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The adoption of self-driving delivery robots in last mile logistics

Abstract: Covid-19, the global pandemic, has taught us the importance of contactless delivery service and robotic automation. Using self-driving delivery robots can provide flexibility for on-time deliveries and help better protect both driver and customers by minimizing contact. To this end, this paper introduces a new vehicle routing problem with time windows and delivery robots (VRPTWDR). With the help of delivery robots, considerable operational time savings can be achieved by dispatching robots to serve nearby customers while a driver is also serving a customer. We provide a mathematical model for the VRPTWDR and investigate the challenges and benefits of using delivery robots as assistants for city logistics. A two-stage matheuristic algorithm is developed to solve medium scale VRPTWDR instances. Finally, results of computational experiments demonstrate the value of self-driving delivery robots in urban areas by highlighting operational limitations on route planning.

Keywords: City logistics; Vehicle routing problem; Self-driving delivery robot; contactless delivery; Matheuristic algorithm

1. Introduction

The global e-commerce market has greatly changed the way businesses operate. The total e-commerce revenue is expected to grow to EUR 2,568.8 billion by 2023 (Striapunina, 2019), which has inevitably led to increased volume of global parcel delivery market. As the final step of transportation, last mile delivery has been a key success factor to achieve high customer satisfaction and increased market share for Logistics Service Providers (LSPs) around the world.

Due to increased traffic congestion, parking area limitations and environmental regulations, last mile delivery in populated urban areas is facing enormous difficulties (Akeb et al., 2018). As a result, new last mile delivery solutions have emerged in the last decade. As studied by Melo (2017), combining electric cargo bikes with delivery vans leads to a better traffic performance. In another application, Freight Traffic Control 2050 project team (El Hachemi et al., 2013) identified the potential benefits of portering in central London to alleviate congestion and associated negative environmental impact.

The evolution of automation technology during the last decade has seen great progress and

32 opened the door for numerous innovative business applications in last mile logistics. More
33 specifically, automated goods delivery is forecasted to provide a reasonable answer for up to 80
34 percent of all Business-to-Customers (B2C) deliveries (Grolms, 2019). There are already real-
35 life experiments where unmanned aerial vehicles (UAVs) are being used by pioneering
36 companies, including DHL (DHL, 2016), SF Express (Shields, 2018), Amazon (Vincent and
37 Gartenberg, 2019), Google (BBCNEWS, 2019), and UPS (McFarland, 2019). However, besides
38 its lack of cost-competitiveness, low-carrying capability, and flying range and legislation
39 restrictions in urban areas, UAVs cannot perform deliveries in certain environments. Another
40 application of last mile delivery service is the autonomous guided vehicle (AGV) with lockers
41 that can deliver parcels without any human intervention. Customers are notified of the exact
42 arrival time and asked to pick up a parcel from a specified locker mounted on a vehicle (Bouton,
43 et al., 2017). In the application of AGV delivery, security is the biggest concern, especially in
44 complex traffic environments in urban areas. So, efforts on seeking alternative services for the
45 last mile delivery in urban areas continue. The self-driving delivery robot is a promising kind
46 of autonomous delivery mode, which can cover limited areas. Before the Covid-19 pandemic,
47 the sight of robots delivering customers' parcels would have seemed futuristic. However,
48 Starship Technologies, the San Francisco-based firm, is currently running a delivery robot
49 service in the north of London. Earlier in 2020, the company has also launched this new delivery
50 system in six new cities, including a grocery delivery service in Washington, D.C. In **Fig. 1**, we
51 present three different types of delivery robots that are available in the market.

52



Starship robot

(Source: starship.xyz)



ANYmal

(Source: anybotics.com)



FedEx SameDay Bot

(Source: van.fedex.com)

53 **Fig. 1.** Pictures of three different delivery robots.

54

55 The features of these robots are best suited for the last mile delivery in the context of city
56 logistics. For example, weighing no more than 45 kg, the Starship robot can travel at pedestrian
57 speed and carry a payload of less than 2.6 kg to customers within a 4-mile radius (Kottasova,
58 2016). In another example, besides up to 10 kg payload capacity, ANYmal can climb over
59 challenging terrains such as curbs, stairs (up to 45 degrees), and other obstacles on the ground.

60 Moreover, ANYmal travels at a speed of 3.6 km/h with batteries for more than two hours of
61 autonomy and can ring the doorbell with its foot and drop the package in front of the door
62 (Hutter et al., 2017). As a last example, designed for B2C door-to-door deliveries, the FedEx
63 SameDay Bot has a top speed of 16 km/h with a three-mile radius. The self-driving robot
64 delivers parcels to doorsteps of customers at relatively slow speeds using sidewalks make sure
65 their security implications are not a big concern (Kottasova, 2016). Therefore, delivery robots
66 powered electronically can provide a cheaper, safer, and greener solution to current
67 unsustainable last mile challenge.

68 Moreover, as robots may be a slow option to drive all the way from a distribution hub, it
69 can be a good substitute of bike couriers, being used for instant deliveries in urban areas where
70 delivery vans are inefficient. A specific type of delivery van could be used to ferry robots from
71 neighborhood to neighborhood where it is fastest to make deliveries. Then, robots take over for
72 the final step of a delivery. For example, Mercedes-Benz is partnering with Starship
73 Technologies on a futuristic electric van that features delivery robots (McFarland, 2016). The
74 electric van is designed as a “mothership” system, which holds several ground robots. The idea
75 is that the mothership parks in a neighborhood and deploys robots to make nearby deliveries.
76 After the robots have made their deliveries, they return to the van, drive up a ramp and then be
77 driven to another neighborhood. Given certain tasks that require a human touch, Starship
78 Technologies still plans to use human driver in a vehicle. Rather than the traditional model of a
79 truck completing one delivery at a time, a delivery van can complete multiple deliveries at a
80 time with the help of delivery robots. Following the same idea, this paper aims at investigating
81 the related routing problem, named as Vehicle Routing Problem with Time Windows and
82 Delivery Robots (VRPTWDR).

83 Our contributions are as follows. First, we study a promising and futuristic routing problem
84 and investigate where and how it can be utilized. Second, we introduce a mixed-integer linear
85 programming model for the VRPTWDR. The proposed model can be used to obtain good
86 quality solutions for small-sized instances for benchmarking the solution quality of advanced
87 solution methods in further studies. Third, given that the delivery robot is a novel technology,
88 this study examines several features including customer geographic distribution, widths of
89 customers’ time windows, and robot’s moving speed and service coverage radius. Finally, a
90 two-stage matheuristic algorithm is proposed to solve medium-sized instances.

91 The rest of this paper is organized as follows. Section 2 briefly reviews the related literature.
92 Section 3 defines the problem with mathematical formulations whereas section 4 discusses the
93 important design features of the VRPTWDR. A matheuristic algorithm is presented in section

94 5. Computational experiments and related analyses are provided in Section 6. And finally,
95 section 7 provides the summary of this study and lists possible future research directions.

96 **2. Literature review**

97 The VRPTWDR is a generalization of classical VRP in which a set of vehicle routes are
98 determined to serve a given set of customers. A summary of various types of VRPs, their
99 corresponding mathematical models, and solution methodologies can be found in Golden et al.
100 (2008) and Braekers et al. (2015). Some variants of VRP share common features with the
101 VRPTWDR (e.g., time windows (Cordeau et al., 2000), two types of vehicles (Wang and Sheu,
102 2019), and two echelon routes (Perboli et al., 2011)). However, the VRPTWDR differs from
103 these studies in that we focus on newest technological features.

104 The two-echelon VRP (2E-VRP) considers two types of routes with two set of fleets, but
105 customers in each echelon are known in advance (Perboli et al., 2011). In the VRPTWDR,
106 customers are not distinguished for different kinds of routes in advance. The problem is to
107 determine which customers are visited by vehicles and which nearby customers are visited by
108 robots dispatched from a customer visited by a driver.

109 The truck and trailer problem (TTRP) also requires routing two types of vehicles as there
110 are customers with accessibility constraints who must be served by a vehicle, and others who
111 can be served either by a truck or by a complete vehicle (a truck pulling a trailer) (see, e.g.,
112 Villegas et al., 2013; Rothenbächer et al., 2018). Nevertheless, delivery robots travel to and
113 return from their targeted customers independently, while a trailer can only be moved by
114 connecting it to a truck.

115 In Nguyễn et al. (2018), a two-level clustered TSPTW was addressed for a single route that
116 consists of a set of intra-cluster walking routes. Given predefined clusters, the proposed model
117 determines the visit sequence of each cluster, as well as that within each cluster. However, the
118 clustering influences generated routes greatly. In addition, multiple robots can conduct more
119 efficient deliveries than one driver.

120 As the evolution of UAV technology develops quickly, research on routing problem for the
121 vehicle and UAV tandem has increased in recent years and made significant progress. There are
122 two types of application patterns of vehicle-UAV delivery discussed in the literature: flying
123 sidekick and mothership systems. The flying sidekick system uses the dispatch-move-collect
124 tactic, which means that vehicles move to another location after dispatching UAV(s) and UAVs
125 are collected by the same or another vehicle from a different place. In the mothership system,
126 dispatch-wait-collect tactics are usually applied, where a vehicle dispatches UAV(s) at a

127 location and waits at the same location to collect them. Murray and Chu (2015) first introduced
128 a flying sidekick TSP for the last mile delivery scenario in which a UAV works in collaboration
129 with a traditional delivery truck. Later, more practical constraints were incorporated, such as
130 payload-dependent flight and duration and restricted flying areas (Jeong et al., 2019). In another
131 study, Luo et al. (2017) investigated a cooperated routing problem for the ground vehicle with
132 UAVs, which extended the routing problem of the UAV and vehicle tandem to multiple vehicle
133 situations. Wang and Sheu (2019) extended the studied routing problem to more generic
134 scenarios, including multiple UAVs with parallel flying and landing at known stations.
135 Meanwhile, research on efficient heuristic algorithms for the routing problems in the flying
136 sidekick system were studied by several researchers (see, e.g., Sacramento et al., 2019;
137 Schermer et al., 2019).

