doi: 10.1093/hmg/ddaa273 Advance Access Publication Date: 15 January 2021 General Article

GENERAL ARTICLE

Assessing the relationship between monoallelic PRKN mutations and Parkinson's risk

Steven J. Lubbe^{1,†}, Bernabe I. Bustos¹, Jing Hu¹, Dimitri Krainc¹, Theresita Joseph², Jason Hehir³, Manuela Tan², Weijia Zhang², Valentina Escott-Price^{4,5}, Nigel M. Williams⁴, Cornelis Blauwendraat⁶, Andrew B. Singleton⁶ and Huw R. Morris^{2,*} for International Parkinson's Disease Genomics Consortium (IPDGC)[‡]

¹Ken and Ruth Davee Department of Neurology and Simpson Querrey Center for Neurogenetics, Feinberg School of Medicine, Northwestern University, Chicago, IL 60611, USA, ²Department of Clinical and Movement Neurosciences, and UCL Movement Disorders Centre, Queen Square Institute of Neurology, University College London, London, WC1N 3BG, UK, ³National Hospital for Neurology and Neurosurgery, Queen Square, London, UK, ⁴Institute of Psychological Medicine and Clinical Neurosciences, Medical Research Council Centre for Neuropsychiatric Genetics and Genomics, Cardiff University School of Medicine, Cardiff, CF24 4HQ, UK, ⁵Dementia Research Institute at Cardiff, Cardiff University, Cardiff, CF24 4HQ, UK and ⁶Molecular Genetics Section, Laboratory of Neurogenetics, National Institute on Aging, National Institutes of Health, Bethesda, MD 20892, USA

*To whom correspondence should be addressed at: Department of Clinical and Movement Neuroscience, UCL Institute of Neurology, London NW3 2PF, UK. Tel: +44 (0)20 7830 2951; Email: h.morris@ucl.ac.uk

Abstract

Biallelic Parkin (PRKN) mutations cause autosomal recessive Parkinson's disease (PD); however, the role of monoallelic PRKN mutations as a risk factor for PD remains unclear. We investigated the role of single heterozygous PRKN mutations in three large independent case-control cohorts totalling 10858 PD cases and 8328 controls. Overall, after exclusion of biallelic carriers, single PRKN mutations were more common in PD than controls conferring a >1.5-fold increase in the risk of PD [P-value (P) = 0.035], with meta-analysis (19574 PD cases and 468 488 controls) confirming increased risk [Odds ratio (OR) = 1.65, P = 3.69E-07]. Carriers were shown to have significantly younger ages at the onset compared with non-carriers (NeuroX: 56.4 vs. 61.4 years; exome: 38.5 vs. 43.1 years). Stratifying by mutation type, we provide preliminary evidence for a more pathogenic risk profile for single PRKN copy number variant (CNV) carriers compared with single nucleotide variant carriers. Studies that did not assess biallelic PRKN mutations or consist of predominantly early-onset cases may be biasing these estimates, and removal of these resulted in a loss of association (OR = 1.23, P = 0.614; n = 4). Importantly, when we looked for additional CNVs in 30% of PD cases with apparent monoallellic PRKN mutations, we found that 44% had biallelic mutations, suggesting that previous estimates may be influenced by cryptic biallelic mutation status. While this study supports the association of single PRKN mutations with PD, it highlights confounding effects; therefore, caution is needed when interpreting current risk estimates. Together, we demonstrate that comprehensive assessment of biallelic mutation status is essential when elucidating PD risk associated with monoallelic PRKN mutations.

[†]Steven J. Lubbe, http://orcid.org/0000-0002-7103-6671

© The Author(s) 2021. Published by Oxford University Press.

1–9

[‡]See https://pdgenetics.org/partners

Received: July 15, 2020. Revised: December 10, 2020. Accepted: January 11, 2021

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted reuse, distribution, and reproduction in any medium, provided the original work is properly cited.

Introduction

Parkinson's disease (PD) is a multifactorial neurodegenerative disease. Common variations within 78 independent loci increase PD risk (1). Pathogenic mutations in autosomal dominant genes [leucine-rich repeat kinase 2 (LRRK2), α -synuclein (SNCA) and vacuolar protein sorting 35, yeast, homolog of (VPS35)] as well as biallelic mutations in autosomal recessive (AR) genes [PRKN, Parkinsonism associated deglycase (PARK7) or DJ-1, PTENinduced putative kinase 1 (PINK1) and F-box protein 7 (FBXO7)] cause Mendelian PD (2). It has been suggested that single heterozygous pathogenic AR mutations can increase the risk of PD, and several lines of evidence have been provided for and against mutations (reviewed in Klein et al.) (3). Previous studies may have been confounded by the differences in methods for mutation detection in cases and controls. Biallelic AR mutations in PD genes are rare in PD cases, but single heterozygous mutations in specific AR PD genes are more common and are estimated, depending on the population, to occur in between 0.6 and 3% of unaffected control individuals (4-7). Accurate estimation of any risk associated with single heterozygous AR mutations is therefore essential for the counseling of biallelic carriers, monoallelic carriers and their family members. Furthermore, understanding the risk associated with single AR mutations may provide important insights into disease biology. Here, we investigate whether single carriers of diseasecausing PRKN mutations are at an increased risk for PD using three large independent case-control cohorts using exome-focused genotype data, whole exome sequencing and resequencing (Reseq) data from the International Parkinson's Disease Genomics Consortium (IPDGC).

