrations of 25 proteins were determined at Oxford while 6 proteins were analyzed at Cardiff.

Brain amyloid measurements and group classifications

Measurement and classification of *in vivo* amyloid burden in the EMIF-AD MBD cohort has been described previously [17]. Briefly, where CSF was available, A β ₁₋₄₀ and A β ₁₋₄₂ were measured using the V-PLEX Plus A β Peptide Panel 1 (6E10) Kit from Meso Scale Discovery in a central laboratory (Gothenburg University, Sweden) and the A β _{42/40} ratio was established. The A β group was defined by the A β _{42/40} ratio, using a cut-off of <0.063 to determine abnormality. Where CSF samples were unavailable, then the locally measured CSF A β ₄₂ value and cut-off point provided by the parent cohort or the standardized uptake value ratio (SUVR) and cut-off point from an amyloid PET scan was used.

The above measurements were combined into a continuous variable using z-scoring. The A β Z-score was calculated using the mean and standard deviation of the control subjects as a reference. In cases where an individual had multiple measures of amyloid (e.g., CSF and PET), all data available were used to generate the mean and standard deviation for each measure. However, the measure included in the final A β Z-score was selected from each individual in the following order of priority: CSF A β _{42/40} ratio, local CSF A β ₄₂, or the amyloid PET SUVR. PET amyloid Z-scores were multiplied by -1 in order to be combined with CSF derived amyloid Z-scores.

CSF tau measurements

To assess *in vivo* tau pathology, continuous phosphorylated tau (p-tau) and total tau (t-tau) values were obtained from the parent cohorts [17]. As sites were not standardized to each other, the p-tau and t-tau values were Z-scored with controls within each data set as a reference.

MRI data

Full details on the MRI data acquisition, visual rating check, and region of interest measurements have been previously reported [17]. Briefly, T1-weighted images, acquired according to local protocols, were collected from each site, each image was visually assessed and Freesurfer used to obtain volumetric measurements.

Clinical and cognitive data

Baseline clinical information and neuropsychological test scores were collected from each local site, harmonized, pooled and stored in an online data platform using transSMART [29]. Full details of the clinical information provided by each site and the harmonization process has been previously described [17].

In all cohorts, a diagnosis of AD-type dementia was made according to the National Institute of Neurological and Communicative Disorders and Stroke-Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) criteria [30]. The Petersen criteria [31] was used to diagnose MCI in nine cohorts, while two cohorts used the Winblad et al. criteria [32] for this diagnosis. Normal performance on neuropsychological assessment (1.5 SD of the average for age, gender, and education) was used by all cohorts to define cognitively healthy individuals. Further details describing the diagnostic criteria used by each cohort can be found in Bos et al. [17].

For those individuals with MCI at baseline, we identified their clinical diagnosis at the last available follow-up visit provided by each local site (average = 2.21 years). Those that changed in their diagnosis to AD-type dementia, or had a decrease of at least 3 Mini-Mental State Examination (MMSE) points, were classed as MCI-convertors. MMSE was provided for all individuals.

Apolipoprotein E (APOE) genotyping

APOE genotypes for single nucleotide polymorphisms (SNPs) rs429358 and rs7412 were either determined from genome-wide SNP genotyping data (generated via the Infinium Global Screening Array (GSA) with Shared Custom Content, Illumina Inc.) or by TaqMan assays (ThermoFisher Scientific, Foster City, CA) on a QuantStudio-12K-Flex system in 384-well format. After QC, there were sufficient data to classify 926 individuals as APOE ϵ 4 carriers

or non-carriers according to their genotype status at rs429358 (C-allele = ϵ 4). Missing values for APOE ϵ 4 status were imputed using regression analyses within study, based on significant predictors (age, gender, MMSE, cognitive scores) [17].

Statistical analyses

All statistical analyses were completed using R (version 3.3.2) and all statistical tests were two-tailed. Individual participant data was excluded where there was a long interval (>1 year), or missing data on the time interval, between plasma collection and measurement of the outcome variable (amyloid status, $n = 69$ and 30 excluded from Oxford and Cardiff sample sets, respectively; MMSE, $n = 73$ and 39 excluded from Oxford and Cardiff, respectively; brain volume, $n = 121$ and 97 excluded from Oxford and Cardiff, respectively). Baseline cohort characteristics between high and low amyloid groups were compared using Mann-Whitney U test. All regression analyses included age as a covariate. p -values and false discovery rate corrected q -values are reported.