138 The existed studies on mothership systems generally assume that all customers are served
139 by the delivery assistant (e.g., drones or robots). Some of them incorporate stations for
140 dispatching and retrieving robots. For example, Boysen et al. (2018) investigated a truck-based
141 robot delivery concept: all customers are served by robots, and a truck loads the shipments for
142 customers at a central depot where the goods to be shipped are stored as well as several robots
143 with a fixed reserved capacity. When a drop-off point is reached, robots are launched to
144 autonomously deliver goods to customers. Unlike other tandem delivery concept, the truck
145 takes no responsibility of collecting robots. Instead, a set of decentralized robot depots (stations)
146 is introduced to retrieve robot and for trucks to replenish robots. Only a single truck is
147 considered, and no shortage of robots are assumed in the study. In the study of Karak and
148 Abdelghany (2019), a specific type of mothership system is investigated, which allows a
149 “swarm” dispatching approach at stations and considers integrated pick-up and delivery.
150 Vehicles transport UAVs and shipments among stations and UAVs can only be dispatched and
151 collected at a station.

152 Other studies assume that the mothership can launch and retrieve the assistant anywhere in
153 a continuous space. Chang and Lee (2018) considered a specific type of mothership system.
154 They proposed an approach to solve the routing problem arising in a type of mothership system
155 with dispatch-wait-collect tactics. First, nearby delivery locations within the UAV’s service
156 range are clustered using the K-means clustering technique. Then, a truck’s delivery route
157 among the centers of clusters is set up to minimize its traveling time using a TSP algorithm.
158 Finally, a nonlinear programming model is applied to find shift-weights that move the centers
159 of clusters to make for wider UAV-delivery areas and shorter truck-routes after the initial K-
160 means clustering and TSP solution. Poikonen and Golden (2020) studied a truck-and-drone

161 routing problem named as the mothership and drone routing problem (MDRP) which contains
162 one mothership truck and one drone. In this study, the mothership can be a large ship or airplane
163 with the ability to move in Euclidean space and launch or retrieve a drone at any location.
164 However, finding an optimal parking site in a Euclidean plane with continuous variable may
165 not be feasible in populated urban areas.

166 Overall, time window constraints are excluded in most truck-drone routing problems and
167 most studies considered only a single truck route. The differences between the ground robot
168 and the UAV in terms of features in their applications lead to distinct routing problems. The
169 UAV travels at a much higher speed than robots, while the number of ground robots that fit in
170 a single van (e.g., up to eight for Mercedes-Benz electronic van) is much higher than UAVs
171 (only one UAV is usually assumed to be with a single van). As a result, the UAV is more suitable
172 in rural areas and delivery robots have distinct advantages in last mile delivery within urban
173 areas. On the other hand, as an emerging application, different concepts lead to different routing
174 problems. Compared to research efforts that are underway to improve operational aspects to
175 enable the delivery with UAVs, less attention has been given on the operational challenges
176 associated with leveraging delivery robot technology. In the application of self-driving robots
177 as a last mile delivery assistant, a hybrid type of delivery system combining the features of
178 sidekick and mothership can be formed. There is no need to build stations or docks for
179 dispatching robots, the delivery van parks at a customer's parking site and dispatches robots. A
180 driver serves a customer at the parking site while robots can visit other nearby customers.
181 Considering their distance-limited radius, the delivery van needs to dispatch and collect robot(s)
182 at the same location. Therefore, the VRPTWDR differs from the operational perspective studied
183 in published literature.

184 Given the complexity of routing problems, exact algorithms show their shortcomings on
185 medium- and large-sized instances. As a result, many researchers developed various heuristic
186 algorithms to solve routing problems arising in practice. The heuristic algorithms made by the
187 interoperation of metaheuristics and mathematical programming techniques are named as
188 matheuristic algorithms (Boschetti et al., 2010). The matheuristic algorithm is based on multiple
189 calls of a MILP model, which solves an initial solution of the problem to optimality. Even
190 though there are different types of implementations with matheuristic algorithms, using
191 mathematical solvers as a part of the solution methodology can bring several advantages. First,
192 matheuristic algorithm is a framework for the design of mathematically sound heuristics.
193 Second, a simplification of the mathematical model can be solved more efficiently and does not
194 deteriorate solution times. And finally, these algorithms can reduce the need of parameters used

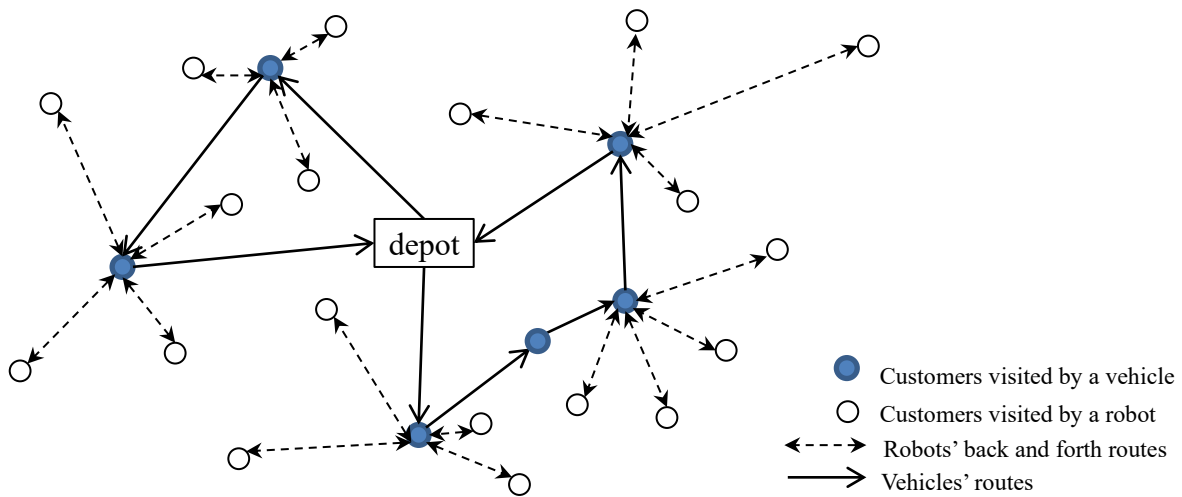
195 in metaheuristics algorithms. Interested readers are referred to the existing literature (see, e.g.,
 196 Ghiami et al., 2019; Keskin and and Çatay 2018; Villegas et al., 2013).

197 3. Problem description

198 The VRPTWDR is defined on a graph $G=(V, A)$, where V is the set of nodes and $A=\{(i, j) |$
 199 $i, j \in V, i \neq j\}$ is the set of arcs. The set of nodes $V=\{0\} \cup C$ contains the depot node (0) and the
 200 customer set $C=\{1, 2, \dots, n\}$. Each customer i has a predefined hard time window $[l_i, u_i]$ for
 201 delivery service. The delivery outside the time window is not allowed by the customer. Each
 202 customer i has a known demand q_i that must be satisfied either by a vehicle (driver) or a robot
 203 (if possible). Whether a customer accepts a robot service is known in advance, which is
 204 indicated by a binary parameter f_i^d : equal to 1 if customer i can be served by a robot, and 0
 205 otherwise. There are several situations when a customer cannot be visited by a robot, such as
 206 technological inaccessibility of its location, its preference, and capacity/radius limitation of the
 207 robot.

208 In our problem setting, a set of homogeneous vehicles $K=\{1, 2, \dots, k\}$ and a set of
 209 homogeneous delivery robots $DR=\{1, 2, \dots, m\}$ are initially located at the depot. The capacity
 210 of a vehicle is denoted by Q . The distance from customer i to j is represented by d_{ij} . We denote
 211 traveling speeds on arc (i, j) as vel_{ij}^v and vel_{ij}^d for vehicle and robot, respectively. Hence, the
 212 traveling times on arc (i, j) are calculated as $t_{ij}^v=d_{ij}/vel_{ij}^v$ and $t_{ij}^d=d_{ij}/vel_{ij}^d$, respectively. And
 213 finally, s_i^v and s_i^d are used to represent service times for vehicle and robot, respectively.

214



215

216 **Fig. 2.** An illustration of a feasible solution with 25 customers, two vehicles and four robots
 217 available in each vehicle.

218

219 As illustrated in **Fig. 2**, a feasible solution to the VRPTWDR needs to involve a set of

220 integrated decisions, including how many vehicles are used and their routes, which customers
 221 to be visited by vehicle(s) and where and when to dispatch robots to visit which nearby
 222 customers. It can be easily adapted to a scenario where an unmanned van is used and all
 223 customers are served by robots through the setting possible parking locations as dummy
 224 customers. Moreover, the VRPTWDR is more complicated than the standard VRPTW, as there
 225 are two types of routes and both need to satisfy related capacity and time window constraints.
 226 The VRPTWDR is still a more general problem than that of VRPTW. For example, if the
 227 number of available robots in a van is set to zero, the problem at hand turns to be a standard
 228 VRPTW.

229 In addition to the above, the following assumptions are considered to specify the
 230 operational scenarios of vehicle-robot delivery system: (i) multiple self-driving robots are
 231 mounted on a single vehicle; (ii) some customers can only be served by a vehicle (driver), while
 232 others can be served by a vehicle or a robot; (iii) vehicles dispatch robots at parking places and
 233 wait for them back at the same place before moving to the next customer; (iv) the robot serves
 234 one customer per sortie, while multiple robots can be dispatched simultaneously to perform a
 235 swarm of deliveries; (v) the robot has a maximum radius, denoted by r^d ; (vi) each robot can
 236 only be sent once from a certain parking place; and finally, (vii) en-route battery charging and
 237 battery replacement are assumed.

238 Therefore, the decision variables are defined as follows. Binary variables y_{ij}^{dk} equal 1 if
 239 robot d installed in vehicle k is dispatched at customer i to serve customer j , and 0 otherwise.
 240 Binary variables x_{ij}^k equal to 1 if vehicle k travels from node i to node j , and 0 otherwise.
 241 Continuous variables p_{ij}^k show the payload of vehicle k when it travels from node i to node j .
 242 Continuous variables a_i represent the arriving time of the visiting resource at customer i , either
 243 a vehicle or a robot. Moreover, continuous variables b_i represent the service start time of
 244 customer i . And finally, w_i show the waiting time of a vehicle at customer i 's parking site from
 245 its service start time.