Results

We identified a total of 109 monoallelic PRKN mutation carriers in 12 251 PD cases and controls (72 PD, 37 controls), carrying 19 different PRKN variants known to cause AR PD in the biallelic state, using the NeuroX genotyping platform (8). It is possible that the identified PD cases represent misclassified true biallelic PRKN PD cases. To confirm whether PD cases carry a single pathogenic allele or whether a second variant was missed, we (i) reviewed diagnostic reports if available (n = 4) or (ii) assessed available samples using multiplex ligation-dependent probe amplification (MLPA, n = 29). Of the 33 available NeuroX samples, representing ~30% of our putative monoallelic individuals [five controls, 13.5%; 28 PD cases harbouring a single heterozygous PKRN mutation (PD-monoallelic), 38.9%], six cases (18% of the available samples, 21% of available PD cases) were found to harbour a second mutation and therefore were removed, leaving a total of 66 PD cases for all subsequent analyses (no controls were found to harbour a second mutation).

After removal of cases with established Mendelian mutations across all known PD genes, 1.0% (66/6552) of PD cases were found to harbour single heterozygous PRKN PD-causing mutations [either heterozygous copy number variants (CNVs) or single nucleotide variants (SNVs), Table 1], compared with 0.6% (37/5693) of controls. Single heterozygote mutations might increase the PD risk [Odds ratio (OR) = 1.55; 95% confidence interval (CI):1.03, 2.33; P-value (P) = 0.035], although ~70% of putative monogenic cases were not assessed for a second mutation. Although speculative, if the remaining apparent monoallelic cases had a similar rate of occult biallelic mutations, then the true underlying monoallelic carrier rate could be estimated to be lower at 0.9%, and there would be no difference between cases and controls (OR = 1.39; 95% CI: 0.90, 2.16; P = 0.117). Using age at onset (AAO) data from 5710 (87.1%) cases, we found that NeuroX PD cases with single PRKN mutations have significantly lower AAOs (average = 56.4 years) than cases without known mutations [average = 61.4 years; β -coefficient (Coeff) = -5.04; 95% CI: -8.32, -1.71; P = 0.003].

We next sought to explore the potential increased risk in two independent IPDGC case-control cohorts using exome sequencing (cases = 1235; controls = 473) (8) and Reseq data (cases = 3071; controls = 2162). We identified 28 (23 cases, five controls) and 52 (36 cases, 16 controls) carriers of single PRKN mutations in the exome [as previously described (8)] and Reseq data, respectively. CNVs were not determined in the primary exome or Reseq dataset. In the exome cohort, 1.9% (23/1231) of cases and 1.1% (5/473) of controls, and in the Reseq cohort, 1.2% (36/3071) of cases and 0.7% (16/2162) of controls harboured single PRKN SNVs. Before searching for occult second mutations, a meta-analysis of the three IPDGC (NeuroX, exome sequencing and Reseq) cohorts revealed a significant \sim 1.5-fold increased risk [OR=1.57; 95% CI: 1.15, 2.16; P = 0.005; proportion of total variation caused by heterogeneity (I^2) =0.0%, Cochran's Q-statistic test for heterogeneity P-value $(P_{het}) = 0.960$] associated with PRKN mutations (Fig. 1). AAO data was available on 1130 PD exome cases (91.8%) and 2599 Reseq cases (84.6%). Albeit non-significant, exome PD cases carrying single PRKN SNVs had lower AAO compared with non-carriers (average = 38.5 years vs. 43.1 years; Coeff = -4.34;

Table 1.	NeuroX Parkinson's r	isk profiles	associated	with single	heterozygous PR	KN mutations
----------	----------------------	--------------	------------	-------------	-----------------	--------------

Туре	N (Freq)		OR (95% CI)	Plog	AAO (with	Coeff (95% CI)	Preg
	Controls	PD			data)		
All							
With	37 (0.6%)	66 (1.0%)	1.55 (1.03, 2.32)	0.035	56.4 (86.4%)	-5.04 (-8.34, -1.75)	0.003
Without	5656	6486			61.4 (87.2%)		
CNV							
With	4 (0.1%)	11 (0.2%)	2.53 (0.80, 7.99)	0.113	58.4 (90.0%)	-3.11 (-11.15, 4.93)	0.449
Without	5689	6541			61.4 (87.2%)		
SNV							
With	33 (0.6%)	55 (0.8%)	1.43 (0.92, 2.21)	0.108	56.0 (85.5%)	-5.41 (-9.02, -1.81)	0.003
Without	5660	6497			61.4 (87.2%)	· · · ·	

Coeff, linear regression coefficient correcting for gender and principal components 1–4; Freq, frequency; N, number of samples; OR, odds ratio correcting for gender and principal components 1–4; PRKN, Parkin (NM_013988 and NM_004562); PD, Parkinson's cases; P_{log}, logistic regression P-value; P_{reg}, linear regression P-value.



Figure 1. Forest plot of OR of the Parkinson's risk associated with heterozygous PRKN mutations in three independent IPDGC cohorts.

95% CI: -8.95, 0.28; P=0.066), with carriers having significantly lower AAO in Reseq cases (average=52.6 years vs. 60.5 years; Coeff=-7.84; 95% CI: -12.59, -3.09; P=0.001). We then used MLPA to search for potentially missed PRKN CNVs in mutation carriers. Four of the nine available exome deoxyribonucleic acid (DNA) samples (44%, all PD cases) were found to harbour a missed second mutation and were removed from the subsequent analyses. Assuming a similar rate of occult biallelic carriage across both datasets, the true rate of monoallelic cases could be estimated to be 1.2 and 0.7% in the exome and Reseq cases as compared with the 1.1 and 0.7% of the controls, respectively.

We next performed a meta-analysis of available cohorts and studies that reported heterozygous PD-causing mutation rates in cases and controls, from European ancestry cohorts only. Three cohorts (Parkinson's Progression Markers Initiative, PPMI, https://www.ppmi-info.org/; UK Biobank Genotyping and Exome cohorts, https://www.ukbiobank.ac.uk/) and 21 published studies were included in our analyses (5,6,9–27). Including our cohorts, the meta-analysis revealed a significant 1.65-fold increased PD risk in single PRKN mutation carriers (95% CI: 1.36, 2.00; P = 3.69E-07; I² = 0.0%, P_{het} = 0.594) (Fig. 2).

