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1	Competitive Adsorption of H ₂ O and SO ₂ on Catalytic Platinum Surfaces: A Density
2	Functional Theory Study
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13	
14	Abstract
15	Platinum has been widely used as the catalyst of choice for the production of hydrogen in the hybrid
16	sulphur (HyS) cycle. In this cycle, water (H ₂ O) and sulphur dioxide (SO ₂) react to form sulphuric acid and
17	hydrogen. However, the surface reactivity of platinum towards H ₂ O and SO ₂ is not yet fully understood,
18	especially considering the competitive adsorption that may occur on the surface. In this study, we have
19	carried out density functional theory calculations with long-range dispersion corrections [DFT-D3-(BJ)] to
20	investigate the competitive effect of both H_2O and SO_2 on the Pt (001), (011), and (111) surfaces.
21	Comparing the adsorption of a single H ₂ O molecule on the various Pt surfaces, it was found that the
22	lowest adsorption energy ($E_{ads} = -1.758 \text{ eV}$) was obtained for the dissociative adsorption of H_2O on the
23	(001) surface, followed by the molecular adsorption on the (011) surface ($E_{ads} = -0.699 \text{ eV}$) and (111)
24	surface ($E_{ads} = -0.464 \text{ eV}$). For the molecular SO ₂ adsorption, the trend was similar, with the lowest
25	adsorption energy ($E_{ads} = -2.471 \text{ eV}$) obtained on the (001) surface, followed by the (011) surface (E_{ads}
26	= -2.390 eV) and (111) surface (E_{ads} = -1.852 eV). During competitive adsorption by H ₂ O and SO ₂ , the
27	SO2 molecule will therefore preferentially adsorb onto the Pt surface. If the concentration of SO2
28	increases, self-reaction between two neighbouring SO2 molecules may occur, leading to the formation of
29	sulphur monoxide (SO) and -trioxide (SO3) on the surface, which could lead to sulphur poisoning of the
30	Pt catalytic surface.
31	Keywords: Platinum, Water, Sulphur Dioxide, Hydrogen, Adsorption, Density Functional Theory

32 **1.** Introduction

33 The increasing demand to reduce toxic emissions, not only from automotive engines [1], but also for 34 example in the aviation sector [2], steel manufacturing and electricity generation, [3] has driven extensive 35 research toward the production of clean, renewable and sustainable energy, e.g. from wind [4,5], 36 solar, [6–8] hydroelectricity [9,10] or combinations thereof [11–13], or alternative energy sources such as 37 hydrogen (H₂).[14] Currently, various different feedstocks are used for the production of H₂ [15], including 38 from biomass [16], nuclear [17] or waste water [18], the non-carbon-based hybrid sulphur (HyS) cycle 39 which has shown itself as a promising, potentially large-scale process.[19,20] During the HyS cycle, 40 sulphur dioxide (SO_2) /sulphuric acid (H_2SO_4) is used in an electro-oxidation reaction, leading to the net 41 reaction of splitting the water (H_2O) into H_2 and oxygen (O_2). Various anode catalysts [20] have been tested for this reaction and the carbons supported metallic platinum (Pt) catalysts has consistently shown 42 43 both high activity and stability [21–23] towards this reaction.

44 Sulphur-containing molecules, including SO₂, SO and S, have long been known to be among the key 45 poisoning compounds in heterogeneous catalysis.[24] As such, one of the major problems with Pt anode 46 catalysts is the formation of a sulphur (S) layer on the surface, leading to catalyst poisoning, i.e. 47 deactivation and in severe cases surface delamination.[25.26] Despite their acknowledged role in the 48 poisoning of these supported Pt metal catalysts, [26] the fundamental chemistry and mechanistic 49 behaviour of the sulphur-metal interaction remains poorly defined. To understand the deactivation 50 mechanism on a fundamental level, some experimental and theoretical studies focussed on SO₂ adsorption on various Miller indexes of pure metal surfaces, including Cu [27-30], Ni [31-33], Ag [34,35], 51 52 Rh [36,37], Pd [29,37–41], and Pt [29,36,42–45]. Although Pt is the most studied system, opposing 53 results have been obtained for SO₂ adsorbed onto the Pt (111) surface.[24] This is due to the operational 54 conditions, e.g. surface coverage and surface morphology, during the SO₂ oxidation/reduction reactions, 55 influencing the thermodynamics leading to different final products.[46] However, major difficulties have 56 been experienced in experiments, because even when pure sulphur oxides, such as SO₂, were adsorbed 57 from the gas phase onto single metallic catalysts, a number of co-adsorbed sulphur species were 58 detected on the surface. [47–49] Moreover, very little theoretical work or modelling has been performed 59 on evaluating the reaction energies and thermodynamics of these sulphur oxides with the various Pt 60 surfaces.

Pt is widely used in various reactions in which water acts both as a participant or bystander, [50] influencing the behaviour of the heterogeneous catalytic surface.[51] The nature of the H₂O–metal interaction is of obvious importance [52,53] and considerable research effort has been devoted towards understanding these systems.[54–56] A major challenge in modelling the adsorption of water on a catalytic surface are the multitude of atomic position variations in the simulated liquid, which necessitates the addition of several different configurations [56,57] in the initial computational set up. Previous ⁶⁷ modelling studies showed [58,59] that the most reliable results extensively looked at the way the water ⁶⁸ molecules interacts with each other and the surface and does not necessitate adsorption of additional ⁶⁹ water molecules onto the surface. Another factor to consider is the splitting of the water molecule into ⁷⁰ $H^+ + OH^-$ and how these species affect not only the catalytic surface, but also the behaviour of co-⁷¹ adsorbed species. It is evident that the detailed description of the binding behaviour of water molecules ⁷² onto Pt surfaces is still not complete. The starting point here will be an in-depth understanding of the ⁷³ behavior between the H₂O molecules and the metal surface atoms.