246 The problem at hand is modeled in the form of a MILP formulation as presented below
 247 ([P1]).

$$[P1] \quad \text{minimize} = \sum_{i \in V} \sum_{j \in V} \sum_{k \in K} x_{ij}^k \cdot t_{ij}^v + \sum_{i \in C} (b_i - a_i + w_i) \quad (1)$$

Subject to

$$\sum_{i \in V} \sum_{k \in K} x_{ij}^k + \sum_{i \in C} \sum_{d \in DR} \sum_{k \in K} y_{ij}^{kd} = 1, \forall j \in C \quad (2)$$

$$\sum_{i \in C} \sum_{k \in K} \sum_{d \in DR} y_{ij}^{kd} \leq f_j^d, \forall j \in C \quad (3)$$

$$\sum_{j \in C} \sum_{d \in DR} y_{ij}^{kd} \leq |DR| \sum_{j \in V} x_{ji}^k, \forall i \in C, k \in K \quad (4)$$

$$\sum_{j \in C} \sum_{k \in K} y_{ij}^{kd} \leq 1, \forall i \in C, d \in DR \quad (5)$$

$$\sum_{j \in C} x_{0j}^k \leq 1, \forall k \in K \quad (6)$$

$$\sum_{i \in V} x_{ij}^k = \sum_{i \in V} x_{ji}^k, \forall j \in V, k \in K \quad (7)$$

$$\sum_{i \in V} \sum_{k \in K} p_{ij}^k - \sum_{i \in V} \sum_{k \in K} p_{ji}^k = q_j * \sum_{i \in V} \sum_{k \in K} x_{ij}^k + \sum_{i \in C} \sum_{k \in K} \sum_{d \in DR} q_i y_{ji}^{kd}, \forall j \in C \quad (8)$$

$$p_{ij}^k \leq (Q - q_i - \sum_{\theta \in C} \sum_{d \in DR} q_{\theta} y_{i\theta}^{kd}) x_{ij}^k, \forall i \in C, j \in V, k \in K \quad (9)$$

$$b_i - a_j + w_i + t_{ij}^v \leq M(1 - \sum_{k \in K} x_{ij}^k), \forall i \in C, j \in C \quad (10)$$

$$a_j - b_i - w_i - t_{ij}^v \leq M(1 - \sum_{k \in K} x_{ij}^k), \forall i \in C, j \in C \quad (11)$$

$$a_i - a_j + t_{ij}^d \leq M(1 - \sum_{k \in K} \sum_{d \in DR} y_{ij}^{kd}), \forall i, j \in C \quad (12)$$

$$w_i \geq s_i^v * \sum_{j \in V} \sum_{k \in K} x_{ji}^k, \forall i \in C \quad (13)$$

$$(b_j - b_i + s_j^d + t_{ij}^d) - w_i \leq M(1 - \sum_{k \in K} \sum_{d \in DR} y_{ij}^{kd}), \forall i \in C, j \in C \quad (14)$$

$$b_i \geq a_i, \forall i \in C \quad (15)$$

$$u_i \leq b_i \leq l_i, \forall i \in C \quad (16)$$

$$\sum_{i \in C} \sum_{j \in C} d_{ij} y_{ij}^{kd} \leq r^d, \forall k \in K, d \in DR \quad (17)$$

$$x_{ij}^k \in \{0,1\}, \forall i \in V, j \in V, k \in K \quad (18)$$

$$y_{ij}^{kd} \in \{0,1\}, \forall i \in C, j \in C, k \in K, d \in DR \quad (19)$$

$$p_{ij}^k \geq 0, \forall i \in V, j \in V, k \in K \quad (20)$$

$$a_i \geq 0, \forall i \in C \quad (21)$$

$$b_i \geq 0, \forall i \in C \quad (22)$$

$$w_i \geq 0, \forall i \in C. \quad (23)$$

248

249 This mathematical formulation of the VRPTWDR is an extension of the VRPTW for which
 250 a model is presented by Cordeau et al. (2000) to consider self-driving robots as assistants. To
 251 highlight the importance of operational time, we modify the objective to minimize the total time
 252 spent in all routes as indicated in objective (1). Constraints (2) ensure each customer must be
 253 visited only once either by a vehicle or a robot. Constraints (3) imply that some customers are
 254 restricted to be visited by a vehicle. Constraints (4) and (5) make sure that each robot can only

255 be dispatched once at a customer parking site. Constraints (6) restrict the vehicle to be used at
 256 most once in a schedule. Constraints (7) ensure the vehicle flow at each node. Payload balance
 257 is described through constraints (8). As the payload in a vehicle decreases after visiting each
 258 customer, these constraints also eliminate the formation of subtours that do not contain the depot.
 259 Constraints (9) are used to restrict the total load a vehicle carries by its capacity. Time windows
 260 are imposed by constraints (10)-(16), which are obtained through a linearization of non-linear
 261 inequalities. In addition, constraints (10) and (11) eliminate the risk of generating subtours in a
 262 solution. Constraints (17) limit the maximum radius of each delivery robot. Finally, constraints
 263 (18)-(23) enforce the binary and non-negativity restrictions on decision variables.

264 4. Operational features of the VRPTWDR

265 This section discusses the main operational characteristics of the investigated routing
 266 problem along with their mathematical formulations.

267 4.1. The role of customers' locations

268 Compared to traditional delivery modes, the advantages of self-driving delivery robots are
 269 parallel service and possibly shorter service time, while the disadvantages are lower travelling
 270 speed and limited walking range. Delivery robots can be utilized as a last mile solution when
 271 there is a clear benefit between these advantages and disadvantages, and vice versa. **Fig. 3**
 272 demonstrates a set of delivery routes with and without adopting robots for two (*a* and *b*) and
 273 three (*c* and *d*) customers.

274

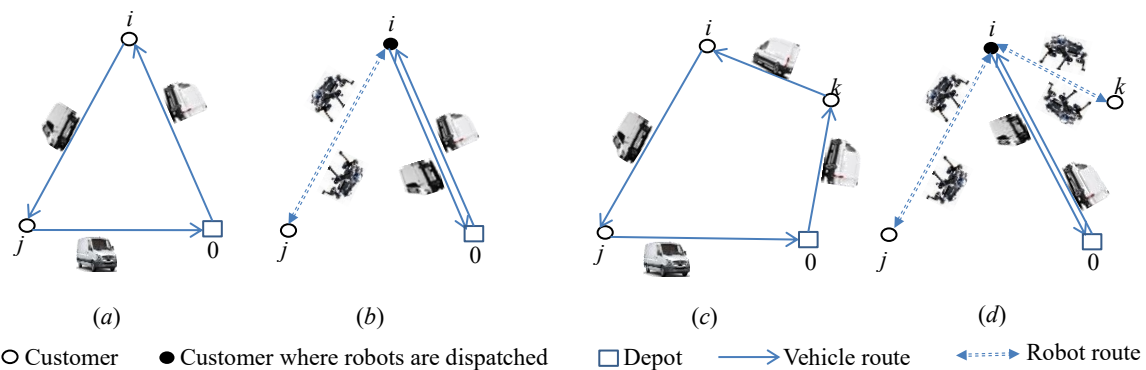


Fig. 3. Four representative routes of vehicle-visit versus robot-visit.

275 As shown in **Fig. 3**, if a vehicle needs to serve several customers in a single route, there are
 276 two alternative options: serving them sequentially (**Fig. 3a** and **Fig. 3c**), or serving one of them
 277 and dispatching robots to serve the other(s) (**Fig. 3b** and **Fig. 3d**). The difference of objective
 278 values between those two options lies on the path after the vehicle arrives at the first customer.
 279 In the case with two customers, let *i* represent the first customer and *j* represent the second.

280 Accumulated route times used after the vehicle arrives at customer i are $s_i^v + t_{ij}^v + s_j^v + t_{j0}^v$ and
 281 $\max\{s_i^v, 2t_{ij}^d + s_j^d\} + t_{i0}^v$ for **Fig. 3a** and **Fig. 3b**, respectively. A potential time saving on route
 282 duration through using a robot to serve customer j is denoted by α_{ij} . This value is calculated
 283 with equation (24) below.

$$284 \quad \alpha_{ij} = s_i^v + t_{ij}^v + s_j^v + t_{j0}^v - \max\{s_i^v, 2t_{ij}^d + s_j^d\} - t_{i0}^v. \quad (24)$$

285 Equation (24) can also be further rewritten into equation (25).

$$286 \quad \alpha_{ij} = (s_j^v + t_{j0}^v - t_{i0}^v) + \begin{cases} t_{ij}^v, & \text{if } s_i^v - s_j^d \geq \frac{2d_{ij}}{vel_{ij}^d} \\ (s_i^v - s_j^d) + d_{ij} \left(\frac{1}{vel_{ij}^v} - \frac{2}{vel_{ij}^d} \right), & \text{if } s_i^v - s_j^d < \frac{2d_{ij}}{vel_{ij}^d} \end{cases}. \quad (25)$$

287 As shown, equation (25) contains two parts: the first one is related to traditional delivery
 288 service and the second part is related to the robot. If the superiority of the robot in terms of
 289 service time and speed is big enough to make $(s_i^v - s_j^d) \geq 2d_{ij} / vel_{ij}^d$ true, the value of α_{ij} is
 290 mainly determined by customers' locations based on which t_{ij}^v is calculated. In this case, a larger
 291 value of d_{ij} is preferred. Otherwise, a smaller d_{ij} is preferred as its coefficient is negative when
 292 $(s_i^v - s_j^d) < 2d_{ij} / vel_{ij}^d$, given $vel_{ij}^v \geq vel_{ij}^d$ is assumed in the VRPTWDR.