As in our study, occult second mutations are likely biasing these estimates, so we therefore restricted our meta-analysis to nine studies (9/21, 43%) that searched for a second PRKN mutation (Table 2). Based on these studies, single PRKN mutations confer a 2-fold increase in PD risk in carriers (OR = 2.00, 95% CI: 1.10, 3.62, P = 0.023; $I^2 = 1.3\%$, P_{het} = 0.423) (Supplementary Material, Fig. S1).

Inclusion of studies that used predominantly early-onset PD (EOPD) cases may additionally be inflating these estimates; we therefore repeated the meta-analysis excluding these EOPD studies (15/21, 71%), which demonstrated a 1.5-fold significant

increased risk in carriers (OR = 1.50, 95% CI: 1.22, 1.84; P = 1.05E-04; $I^2 = 0$ %, $P_{het} = 0.927$) (Supplementary Material, Fig. S2).

Restricting our analysis to the four non-EOPD studies, that searched for biallelic carriers, demonstrated that single PRKN mutations were not associated with an increased PD risk in these cohorts (OR=1.23, 95% CI: 0.55, 2.75, P=0.614; I^2 =0.0%, P_{het} =0.657; Supplementary Material, Fig. S3).

The pathogenicity of the common PRKN p.R275W variant in AR PD is not as clear cut as other PRKN mutations. To test whether the observed SNV association is driven by p.R275W, we repeated the meta-analysis after excluding this variant. Removal of p.R275W resulted in a marginally increased estimate (OR = 1.76, 95% CI: 1.37, 2.28, P = 1.42E-05; I^2 = 0.0%, P_{het} = 0.673; Supplementary Material, Table S1; Fig. S4). Limiting our analysis to the eight cohorts which assessed biallelic mutations indicated a >2-fold increased risk (OR = 2.41, 95% CI: 1.17, 4.96, P = 0.017; I^2 = 0.0%, P_{het} = 0.791) (Supplementary Material, Fig. S5).

The contribution of biallelic PRKN CNVs to AR PD is well established; however, that of heterozygous CNV carriers remains unclear. We identified monoallelic PRKN CNVs in 0.17% (11/6552) of non-Mendelian PD cases compared with 0.07% (4/5693) controls (Table 1) using the NeuroX data only. None of these CNV carriers overlapped with NeuroX SNV carriers. There was a >2.5-fold increase in PD risk for PRKN CNV heterozygote carriers compared with controls (OR = 2.53; 95% CI: 0.80, 7.99; P = 0.113), but this was not statistically significant.

It has been suggested that monoallelic PRKN CNVs might confer a higher risk that is associated with a more pathogenic profile compared with other AR mutations (28). To assess this, we compared differences in risk between CNV and SNVs carriers in the NeuroX cohort. A total of 55 PRKN SNV carriers were seen in non-Mendelian PD cases (55/6552; 0.8%) compared with 33 controls (33/5693; 0.6%) (OR=1.43; 95% CI: 0.92, 2.21; P=0.108)

study	OR (95% CI)	% Weight
Bandres-Ciga et al. 2016 Benitez et al. 2016 Bras et al. 2008 Brooks et al. 2009 Clark et al. 2009 Clark et al. 2006 Erer et al. 2016 Hertz et al. 2016 Hertz et al. 2006 Huttenlocher et al. 2015 Kann et al. 2002 Kay et al. 2010 Klein et al. 2003 Lesage et al. 2008 Lincoln et al. 2003 Macedo et al. 2009 Moura et al. 2013 Pankratz et al. 2011 Schlitter et al. 2006 Simon-Sanchez et al. 2008 Sironi et al. 2008 Spataro et al. 2017 Wiley et al. 2004 PPMI UKBiobank (Genotype) UKBiobank (Exome) Lubbe et al. (this study, Reseq) Overall (I-squared = 0.0%, p = 0.594)	$\begin{array}{c} 2.33 \ (0.09, 57.84) \\ 1.08 \ (0.18, 6.49) \\ 20.51 \ (1.09, 387.54) \\ 2.02 \ (0.67, 6.12) \\ 12.15 \ (0.66, 222.68) \\ 4.90 \ (0.23, 104.71) \\ 6.73 \ (0.36, 124.37) \\ 1.69 \ (1.11, 2.59) \\ 9.67 \ (0.54, 174.31) \\ 1.11 \ (0.54, 2.29) \\ 4.82 \ (0.19, 120.27) \\ 21.52 \ (1.24, 372.96) \\ 0.92 \ (0.32, 2.62) \\ 22.78 \ (1.25, 414.34) \\ 7.51 \ (0.36, 157.65) \\ 2.75 \ (1.08, 7.01) \\ 4.80 \ (0.19, 118.98) \\ 0.76 \ (0.17, 3.41) \\ 4.16 \ (0.23, 76.62) \\ 2.40 \ (0.27, 21.68) \\ 5.19 \ (0.28, 95.82) \\ 4.23 \ (0.23, 79.08) \\ 1.29 \ (0.77, 2.15) \\ 0.72 \ (0.10, 5.14) \\ 1.78 \ (0.67, 4.72) \\ 1.53 \ (1.02, 2.29) \\ 1.59 \ (0.88, 2.87) \\ 1.65 \ (1.36, 2.00) \\ \end{array}$	$\begin{array}{c} 0.36\\ 1.15\\ 0.43\\ 3.03\\ 0.44\\ 0.40\\ 0.44\\ 20.46\\ 0.44\\ 7.06\\ 0.36\\ 0.46\\ 3.37\\ 0.44\\ 0.40\\ 4.22\\ 0.36\\ 1.64\\ 0.40\\ 4.22\\ 0.36\\ 1.64\\ 0.44\\ 0.77\\ 0.44\\ 0.43\\ 14.26\\ 0.96\\ 3.92\\ 22.73\\ 10.61\\ 100.00\\ \end{array}$

0.1 0.5 1 2 4

Figure 2. Forest plot of the OR of the Parkinson's risk associated with heterozygous PRKN mutations.