In this paper, we have used DFT calculations to predict the behavior of H₂O and SO₂ on the electrocatalytically active surfaces of platinum metal, i.e. the Pt (001), (011), and (111) surfaces. We examine the adsorption energy of various geometries, any charge transfer between the Pt surface and the adsorbates as well as the work function. The overall aim of our study was the development of a comprehensive understanding of the H₂O-surface and SO₂-surface chemistry on the electro-catalytically active surfaces of Pt, and in particular the competition between these two reactants in the HyS cycle for specific surface adsorption sites, which will be the initial step in the overall HyS reaction process.

81

82 2. Computational Methods

83 2.1 Surface Calculation Details

84 The Vienna Ab Initio Simulation Package (VASP) [60-63] has been shown to give accurate surface 85 adsorption data [64–67] and was therefore used to simulate the Pt surfaces and their interactions with 86 H₂O [68] and SO₂ [69]. The projector augmented wave (PAW) [70,71] method was employed to describe 87 the interaction between the valence and the core electrons. The core electrons of Pt were defined up to 88 and including the 5p orbitals. The Perdew, Burke and Ernzerhof (PBE) [72,73] functional within the 89 generalised gradient approximation (GGA) was applied in all calculations. Plane-waves were included to 90 a cut-off of 400 eV. The long-range dispersion interactions were considered with the DFT-D3 method with Becke-Johnson (BJ) damping.[74] The Methfessel-Paxton scheme order 1 [75] was used with a 91 92 smearing of 0.05 eV to determine the partial occupancies during geometry optimisation, ensuring an electronic entropy of less than 1 meV.atom⁻¹. However, the tetrahedron method with Blöchl corrections 93 [76] was used in the final static simulations to obtain accurate total energies and charges. The electronic 94 and ionic optimisation criteria were 10⁻⁵ eV and 10⁻² eV. Å⁻¹, respectively, and the conjugate gradient 95 technique was adopted for the geometry optimisations. 96

97 Pt has a $Fm\overline{3}m$ crystal structure [77] and the bulk Pt structure was calculated within a primitive face-98 centred cubic (*fcc*) cell using a Γ -centred 17 x 17 x 17 Monkhorst-Pack [78] *k*-point mesh. Previous work 99 has shown that long-range dispersion approximations influence not only the lattice parameters of a 100 modelled surface, but also its surface energy.[64,68] In this paper the geometry optimisation of the Pt

(001), (011) and (111) surfaces have therefore been carried out with the DFT-D3(BJ) method.[74] Our
 calculated *fcc* Pt lattice constant was 3.926 Å, which is in excellent agreement with the experimental
 value of 3.924 Å.[79,80]

104 The Pt (001), (011) and (111) surfaces were investigated by simulating the periodic $p(3 \times 3)$, $p(3 \times 3)$ and 105 p(4 x 4) supercells, respectively, which were generated from the bulk using the METADISE code [81]. A 106 vacuum of 15 Å was added in the z-direction perpendicular to the plane of the surface, to avoid interaction 107 between the neighbouring cells. Each slab contained four atomic layers and the surface areas of the supercells were 138.17 Å², 196.18 Å² and 106.79 Å² for the (001), (011) and (111) surfaces, respectively. 108 109 The atoms in the two bottom layers of the slabs were fixed in the optimised bulk positions and the atoms 110 in the remaining two layers were allowed to relax freely. A Γ -centred 7 x 7 x 1 Monkhorst-Pack k-point 111 grid was used for all the surface systems to sample the Brillouin zone.

112 The unrelaxed (y_u) and relaxed (y_r) surface energies were determined using equations (1) and (2), 113 respectively:

114
$$\gamma_u = \frac{E_{slab,u} - N_{Pt,slab}E_{Pt,bulk}}{24 \dots}$$
(1)

$$\gamma_r = \frac{E_{slab,r} - N_{Pt,slab}E_{Pt,bulk}}{A_{slab}} - \gamma_u$$
(2)

116

115

where $E_{slab,u}$, $E_{slab,r}$ and $E_{Pt,bulk}$ are the energies of the unrelaxed slab, the half-relaxed slab and the bulk, respectively. $N_{Pt,slab}$ and A_{slab} represent the number of Pt atoms in the slab and the surface area of the slab, respectively. The percentage relaxation (R) was calculated as the difference between the unrelaxed and relaxed surface energies, divided by the unrelaxed surface energy and multiplied by 100.

121 The work function (Φ) is the minimum energy needed to remove an electron from the bulk of a material 122 through a surface to a point outside the material. Here, we have calculated the energy needed to remove 123 an electron from the Fermi level (E_f) of the metal surface to the vacuum potential (E_{vac}) at 0 K.[82]

Atomic charges for the pristine surfaces were obtained using Bader analysis, [83–86] which partitions
space into non-spherical atomic regions enclosed by local minima in the charge density.

126

127 **2.2 Adsorption Calculation Details**

The isolated H₂O and SO₂ molecules for reference were optimised in periodic boxes of 12 x 13 x 14 Å³ to ensure negligible interaction with their images in periodically repeated neighbouring cells. The Gaussian smearing scheme [75] was used during geometry optimisation and energy calculations were carried out with a smearing of 0.05 eV. A Γ -centred 1 x 1 x 1 Monkhorst-Pack [78] *k*-point mesh was used. Dipole corrections were added in all directions and the H₂O and SO₂ molecules were computed
without symmetry. The core electrons of O and S were defined up to and including the 1s and 2p orbitals,
respectively. For the H atoms, all the electrons were treated as valence electrons. Again, the atomic
charges for the single molecules and adsorbed systems were obtained using Bader analysis. [83–86]

136

137 **3. Results and Discussion**

In this section we briefly describe the Pt surface slabs with the low Miller indices (001), (011) and (111)
(Section 3.1), followed by an overview of the adsorption of both H₂O and SO₂ (Section 3.2), with detailed
descriptions of their behaviour on the Pt (001), (011) and (111) surfaces discussed in Sections 3.2.1,
3.2.2 and 3.2.3, respectively.