293 Furthermore, **Fig. 3c** and **Fig. 3d** illustrate a standard vehicle route and a case where two
 294 customers (j and k) are allocated to be visited by robots. Let α_{ijk} represent the benefit of
 295 dispatching two robots to visit customers j and k when the vehicle is visiting customer i . It can
 296 be calculated using equation (26).

$$\begin{aligned} \alpha_{ijk} &= s_i^v + s_j^v + s_k^v + t_{ij}^v + t_{jk}^v + t_{k0}^v - \max\{s_i^v, 2t_{ij}^d + s_j^d, 2t_{ik}^d + s_k^d\} - t_{i0}^v \\ &= s_i^v + s_j^v + t_{ij}^v + t_{j0}^v - \max\{s_i^v, 2t_{ij}^d + s_j^d\} - t_{i0}^v \\ 297 \quad &+ s_i^v + s_k^v + t_{ik}^v + t_{k0}^v - \max\{s_i^v, 2t_{ik}^d + s_k^d\} - t_{i0}^v \\ &+ t_{i0}^v - t_{j0}^v + t_{jk}^v - t_{ik}^v + \max\{s_i^v, \min\{2t_{ij}^d + s_j^d, 2t_{ik}^d + s_k^d\}\} - s_i^v \\ &= \alpha_{ij} + \alpha_{ik} + t_{i0}^v - t_{j0}^v + t_{jk}^v - t_{ik}^v + \max\{s_i^v, \min\{2t_{ij}^d + s_j^d, 2t_{ik}^d + s_k^d\}\} - s_i^v \end{aligned} \quad (26)$$

298 Without losing generality, $2t_{ij}^d + s_j^d \leq 2t_{ik}^d + s_k^d$ is assumed. The extra benefit of multi-
 299 dispatch (Δ_α) is the difference between α_{ijk} and the sum of α_{ij} and α_{ik} , which is expressed in
 300 equation (27).

$$301 \quad \Delta_\alpha = \alpha_{ijk} - (\alpha_{ij} + \alpha_{ik}) = t_{i0}^v - t_{j0}^v + t_{jk}^v - t_{ik}^v + \max\{0, 2t_{ij}^d + s_j^d - s_i^v\}. \quad (27)$$

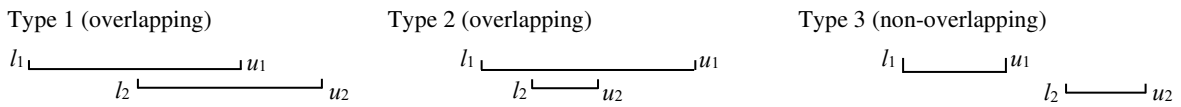
302 Since robots are used to visit nearby customers, it is reasonable to assume
 303 $t_{i0}^v - t_{j0}^v + t_{jk}^v - t_{ik}^v \approx 0$. Consequently, Δ_α can be estimated using equation (28).

$$304 \quad \Delta_\alpha \approx \max\{0, 2t_{ij}^d + s_j^d - s_i^v\}. \quad (28)$$

305 Hence, the actual benefit of deploying two robot services is estimated to be no less than the
 306 sum of their individual values, i.e., $\alpha_{ijk} > \alpha_{ij} + \alpha_{ik}$. Similarly, the benefit of dispatching three or
 307 more robots to visit nearby customers has the same attribute.

308 4.2. The role of customers' time windows

309 When using delivery robots as assistants in the last mile delivery, customers' time window
 310 constraints may deteriorate the potential benefit. To analysis the influence of the time window,
 311 the relationships between two time windows are introduced first. **Fig. 4** shows the three kinds
 312 of relationships between $[l_1, u_1]$ and $[l_2, u_2]$. Without losing generality, $l_1 \leq l_2$ is assumed.



314 **Fig. 4.** Illustration of three types of relationships between two time windows.

315
 316 When sending a robot to visit customer j at customer i 's parking site, there is a time interval,
 317 i.e., $[l_j - t_{ij}^d, u_j - t_{ij}^d]$, in which the robot should be dispatched to satisfy customer j 's time
 318 window. Obviously, if $[l_j - t_{ij}^d, u_j - t_{ij}^d]$ and $[l_i, u_i]$ overlap and the vehicle arrives i during the
 319 overlapped time period, the time window constraints would not generate a deterioration on the
 320 objective value. Otherwise, there are two conditions in which time delay occurs: (1) $u_j - t_{ij}^d < l_i$,
 321 the vehicle needs to arrive i earlier than l_i , and (2) $l_j - t_{ij}^d > u_i$ and $l_j + t_{ij}^d + s_j^d > u_i + s_i^v$, the
 322 vehicle needs to spend extra time for the return of the robot. Let β_{ij} represent the deterioration
 323 resulting from the time window constraints when sending a robot to visit customer j from
 324 customer i , which is calculated with equation (29).

$$325 \quad \beta_{ij} = \min\{u_j - l_i - t_{ij}^d, u_i + s_i^v - l_j - t_{ij}^d - s_j^d, 0\} \quad (29)$$

326 Similarly, if customers j and k are both served by robots dispatched at customer i , the robot
 327 dispatching time windows are $[l_j - t_{ij}^d, u_j - t_{ij}^d]$ and $[l_k - t_{ik}^d, u_k - t_{ik}^d]$, respectively. If there is an
 328 overlap among them and customer i 's time window, no deterioration is induced. Otherwise, the
 329 deterioration (β_{ijk}) is calculated using three steps: (i) β_{ij} is calculated first using equation (29);
 330 (ii) a virtual customer i' is introduced to denote the coalition of customers i and j , and its time
 331 window and service time are calculated using equations (30)-(32); (iii) β_{ijk} equals to the value
 332 $\beta_{i'k}$ that can be calculated using equation (29).

$$s_i^v = \max\{s_i^v, s_j^d + 2t_{ij}^d\} - \beta_{ij} \quad (30)$$

$$l_i = \begin{cases} \max\{l_i, l_j - t_{ij}^d\}, & \text{if } l_i \leq l_j \\ \min\{l_i, u_j - t_{ij}^d\}, & \text{if } l_i > l_j \end{cases} \quad (31)$$

$$u_i = \min\{u_i, u_j - t_{ij}^d\}. \quad (32)$$

333 Similarly, the deterioration of deploying three and more robot sortie at a customer's parking
334 site can be calculated.

335 The preliminary analysis in this section indicates the potential savings brought by the
336 locations of the related customers and the deteriorations (extra waiting time) resulted from their
337 time window constraints. In terms of potential savings, distance does not always negatively
338 correlate with it. Moreover, simultaneously deploying multiple robots to visit nearby customers
339 results a saving larger than the sum of that calculated one by one. This means that sometimes it
340 is necessary to calculate the saving of dispatching multiple robots to serve other customers from
341 one customer. In contrast, the deterioration is influenced by both time window constraints and
342 geographical locations of customers. Finally, the formulations derived for calculating savings
343 (α) and deteriorations (β) are useful in making the robot-delivery related decisions.

344 **5. A matheuristic algorithm for the VRPTWDR**

345 This section presents a matheuristic algorithm for the VRPTWDR. The VRPTWDR is NP-
346 hard since it is an extension to the classical VRPTW. Only a simplified version of this problem
347 can be solved to optimality with a reasonable computational time. For this reason, we have
348 developed a two-stage matheuristic algorithm. In Stage 1, customers are clustered into several
349 groups. In each group, only one customer is served by a driver and others are served by robots.
350 These robots are dispatched from the customer's location visited by a driver. With the reduced
351 number of decision variables, the problem is then solved in Stage 2. The following subsections
352 detail the proposed algorithm.

353 **5.1. Stage 1: Clustering**

354 Due to the characteristics of the VRPTWDR, this stage has two consecutive clustering
355 procedures. The first procedure is an IP approach which considers dispatching a robot between
356 two customers: deploying a robot sortie from one customer to serve the other. The second one
357 is a heuristic algorithm which considers dispatching several robots at one customer's site to serve
358 multiple customers simultaneously. These two stages are developed based on the benefits
359 derived from allowing robot visits. The resulting benefit of allowing customer j to be visited by
360 the robot dispatched at customer i , denoted as γ_{ij} , is calculated with equation (33). This value

361 results from their geographic locations, time windows and the robot's radius limitation.

$$362 \quad \gamma_{ij} = \begin{cases} \alpha_{ij} + \beta_{ij}, & \text{if } d_{ij} \leq r^d \\ -\infty, & \text{if } d_{ij} > r^d \end{cases} \quad (33)$$

363 The basic principle of clustering customers is to maximize total benefit from deploying
 364 robot services. Therefore, an integer programming (IP) approach is applied based on the benefit
 365 between every pair of customers. However, the IP approach does not consider a case when
 366 multiple (two or more) customers are visited by robots dispatched at the same customer's
 367 parking site, like α_{ijk} and β_{ijk} . Then, our heuristic-based clustering approach is implemented
 368 based on the results of the IP approach to further investigate conditions of dispatching multiple
 369 robots at a customer.