Univariate statistics

Univariate statistics were performed using identical statistical methods for both the 'Oxford' and 'Cardiff' sample sets and the results for all 31 proteins are presented in this manuscript together. The relationship of each individual protein with group-wise outcome variables was tested using logistic regression. The relationship of proteins with continuous outcome variables was examined using linear regression.

ROC analysis was performed on each protein individually, and the outcome was the dichotomous amyloid status. Sensitivity, specificity and area under the curve (AUC) metrics were computed. The 95% confidence intervals were estimated using the bootstrap resampling method with $n = 1000$ repetitions [33]. The optimal operation point from the ROC curve was identified by maximizing the Youden's J statistic [34].

Multivariate amyloid classifier

Logistic regression was used to assess the performance of a multi-protein model for the discrimination between individuals in the abnormal and normal brain amyloid groups. The AUC, sensitivity, specificity, and likelihood ratio (LR) of the model are reported. The optimal operation point from the ROC curve was identified by maximizing the Youden's J statistic [34].

Table 1
Demographics of subjects from the EMIF-AD MBD study

Variable	Subjects included in Oxford sample set			Subjects included in Cardiff sample set		
	Normal amyloid status	Abnormal amyloid status	<i>p</i>	Low amyloid status	High amyloid status	<i>p</i>
N	457	543	/	460	406	/
A β Z-score	0.49 \pm 0.62	-1.35 \pm 0.48	<0.001*	0.45 \pm 0.62	-1.36 \pm 0.51	<0.001*
Age (y)	66.52 \pm 8.71	69.81 \pm 8.12	<0.001*	66.61 \pm 8.25	70.47 \pm 8.22	<0.001*
Female gender N (%)	223 (49)	301 (55)	<0.05*	253 (55)	226 (56)	0.844
CTL N (%)	289 (63)	119 (22)	/	272 (59)	88 (22)	/
MCI N (%)	147 (32)	253 (47)	/	179 (39)	234 (58)	/
AD N (%)	21 (5)	171 (31)	/	9 (2)	84 (21)	/
APOE genotype ϵ 4+ N (%)	147 (32)	349 (64)	<0.001*	134 (29)	246 (61)	<0.001*
MMSE	27.93 \pm 2.49	25.11 \pm 4.29	<0.001*	28.09 \pm 2.23	25.41 \pm 4.26	<0.001*

MCI, mild cognitive impairment; AD, Alzheimer's disease, APOE, apolipoprotein E; CTL, cognitively healthy control; MMSE, Mini-Mental State Examination. Mean \pm standard deviation. *Statistically significant by Mann-Whitney U, $p < 0.05$.

RESULTS

Clinical characteristics and inter-group differences

The clinical characteristics of the Oxford and Cardiff sample sets, stratified by amyloid status, are presented in Table 1. Across both sample sets, individuals with abnormal amyloid status were older ($p < 0.001$), more frequently APOE ϵ 4 carriers ($p < 0.001$), and had lower MMSE scores ($p < 0.001$) compared to those with normal amyloid status. Within the Oxford set only, individuals with abnormal amyloid status were more frequently female ($p < 0.05$) compared to those with normal amyloid status.

Univariate statistics for amyloid status (high/low group)

Cross-sectional comparisons of protein concentrations between normal and abnormal amyloid status groups revealed seven proteins that remained statistically significant after multiple testing corrections ($q < 0.05$; ficolin-2 (FCN2), β 2-microglobulin (B2M), alpha-1 antitrypsin (A1AT), apolipoprotein E (apoE), complement component 4 (C4), Cathepsin D (CTSD), complement factor I (CFI), Table 2). Five of these proteins have previously been discovered as biomarkers of *in vivo* brain amyloid pathology in one or more of our previous biomarker studies (FCN2, B2M, A1AT, apoE, and C4), and all five replicate the direction of change previously identified. Expression of cathepsin D has previously been found to be decreased in AD fibroblasts, and here we show that this protein is also decreased in plasma with increased

in vivo AD pathology [35]. CFI was previously found as a biomarker for conversion from MCI to dementia, with decreased plasma protein concentration in MCI converters [36]. Therefore, the direction of change identified in this study, decreased CFI with increased pathology, agrees with this previous finding.

