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1 *APC* Transcription Studies and
2 Molecular Diagnosis of Familial
3 Adenomatous Polyposis

4

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15

16 **Running Title:** *APC* Transcription Studies in FAP

17

18 **Conflict of Interest**

19 There are no conflicts of interest to declare

20

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24 Cancer Research Centre.

25

26 Abstract

27 Familial Adenomatous Polyposis (FAP) is characterised by the development of
28 hundreds to thousands of colorectal adenomas and results from inherited or somatic
29 mosaic variants in the *APC* gene. Index patients with suspected FAP are usually
30 investigated by *APC* coding region sequence and dosage analysis in a clinical diagnostic
31 setting. The identification of an *APC* variant which affects protein function enables
32 predictive genetic testing to guide the management of family members. This report
33 describes a 4-generation family with a phenotype consistent with FAP, but in which an
34 *APC* variant had not been identified, despite testing. To explore this further,
35 quantitative PCR (qPCR) was employed to assess *APC* transcription, demonstrating
36 reduced levels of *APC* RNA. Next generation sequencing (NGS) identified the *APC*
37 5'UTR/ Exon 1 variant, c.-190 G>A, that had been reported previously in another FAP
38 family with *APC* allelic imbalance. Quantitative RNA studies and DNA sequencing of
39 the *APC* promoters/ Exon 1 may be useful diagnostically for patients with suspected
40 FAP when coding region variants cannot be identified.

41

42 Key Words

- 43 • Colorectal adenomas
- 44 • Colorectal polyposis
- 45 • Familial adenomatous polyposis
- 46 • *APC*
- 47 • Quantitative Polymerase Chain Reaction (qPCR)

48

49 **Introduction**

50 Familial Adenomatous Polyposis (FAP), due to germline or somatic mosaic variants
51 affecting *APC*, is the second most common cause of inherited colorectal cancer (CRC)
52 after Lynch Syndrome. FAP affects approximately 1 in 8000 individuals (reviewed in ref
53 1; reviewed in ref 2; reviewed in ref 3). It is characterised by the development of
54 hundreds to thousands of colorectal adenomas and, if untreated, will progress to CRC.
55 In addition to FAP, there are several other, rarer syndromes which are characterised by
56 multiple, though usually fewer, colorectal adenomas and an increased risk of CRC.
57 These include *MUTYH*-Associated Polyposis (MAP) (ref 4), Polymerase-Proofreading
58 Associated Polyposis (PPAP) (ref 5), *NTHL1*-Associated Polyposis (NAP) (ref 6) and
59 *MSH3*-Associated Polyposis (ref 7).

60

61 The identification of causative variants in families with inherited polyposis syndromes
62 is important for the prevention of CRC. Good clinical practice includes referral of
63 patients with multiple colorectal adenomas for genetic counselling and consideration
64 of diagnostic testing of *APC* and other polyposis genes. Well over 90% of patients with
65 a phenotype of classical FAP have a germline *APC* variant affecting protein function
66 identified through sequencing of coding exons and deletion/duplication analysis via
67 multiplex ligation-dependent probe analysis (MLPA) (ref 8). Of those patients with an
68 attenuated phenotype, with <100 adenomas, *APC*, *MUTYH* or other causative germline
69 variants are detected in only 20-50% of cases (ref 8). The monogenic mechanisms
70 potentially operating in the group who have no *APC* variant identified (NVI) include

71 promoter and other non-coding variants, somatic mosaicism, the involvement of other
72 genes and epigenetic effects.

73

74 This paper describes a 4-generation family with a clinical diagnosis of FAP. Despite
75 genetic diagnostic testing performed in several expert centres, the genetic basis for
76 the disease had not been determined.

77

78 **Methods**

79 **Subjects**

80 A study at Cardiff University, 'Genetic Mechanisms in Colorectal Polyposis' (approved
81 by the NHS Research Ethics Committee for Wales: REC 3, study 12/WA/0071) is
82 currently investigating patients with at least 10 colorectal polyps who have no
83 pathogenic *APC* variant identified (NVI) on genetic testing in a clinical diagnostic
84 setting. All patients recruited to the study give written, informed consent.

85 One of the probands (Individual 2.1, Figure 1) participating in the study was a 44-year
86 old female who had undergone a colectomy and proctectomy for clinical FAP. At least
87 11 other family members were also affected (Figure 1). The family history was
88 provided by recruited family members but detailed clinical information on other family
89 members was not available.