370 5.1.1. IP approach for clustering

371 Theoretically, every pair of customers with a positive benefit value can be allocated into a
 372 cluster. Here, a new decision variable λ_{ij} is introduced: equals to 1 if a customer j belongs to the
 373 cluster with customer i as the central node, and 0 otherwise. And we name each cluster after its
 374 central node. Further, let ζ_{ij} represent the potential deterioration on the objective when
 375 customers i and j are clustered into one group, which is caused by the difference of their time
 376 windows. We use equation (34) to quantify it.

$$377 \quad \zeta_{ij} = \min\{\min(u_i, u_j) - \max(l_i, l_j), 0\}, \forall i \in C, j \in C. \quad (34)$$

378 To maximize overall benefit, an IP model ([P2]) is developed to obtain the initial cluster
 379 configuration.

380 [P2]

$$\text{maximize} = \sum_{i \in C} \sum_{j \in C} \gamma_{ij} \cdot \lambda_{ij} + \frac{1}{2} \sum_{\varphi \in C} \sum_{i \in C} \sum_{j \in C} \zeta_{ij} \cdot SC_{ij}^{\varphi} \quad (35)$$

subject to

$$\lambda_{ij} \leq \lambda_{ji}, \forall i \in C, j \in C \quad (36)$$

$$\sum_{j \in C} \lambda_{ij} \leq |DR| + 1, \forall i \in C \quad (37)$$

$$\sum_{i \in C} \lambda_{ij} = 1, \forall j \in C \quad (38)$$

$$\sum_{j \in C} q_j \cdot \lambda_{ij} \leq Q, \forall i \in C \quad (39)$$

$$\lambda_{\varphi i} \leq SC_{ij}^{\varphi} + M \cdot (1 - \lambda_{\varphi j}), \forall i \in C, j \in C, \varphi \in \Phi \quad (40)$$

$$SC_{ij}^{\varphi} \leq \lambda_{\varphi j}, \forall i \in C, j \in C, \varphi \in \Phi \quad (41)$$

$$SC_{ij}^{\varphi} \leq \lambda_{\varphi i}, \forall i \in C, j \in C, \varphi \in \Phi \quad (42)$$

$$\lambda_{ij} \in \{0,1\}, \forall i \in C, j \in C \quad (43)$$

$$SC_{ij}^{\varphi} \in \{0,1\}, \forall i \in C, j \in C, \varphi \in C. \quad (44)$$

381

382 where SC_{ij}^{φ} is introduced for the linearization of [P2]. SC_{ij}^{φ} equals 1 if both customers i and j
 383 are allocated to the cluster φ , and 0 otherwise. The objective (35) is to maximize the total benefit
 384 resulting from clustering to use the robot as an assistant. Constraints (36) make sure that each
 385 customer j can only be allocated to a cluster center node. Constraints (37) limit the maximum
 386 number of customers in a cluster according to the maximum number of robots can be installed
 387 to a van, and constraints (38) ensure that each customer can only be allocated to one cluster.
 388 Constraints (39) restrict the total demand of customers in a cluster to be within a full vehicle
 389 load capacity. Constraints (40)-(42) ensure the feasibility of decision variables. Finally,
 390 constraints (43) and (44) enforce the binary restrictions on decision variables.

391 5.1.2. Heuristic clustering approach

392 To achieve further benefit of parallel delivery, a novel cluster procedure is proposed. First,
 393 according to the initial clusters generated by [P2], dummy nodes of customer i are introduced
 394 and their time windows and service time are calculated with equations (30)-(32). Then, the
 395 benefits of allocating multiple customers to them are calculated accordingly. In each iteration,
 396 the cluster configuration with the biggest positive benefit is chosen and the related parameters
 397 are updated. This process is repeated until no further benefit can be achieved. The algorithm
 398 procedure is detailed in **Algorithm 1**.

399 For each customer i served as a cluster center, there is a limited number of nearby
 400 customers that can be allocated to it, which is denoted by cn_i in **Algorithm 1**. cn_i is first limited
 401 by the difference of the maximum number of robots carried by a van and the number of
 402 customers that are allocated to customer i in the IP approach. Then, it is limited by the delivery
 403 van's capacity for customers' demand. Given the maximum possible number of clustered
 404 customers, the maximum clustering benefit is calculated for each potential cluster center. And
 405 the biggest positive value is chosen.

406

407 **Algorithm 1.** Pseudo code of the heuristic clustering approach.

Input: $\gamma_{ij}, \lambda_{ij}, q_i, Q, l_i, u_i, s_i^v, s_i^d$

Output: λ_{ij}


```

1: flag:=1
2: while (flag) do
3:   for each customer  $i$  that can be served as a cluster center (dispatching site) do
4:     minimum_benefit $i$ =0 and  $n = |DR| - \sum_{j \in C} \lambda_{ij}$ 
5:     while ( $n \geq 2$ ) do
6:       Sort customers in descending order of  $\gamma_{ij}$  and set the first  $n$  as the set  $temp$ 
7:       if  $\sum_{j \in temp} q_j + \sum_{j \in C} q_j \lambda_{ij} + q_i \leq Q$  then
8:         total_benefit $i$ :=total_benefit of allocating customers in  $temp$  to  $i$ 
9:         if total_benefit $i$   $\geq$  minimum_benefit $i$  then
10:          minimum_benefit $i$ = total_benefit $i$ ;  $cn_i=n$ ;
11:        end if
12:      end if
13:       $n:=n-1$ 
14:    end while
15:  end for
16:  if max(minimum_benefit) $>0$  then
17:     $i = \underset{\{j \text{ in customers that can be served as a cluster center}\}}{\text{arg max}} (\text{min imun\_benefit}_j)$ 
18:    allocate  $cn_i$  customers with biggest  $\gamma_{ij}$  to customer  $i$  (update  $\lambda_{ij}$ )
19:    update  $l_i, u_i, s_i^v, s_i^d$  and  $\gamma_{ij}$ 
20:  else flag:=0
21:  end if
22: end while

```

408

409 5.2. Stage 2: Solving Clustered-VRPTWDR

410 After forming customers into Φ clusters ($V_1 \dots V_\varphi \dots V_\Phi$) in stage 1 with $V_0 = \{0\}$, a set of
411 clusters as denoted by $V = \{V_0, V_1 \dots V_\Phi\}$ is generated. In stage 2, the following routing model
412 [P3] is solved and the final solution to the VRPTWDR is derived.

413 [P3]

$$\text{minimize} = \sum_{i \in V} \sum_{j \in V} \sum_{k \in K} x_{ij}^k \cdot t_{ij}^v + \sum_{i \in C} (b_i - a_i + w_i) \quad (1)$$

subject to

(2) ~ (23)

$$\sum_{i \in V_\varphi} \sum_{j \in V \setminus V_\varphi} \sum_{k \in K} x_{ij}^k = 1, \forall \varphi \in \Phi \quad (45)$$

$$\sum_{i \in V_\varphi} \sum_{j \in V_\varphi} \sum_{k \in K} x_{ij}^k = 0, \forall \varphi \in \Phi \quad (46)$$

$$\sum_{i \in V_\varphi} \sum_{j \in V \setminus V_\varphi} x_{ij}^k = \sum_{i \in V_\varphi} \sum_{j \in V \setminus V_\varphi} x_{ji}^k, \forall \varphi \in \Phi, k \in K \quad (47)$$

$$\sum_{i \in V_\varphi} \sum_{j \in V \setminus V_\varphi} \sum_{k \in K} \sum_{d \in DR} y_{ij}^{kd} = 0, \forall \varphi \in \Phi \quad (48)$$

414

415 Constraints (45) and (46) indicate that only one customer in each cluster is visited by the
416 vehicle. Constraints (47) ensure balance constraints for each cluster. Constraints (48) make sure
417 that robot cannot travel between clusters. The model [P3] decides both customers that are
418 visited by a vehicle in each cluster and a set of routes and times for vehicles to visit those
419 customers. The proposed matheuristic algorithm reduces the complexity of the problem by
420 decomposing it into two parts which are solved individually. Further, once the clustered-
421 VRPTWDR can be solved to optimality, the result of clustering (stage 1) decides the quality of
422 the result. However, as the clustered-VRPTWDR is still a NP-hard problem, the efficiency of
423 its solution method dictates the limitation of the proposed algorithm.

424 **6. Computational experiments**

425 This section provides the detailed results of computational experiments. A series of
426 numerical experiments were conducted to gain insights into potential strategies for the
427 application of delivery robots and versify the proposed algorithm. The configuration of the
428 computer used for those experiments is Inter Core i5-3610QM CPU @2.30GHz processor with
429 4GB RAM.

430 **6.1. Test instances**

431 We modified the well-known VRPTW instances created by Solomon (1987) to test our
432 model and the algorithm. In these instances, there are three types of data sets: R, C and RC,
433 corresponding to three types of customers' geographical distribution: uniform, clusted, and
434 semi-clustered. And, these data sets have a further two subsets: sets R1, C1 and RC1 have a
435 short scheduling horizon which allows only a few customers to be served by the same vehicle,
436 while the sets R2, C2 and RC2 has a long scheduling horizon, permitting more customers to be
437 serviced by the same vehicle. Each data set contains between eight and twelve instances. It is
438 noted that all instances in sets R1 and R2 share same values on customers' locations, demands
439 and service times, and the same in sets RC1 and RC2.

440 First, the features of the VRPTWDR are investigated. To ensure that the problem can be
441 solved to optimality in reasonable computational effort using IBM ILOG CPLEX Studio 12.9.0
442 (IBM, 2019), we reduced the problem size due to the complexity of the VRPTWDR formulation.
443 Based on Solomon instances, 16 customers are randomly picked from each instance in the
444 Solomon instance set to form new set of instances. For each instance, 15 sub-instances are
445 generated. Then, Solomon 25-customer and 50-customer instances are included in the
446 experiments to show the performance of the proposed algorithm in Section 6.3.

447 As the motivation of delivery robot is assumed to be used as a last mile delivery solution
 448 in the context of city logistics (i.e., in built-up areas), it is reasonable to set average speeds of
 449 vehicle and robot to be 30 km/h and 10 km/h, respectively. Therefore, given the speed of
 450 vehicles is set as one unit in Solomon instances, we set the speed of robots as 0.3 units where
 451 no other explicit instructions. Furthermore, the maximum radius of robot's coverage is set to be
 452 equal to half of the average distance amongst customers.

453 Besides the time saving on parking, there is usually a distance for the driver (courier) from
 454 parking site to the door of a customer in urban last mile delivery. Therefore, the service time of
 455 robots is set to be half of that for a vehicle. Meanwhile, in these instances, a customer with a
 456 demand higher than twenty is recognized as infeasible to be served by a robot. Finally, the
 457 available number of robots in a single vehicle is assumed to be four for instances with 16
 458 customers and six for instances with 25 and 50 customers.