Table 2. Meta-analyses of heterozygous PRKN mutation carriers in studies that investigated and excluded PD patients with biallelic PRKN mutations

Study		PD	Co	OR (95% CI)	
	Carrier	Non-carrier	Carrier	Non-carrier	
Benitez et al. 2016	3	466	2	335	1.08 (0.18–6.49)
Brooks et al. 2009	9	241	5	271	2.02 (0.67-6.12)
Clark et al. 2006	5	95	0	105	12.15 (0.66–222.68)
Hertz et al. 2006	5	82	0	50	6.73 (0.37-124.37)
Klein et al. 2005	1	62	0	100	4.82 (0.19–120.27)
Lesage et al. 2008	9	150	0	170	21.35 (1.24–372.96)
Lincoln et al. 2003	9	304	6	186	0.92 (0.32–2.62)
Spataro et al. 2017	4	240	1	144	2.40 (0.27-21.68)
Wiley et al. 2004	5	96	0	45	5.19 (0.28–95.82)
Pooled	50	1736	9	1406	2.00 (1.10–3.62)

Carrier, number of samples harbouring mutation, PRKN, Parkin (NM_013988 and NM_004562).

(Table 1). To test whether PRKN CNVs confer a more 'pathogenic' risk profile compared with SNVs, we performed AAO analysis in the NeuroX data only. Carriers of heterozygous PRKN CNVs had a mean AAO of 58.4 years, compared with non-carriers (61.4 years) (Coeff = -3.11; 95% CI: -11.15, 4.93; P = 0.449).

To further investigate the potential different risk profiles, we performed separate meta-analyses of published PRKN mutation data for SNVs and CNVs. Meta-analyses, including the current data, revealed significant independent increased PD risks for SNVs (OR = 1.56, 95% CI: 1.22, 2.00, P = 4.46E-04; $I^2 = 0.0$, $P_{het} = 0.968$) and CNVs (OR = 1.85, 95% CI: 1.38, 2.50, P = 4.55E-05; $I^2 = 0.0$ %, $P_{het} = 0.640$) (Fig. 3; Supplementary Material, Table S2). Restricting

our meta-analysis to studies that searched for second hits suggested that PD risk was larger in the carriers of single PRKN CNVs (OR = 3.11, 95% CI: 1.23, 7.89, P = 0.016; I^2 = 0.0%, P_{het} = 0.879) compared with those harbouring heterozygous SNVs (OR = 1.59, 95% CI: 0.79, 3.20, P = 0.191; I^2 = 0.0%, P_{het} = 0.785).

Discussion

The role of rare biallelic mutations in PRKN in AR PD (MIM#600116) is well established. Here, using data from a large PD casecontrol cohort, we identified a total of 109 carriers of single heterozygous PRKN mutations. After exclusion of PD cases



Figure 3. Forest plot of the OR of the Parkinson's risk associated with heterozygous PRKN SNV and CNV carriers.

with known mutations, we demonstrated that carriers of single mutations were at a small but significantly increased risk of PD (OR=1.55; 95% CI: 1.03, 2.33; P=0.035). This was confirmed by a meta-analysis with two additional IPDGC cohorts (cases = 10954; controls = 8328) which demonstrated a significant >1.5-fold increased risk (P=0.005). Carriers also had significantly lower AAOs than non-carriers (56.4 years vs. 61.4 years; P=0.003). Similar findings were seen in the exome and Reseq data for increased risk [exome, OR=2.20; Reseq, OR = 1.59] and younger AAOs compared with non-carriers (exome, 38.5 years vs. 43.1 years; Reseq, 52.6 years vs. 60.5 years). A meta-analysis of 19574 PD cases and 468488 controls from 27 cohorts further confirmed that heterozygous PRKN mutations confer an increased PD risk (OR=1.65; P=3.69E-07). However, several confounding factors are likely biasing these estimates in favour of increased risk and are explored in this study. Large-scale studies in systematically recruited cohorts that have comprehensively interrogated biallelic PRKN mutations are therefore needed to accurately determine the risk associated with single mutations.

The relatively common p.R275W (c.823C > T, rs34424986) variant, the most frequent PD-associated variant in PRKN, has not been reported in the homozygous state and has only been reported in compound heterozygotes with another mutation in multiple AR PD families (MIM#602544), and it has been classified as likely pathogenic. p.R275W reduces protein stability by disrupting binding to phosphorylated ubiquitin and results in reduced Parkin (PRKN) levels (29), supporting the pathogenicity of p.R275W. We examined whether the increased PD risk associated with single PRKN variants was driven by this variant. The observation that the OR increases after removal suggests that p.R275W may have reduced effect on enzyme activity compared with other mutations, and that, because of its more common frequency, its presence may be diluting the true effect of heterozygous PRKN mutations in PD biology.

Our analysis provides some support for a more 'pathogenic' risk profile associated with PRKN CNVs as compared with SNVs (CNVs, OR=2.53; SNVs, OR=1.43) in the NeuroX data. Both mutation types appear to be associated with lower AAOs; however, the small number of observed CNVs prevents any

definitive conclusions from being drawn. While the metaanalysis results here support the increased risk for PRKN CNV carriers (OR=1.85), albeit marginally higher than PRKN SNVs (OR=1.56), the increased risk associated with PRKN CNVs should be interpreted with care. Small sample sizes, low CNV frequency and failure to investigate/report CNVs may have resulted in an underestimated effect size seen in the metaanalysis. Failure to conclusively look for second PRKN hits may also be a potential confounder when trying to estimate the risk associated with single PRKN mutations. Additional work in larger cohorts where both PRKN SNVs and CNVs are routinely assessed is therefore needed to gain more accurate insight into the different risk profiles associated with different mutation types.