142



143

Figure 1 – Top and side views of the Pt (001), (011) and (111) surfaces. The symmetrically inequivalent adsorption sites are indicated, i.e. atop (A), bridge (B), four-fold hollow (4F), hexagonal close packed (*hcp*) and face-centred cubic (*fcc*). The silver colour is used throughout this paper for Pt, with the top layer shown lighter for visualisation purposes.

149 3.1 Surface Structures

150 The top and side views of the Pt (001), (011) and (111) surfaces used in our simulations are shown in 151 Figure 1. To distinguish between top layer and subsequent layer atoms, the colour of the atoms in the 152 top layer of each of the surfaces were changed to lighter silver. All three surfaces are planar, bulk-153 terminated structures, with each slab containing four atomic layers plus a 15 Å vacuum space in the z-154 direction. The Pt (001) and Pt (111) surfaces are smooth with a face-centred cubic arrangement, while 155 the Pt (011) is open-facetted, forming grooves on the surface. The adsorption sites indicated in Figure 1 156 for the Pt (001) and (011) surfaces are atop (A), bridge (B) and four-fold hollow (4F), while the Pt (111) 157 surface has atop (A), bridge (B), hexagonal close packed (hcp) and face-centred cubic (fcc) sites.

Table 1 lists the relaxed and unrelaxed surface energies and the surface areas for the Pt (001), (011) and (111) surfaces. In terms of surface energy, our calculations correlated with previously identified trends, where Pt (111) has the lowest surface energy and is hence the most stable plane, followed by the (001) and (011) surfaces. Literature reported an experimental surface energy of 2.48 J/m² [87] which is in good (quantitative) agreement with our calculated surface energies, particularly if we keep in mind that our perfect surfaces will lead to smaller surface energies than experimental surfaces, which are bound to contain defects that raise the surface energy.[88]

165

	Pt	Other	Pt	Other	Pt	Other
	(001) [68,69]	works	(011) [68,69]	works	(111) [68,69]	works
γ _u (J/m²)	2.472		2.691		2.055	
$\gamma_r (J/m^2)$	2.462	1.81 [89],	2.615	1.85 [89],	2.046	1.49 [89]
		2.17 [90]		2.37 [91]		2.49 [92]
R (%)	0.40		2.83		0.43	
$A(\dot{A}^2)$	138.72		196.18		106.79	
Φ (eV)	5.89	5.66 [89]	5.49	5.26 [89]	5.64	5.69 [89]
d-band centre	-2.24		-2.00		-2.44	-2.45 [93
(eV)						

Table 1 – Unrelaxed (y_u) and relaxed (y_r) surface energies, percentage of relaxation (R), the surface areas (A), the work function (Φ) and d-band centre values for the Pt (001), (011) and (111) surfaces.

168

169 To understand the possible behaviour and chemical reactivity of the Pt (001), (011) and (111) surfaces, 170 the work function (Φ), was calculated for each pristine surface (Table 1). From our calculations, it can be 171 seen that removing an electron would be easiest from the (001) surface, followed by the (111) and (011) 172 surfaces. Literature showed a similar trend [89] $\Phi_{(011)} < \Phi_{(001)} < \Phi_{(111)}$, with the lowest work function 173 calculated for the (011) surface, followed by the (001) and (111) surfaces. However, the surface area and 174 modelling approximation used have an effect on these values. Likewise will the surface properties and 175 the temperature influence the work function data, which in its isolation cannot be used to predict 176 reactivity.[94]

Previously, it has been shown that adsorption tendencies on transition metal surfaces correlate with the positions of the d-band centre.[95] The overall tendency is that the higher in energy the occupied dstates, the stronger the bond with a molecule that accepts electrons from the metal. From our calculations it was seen that the Pt (111) surface had the highest d-band centre energy, followed by the (001) and (011) surfaces. Literature reported [93] a d-band centre value of -2.45 eV for the Pt (111) surface, which is in excellent agreement with our calculations.

183 3.2 Adsorption of H₂O and SO₂

To calculate the adsorption behaviour of H_2O on a Pt surface, a single H_2O molecule in a box was modelled, shown in Table 2. The calculated H-O and H-H bond lengths deviated from the experimental gas phase values by less than 0.02 Å and the H-O-H bond angle deviated by only \pm 0.07°. Similarly, a single SO₂ molecule in a box was modelled and shown in Table 2. The calculated S-O and O-O bond lengths compared to experimental gas phase values to within \pm 0.024 Å and the O-S-O bond angle deviated by only ~1°.

190



		This Study	Experimental
H	H-O	0.971	0.958 [96]
0	H-H	1.535	1.550 [97]
y y	∠H-O-H	104.41	104.48 [96]
	S-O O-O ∠O-S-O	1.445 2.496 119.42	1.431 ± 0.002[98] 2.460 ± 0.012[98] 118.5 ± 1.0[98]

194

As shown in Figure 1, various possible adsorption sites for both H₂O and SO₂ were considered on each surface. Adsorbed H₂O molecules on metal surfaces is usually considered to be intact, except when coadsorbed with other molecules or atoms [99,100]. However, one study investigated a water bilayer on Ru (0001) and suggested that up to half the water molecules are dissociated, with one O-H bond broken in the dissociated water molecules. [101] Similarly, up to 9% of the H₂O molecules dissociated in a study of water bilayers on Pt surfaces [58]. Allowing that it would be less likely to have a single molecule of water dissociate on the surface, it was still decided to include these data on all three Pt surfaces for reasons ofcomparison.