459 6.2. Analysis of the VRPTWDR features

460 6.2.1. Customers' geographical distribution

461 The influence of customers' geographical distribution on the application of delivery robot
 462 assistants is investigated in this subsection. We compared the results on 16-customer instances
 463 which are randomly generated based on three Solomon instances, namely C101, RC101 and
 464 R101. First, the results of the VRPTW and VRPTWDR models are listed in **Table 1**. The
 465 columns Obj. report the objectives of the corresponding models. The columns NR report the
 466 number of the robot-visit deployments and columns Imp. report the improvement ($=$
 467 $\frac{OBJ_{VRPTW} - OBJ_{VRPTWDR}}{OBJ_{VRPTW}} \times 100\%$) obtained by the application of robots. As indicated in **Table 1**,
 468 introducing robots into last mile delivery improves the objective and it can even be more
 469 significant when customers are clustered. Correspondingly, higher improvement corresponds
 470 to a higher number of visits done by delivery robots.

471

472 **Table 1**

473 Comparing the results of the VRPTWDR with the VRPTW.

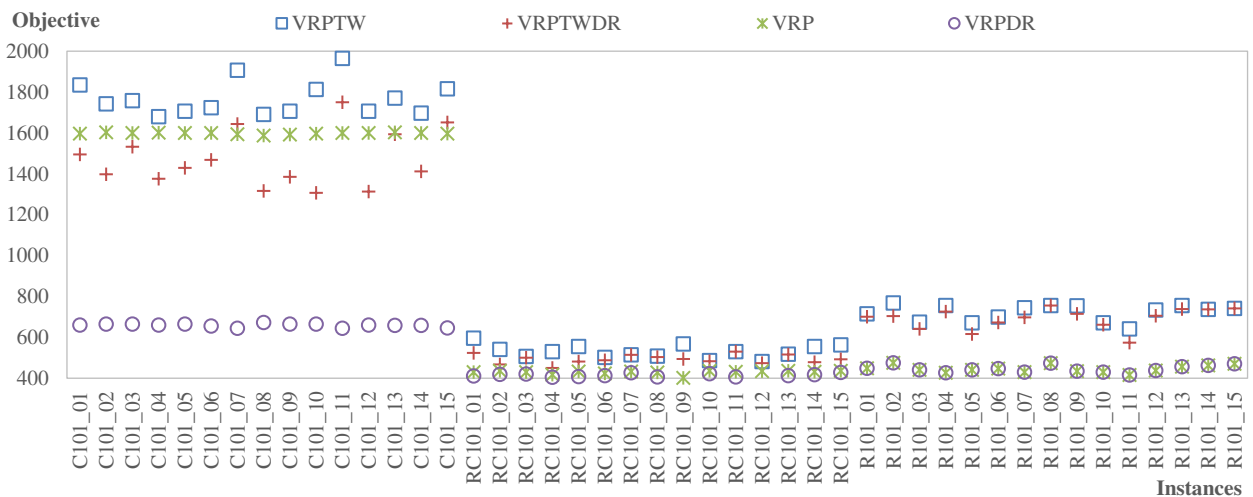
No. of instances	C101_16				RC101_16				R101_16			
	VRPTW		VRPTWDR		VRPTW		VRPTWDR		VRPTW		VRPTWDR	
	Obj.	Obj.	NR	Imp. (%)	Obj.	Obj.	NR	Imp. (%)	Obj.	Obj.	NR	Imp. (%)
01	1,835.3	1,494.4	5	18.57	595.5	523.2	2	12.14	714.4	700.7	3	1.91
02	1,742.5	1,398.7	5	19.73	541.6	467.8	2	13.63	768.8	704.1	2	8.42
03	1,758.9	1,532.7	5	12.86	506.2	500.8	1	1.07	675.0	641.1	4	5.02
04	1,680.1	1,376.4	6	18.08	529.7	450.9	4	14.88	755.1	725.9	5	3.87

05	1,707.0	1,428.6	6	16.31	554.6	481.5	6	13.18	672.0	615.7	8	8.38
06	1,724.3	1,468.0	6	14.86	501.8	488.3	4	2.69	698.7	672.7	2	3.73
07	1,907.5	1,643.5	5	13.84	514.2	514.2	0	0.00	744.1	697.6	3	6.25
08	1,691.4	1,316.4	6	22.17	507.7	503.4	2	0.85	755.8	755.8	0	0.00
09	1,706.8	1,384.9	6	18.86	567.3	495.0	3	12.74	753.7	715.8	2	5.03
10	1,813.6	1,306.8	6	27.94	486.6	482.9	2	0.77	671.3	661.5	3	1.46
11	1,964.4	1,750.6	6	10.88	530.3	530.3	0	0.00	641.0	573.4	3	10.56
12	1,707.0	1,314.1	6	23.02	481.8	474.1	5	1.60	733.1	706.3	4	3.65
13	1,770.7	1,593.9	5	9.99	517.6	515.7	2	0.38	755.7	738.6	3	2.26
14	1,696.9	1,412.5	6	16.76	555.8	478.8	4	13.84	736.3	736.3	0	0.00
15	1,815.5	1,652.3	4	8.99	562.9	492.2	4	12.56	741.8	741.8	0	0.00
Average			5.5	16.86			2.9	6.69			2.8	4.04

474

475 To further examine the importance of customers' location, we also studied instances
 476 without time windows, i.e., VRP and VRPDR. As showed in **Fig. 5**, when there is no time
 477 window constraint, the VRPTWDR improves the objective value for clustered customers, while
 478 the improvement is very limited or none in R and RC instances.

479



480

481 **Fig. 5.** Objective values of four problem for different types of customers' distribution.

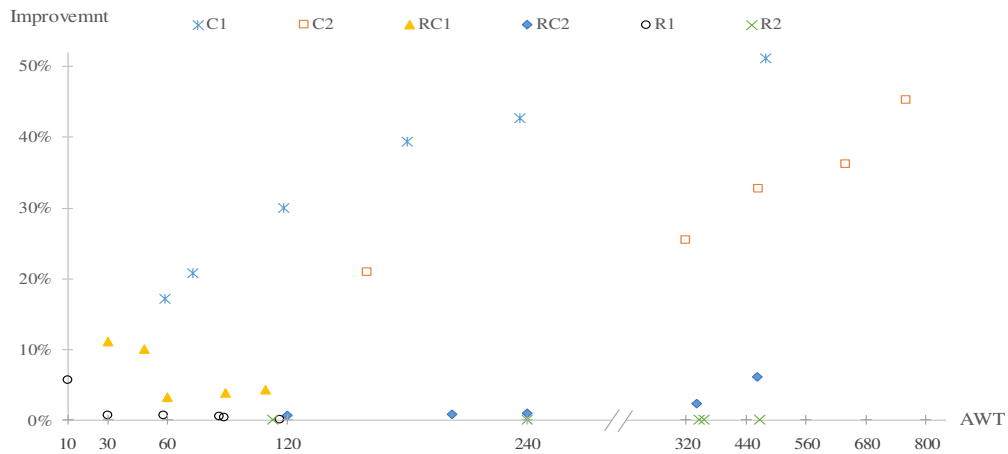
482

483 6.2.2. Customers' time windows

484 To investigate the performance of the VRPTWDR with different types of time windows,
 485 we compared the results of 16-customer instances generated based on the Solomon instances
 486 with 100% time windows (i.e., C101, C105~C109, C201, C205~C209, R101, R105,
 487 R109~R112, R101, R205, R209~R211, RC101, RC105~RC108, RC201, and RC205~RC208).

488 **Fig. 6** illustrates the relationship between the average width of customers' time windows (AWT)
 489 and average improvement in the objective compared to the VRPTW for each instance set.

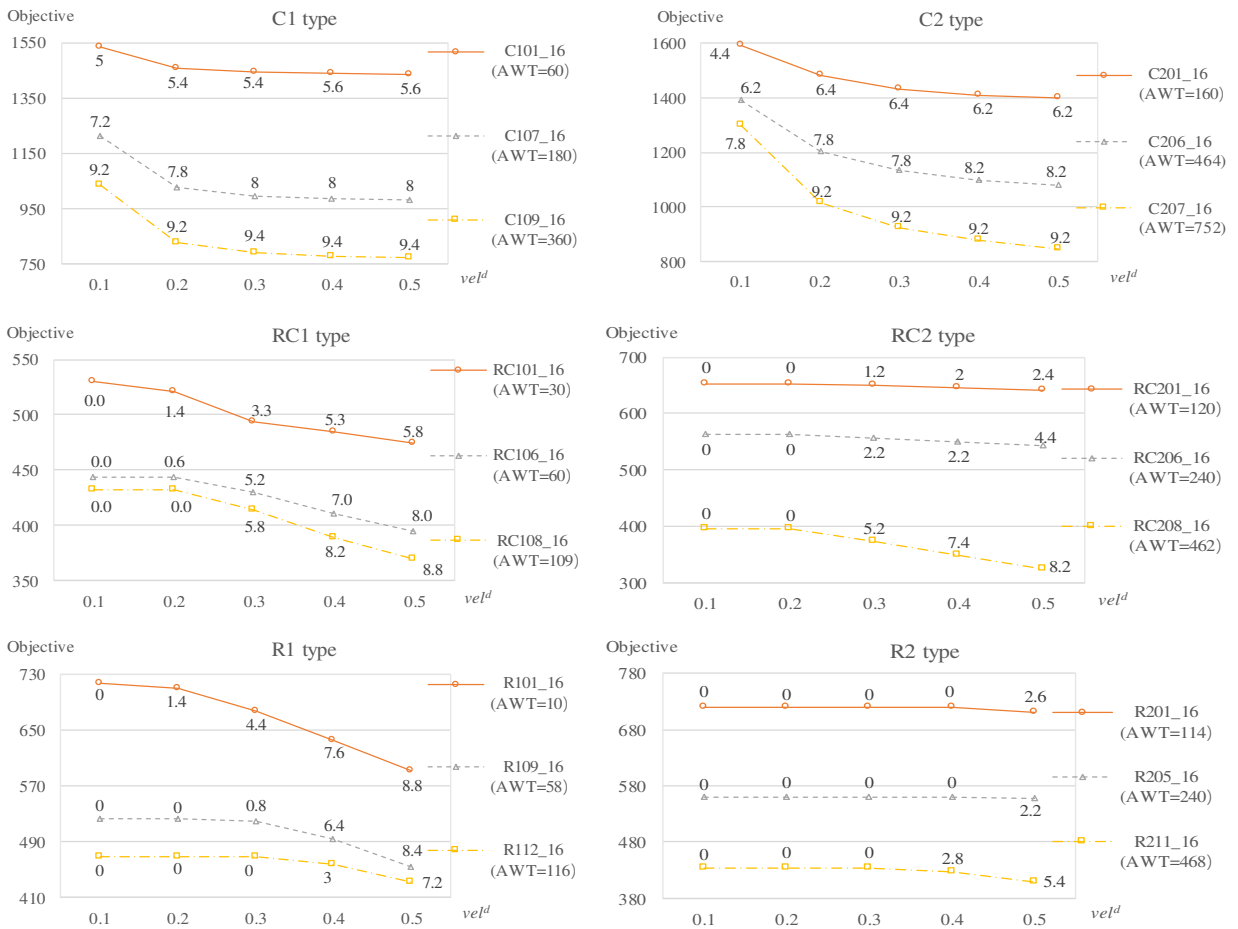
490 As shown in **Fig. 6**, the benefit of using robots as assistants is strongly linked to the width
 491 of customers' time windows. First, delivery robots help to reduce total traveling time when time
 492 windows are tighter as the instances of type 1 show larger improvement than those of type 2:
 493 $R1 > R2$, $C1 > C2$, $RC1 > RC2$. It is indicated that parallel deliveries conducted by robots can
 494 improve the delivery performance. The application of robots slightly reduces the number of
 495 vehicles as well. Second, the overall improvement of instances with different types are quite
 496 different. The improvement of C type instances is significant with an average value of 32.8%,
 497 while those of RC type are less notable, whose average value is 4.3%. Instances in set R also
 498 show slight improvement as no robot is deployed in any instance of R2 type. This is explained
 499 by the fact that with the limited speed the efficiency of the robot is not comparable with that of
 500 traditional vehicle systems, even with the form of swarm logistics. Third, for instances of C and
 501 RC types, the improvement increases as the AWT increases. This is because the differences
 502 among customers' time windows decrease the potential benefits.
 503