This large study builds on previous work looking at single PRKN mutations in PD aetiology. While several studies failed to identify single known pathogenic PRKN mutations in controls thereby supporting increased disease risk, others have found equal frequencies in both cases and controls providing evidence against increased risk (4,7,13,17,18,30-32). These estimates have, however, been based on relatively small sample sets which have made it difficult to conclusively determine if single mutations confer any risk. The inclusion of non-ClinVar (https:// www.ncbi.nlm.nih.gov/clinvar/) variants represents a potential confounder in that we may be overestimating the frequency of disease relevant single PRKN mutations. Limiting our analyses to ClinVar variants only did not result in considerably different risk estimates across all comparisons (all studies, OR=1.70, P=2.65E-07; biallelic studies, OR=1.99, P=0.036; non-EOPD studies, OR=1.55, P=5.6E-05). Another confounder relates to the fact that we observed a significant rate of occult second pathogenic mutations in putative monoallelic cases in our NeuroX cohort. The detected rate of occult biallelic carrier status was high in our two datasets (6/28, 21% and 4/9, 44%), approaching one-half of PD cases with apparent monoallelic status. Additionally, several studies included in the analyses here have not searched for potentially hidden biallelic mutations in all cases and controls or have only interrogated a subset of PRKN mutations. Inclusion of these PD cases in our analysis is likely to appreciably influence our estimate. However, restricting the meta-analyses to nine cohorts that searched for biallelic PRKN mutations in all cases and controls, demonstrated that single mutations confer a 2-fold increase in risk in carriers. A further confounding factor is the use of EOPD cases (<50 years) in such studies which may be additionally inflating risk estimates as PRKN mutations are more likely to occur in PD cases of younger onset. This was observed in the IPDGC cohorts, with a higher estimate in the exome cohort compared with the NeuroX and Reseq cohorts. The additional removal of predominantly EOPD studies resulted in the loss of the original association (OR = 1.23; P = 0.614), but this was based on a few small studies (n=4). This suggests that the current estimates of the effect of single mutations in modulating PD risk may not be accurate but also stresses the importance of comprehensively searching for biallelic mutations in systematically recruited cohorts. It remains possible that there are further 'occult' coding variants of unknown significance or non-coding mutations affecting the promoter or splicing regions that have not yet been identified.

There are some limitations to our study. NeuroX biallelic and monoallelic cases will have been missed as not all possible PDcausing variants are represented on the chip, with only 16.8% of known pathogenic variants present (33) (Supplementary Material, Table S3). The same applies to the detection of biallelic carriers in the UK Biobank genotyping cohort. Identifying PRKN CNVs from the NeuroX variant genotype data using PennCNV may have missed smaller deletions/duplications. The false-positive rate of PennCNV as a method for CNV detection was estimated to be 9.0-17.7%, with false-positive CNVs predominantly small in size and occurring regardless of genotyping chip used (34). Our CNV detection of false positive rate in the NeuroX cohort is 6.9%. However, the fact that (i) the NeuroX variants are not evenly distributed across the PRKN locus (accounts for four misclassified samples) and that (ii) we were looking for CNVs as small as a single exon may have resulted in our approach missing or inaccurately calling CNVs in our large cohort comprising predominantly of late-onset PD cases. There are limitations in defining CNVs from the IPDGC and UK Biobank exome data, so CNVs were only investigated using MLPA in identified PD-monoallelic exome cases. As the exome cohort predominantly consists of EOPD cases, it is likely that additional PRKN CNVs carriers were undetected. We therefore sought to validate the monoallelic status of the available carriers by accessing the diagnostic reports or by directly assessing CNVs using MLPA and discovered a high rate of undetected second hits in both our datasets. Previous studies which have not systematically searched for second hits may have therefore erroneously determined the monoallelic carrier rate, meaning that the estimates derived from our in-house cohorts and other published meta-analyses may not be accurate. It is therefore very important that any proposed increased risk associated with single PRKN mutations be considered with caution as, based on findings presented here, a substantial part of the reported excess on monoallelic carriers may relate to occult biallelic status.

Across AR diseases, there is a great deal of interest in the potential role of single heterozygous mutations as risk factors for disease. Heterozygous PINK1 mutations in PD (35) and MUTYH mutations in colorectal cancer (36) do not confer an increased risk of PD or cancer, respectively. However, single AR mutations may increase the risk of related, but separate conditions from the prototypic recessive disease. Single CFTR mutation carriers are more susceptible to cystic fibrosis-related conditions (37), and monoallelic ATM mutation carriers have higher risks for cancers and ischemic heart disease—especially breast cancer in female carriers. This current study highlights the importance of ensuring that all potential confounders are taken into consideration when assessing single mutations as any unaccounted-for biases would generate inaccurate risk estimates and have significant repercussions on the counseling of patients and their family members.

In conclusion, while much of the data demonstrate that harbouring a single heterozygous PRKN mutation increases PD risk and that single PRKN CNVs may be more pathogenic than PRKN SNVs, there may be confounding factors. This is supported by our finding of no increased risk associated with single PRKN mutations upon restricting our analysis to studies that assessed biallelic mutations in cases and controls and studies that did not include predominantly EOPD cases. Before the risk associated with single heterozygous mutations can be accurately defined, we highlight the importance of assessing 'second hits' in all cases and controls, where both SNVs and CNVs are systematically interrogated in large-scale cohorts that have been systematically recruited.