203 Different H₂O adsorption modes [102] were considered on each site, including (i) where all three atoms 204 of the H₂O molecule is parallel to the Pt surface and could interact with the surface, (ii) where the oxygen 205 was bound atop the Pt surface with both H atoms directed away from the surface. (iii) where OH was in 206 the plane of the surface to interact and H was turned upward, and (iv) where one of the H atoms was 207 turned downward to interact with the Pt surface. Five different SO₂ adsorption modes were investigated 208 on each Pt surface, i.e. (i) parallel, (ii) co-planar, (iii) bridging, (iv) O-bonded and (v) S,O-bonded.[103] 209 All five modes were investigated in the various adsorption sites shown in Figure 1. The most favourable 210 adsorption modes will be discussed for each of the Pt (001), (011) and (111) surfaces.

211

212 3.2.1 Pt (001)

The most stable and favourable adsorption modes of H_2O and SO_2 on the (001) surface found are shown in Figure 2, with their calculated bond distances and angles of the adsorbed molecules listed in Table 3.

215 On the (001) surface, four very different H_2O adsorption configurations were observed, i.e. three 216 molecular adsorptions, Atop_{ar}, Atop_{4F} and Bridge_{par}, and one dissociated configuration, (001)_{diss}. In the 217 first mode of adsorption, Atop_{par}, the H₂O molecule was parallel to the Pt surface with the H-atoms 218 directed toward atop Pt atoms (Figure 2). Here the H-O bond lengths and H-O-H bond angle were similar 219 to the isolated H_2O molecule (Table 2), indicating physisorption to the Pt surface. Similarly, in the second 220 adsorption mode, Atop_{4F}, the H₂O molecule was also parallel to the Pt surface with the H-atoms directed 221 toward the 4-fold hollow position, where the O-Pt distance was 2.311 Å and the H2-Pt distances were 222 2.831 and 2.786 Å for Pt1 and Pt2, respectively. The H-O-H angle correlated with experimental values at 223 104.48° [104], again suggesting that the water was physisorbed. The third adsorption mode, Bridgepar, 224 showed the O atom of H₂O bound between two atop Pt atoms, with the H atoms directed toward a 4F 225 hollow. However, the H₂O molecule is not symmetrically parallel to the surface, with a Pt_{surface}-O-H1 bond 226 angle of ~8° and a Pt_{surface}-O-H2 angle of ~3°. Similar to the other two adsorption modes, we found that 227 the O-H bond lengths and H-O-H bond angle corresponds to the free molecule, indicating physisorption 228 of the H₂O molecule. In the case of (001)_{diss}, both the OH and dissociated H atom were bound in the fourfold hollow site, with an O-Pt distance of 2.096 Å and hydroxy H to Pt1 distance of 2.531 Å and 2.956 Å 229 230 to Pt2. The H-Pt2 distance for the dissociated H was 1.754 Å.



Figure 2 – Lowest energy adsorption sites of H₂O and SO₂ on the Pt (001) surfaces. The atom colours red denotes oxygen, white for hydrogen and silver for platinum atoms respectively. Again, the lighter silver colour is used to distinguish between the platinum atoms of different layers.

236	Table 3 – Adsorption energy (E_{ads}), charge transfer (Δq), bond distance (d) and angles (\angle) of the adsorbed H ₂ O and SO ₂ molecule on the Pt
	(001) surface.

	H ₂ O	Atop _{par} [68]	Atop _{4F}	Bridge _{par}	Dissociated[68]
	E _{ads} (eV)	-1.675	-0.510	-1.529	-1.758
	Δq (e)	0.109	0.107	0.052	-0.393
d (Å)	O-Pt	2.311	2.330	2.737	2.096
	H-Pt1	2.831	3.012 (H1), 3.098 (H2)	3.003 (H1)	2.531
	H-Pt2	2.786	4.398 (H2)	2.791 (H1), 2.687 (H2)	2.956, 1.754
	O-H	0.983	0.981	0.981	0.983
∠ (°)	Н-О-Н	104.55	104.79	104.57	-
	Pt-O-H	97.94	98.67 (H1), 100.0 (H2)	98.49 (H1), 93.39 (H2)	104.55
	SO ₂	S _{4F}	Satop	S,O,Obridge	S,Obridge[69]
	E _{ads} (eV)	-1.543	-1.469	-2.085	-2.471
	Δq (e)	-0.392	-0.074	-0.410	-0.349
d (Å)	S-Pt	2.322 (Pt2)	2.155 (Pt1)	2.242 (Pt1)	2.234 (Pt2)
	O-Pt	2.327 (Pt1), 2.358 (Pt3)	3.147 (Pt1), 3.825 (Pt2)	2.126 (O2-Pt2), 2.125 (O1-	2.255 (O1-Pt1), 3.082 (O1-
				Pt3)	Pt2)
	S-O	1.515 (O1), 1.511 (O2)	1.447 (O1), 1.447 (O2)	1.550 (O1), 1.550 (O2)	1.619 (O1), 1.451 (O2)
∠ (°)	0-S-0	111.96	119.00	110.14	110.05
	Pt-S-O	61.03 (Pt1-S-O1)			105.13 (Pt2-S-O1)