Logistic regression analysis was also performed separately for each diagnostic group and APOE ϵ 4 carrier groups (ϵ 4 non-carrier/ ϵ 4 carrier). These results are reported in Supplementary Tables 1–5.

ROC analysis was performed on each of the seven proteins found to replicate direction of change to determine their individual predictive ability for the discrimination of normal/abnormal amyloid status groups, and compared to the discriminant ability of age. Table 3 displays the results of the ROC analysis and Supplementary Figure 2 displays both the AUC and corresponding 95% confidence interval of each protein and age. The AUC for every protein is higher than chance, even when including the lower end of the confidence interval.

For these seven proteins, ROC analysis of amyloid status classification was also performed separately for each diagnostic group and APOE ϵ 4 carrier groups (ϵ 4 non-carrier/ ϵ 4 carrier). These results are reported in Supplementary Tables 6 and 7.

Multi-protein classifier of amyloid normal/abnormal status

It is possible, if the individual protein associations with *in vivo* AD pathology are independent of each other, that a compound marker set of some or all of these proteins would have greater predictive value than any one protein alone. In order to

Table 2
Logistic regression (age as covariate) results for each protein with amyloid status as the outcome variable

Sample set	protein	Logistic Regression			N
		beta	p	q	
Oxford	FCN2	0.466	0.000*	0.000*	824
	FGG	-0.071	0.300	0.521	891
	Cystatin C	-0.135	0.049*	0.124	898
	Clusterin	-0.138	0.045*	0.124	906
	B2M	-0.266	0.000*	0.004*	832
	AGP	0.009	0.901	0.963	875
	CP	0.028	0.679	0.780	883
	A2M	-0.017	0.813	0.900	896
	ApoA1	-0.073	0.290	0.521	886
	ApoC3	-0.001	0.991	0.991	900
	apoE	-0.220	0.002*	0.008*	901
	TTR	-0.039	0.572	0.772	901
	CFH	-0.049	0.475	0.701	907
	CRP	-0.139	0.049*	0.124	854
	A1AT	-0.213	0.004*	0.016*	784
	PEDF	-0.006	0.933	0.964	853
	SAP	-0.052	0.449	0.697	869
	CC4	0.243	0.001*	0.005*	894
	BDNF	-0.036	0.603	0.778	887
	Cathepsin D	-0.238	0.001*	0.005*	880
Cardiff	sICAM-1	-0.148	0.033*	0.124	893
	RANTES	-0.100	0.146	0.309	905
	NCAM	0.032	0.645	0.780	891
	sVCAM-1	-0.071	0.302	0.521	891
	PAI.1	-0.134	0.052	0.124	890
	CR1	-0.077	0.378	0.617	770
	TCC	0.112	0.149	0.309	770
	CFB	-0.042	0.567	0.772	788
	CFI	-0.284	0.000*	0.004*	754
	Eotaxin	0.176	0.037*	0.124	749
MCP	0.031	0.680	0.780	769	

*Statistically significant <0.05.

Table 3
AUC statistics per protein, for the classification of normal / abnormal brain amyloid status.
Abbreviations: AUC, area under the curve; CI, 95% confidence interval

Variable	Optimal cutpoint	Sensitivity	Specificity	AUC	CI. low	CI. high
FCN2	24607094.990	0.448	0.783	0.640	0.593	0.690
Age	67.355	0.641	0.560	0.619	0.578	0.659
CFI	26793.107	0.547	0.623	0.585	0.531	0.639
C4	73789.784	0.436	0.713	0.580	0.533	0.630
B2M	4232.708	0.433	0.698	0.577	0.526	0.628
Cathepsin D	322.630	0.586	0.547	0.576	0.528	0.625
apoE	106.020	0.667	0.445	0.554	0.504	0.602
A1AT	1675622.528	0.765	0.333	0.552	0.506	0.606

test this, the seven proteins significant by logistic regression after multiple testing corrections ($q < 0.05$; FCN2, B2M, apoE, A1AT, CC4, cathepsin D, CFI) were included in a logistic regression classifier, along with age, to determine their predictive ability for amyloid status when combined. After missing data were removed this 8-feature model was

tested on 374 individuals and achieved moderate accuracy (AUC = 0.742 (Fig. 1, sensitivity = 0.682, specificity = 0.704, LR = 2.3). In comparison, age alone achieved an AUC = 0.617.