90

91 [Quantitative PCR \(qPCR\)](#)

92 *APC* transcript levels in leukocyte RNA were first determined within a healthy control
93 cohort. RNA was prepared from venous blood samples from 40 normal controls,
94 including 7 unaffected adult relatives of NVI patients and 33 healthy individuals
95 without a personal or family history of polyposis or CRC recruited through a local
96 study: Causes of Bowel Polyps: Recruitment of Healthy Controls (approved by Cardiff
97 University School of Medicine Ethics Committee). RNA was converted to cDNA, which
98 underwent qPCR using Taqman technology (details of experimental protocols are in
99 Supplementary 1 and 2, available at the European Journal of Human Genetics
100 webpage). cDNA from Individual 2.1 underwent qPCR using the same methods, along
101 with a positive control with FAP due to a previously characterised *APC* promoter
102 deletion that abrogated transcription, FAP1. Results were analysed using
103 ThermoFisher Cloud software, and *APC* expression levels in Individual 2.1 and the FAP
104 positive control were compared to the healthy cohort to give an Rq value.

105

106 [Ultra-Deep Sequencing \(UDS\), Variant Calling and Validation in DNA](#)

107 UDS across the whole genomic locus of *APC*, hg19 chr5:g.112042936-112186350, was
108 undertaken in genomic DNA extracted from whole blood from Individual 2.1.
109 Reference sequence NM_001127511.2 was used, and the first delimited exon, which is
110 untranslated, was assigned as Exon 1.
111 Target sequence capture was undertaken using the Haloplex assay (Agilent).
112 Sequencing was performed by the Wales Gene Park Genomics Facility

113 (<http://www.walesgenepark.cardiff.ac.uk/next-generation-sequencing/>) using the
114 HiSeq (Illumina). Rare variants, present in $\leq 1\%$ of the population, according to
115 dbSNP data or The 1000 Genomes Project data, were analysed using CADD software
116 (<http://cadd.gs.washington.edu/home>) and were assessed using the Integrative
117 Genomics Viewer (IGV) (<https://www.broadinstitute.org/igv/>). Variants which had a
118 CADD score ≥ 15 were validated with Sanger sequencing (details in Supplementary 3,
119 available at the European Journal of Human Genetics webpage).

120

121 Results

122 qPCR Studies

123 The *APC* Rq value for FAP1 was 0.48 and for Individual 2.1 it was 0.56 (Mean delta Ct =
124 8.238). An assessment for *APC* allelic imbalance in Individual 2.1 was attempted but
125 homozygosity for the chosen SNPs in her genomic DNA precluded informativity of the
126 analysis.

127 The qPCR results for all controls and NVI polyposis patients are in Supplementary 4,
128 available at the European Journal of Human Genetics webpage. A further 3 NVI
129 polyposis patients also had apparently reduced *APC* expression, but the cause in these
130 patients was not identified despite *APC* ultradeep sequencing, karyotype analysis
131 where possible and *APC* promoter methylation studies.

132 *APC* Capture and Ultra-Deep Sequencing (UDS)

133 The mean depth of coverage across the *APC* locus for Individual 2.1 was 2458 reads,
134 with 97.7% of the target region covered at a minimum of 1x read and 73.4% at a

135 minimum of 1000x reads. The *APC* promoter/Exon1 variant c.-190 G>A (hg19
136 chr5:g.112043225 G>A) was identified in 2845/5546 (51%) reads and confirmed by
137 Sanger sequencing. It had a CADD score of 22.4. The proband's father and one cousin,
138 both of whom also had a clinical diagnosis of FAP, were subsequently recruited for
139 investigation (Figure 1 Individuals 1.1 and 2.2). Both were found to carry the c.-190
140 G>A variant. qPCR studies gave Rq values of 0.56 for the father and 0.63 for the cousin.
141 The variant has been submitted to the LOVD database (patient ID 00213111).

142

143 Discussion

144 Li *et al* (2016) (ref 9) previously reported the *APC* c.-190 G>A variant in another family
145 with FAP and profuse fundic gland polyposis, in which 5 individuals were affected over
146 3 generations. The authors performed electromobility shift assays showing that the
147 variant led to reduced protein binding, and that the protein likely to be affected was
148 the transcription factor YY1. They demonstrated allelic imbalance of *APC* expression in
149 carriers of the variant due to abrogation of transcription.

150 This is consistent with the observation that truncating *APC* germline mutations usually
151 do not result in nonsense mediated decay (NMD) (ref 10, reviewed in ref 11) so when
152 reduced *APC* expression is identified, it may be more likely to result from reduced
153 transcription caused by promoter alterations rather than a 'missed' truncating variant.

154 In Li's paper, other specific germline point mutations in the *APC* promoter 1B region
155 resulted in a phenotype of gastric polyposis. Individual 2.1 was found to have 'multiple

156 fundic gland polyps in the body and fundus' when she attended upper gastrointestinal
157 endoscopic surveillance, but details of upper GI endoscopy could not be obtained for
158 other family members.

159 The variant has been categorised as 'disease causing' (HGMD Accession CR165704)
160 and predictive genetic testing is now being offered to relatives of Individual 2.1.