240 The calculated adsorption energies are tabulated in Table 3, which shows that the H₂O molecule is much 241 more strongly bound to surface when it is dissociated, whereas molecular adsorption follows the trend 242 Atop_{par} > Bridge_{par} >> Atop_{4F}. In the matter of the dissociated H₂O, we note that a charge of 0.39 e^- was 243 transferred from the Pt surface to the molecule, with the dissociated H atom becoming electron-depleted 244 $(\Delta q = 0.623 \text{ e}^{-})$ relative to the surrounding Pt atoms, whereas the OH part gained electrons ($\Delta q = -1.016$ 245 e[−]). However, in the molecular adsorption on the (001) surface, between 0.5 and 0.11 e[−] were donated 246 from the molecule to the surface, and, as also suggested by the positive Δq values, the charge transfer 247 values follow the same trend as the adsorption energies, except in the case of Atop_{4F}. From our 248 calculations, it appears that the H₂O molecule would start in the Atop_{par} configuration (-1.675 eV, 0.109 249 e⁻), from where it has to move to the Atop_{4F} configuration (-0.510 eV, 0.107 e^-), with a lower adsorption 250 energy but similar transferred charge, before it dissociates.

251 During the SO₂ adsorption on the (001) surface, four possible adsorption modes were observed and 252 named according to the adsorption site, i.e. S_{4F}, S_{atop}, S,O,O_{bridge} and S,O_{bridge}. In the first adsorption 253 mode, S_{4F}, the S atom is within a 4F hollow, bound to two surrounding Pt atoms and the two oxygen 254 atoms are bound to the other two surrounding Pt atoms of the same 4F hollow. The S-O bond length is 255 slightly elongated, while the O-S-O bond angle is smaller than for the free SO₂ molecule, which indicates 256 chemisorption on the (001) surface. The second adsorption mode is S_{atop}, where the S atom is bound 257 atop a Pt atom, with the O atoms directed away from the surface. In this case the S-O bond length and 258 O-S-O bond angle correlate with the free SO₂ molecule, because there is limited interaction between the surface and the adsorbed molecule. In the third adsorption mode, i.e. S,O,O_{bridge}, the SO₂ molecule is 259 260 parallel to the Pt surface, with both O atoms bound to Pt surface atoms. Similar to the S_{4F} configuration, 261 the S-O bonds are elongated, while the O-S-O bond angle is smaller, again indicating chemisorption in this configuration. In the fourth adsorption mode, i.e. S,O_{bridge}, one S-O bond is parallel to the surface, 262 263 thereby binding to four Pt atoms in a 4F binding site, with the other O atom, O2, directed away from the 264 surface. The S-O2 bond length correlates with the S-O bond length of the free SO₂ molecule, while the 265 S-O1 bond length is elongated due to the attraction to two Pt atoms in the 4F hollow site. In an 266 experimental study of SO₂ adsorption on a Pd (100) surface [38], SO₂ had a S.O_{bridge} geometry with a 267 corresponding S-O and S-Pd bond length of 1.48 and 2.24 Å, respectively. In an SO₂ adsorption study 268 on Ru (001) [105], it was found that the molecular plane of SO₂ was perpendicular to the Ru(001) surface, 269 similar to the S_{atop} and S_{4F} adsorptions here, with a corresponding adsorption energy of 0.538 eV (12.4 270 kcal/mol). In another study on Cu (100) [30], it was found that at low coverages SO₂ should adsorb 271 preferentially with its molecular plane parallel to the surface, similar to our S,O,O_{bridge} adsorption. 272 However, as the coverage of SO₂ on Cu (100) becomes substantial, the molecule adopts the S,O_{bridge} 273 binding configurations to minimise adsorbate-adsorbate repulsions.

274 Comparing the adsorption energies of all four SO₂ adsorption modes, we note that the strongest 275 adsorption is observed for the S,O_{bridge} configuration, followed by S,O,O_{bridge}, S_{4F} and then S_{atop} modes. In 276 terms of charge transfer, the negative values (Table 3) indicate that electrons were transferred from the 277 Pt surface to the adsorbate. Most charge, i.e. −0.410 e⁻, was transferred in the S,O,O_{bridge} adsorption 278 mode, where all three atoms of SO₂ were bound to the Pt surface. The second highest was in S_{4F} (-0.392) 279 e⁻), where again the three atoms were bound to the surface, followed by $S_{O_{bridge}}$ (-0.349 e⁻) with only 280 the S-O bond aligned to the surface and, finally, S_{atop} (-0.074 e⁻) where only S was bound to the Pt 281 surface.

282 We note that on this surface, the adsorption sites for both H₂O and SO₂ are similar and they will therefore 283 compete directly for adsorption. In one scenario, if we assume that the Pt surface is first covered with 284 H₂O on all the adsorption sites, the surface should be saturated with electrons from both the surface and 285 the H₂O molecules. If a SO₂ molecule were then to approach this water-covered surface, it should easily 286 displace the H₂O molecules, as the SO₂ can absorb electrons from the surface and has a larger, more 287 favourable adsorption energy, i.e. -2.47 eV for S,Obridge vs -1.68 eV for AtopH2O. Looking at the charge 288 density difference in the S,O_{bridge} configuration, we note that the S-O adsorbed onto the Pt surface has a 289 cumulative charge of 0.79 e⁻ and the O atom directed away from the surface -1.14 e⁻. This negatively 290 charged O atom would be available for reactions with either surface-bound molecular H₂O or dissociated 291 OH⁻ + H⁺ in the vicinity of the SO₂, which could lead to the formation of HSO₃⁻, an intermediary species 292 in the production of hydrogen in the HyS cycle.