To determine how this 8-feature model performs at different stages of the disease process and also in APOE ϵ 4 carriers and non-carriers independently, we

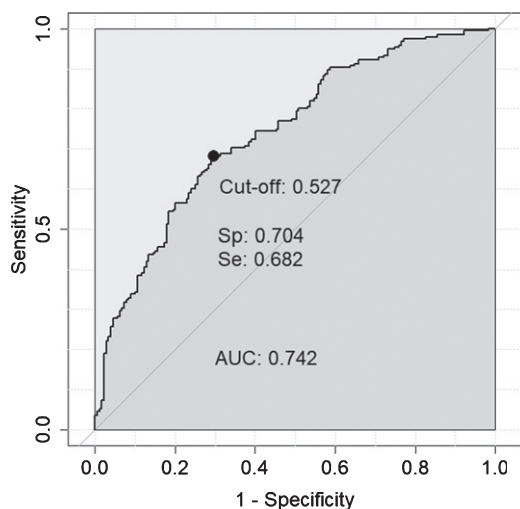


Fig. 1. ROC curve obtained for the 8-feature classifier for prediction of amyloid normal/abnormal status. The 8-features included were: FCN2, B2M, apoE, A1AT, CC4, cathepsin D, CFI, and age.

tested the classification ability of this model within each separate diagnostic group and APOE ϵ 4 carriers and non-carriers. Table 4 displays the performance of this model within each group. The performance within the AD-type dementia group could not be accurately determined since removal of subjects with missing data left only 5% of the AD-type dementia cases as amyloid negative.

Relationship of classifier proteins with continuous A β Z-score

We next wanted to determine whether this protein-amyloid relationship remains consistent using the A β Z-score (Table 5). All, except one protein (A1AT), were significantly related to A β Z-score after testing for multiple testing corrections ($q < 0.05$). A1AT was tending towards significance ($q = 0.061$).

Relationship of classifier proteins with other markers of *in vivo* AD pathology or disease progression

In order to determine whether the classifier proteins were specific to brain amyloid pathology or if they could also perform as a biomarker of the other key hallmark of AD, brain tau pathology, linear regression was used to assess the continuous relationship with both p-tau and t-tau Z-scores (Table 5). None of the proteins were significantly related to either measure after multiple testing correction. We then used a similar approach to examine their relationship to hippocampal volume and MMSE score, and used logistic regression to examine their predictive ability for MCI conversion to dementia (Table 5). FCN2 displayed a significant relationship with all three measures ($q < 0.05$). CFI was found to be significantly related to both baseline MMSE and MCI conversion to dementia ($q < 0.05$). A1AT was found to be significantly related to hippocampal volume only ($q < 0.05$).

DISCUSSION

We have previously used a pathology endophenotype approach to discover plasma proteomic biomarkers designed to be predictive of *in vivo* AD pathology and disease progression. The aim of the present study was to replicate these previously identified candidate biomarkers in a large multi-center pragmatic sample collection collated from multiple cohorts, as well as to identify a plasma proteomic panel that could classify individuals into high or low brain amyloid groups. Our results show that in around 1,000 samples from multiple studies across Europe, seven biomarkers replicate, and we confirm a panel of proteins that identify abnormal levels of *in vivo* amyloid pathology with a sensitivity of 0.682 and a specificity of 0.704. While we chose to maximize the

Table 4
ROC and AUC statistics for the 8-feature model for the classification of amyloid status within each diagnostic group, and APOE ϵ 4 carriers and non-carriers

	AUC	Sensitivity	Specificity	LR	N
Whole cohort (AD, MCI, CTL)	0.742	0.682	0.704	2.30	374
MCI	0.743	0.658	0.785	3.06	193
CTL	0.768	0.682	0.776	3.04	142
MCI and CTL combined	0.724	0.677	0.661	2.00	335
APOE ϵ 4 non-carriers	0.736	0.681	0.725	2.47	199
APOE ϵ 4 carriers	0.836	0.757	0.767	3.25	175

MCI, mild cognitive impairment; AD, Alzheimer's disease, APOE, apolipoprotein E; CTL, cognitively healthy control; AUC, area under the curve; LR, likelihood ratio.