504
 505 **Fig. 6.** Illustration of the relationship between the width of customers' time windows and
 506 improvement of $M_{VRPTWDR}$ on M_{VRPTW} .

507
 508 The VRPTW requires more vehicles to handle tighter time windows imposed by customers,
 509 while the VRPTWDR can benefit through parallel deliveries implemented by robots. In fact,
 510 less vehicles are observed in the solution of VRPTWDR for those instances. Finally, for R type
 511 instances with the AWT above 120, there is no robot deployment at all in any of the solutions.
 512 The improvement is also equal to zero, which indicates that delivery robots provide no
 513 advantage when customers have wide time windows and are remotely distributed. Therefore, it
 514 can be concluded that using the delivery robot as an assistant in last mile delivery has significant
 515 superiority in serving customers with tight time windows.

517



518

519 **Fig. 7.** Speed analysis of the delivery robot.

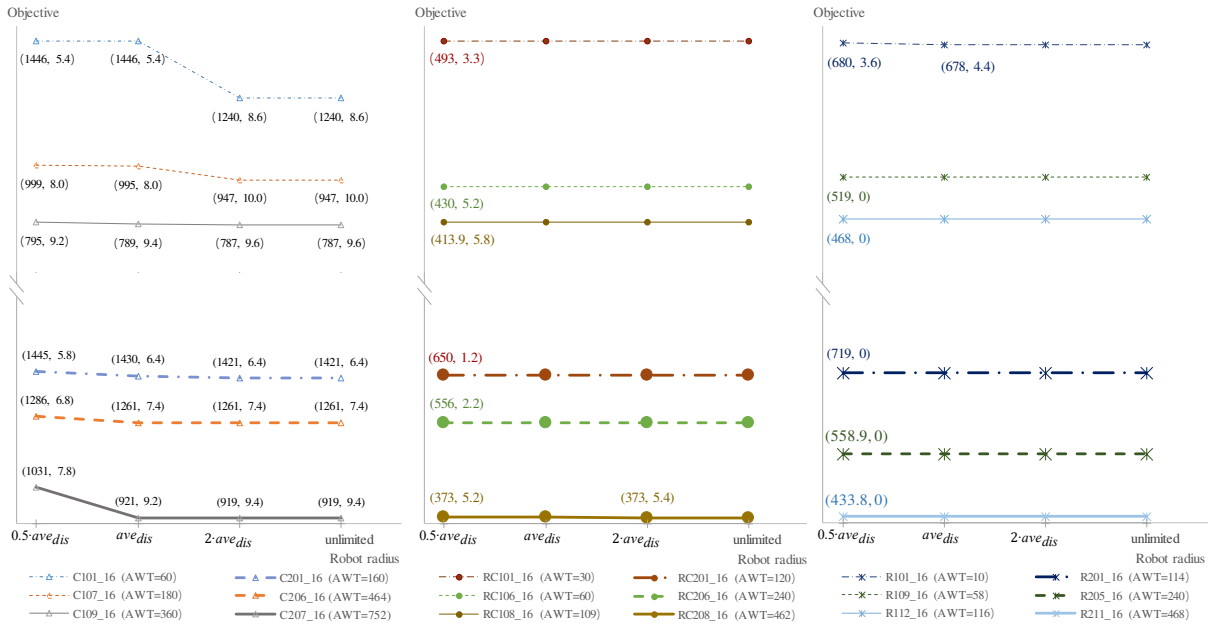
520

521 As the related technologies of delivery robot are continuously developed, we also
 522 investigate the influence of robot's speed on solutions. Keeping the speed of a vehicle as one
 523 unit, we varied the speed of the robot from 0.1 to 0.5 at an interval of 0.1. Based on the 16-
 524 customer instances set, for each type, we chose three scenarios to represent different values of
 525 AWT: Small, medium and large. The average objective value of 15 instances for each type are
 526 illustrated in **Fig. 7**. The values on the curves report the average number of customers that are
 527 served by delivery robots.

528

529 As expected, the speed of a robot is inversely proportional to the objective and directly
 530 proportional to the number of robots deployed. However, different types of instances show
 531 different trends. For instances in C types (C1 and C2), the curves appear to be concave, which
 532 indicates that the improvement of speed at lower intervals would decrease the objective greatly
 533 as assistants is beneficial to the efficiency of the last mile delivery. By contrast, the curves of

534 instances in R and RC types are basically convex, which means only when the robot's speed is
 535 developed to a relatively high level, e.g., 0.4 and above in our experiments, there is
 536 improvement on objective values if customers are not highly clustered. It is verified again that
 537 robots need a sufficiently high speed before they show distinct advantages in last mile delivery
 538 practices.
 539



540
 541 **Fig. 8.** Analysis on delivery robot's coverage radius.
 542

543 It is noticed that the increase of the number of robots' sorties is not strictly proportional to
 544 the rise of the speed and the decrease of the objective values. This is because higher speed
 545 results in not only a higher possibility of the beneficial application of robot services but also
 546 less time spent by vehicles at dispatch sites. For example, in C type instances, under different
 547 robot traveling speeds, objective values vary while the number of customers visited by robots
 548 may be the same. On the other hand, there is a slight decline in the objective value with a large
 549 increase in the number of robots' services deployed, which occurs in instances of RC201 and
 550 RC206. This can be explained by the fact that dispatching more robots at a site simultaneously
 551 may achieve higher time savings.

552 We also conducted experiments to investigate robots' radius. The average results of 15
 553 instances for each problem are reported in **Fig. 8**. Let ave_{dis} represent average distance among
 554 customers. The radius is set to be $0.5 \cdot ave_{dis}$, ave_{dis} , $2 \cdot ave_{dis}$ and unlimited. The data is labelled
 555 with the average objective value (the first value in brackets) and the average number of robot
 556 sorties (the second value in brackets). To make the figure concise, the label is not displayed if

557 it is the same with its previous one (from left to right).

558 Longer radius value mean that the robot can serve more customers, which provides more
 559 choices for robot(s) deployments. Hence, a higher level of improvement on the objective and
 560 robot sorties are expected as the radius increases. As most curves in **Fig. 8** are strictly flat and
 561 the others are with very tiny slopes, the robot’s radius plays a less important role than its speed.
 562 In term of customers’ distributions, the instances of C type are more sensitive to the radius than
 563 those of RC and R types.

564 **6.3. Analysis of the two-stage algorithm**

565 In this section, we conducted experiments to evaluate the proposed two-stage algorithm.
 566 MILP and ILP models are solved via IBM CPLEX Studio 12.9.0, while the matheuristic
 567 algorithm is coded in MATLAB R2019b (MathWorks, 2019).

568 As most of R-type instances employ no robots at all, we focus on C-type and RC-type
 569 instances in our experiments. Error! Not a valid bookmark self-reference. provides the
 570 performance of the algorithm for instances with 16 customers.