293

294 3.2.2 Pt (011)

295 On the (011) surface, five molecularly adsorption modes (Atop_{par}, Atop_{away}, Bridge_{H.H}, Bridge_{par} and 296 Bridge_{4F}) and two dissociative modes ((011)_{diss,A} and (011)_{diss,B}) were observed for H₂O. In the first 297 adsorption mode, Atop_{bar}, the H₂O molecule is parallel to the surface, with the O atom bound atop a Pt 298 atom and the H atoms directed towards the (011) channels. The O-H bond lengths and H-O-H bond angle compare to those in the free molecule, which indicates physisorption. In the second adsorption mode, 299 300 Atop_{away}, we found the O atom to be bound between two Pt atoms on the (011) ridge, with the H atoms 301 directed away from the surface. In this adsorption mode, the H-O bond length correlates with the free 302 molecule, although the H-O-H bond angle is larger by ~3°, which could indicate physisorption. In the third 303 adsorption mode (Bridge_{H H}), the H atoms were directed towards the surface, forming a bridge across the 304 (011) channel. Surprisingly, the O-H bond length still correlated with the free molecule, even though the 305 H-O-H bond angle was ~1.5° smaller, which could also indicate physisorption. In the fourth adsorption 306 mode, Bridge_{bar}, one of the hydrogens of the H₂O molecule points in the direction of the ridge on which it 307 is adsorbed, while the other H points towards the neighbouring ridge, as shown in Figure 3. The O-Pt

308 distances on the (011) surface are somewhat shorter than on the other surfaces, even though the H-O-309 H angle differs by less than 1° from the free molecule. In the fifth adsorption mode, Bridge_{4F}, one O-H is 310 bound across the (011) channel in the 4F position, while the other H atom is directed away from the 311 surface. As expected, the O-H1 bond length in the channel is slightly elongated, while the O-H2 directed 312 away from the surface is similar to the free molecule. The H-O-H bond angle is larger by \sim 3°, which again 313 indicates physisorption. Comparing the adsorption energies of the five adsorption modes, it can be seen 314 that the Bridge_{par} configuration will be favoured, followed by Atop_{par}, Bridge_{4F}, Atop_{away} and only then 315 Bridge_{H,H}.

In the dissociated system (Pt (011)_{diss,A}), the OH group lies parallel in the valley of Pt atoms and is bound by its oxygen to the Pt atoms on the neighbouring ridges, following the direction of the valley. Similarly, Shi and Sun [106] showed that the dissociated H atom is bound in a bridge position between two Pt atoms on the ridge. In the second dissociated system (Pt (011)_{diss,B}), the OH group is again bound to two Pt atoms on neighbouring ridges, following the direction of the valley. However, the dissociated H atom is bound atop a Pt atom on the ridge. The only difference between Pt (011)_{diss,A} and Pt (011)_{diss,B} is the adsorption energy, which is larger by 0.18 eV for the Pt (011)_{diss,B} adsorption, and thus more favoured.



324 Figure 3 – Lowest energy adsorption sites of H_2O and SO_2 on Pt (011) surface.

Table 4 – Adsorption energy (E_{ads}), charge transfer (Δq), bond distance (d) and angles (\angle) of the adsorbed H₂O and SO₂ molecule on the Pt (011) surface. 326

	H ₂ O	Atop _{par}	Atopaway	Bridgeн,н	Bridge _{par} [68]	Bridge _{4F}	Diss. _A	Diss. _B [68]
	E _{ads} (eV)	-0.543	-0.294	-0.279	-0.699	-0.383	-0.258	-0.434
	Δq (e)	0.098	0.048	-0.044	0.095	0.004	-0.458	-0.472
d (Å)	O-Pt	2.290	2.781 (Pt1)	4.251 (Pt1)	2.240	2.799	2.225	2.162 (Pt1)
			2.745 (Pt2)	3.376 (Pt2)				
	H-Pt1	2.670	3.411	3.724 (H1)	3.050 (H1)	2.836 (H1)	2.619	2.558
				3.746 (H2)				
	H-Pt2	3.298	3.320	2.458 (H2)	2.430 (H2)	3.057 (H1)	3.292 (OH)	1.563
							1.714	
	O-H	0.979	0.974	0.983	0.981 (H1)	0.985 (H1)	0.981	0.982
					1.00 (H2)	0.975 (H2)		
∠ (°)	H-O-H	105.25	107.11	102.91	103.76	107.28	-	-
	Pt-O-H	101.90 (Pt1)	122.93 (Pt1)	51.78 (Pt1-O-H1)	101.86 (H1)	95.65 (Pt2-O-H1)	102.51	102.28 (Pt1)
			118.32 (Pt2)	53.19 (Pt1-O-H2)	99.40 (H2)	143.73 (Pt2-O-H2)		
	SO ₂	Sbridge[6	69]	S,Obridge	S,O,Obridg	e[69] C),O bridge,A	O,Obridge,B
	E _{ads} (eV)	-2.28	2	-2.188	-2.39	0	-1.327	-1.171
	Δq (e)	-0.19	8	-0.454	-0.432	2	-0.393	-0.340
d (Å)	S-Pt	2.263 (F	Pt1)	2.290 (Pt1)	2.243 (F	Pt1) 3.	147 (Pt1)	3.253 (Pt1)
	O-Pt	3.144 (O1	-Pt1)	3.213 (O1-Pt1)	2.114 (O1	-Pt2) 2.12	22 (O1-Pt1)	2.095 (O1-Pt1)
	S-O	3.934 (O2	2-Pt2)	2.380 (O2-Pt2)	2.120 (O2	-Pt3) 2.12	25 (O2-Pt2)	2.094 (O2-Pt2)
	0-S-0	1.458 (0	D1)	1.458 (O1)	1.543 (0	D1) 1.	.527 (O1)	1.518 (O1)
∠ (°)	Pt-S-O	1.458 (0	D2)	1.590 (O2)	1.563 (C	D2) 1.	.526 (O2)	1.518 (O2)
	E _{ads} (eV)	118.8	8	111.01	111.0	1	110.82	112.97