Table 5
Linear and logistic regression (age as covariate) results for each protein with alternative outcome measures

Sample set	protein	Aβ Z-score			P-Tau Z-score			T-Tau Z-score			Hippocampal volume			MMSE			MCI conversion to dementia		
		Linear regression			Linear regression			Linear regression			Linear regression			Linear regression			Logistic regression		
		β	p	q	β	p	q	β	p	q	β	p	q	β	p	q	β	p	q
Oxford	FCN2	-0.19	0.000*	0.000*	-0.125	0.017*	0.098	-0.138	0.019*	0.132	-244.14	0.000*	0.000*	-0.88	0.000*	0.000*	0.398	0.002*	0.006*
	B2M	0.125	0.001*	0.001*	0.019	0.715	0.876	-0.001	0.991	0.991	-14.6	0.758	0.758	0.174	0.183	0.214	0.039	0.729	0.901
	apoE	0.123	0.000*	0.001*	0.05	0.334	0.584	0.045	0.444	0.778	37.388	0.403	0.47	-0.184	0.146	0.204	-0.015	0.901	0.901
	A1AT	0.07	0.061	0.061	-0.011	0.843	0.876	-0.006	0.924	0.991	172.168	0.000*	0.001*	0.239	0.078	0.181	-0.096	0.52	0.901
	CC4	-0.117	0.001*	0.001*	-0.088	0.09	0.209	-0.045	0.445	0.778	-70.691	0.112	0.261	-0.131	0.306	0.306	0.153	0.207	0.483
Cardiff	Cathepsin D	0.086	0.013*	0.016*	-0.008	0.876	0.876	0.017	0.776	0.991	46.917	0.306	0.429	0.195	0.118	0.204	0.032	0.792	0.901
	CFI	0.117	0.001*	0.002*	0.116	0.028*	0.098	0.107	0.063	0.221	52.396	0.256	0.429	0.442	0.001*	0.002*	-0.932	0.000*	0.000*

*Statistically significant < 0.05.

Youden’s index, which gave a balanced compromise between false negative and false positive results, similarly, any other operational point may also be suitable, depending on the clinical utility and priorities. Moreover, the model has predictive value in both APOE ε4 carrier and non-carrier individuals generating a biological predictor that could be used to reduce the screen failure rate in clinical trials.

The final 7-protein biomarker panel consists of: β2-microglobulin (B2M), cathepsin D (CTSD), ficolin-2 (FCN2), complement component 4 (C4), alpha-1 antitrypsin (A1AT), complement factor I (CFI), and apolipoprotein E (apoE). We did not find any significant improvement to AUC by introducing standardized quadratic terms for each of the significant variables. Specifically, our variables are: ‘Age’ and seven proteins: ‘FCN2’, ‘B2M’, ‘ApoE’, ‘A1AT’, ‘CC4’, ‘CathepsinD’, and ‘CFI’. Using them as a linear combination, we achieved AUC=0.742 and the most significant ones ($p < 0.001$) were Age, FCN2, and B2M. Their quadratic terms were found to be both, small (effect size) and statistically insignificant. Although initial discovery-phase studies used an unbiased approach to identify proteins that have a relationship with AD and its pathology, it is noteworthy that the resulting biomarker candidates are also biologically relevant to the disease process. B2M shares structural characteristics with fibrillar Aβ [37] and is one of a number of proteins that form amyloid deposits. Cathepsin D is increased in tangle bearing neurons [38], a process that might be induced by Aβ [39]. Ficolin-2 and mannose-binding lectin (MBL) are both activators of the lectin complement pathway [40] and CSF MBL levels have been shown to be reduced in AD [41]. The complement proteins (C4 and CFI) are two members of a pathway repeatedly shown to be associated with AD through genetics and neuropathology as well as from biomarker studies [42]. A1AT is an acute phase chemoreactant that is metabolized by the serpin enzyme complex (SEC) and hence might compete with and affect the activity of another SEC ligand, Aβ [43]. The relationship between the APOE ε2/ε3/ε4 polymorphism and AD is well established and complementary to our finding here that apoE protein is clearly a marker of amyloid load.