Materials and Methods

High-quality genotype data from the NeuroX chip on 6558 PD cases and 5693 controls were assessed as part of the

IPDGC [Database of Genotypes and Phenotypes (dbGaP) Study Accession number: phs000918.v1.p1] (see Supplementary Material, Table S4 for full list of PRKN variants captured). Sample collection and variant genotyping have been described elsewhere (33). IPDGC exome sequencing data from 1235 PD cases and 473 controls were used as a replication cohort [European Genome-phenome Archive (EGA) Study Accession numbers: EGAS00001002103, EGAS00001002110, EGAS00001002113, EGAS00001002156; dbGaP Study Accession number: phs001103. v1.p1] and are described elsewhere (8). Additional replication cohorts used include: IPDGC Reseq cohort (cases = 3071, controls = 2162), UK Biobank Genotyping (cases = 1428, controls=312098; downloaded April 2018, under application number 33601) and UK Biobank exome sequencing (cases = 114, controls = 38 263; downloaded May 2019) cohorts and the PPMI Exome sequencing de novo cohort (cases=385, controls = 179). The IPDGC Reseq cohort (PD: 54.8% male, average AAO = 63.8 years; controls: 44.8% male, average age at recruitment = 60.4 years) targeted sequencing genetic data covering PD-associated genes [including ATP13A2, FBXO7, GBA, LRRK2, MAPT, PARK7 or DJ-1, PINK1, PLA2G6, PRKN, SNCA and VPS35] (35,38). Duplicate samples were removed, where possible, from all analyses. Samples with missing call rates >5% were excluded during quality control. Variants (excluding synonymous) from known Mendelian PD-causing genes were extracted. Pathogenic mutations were identified as previously described (8). Rare PRKN (NM_013988 and NM_004562) CNVs were identified in the NeuroX cohort using PennCNV (34). CNVs spanning a minimum of 10 variants were selected and visually confirmed. Monoallelic PD cases were defined as those carrying a single heterozygous pathogenic PRKN allele as defined according to the Online Mendelian Inheritance in Man (OMIM) (http://o mim.org/), the Movement Disorder Society Genetic mutation database (https://www.mdsgene.org/) or the Parkinson Disease Mutation Database (http://www.molgen.vib-ua.be/PDMutDB/) (Supplementary Material, Table S4). Where available, samples were investigated by (i) accessing sample diagnostic records or by (ii) using MLPA [SALSA P051 v.D1 probe mix (Microbiology Research Centre Holland, MRC-Holland, The Netherlands)] to confirm their monoallelic status. Without phasing information, any two PD-causing hits identified in an individual are assumed to be in trans.

To assess whether the PD risk might be associated with (i) all monoallelic variants, (ii) CNVs alone or (iii) SNVs alone, as indicated by case-control differences, we used logistic regression correcting for gender and principal components 1–4 (C1–4). Linear regression was used to investigate the impact of single AR mutations on AAO.

A literature review was undertaken (on 1 October 2019) to identify published data on heterozygous PRKN mutations, using search terms including combinations of the following terms: Parkinson's disease, PD, PRKN, PARK2 and heterozygous. Additional studies were identified by manual search of references cited in published articles. Should any of the studies include previously published data, the most recent data were selected where possible. Meta-analysis was conducted using standard methods modeling fixed effects, using Cochran's Q-statistic to test for heterogeneity (Phet) (39) and the I^2 statistic (40) to quantify the proportion of the total variation caused by heterogeneity relating to possible differences in sample recruitment and assessment between studies. Meta-analyses were performed for CNVs and SNVs separately to investigate potential different risk profiles for each mutation type.

Supplementary Material

Supplementary Material is available at HMG online.

Acknowledgements

We would like to thank all of the subjects who donated their time and biological samples to be a part of this study. We also would like to thank all members of the IPDGC. For a complete overview of members, acknowledgements and funding, see: http://pdgene tics.org/partners. This research has been conducted using the UK Biobank Resource under Application Number 33601.

Conflict of Interest statement. H.R.M. reports grants from the Medical Research Council UK, grants from Wellcome Trust, grants from Parkinson's UK and grants from Ipsen Fund, during the conduct of the study; grants from the Motor Neuron Disease Association, grants from the Welsh Assembly Government, personal fees from Teva, personal fees from Abbvie, personal fees from Teva, personal fees from UCB, personal fees from Boerhinger-Ingelheim and personal fees from GSK, outside the submitted work. D.K. is the Founder and Scientific Advisory Board Chair of Lysosomal Therapeutics Inc. D.K. serves on the scientific advisory boards of the Silverstein Foundation, Intellia Therapeutics and Prevail Therapeutics and is a venture partner at OrbiMed.

References

- Nalls, M.A., Blauwendraat, C., Vallerga, C.L., Heilbron, K., Bandres-Ciga, S., Chang, D., Tan, M., Kia, D.A., Noyce, A.J., Xue, A. et al. (2019) Identification of novel risk loci, causal insights, and heritable risk for Parkinson's disease: a metaanalysis of genome-wide association studies. *Lancet Neurol.*, 18, 1091–1102.
- 2. Lubbe, S. and Morris, H.R. (2014) Recent advances in Parkinson's disease genetics. J. Neurol., **261**, 259–266.
- Klein, C., Lohmann-Hedrich, K., Rogaeva, E., Schlossmacher, M.G. and Lang, A.E. (2007) Deciphering the role of heterozygous mutations in genes associated with parkinsonism. *Lancet Neurol.*, 6, 652–662.
- Kay, D.M., Moran, D., Moses, L., Poorkaj, P., Zabetian, C.P., Nutt, J., Factor, S.A., Yu, C.E., Montimurro, J.S., Keefe, R.G. et al. (2007) Heterozygous parkin point mutations are as common in control subjects as in Parkinson's patients. Ann. Neurol., 61, 47–54.
- Kay, D.M., Stevens, C.F., Hamza, T.H., Montimurro, J.S., Zabetian, C.P., Factor, S.A., Samii, A., Griffith, A., Roberts, J.W., Molho, E.S. *et al.* (2010) A comprehensive analysis of deletions, multiplications, and copy number variations in PARK2. *Neurology*, **75**, 1189–1194.
- Lincoln, S.J., Maraganore, D.M., Lesnick, T.G., Bounds, R., de Andrade, M., Bower, J.H., Hardy, J.A. and Farrer, M.J. (2003) Parkin variants in North American Parkinson's disease: cases and controls. Mov. Disord., 18, 1306–1311.
- Brüggemann, N., Mitterer, M., Lanthaler, A.J., Djarmati, A., Hagenah, J., Wiegers, K., Winkler, S., Pawlack, H., Lohnau, T., Pramstaller, P.P. et al. (2009) Frequency of heterozygous Parkin mutations in healthy subjects: need for careful prospective follow-up examination of mutation carriers. Parkinsonism Relat. Disord., 15, 425–429.
- Lubbe, S.J., Escott-Price, V., Gibbs, J.R., Nalls, M.A., Bras, J., Price, T.R., Nicolas, A., Jansen, I.E., Mok, K.Y., Pittman, A.M. et al. (2016) Additional rare variant analysis in Parkinson's disease cases

with and without known pathogenic mutations: evidence for oligogenic inheritance. *Hum. Mol. Genet.*, **25**, 5483–5489.