571

572 **Table 2**

573 The two-stage algorithm’s performance on the instances with 16 customers.

Instance	The two-stage algorithm			VRPTWDR formulation		Instance	The two-stage algorithm			VRPTWDR formulation	
	OBJ	Gap (%)	CPU (s)	OBJ	CPU (s)		OBJ	Gap (%)	CPU (s)	OBJ	CPU (s)
C101_16_01	1,494.4	0.00	3.4	1,494.4	448.7	RC101_16_01	523.2	0.00	2.9	523.2	15.1
C102_16_01	1,112.7	0.00	3.7	1,112.7	36.2	RC102_16_01	463.0	0.00	4.2	463.0	38.9
C103_16_01	1,106.5	0.00	2.9	1,106.5	2520.2	RC103_16_01	454.3	0.33	3.5	452.8	72.4
C104_16_01	818.4	0.65	2.6	813.1	27.5	RC104_16_01	434.1	0.35	3.8	432.6	330.3
C105_16_01	1,158.7	1.44	2.4	1,142.2	100.7	RC105_16_01	479.7	0.02	4.2	479.6	42.4
C106_16_01	1,383.0	0.00	2.5	1,383.0	102.6	RC106_16_01	451.0	0.00	3.9	451.0	93.1
C107_16_01	984.6	0.00	2.9	984.6	28.3	RC107_16_01	424.7	0.83	3.3	421.2	92.2
C108_16_01	999.3	0.03	3.7	993.0	90.2	RC108_16_01	421.2	1.20	4.7	416.2	277.8
C109_16_01	810.0	0.00	2.8	810.0	18.1	RC201_16_01	705.9	0.00	4.7	705.9	19.9
C201_16_01	1,315.5	0.00	1.8	1,315.5	99.4	RC202_16_01	587.7	0.27	3.8	586.1	61.3
C202_16_01	1,228.1	0.00	4.3	1,228.1	1608.2	RC203_16_01	539.7	0.00	3.9	539.7	2,076.0
C203_16_01	1,228.1	0.00	3.0	1,228.1	1610.9	RC204_16_01	480.4	1.14	4.1	475.0	7,492.3
C204_16_01	921.6	0.00	4.1	921.6	67.6	RC205_16_01	674.9	0.51	3.4	674.9	400.8
C205_16_01	1,364.7	1.28	3.2	1,347.4	32.3	RC206_16_01	514.2	0.00	3.2	514.2	181.5
C206_16_01	1,673.3	0.00	4.6	1,221.4	1673.3	RC207_16_01	503.1	0.14	2.3	502.4	131.8
C207_16_01	935.6	1.06	4.1	925.7	30.7	RC208_16_01	387.0	1.81	4.0	386.3	2,992.9
C208_16_01	1,075.9	1.52	4.4	1,059.8	109.0						
Average		0.35	3.3		506.1			0.41	3.8		894.9

574

575 The “Gap” reported is the percentage difference between the solutions obtained by CPLEX

576 and the two-stage algorithm. The exact algorithm is highly parameter sensitive as the
577 computational times for instances with same scale are quite different. By contrast, the
578 computational time used by the two-stage algorithm is much shorter and more stable, although
579 there is a slight gap in objective values. With wider time windows, C2 type instances generally
580 require more running time than C1 type instances, and this is also true for RC1 and RC2 type
581 instances.

582

583 **Table 3**

584 The two-stage algorithm's performance on Solomon_25 instances.

Instance	The two-stage algorithm			VRPTWDR formulation		Instance	The two-stage algorithm			VRPTWDR formulation	
	OBJ	Gap (%)	CPU (s)	OBJ	CPU (s)		OBJ	Gap (%)	CPU (s)	OBJ	CPU (s)
C101_25	1,982.5	1.03	30.7	1,962.2	1,800*	RC101_25	599.6	1.58	3.8	590.2	884.5
C102_25	1,350.1	-1.43	13.6	1,369.6	1,800*	RC102_25	591.8	6.77	4.0	554.3	1,800*
C103_25	982.9	-5.40	6.4	1,039.0	1,800*	RC103_25	531.8	3.03	5.0	516.2	1,800*
C104_25	966.3	0.06	6.7	965.7	1,800*	RC104_25	541.9	5.86	4.5	511.8	1,800*
C105_25	1,519.2	6.57	49.9	1,425.6	1,800*	RC105_25	573.9	1.19	0.1	567.1	1,800*
C106_25	1,934.1	2.35	17.6	1,889.6	1,800*	RC106_25	557.2	6.90	2.0	521.2	1,800*
C107_25	1,200.8	6.36	12.5	1,129.0	1,800*	RC107_25	489.9	1.40	4.2	483.1	1,800*
C108_25	1,024.4	1.45	21.8	1,009.8	1,800*	RC108_25	482.4	0.34	4.7	480.9	1,800*
C109_25	820.3	0.15	5.7	819.1	381.5	RC201_25	942.6	-3.08	36.7	972.5	1,800*
C201_25	1,619.4	-12.58	133.7	1,852.5	1,800*	RC202_25	843.4	3.31	86.5	816.4	1,800*
C202_25	1,368.9	-14.21	11.2	1,595.8	1,800*	RC203_25	702.8	-2.25	4.4	719.0	1,800*
C203_25	1,212.4	-4.09	6.2	1,264.0	1,800*	RC204_25	636.4	-17.06	5.0	767.3	1,800*
C204_25	1,094.7	-0.79	28.6	1,103.5	1,800*	RC205_25	809.4	-8.11	3.0	880.8	1,800*
C205_25	1,302.2	-4.68	17.1	1,366.0	1,800*	RC206_25	730.1	-5.13	285.7	769.6	1,800*
C206_25	1,239.1	0.32	92.8	1,235.2	1,800*	RC207_25	618.2	-5.42	46.8	653.6	1,800*
C207_25	1,248.6	2.04	65.8	1,223.6	1,800*	RC208_25	450.0	-1.74	31.2	458.0	1,800*
C208_25	1,211.2	1.97	237.4	1,187.8	1,800*						
Average		-1.23	44.6		-			-0.78	33.0		-

585 *: best feasible solution within a time limit of 30 minutes.

586

587 We also compared the performance of the two-stage algorithm with that of the exact
588 algorithm on the Solomon instances with 25 customers. Because most instances with 25
589 customers cannot be solved to optimality within reasonable times, the computational time limit
590 is set to be 30 minutes (1,800 seconds) for the VRPTWDR formulation. We provide the best
591 feasible solution found within the time limit. As showed in **Table 3**, only two out of the total
592 thirty-three instances can be solved to optimality within 30 minutes and there may be negative
593 gaps when the solution of exact algorithm is not global optimal. It is noteworthy that the number
594 of negative Gap increases as the instance size grows, especially in instances with loose time

595 windows, like C2 and RC2 types.

596 To investigate the limitation on problem size of the proposed two-stage algorithm, the
 597 numerical experiments on Solomon_50 instances are also conducted. In the C1 and C2 types,
 598 there are nine customers which are not allowed to be visited by a robot, while in the RC type,
 599 this number is 13. **Table 4** lists these results within a maximum CPU time of 30 minutes. The
 600 results of the VRPTWDR formulation are not presented because feasible solutions cannot be
 601 found in 30 minutes CPU time limit. The columns named *No. of clusters* provide the number
 602 of clusters obtained at stage 1.

603

604 **Table 4**

605 Results of Solomon_50 instances using the two-stage algorithm.

Instance	OBJ	No. of clusters	CPU(s)	Instance	OBJ	No. of clusters	CPU(s)
C101_50	3,993.6	28	1,800*	RC101_50	1,513.9	28	1,800*
C102_50	2,988.9	21	1,800*	RC102_50	1,251.5	27	891.4
C103_50	2,205.6	17	738.5	RC103_50	1,062.6	21	31.7
C104_50	1,458.9	12	93.9	RC104_50	1,008.8	15	15.6
C105_50	3,009.6	22	1,800*	RC105_50	1,309.8	23	19.3
C106_50	3,520.3	25	1,800*	RC106_50	1,346.1	13	11.7
C107_50	2,317.3	18	1,800*	RC107_50	1,055.5	14	11.9
C108_50	1,913.7	15	1,800*	RC108_50	856.2	13	11.5
C109_50	1,511.0	12	182.1	RC201_50	2,360.0	43	1,800*
C201_50	3,579.8	21	1,800*	RC202_50	1,621.4	31	1,800*
C202_50	2,776.6	18	1,800*	RC203_50	1,412.0	24	1,800*
C203_50	2,198.0	15	1,800*	RC204_50	990.3	17	1,800*
C204_50	1,556.1	12	726.1	RC205_50	1,591.4	28	1,800*
C205_50	2,285.7	16	1,800*	RC206_50	1,455.4	27	1,800*
C206_50	1,911.4	14	1,800*	RC207_50	1,150.7	18	1,669.5
C207_50	1,825.3	14	1,800*	RC208_50	792.5	13	216.2
C208_50	1,815.0	14	1,800*				

606 *: best feasible solution found within a time limit of 30 minutes.

607

608 The required CPU time for clustering (stage 1) is very small and stable for instances with
 609 same scale (two and six seconds for 25-customer and 50-customer instances, respectively). In
 610 terms of solving the clustered VRPTW, the required CPU time increases with the number of
 611 clusters. Further, as instances of C2 and RC2 types have wider time spans and loose time
 612 windows than those of C1 and RC1 types, fewer instances can be solved to optimality. Besides,
 613 the number of robot-inaccessible customers also reduces the solution space. For example, seven
 614 out of eight instances in the RC1 type are solved to optimality in 30 minutes CPU time limit.

615 7. Conclusions

616 The demand for contactless delivery has expanded dramatically in 2020. Several

617 technology companies are testing their autonomous robots to do last mile operations. While a
618 parcel delivery by drones still has a few challenges to overcome, the other alternative of
619 contactless delivery technology is becoming popular. Fortunately, several delivery robot
620 developers have already reached the stage of trials by a number of real-world applications.

621 As a new last mile logistics solution, we have investigated an integrated vehicle delivery
622 robot system to be used in the context of city logistics. In order to realize this promising last
623 mile solution, it is important to know how much benefit it can achieve, and how to schedule
624 vehicles and robots synchronously to achieve an efficient vehicle routing plan. We have
625 formulated the investigated problem as a MILP model and conducted extensive numerical
626 experiments to unveil the essential characteristics of using self-driving robots as delivery
627 assistants.

628 Based on the operational factors studied in this paper, a simple but effective matheuristic
629 algorithm is proposed for medium-sized instances. We have presented results of extensive
630 computational experiments of the proposed algorithm and compared them against the solutions
631 produced using the MILP formulation to evaluate its effectiveness and efficiency. The results
632 highlight that the proposed algorithm is highly effective in finding good-quality solutions on
633 instances with up to 50 customers. Because the two-stage algorithm employs an “IP” approach
634 for solving the clustered VRPTW, its capability is also limited. However, the incorporation of
635 effective clustered VRPTW heuristics or even VRPTW heuristics can enhance its performance
636 on large-sized instances. As the purpose of this paper is not to determine the definitive heuristic,
637 exploring and identifying alternative VRPTW metaheuristics is left as a future research topic.
638 As a foundation work, this paper considers the minimization of total route times as a single
639 objective. Future work may investigate other aspects of delivery robot application, such as other
640 operational costs and energy consumption of delivery robots.

641

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