Recently, Nakamura et al. (2018) published an important study demonstrating that Aβ fragments can be reliably detected in blood and perform as well as current CSF biomarkers for predicting brain amyloid [44]. This approach using immunoprecipitation combined with mass spectrometry (IP+MS),

was able to predict brain amyloid status with up to 90% accuracy. This finding builds upon other recent work by Ovod et al. (2017) who also used an IP+MS technique to identify blood A β with high concordance to amyloid PET [45]. While these papers are important in demonstrating the value of blood A β as a biomarker tool for brain pathology, replication in more studies with larger sample sizes is needed, and the proteomic technology employed will require refinement to enable implementation at scale. Nevertheless, these studies provide considerable further proof of concept for AD blood biomarkers. While the accuracy rates reported in our study are not as high as those reported when measuring blood A β levels, we are still able to achieve a level of accuracy sufficient to make a substantial impact upon the cost efficiency of clinical trials while using immunocapture—a practical and low-cost assay technology already in very wide use in both clinical and research laboratories.

Given that sample collection and storage protocols differed across the 11 cohorts included in the EMIF-AD MBD study [17], this pragmatic meta-collection reflects the challenges faced by any putative biomarker in the real-world of multi-site, multi-national clinical studies, and even more so in clinical practice, where standardization of sample collection is sought but rarely achieved. Replication of any putative biomarker set in such a collection of samples has a higher prior probability of effective utility in practice than replication in a single cohort or single site study with a fully standardized sample collection procedure. Nonetheless, the limitations of this study are also acknowledged; this study was designed to determine whether candidate biomarkers replicate in their ability to predict amyloid, it was not designed to determine the real-world value of the biomarkers or the biomarker panel they form for clinical trial screening. Selecting half of the samples from people with high amyloid enables proof of concept but clearly random prediction will already identify 50% of those with amyloid correctly. Additionally, missing data (4–11% per protein as a result of variable sample volume and quality and occasional assay performance failure) meant that when combining multiple proteins together in a biomarker panel the overall sample size with complete data was significantly reduced. However, even in this reduced sample set with 50% prediction accuracy possible by chance, the likelihood ratio with our biomarker panel is 2.3 in the whole cohort and 3 for preclinical disease, suggesting approximately a 15% and 20% improvement in detection respectively [46]. With a typical

amyloid positivity rate of 30% and a representative cost of \$3500 for an amyloid PET scan, recruiting 1,000 amyloid positive subjects would require 3,333 subjects at a total cost of \$11.7 million. Pre-screening can reduce this cost. Utilizing the optimal model sensitivity and specificity values (Table 4) and assuming an assay cost of \$150, the total cost of recruiting would be \$7.8 million and require pre-screening 4900 subjects. Going further, model sensitivities and specificities are based on cut-offs that can be optimized to be fit-for-purpose for a given application. For the present model, optimizing the cut-off for minimum total cost would yield a sensitivity of 34% and a specificity of 93% requiring pre-screening 9700 subjects with a total recruitment cost of \$6.6 million. When the positive prevalence is low and the cost of the truth assay is high relative to the pre-screen, such economic optimizations often favor specificity to reject a large portion of true negatives to save operating costs. Such economic trade-offs require a larger screening population and have a higher false negative rate. Screening in medical practice, however, favors higher sensitivity to optimize the yield of subjects for intervention. In short, low-cost pre-screening applications can save money and be tuned as needed for the application context.

To summarize, our overall goal is to facilitate clinical trials by contributing to rapid and effective selection of research participants most likely to have brain amyloid pathology and hence reducing screen failure rates, reducing cost and time of trial start-up and reducing exposure of potential participants to PET imaging or CSF lumbar puncture. In order to do this, we have previously investigated, discovered, and replicated plasma protein biomarkers that could be implemented as a clinical trial entry criterion to triage potential participants for amyloid PET or CSF measures. In the current study, seven of these biomarkers are replicated in a large, multi-center cohort. These seven proteins form a biomarker panel that is the product of over a decade of research, is biologically relevant and measurable using practical immunocapture arrays, and could significantly reduce the cost incurred to clinical trials by screen failure because of absence of amyloid pathology.

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Authors' disclosures available online (<https://www.j-alz.com/manuscript-disclosures/19-0434r3>).

ETHICS STATEMENT

Written informed consent was obtained from all participants before inclusion in the study. The experiments were done in accordance with the Helsinki Declaration of 1975 and the medical ethics committee at each site approved the study (Supplementary Table 8).

DATA AVAILABILITY

The datasets generated and analyzed during the current study are available via the EMIF-AD Catalogue via submitted research questions which have to be approved by the data-owners from each parent cohort.

SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: <https://dx.doi.org/10.3233/JAD-190434>.

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