329 The relative adsorption energies for the water molecule are smaller than observed on the (001) surface 330 and they follow the trend of Bridge_{par} > Atop_{par} > Bridge_{4F} > Atop_{away} > Bridge_{H.H.} However, the adsorption 331 energies of the dissociated water are small and similar to some of the molecularly adsorbed H₂O 332 configurations, indicating that there is little incentive for dissociation to occur on the (011) surface. From 333 the charge transfer calculations it can be seen, that, similar to the (001) surface, in molecularly adsorbed 334 H_2O , electrons are transferred from the molecule to the Pt surface, which is highest (~ 0.1 e⁻) for the most 335 favoured configurations Bridge_{par} and Atop_{par}. However, it is interesting that the Bridge_{H,H} configuration 336 adsorbs electrons (-0.044 e⁻) from the Pt surface. With the H atoms directed toward the Pt surface and 337 electrons being donated into the molecule, dissociation could occur, possibly leading to the H₂O_{diss} 338 configurations, although the energetic incentive is low. In the dissociated H₂O, charge transfer of between 339 0.4 and 0.5 e⁻ occurs from the Pt surfaces to the molecule. As expected, the dissociated H atom is 340 electron-depleted ($\Delta q = 1.000 e^{-}$) and OH gained nearly an extra 50% electron density ($\Delta q = -1.458 e^{-}$), 341 owing to the adsorption manner of the dissociated H and OH, which are pulled into the (011) framework. 342 thereby favouring electron transfer to and from the Pt surface.

343 In the adsorption of SO₂ on the (011) surface, five possible adsorption modes were observed, i.e. S_{bridge}, 344 S,Obridge, S,O,Obridge, O,Obridge, A and O,Obridge, B. In the first adsorption mode, i.e. Sbridge, the SO₂ molecule 345 had the same geometry to the S_{atop} configuration on the (001) surface, with the S bound to the Pt surface 346 and the two O atoms directed away from the surface, although here the S atom is located between two 347 ridge Pt atoms. The S-O bond length and O-S-O bond angle was similar to the free SO₂ molecule, due 348 to limited interaction between the surface and adsorbate. In the second adsorption mode, i.e. S,Obridge, 349 the S-O bond is parallel to the channel on the (011) surface, with the other O atom directed away from 350 the surface. The S atom is bound across the ridge to two Pt atoms and the O atom to another two Pt 351 atoms of a 4F hollow site. The S-O bond lengths and O-S-O bond angle follow the same trend as for the 352 S,O_{bridge} adsorption mode on the (001) surface where the free S-O bond length is shorter than the bound 353 S-O bond length and the O-S-O bond angle smaller than 119°, indicating physisorption. In the third 354 adsorption mode, i.e. S,O,Obridge, the SO₂ molecule is parallel to the Pt surface with two O atoms bound 355 to two Pt atoms on the (011) ridge, forming an O-O-bridge with Pt diagonally across the (011) channel. 356 Due to the formation of this Pt-O bond, the S-O bond is slightly elongated, while the O-S-O bond angle 357 is smaller than in the free molecule. Similarly, in the fourth adsorption mode, i.e. O,O_{bridge,A}, the SO₂ 358 molecule lies parallel to the surface, forming an O-O-bridge with Pt directly across the (011) channel. 359 Again, the S-O bond length is elongated, while the O-S-O bond angle is smaller. In the fifth adsorption 360 mode, O,O_{bridge,B} the molecules also form an O-O-bridge, but with two Pt atoms on the same (011) ridge, 361 with the S atom directed away from the surface. As in the other adsorption modes, the S-O bond lengths 362 are elongated, while the O-S-O bond angle is smaller than in the free molecule.

When we compare the SO₂ adsorption energies, it is evident that the most likely adsorption to occur is the S,O,O_{bridge} configuration, followed by S_{bridge} > S,O_{bridge} > O,O_{bridge,A} > O,O_{bridge,B}. It has been shown in the literature [107] for SO₂ adsorbed onto Ag (110), that the S_{bridge} adsorption mode dominates, whereas on the Ni (110) surface, both S,O,O_{bridge} and O,O_{brigde,A} adsorptions occur.

367 In terms of charge transfer in the adsorbed SO₂ molecules, the trend on the (011) surface is similar to 368 the (001) surface, with Δq the highest where all three atoms (S, O1 and O2) are adsorbed onto the Pt 369 surface, followed by the adsorption of two atoms (S and O or O1 and O2) and then one atom (S). However, on this surface, e.g. in S,O_{bridge} with two adsorbed atoms (S and O2) bound between four Pt 370 371 atoms, more charge is transferred to SO₂, i.e. -0.454 e⁻, followed by S,O,O_{bridge} (-0.432 e⁻) with three 372 atoms bound to the surface, next O,Obridge,A (-0.393 e-) with only O-O on the surface, then O,Obridge,B (-0.340 e⁻) also with O-O on the surface and, finally, S_{bridge} (-0.198 e⁻) where only S was bound to the 373 374 Pt surface.

375 Comparing the adsorption of H_2O and SO_2 , in terms of the most favourable adsorption energies, we note 376 that the Brigdepar (H₂O configuration) and the S,O,O_{bridge} configuration directly compete for adsorption 377 sites. However, in these specific configurations, the H from H_2O and the O from SO_2 can be directed 378 towards each other for a reaction to occur. A similar result is seen in the second most favourable 379 positions, i.e. Atoppar (H₂O configuration) and S_{bridge} configuration, where their specific binding geometries 380 would allow reaction between H_2O and SO_2 to occur. If we again assume that the Pt surface is first 381 covered with H₂O on all the adsorption sites, an approaching SO₂ molecule should be able to displace 382 the H₂O as the SO₂ then absorbs electrons from the surface. The charge density distributions in the 383 S,O,O_{bridge} and S_{bridge} configurations are similar to the (001) surface, with a cumulative charge of 0.63 e⁻ 384 and 0.98e⁻, respectively, but -1.07 e⁻ and -1.18 e⁻, respectively, in the O atom directed away from the 385 surface. This negatively charged O atom would be available for reactions with either the molecular H₂O 386 or dissociated OH + H in the vicinity as a first step in the HyS process.