161

162

163 Our findings suggest that quantification of *APC* transcription may help to direct the
164 search for an unusual underlying genetic mechanism in NVI patients with colorectal
165 polyposis.

166 Other studies have also demonstrated the importance of analysing transcripts in the
167 search for unusual underlying mechanisms in NVI polyposis patients. As early as 1993,
168 Powell *et al* (ref 12) used an allele-specific expression assay to show that 3/11 *APC* NVI
169 patients with clinical FAP had significantly reduced expression of one *APC* allele. In
170 1999 Laken *et al* (ref 13) used monoallelic mutation analysis (MAMA) to reveal that 7/9
171 *APC* NVI patients had reduced/ no expression from one of their *APC* alleles. More
172 recently Yan *et al* (ref 14) identified a patient with colorectal tumours and reduced
173 levels of the *APC* protein. This patient had the expected 50:50 *APC* allelic ratio in
174 gDNA, but a 66:34 *APC* allelic ratio in cDNA from lymphoblastoid cells (ref 14). Early
175 findings regarding *APC* AI have been supported by Castellsagué *et al* (ref 15). Of 23
176 *APC*/*MUTYH* NVI polyposis families who were heterozygous for the SNP rs2229992, 2
177 were shown to exhibit *APC* AI. The AI in one family was suggested to result from

178 promoter variants (ref 15). In 2012 transcript analysis in a sample of 125 NVI polyposis
179 patients found that 8% had a reproducible aberrant transcript pattern, the majority of
180 which reflected insertions between two exons originating from exonised sequences
181 deep within the corresponding intron (ref 8).

182

183

184 Considering *APC* qPCR studies, a rigorously determined Rq threshold would be

185 required for diagnostic translation of transcription assays. With whole genome

186 sequencing emerging as a realistic basis for genetic diagnosis it is likely that many

187 more potentially regulatory non-coding variants will be identified in future. In this

188 case, transcription studies and/or transcript analysis might form a second line test to

189 provide evidence for or against their pathogenicity.

190

191 **Conflict of interest**

192 There are no conflicts of interest to declare.

193

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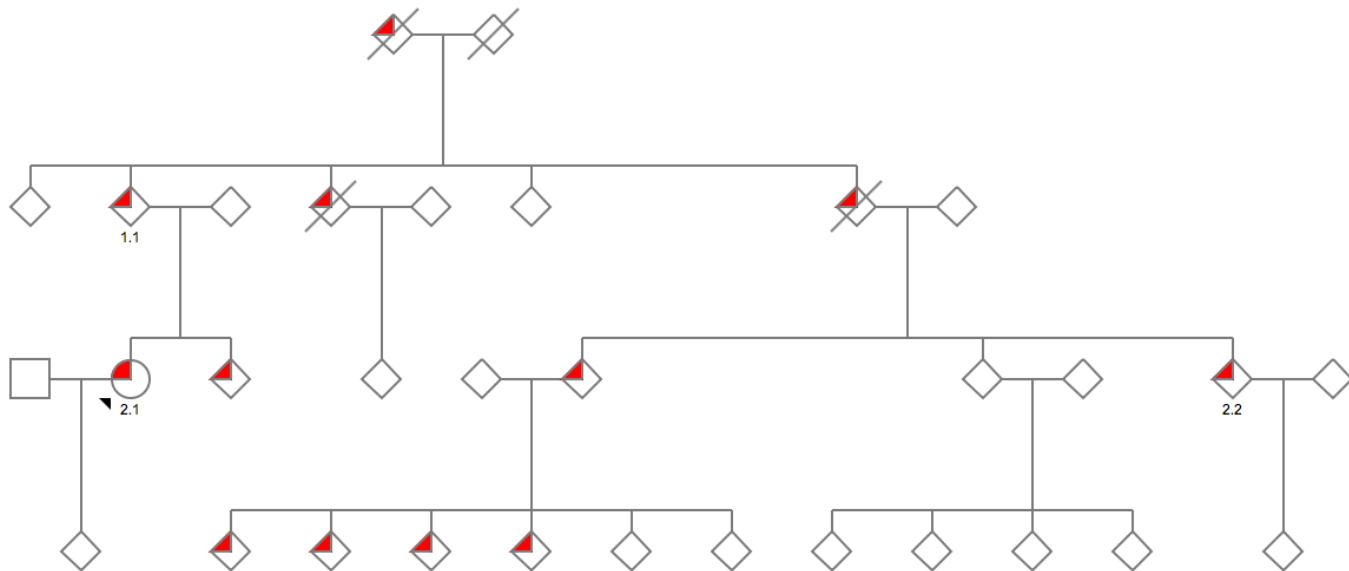
244 Figure legends

245 Figure 1 Family Pedigree. Proband is marked by an arrow (2.1)

246

247 Figure

248 Figure 1 Family Pedigree. Proband is marked by an arrow (2.1)



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