- Bandrés-Ciga, S., Mencacci, N.E., Durán, R., Barrero, F.J., Escamilla-Sevilla, F., Morgan, S., Hehir, J., Vives, F., Hardy, J. and Pittman, A.M. (2015) Analysis of the genetic variability in Parkinson's disease from Southern Spain. *Neurobiol. Aging*, 37, 210.e1–210.e5.
- Benitez, B.A., Davis, A.A., Jin, S.C., Ibanez, L., Ortega-Cubero, S., Pastor, P., Choi, J., Cooper, B., Perlmutter, J.S. and Cruchaga, C. (2016) Resequencing analysis of five Mendelian genes and the top genes from genome-wide association studies in Parkinson's Disease. Mol. Neurodegener., 11, 29.
- Bras, J., Guerreiro, R., Ribeiro, M., Morgadinho, A., Januario, C., Dias, M., Calado, A., Semedo, C., Oliveira, C., Hardy, J. et al. (2008) Analysis of Parkinson disease patients from Portugal for mutations in SNCA, PRKN, PINK1 and LRRK2. BMC Neurol., 8, 1.
- Brooks, J., Ding, J., Simon-Sanchez, J., Paisan-Ruiz, C., Singleton, A.B. and Scholz, S.W. (2009) Parkin and PINK1 mutations in early-onset Parkinson's disease: comprehensive screening in publicly available cases and control. J. Med. Genet., 46, 375–381.
- Clark, L.N., Afridi, S., Karlins, E., Wang, Y., Mejia-Santana, H., Harris, J., Louis, E.D., Cote, L.J., Andrews, H., Fahn, S. et al. (2006) Case-control study of the Parkin gene in early-onset Parkinson disease. Arch. Neurol., 63, 548–552.
- Erer, S., Egeli, U., Zarifoglu, M., Tezcan, G., Cecener, G., Tunca, B., Ak, S., Demirdogen, E., Kenangil, G., Kaleagası, H. et al. (2016) Mutation analysis of the PARKIN, PINK1, DJ1, and SNCA genes in Turkish early-onset Parkinson's patients and genotype-phenotype correlations. Clin. Neurol. Neurosurg., 148, 147–153.
- Hertz, J.M., Ostergaard, K., Juncker, I., Pedersen, S., Romstad, A., Møller, L.B., Güttler, F. and Dupont, E. (2006) Low frequency of Parkin, Tyrosine Hydroxylase, and GTP Cyclohydrolase I gene mutations in a Danish population of early-onset Parkinson's Disease. Eur. J. Neurol., 13, 385–390.
- Huttenlocher, J., Stefansson, H., Steinberg, S., Helgadottir, H.T., Sveinbjörnsdóttir, S., Riess, O., Bauer, P. and Stefansson, K. (2015) Heterozygote carriers for CNVs in PARK2 are at increased risk of Parkinson's disease. *Hum. Mol. Genet.*, 24, 5637–5643.
- Kann, M., Jacobs, H., Mohrmann, K., Schumacher, K., Hedrich, K., Garrels, J., Wiegers, K., Schwinger, E., Pramstaller, P.P., Breakefield, X.O. et al. (2002) Role of parkin mutations in 111 community-based patients with early-onset parkinsonism. *Ann. Neurol.*, **51**, 621–625.
- Klein, C., Djarmati, A., Hedrich, K., Schäfer, N., Scaglione, C., Marchese, R., Kock, N., Schüle, B., Hiller, A., Lohnau, T. et al. (2005) PINK1, Parkin, and DJ-1 mutations in Italian patients with early-onset parkinsonism. Eur. J. Hum. Genet., 13, 1086–1093.
- Lesage, S., Lohmann, E., Tison, F., Durif, F., Dürr, A. and Brice, A. (2008) Rare heterozygous parkin variants in French earlyonset Parkinson disease patients and controls. *J. Med. Genet.*, 45, 43–46.
- Macedo, M.G., Verbaan, D., Fang, Y., van Rooden, S.M., Visser, M., Anar, B., Uras, A., Groen, J.L., Rizzu, P., van Hilten, J.J. et al. (2009) Genotypic and phenotypic characteristics of Dutch patients with early onset Parkinson's disease. *Mov. Disord.*, 24, 196–203.
- 21. Moura, K.C.V., Campos Junior, M., De Rosso, A.L.Z., Nicaretta, D.H., Pereira, J.S., Silva, D.J., Dos Santos, F.L., Rodrigues, F.D.C.,

Santos-Rebouças, C.B. and Pimentel, M.M.G. (2013) Genetic analysis of PARK2 and PINK1 genes in Brazilian patients with early-onset Parkinson's disease. Dis. Markers, **35**, 181–185.

- Pankratz, N., Dumitriu, A., Hetrick, K.N., Sun, M., Latourelle, J.C., Wilk, J.B., Halter, C., Doheny, K.F., Gusella, J.F., Nichols, W.C. et al. (2011) Copy number variation in familial Parkinson disease. PLoS One, 6, e20988.
- Schlitter, A.M., Kurz, M., Larsen, J.P., Woitalla, D.M., Ller, T., Epplen, J.T. and Dekomien, G. (2006) Parkin gene variations in late-onset Parkinson's disease: comparison between Norwegian and German cohorts. Acta Neurol. Scandinavica, 113, 9–13.
- Simon-Sanchez, J., Scholz, S., Matarin Mdel, M., Fung, H.-C., Hernandez, D., Gibbs, J.R., Britton, A., Hardy, J. and Singleton, A. (2008) Genomewide SNP assay reveals mutations underlying Parkinson disease. *Hum. Mutat.*, 29, 315–322.
- Sironi, F., Primignani, P., Zini, M., Tunesi, S., Ruffmann, C., Ricca, S., Brambilla, T., Antonini, A., Tesei, S., Canesi, M. et al. (2008) Parkin analysis in early onset Parkinson's disease. Parkinsonism Relat. Disord., 14, 326–333.
- Spataro, N., Roca-Umbert, A., Cervera-Carles, L., Vallès, M., Anglada, R., Pagonabarraga, J., Pascual-Sedano, B., Campolongo, A., Kulisevsky, J., Casals, F. et al. (2017) Detection of genomic rearrangements from targeted resequencing data in Parkinson's disease patients. Mov. Disord., 32, 165–169.
- Wiley, J., Lynch, T., Lincoln, S., Skipper, L., Hulihan, M., Gosal, D., Bisceglio, G., Kachergus, J., Hardy, J. and Farrer, M.J. (2004) Parkinson's disease in Ireland: clinical presentation and genetic heterogeneity in patients with parkin mutations. *Mov. Disord.*, **19**, 677–681.
- Pankratz, N., Kissell, D.K., Pauciulo, M.W., Halter, C.A., Rudolph, A., Pfeiffer, R.F., Marder, K.S., Foroud, T. and Nichols, W.C. (2009) Parkin dosage mutations have greater pathogenicity in familial PD than simple sequence mutations. *Neurology*, **73**, 279–286.
- Yi, W., Mac Dougall, E.J., Tang, M.Y., Krahn, A.I., Gan-Or, Z., Trempe, J.-F. and Fon, E.A. (2019) The landscape of *Parkin* variants reveals pathogenic mechanisms and therapeutic targets in Parkinson's disease. *Hum. Mol. Genet.*, 28, 2811–2825.
- Hedrich, K., Marder, K., Harris, J., Kann, M., Lynch, T., Meija-Santana, H., Pramstaller, P.P., Schwinger, E., Bressman, S.B., Fahn, S. et al. (2002) Evaluation of 50 probands with earlyonset Parkinson's disease for Parkin mutations. *Neurology*, 58, 1239–1246.
- West, A., Periquet, M., Lincoln, S., Lücking, C.B., Nicholl, D., Bonifati, V., Rawal, N., Gasser, T., Lohmann, E., Deleuze, J.F. et al. (2002) Complex relationship between Parkin mutations and Parkinson disease. *Am. J. Med. Genet. Neuropsychiatr. Genet.*, **114**, 584–591.
- Sun, M., Latourelle, J.C., Wooten, G.F., Lew, M.F., Klein, C., Shill, H.a., Golbe, L.I., Mark, M.H., Racette, B.A., Perlmutter, J.S. et al. (2006) Influence of heterozygosity for parkin mutation on onset age in familial Parkinson disease: the GenePD study. Arch. Neurol., 63, 826–832.
- 33. Nalls, M.A., Bras, J., Hernandez, D.G., Keller, M.F., Majounie, E., Renton, A.E., Saad, M., Jansen, I., Guerreiro, R., Lubbe, S. et al. (2014) Neuro X, a fast and efficient genotyping platform for investigation of neurodegenerative diseases. *Neurobiol. Aging*, **36**, 1605.e7-12.
- Wang, K., Li, M., Hadley, D., Liu, R., Glessner, J., Grant, S.F.A., Hakonarson, H. and Bucan, M. (2007) Penn CNV: an integrated hidden Markov model designed for high-resolution

copy number variation detection in whole-genome SNP genotyping data. *Genome Res.*, **17**, 1665–1674.

- Krohn, L., Grenn, F.P., Makarious, M.B., Kim, J.J., Bandres-Ciga, S., Roosen, D.A., Gan-Or, Z., Nalls, M.A., Singleton, A.B., Blauwendraat, C. et al. (2020) Comprehensive assessment of PINK1 variants in Parkinson's disease. *Neurobiol. Aging*, **91**, 168.e1–168.e5.
- Lubbe, S.J., Di Bernardo, M.C., Chandler, I.P. and Houlston, R.S. (2009) Clinical implications of the colorectal cancer risk associated with MUTYH mutation. J. Clin. Oncol., 27, 3975–3980.
- 37. Miller, A.C., Comellas, A.P., Hornick, D.B., Stoltz, D.A., Cavanaugh, J.E., Gerke, A.K., Welsh, M.J., Zabner, J. and

Polgreen, P.M. (2020) Cystic fibrosis carriers are at increased risk for a wide range of cystic fibrosis-related conditions. Proc. Natl. Acad. Sci. U. S. A., **117**, 1621–1627.

- Blauwendraat, C., Kia, D.A., Pihlstrøm, L., Gan-Or, Z., Lesage, S., Gibbs, J.R., Ding, J., Alcalay, R.N., Hassin-Baer, S., Pittman, A.M. et al. (2018) Insufficient evidence for pathogenicity of SNCA His50Gln (H50Q) in Parkinson's disease. Neurobiol. Aging, 64, 159.e5–159.e8.
- Petitti, D.B. (1994) Meta-analysis, Decision Analysis and Cost-Effectiveness Analysis. Oxford University Press, New York.
- Higgins, J.P.T. and Thompson, S.G. (2002) Quantifying heterogeneity in a meta-analysis. Stat. Med., 21, 1539–1558.