387

388 3.3.3 Pt (111)

389 On the (111) surface only one molecular (Atop_{par}) and one dissociative ((111)_{diss}) adsorption mode for 390 H₂O were observed. In the Atop_{par} adsorption, the H₂O molecule adsorbs parallel to the Pt surface, with 391 one H atom directed towards a surface Pt (Pt1) and the other in the direction of a fcc Pt (Pt2) (Figure 4). 392 Similarly, Carrasco and co-workers [108] showed that the most stable single H₂O molecule adsorption 393 was atop the Pt atom and parallel to the surface. In this work, the H-O-H angle correlated with literature 394 at 104.94 and the calculated O-Pt distance was 2.386 Å, however literature reported the O-Pt distance 395 between 2.49 and 2.82 Å,[108] suggesting that water may bind more strongly to the Pt (111) surface than 396 previously indicated.[108] Similar to the (001)_{diss} and (011)_{diss} systems, on the (111) surface the O from

- 397 the dissociated OH group were bound in a bridge position between two surface Pt atoms. The dissociated
- 398 hydrogen was in a neighbouring *fcc* hollow site, which was also reported [106] as energetically the most
- 399 stable adsorption mode for hydrogen on the Pt (111) surface.
- 400



402 Figure 4 – Lowest energy adsorption sites of H_2O and SO_2 on the Pt (111) surface.

403

	H₂O	Atop _{par} [68]		Dissociated[68]	Li	terature	
	E _{ads} (eV) -0.464			-0.380	-0.35**[109]		
	Δq (e)	0.087		-0.338			
d (Å)	O-Pt	2.386		2.169	2.36**[109]		
	H-Pt1	2.973		2.591			
	H-Pt2	3.164		3.317 (H1), 1.873 (H2)			
	O-H	0.981		0.983	0.9	98**[109]	
∠ (°)	H-O-H	104.94		-	104.48*[104], 106**[109]		
	Pt-O-H 97.72			104.14		97**[109]	
	SO ₂	Satop,A	Satop,B	S _{fcc} [69]	S,Obridge	Literature	
	E _{ads} (eV)	-1.598	-1.242	-1.852	-0.524	-1.099*[110], -	
						1.218*[24]	
	Δq (e)	-0.452	-0.106	-0.240	-0.370		
d (Å)	S-Pt	2.354 (Pt1)	2.178	2.273 (Pt1)	2.326	2.31*[24]	
	O-Pt	3.326 (O1-Pt2)	3.631 (O1-Pt1)	3.254 (O1-Pt1)	3.069 (O1-Pt3)	2.30*[24]	
		3.267 (O2-Pt3)	3.483 (O2-Pt2)	2.419 (O2-Pt2)	2.148 (O2-Pt2)		
	S-O	1.467 (O1)	1.446 (O1)	1.450 (O1)	1.476 (O1)	1.47 (O1)*[24]	
		1.468 (O2)	1.446 (O2)	1.500 (O2)	1.552 (O2)	1.54 (O2)*[24]	
∠ (°)	0-S-0	117.64	119.42	115.27	112.43	155.5*[24]	
	Pt-S-O	107.79 (Pt-S-O1)	120.05 (Pt-S-O1)	120.21 (Pt1-S-O1)	107.04 (Pt1-S-O1)		
		107.52 (Pt-S-O2)	120.53 (Pt-S-O2)	108.84 (Pt1-S-O2)	99.16 (Pt1-S-O2)		

Table 5 – Adsorption energy (E_{ads}), charge transfer (Δq), bond distance (d) and angles (\angle) of the adsorbed H₂O and SO₂ molecule on the Pt (111) surface.

409 The calculated adsorption energies (Table 5) show that the water molecule does not bind as strongly to 410 the (111) surface as on the (001) and to a lesser extent (011) surfaces, indicating that adsorption and 411 dissociation is favoured on the (001) surface. Literature showed that adsorption energies were dependant 412 on the type of dispersion correction functional used [108], and reported monomer adsorption energies 413 specifically for the Pt (111) surface between -0.24 and -0.40 eV. These values are in fair agreement with 414 our adsorption energy calculated for the (111) surface, but again indicating somewhat stronger binding 415 in this study compared to the literature [108]. Comparing our adsorption energies to that of the dissociated 416 water on all the surfaces, we note that generally adsorption is energetically preferred on the (001) surface, 417 both for the molecular and dissociated H_2O adsorptions, followed by the (111) and (011) surfaces. On 418 thermodynamic grounds, dissociation should not occur on the (111) surface, where the binding of the 419 dissociated molecule is energetically less favourable than molecular adsorption.

420 With the adsorption of SO_2 on the (111) surface, four possible adsorption modes were observed, i.e. 421 Satop,A, Satop,B, Sfcc and S,Obridge. In Satop,A the S atom is bound atop a Pt atom with the O atoms directed 422 (Pt_{surface}-S-O angle ~18°) away from the surface. The geometry of the bound SO₂ is similar to the free 423 SO₂ molecule, indicating physisorption of the molecule. Similarly, in S_{atob,B}, the S is bound atop a Pt atom 424 with the O atoms directed away from the Pt atoms, with a Pt_{surface}-S-O bond angle of 30°. This 425 configuration is similar to the S_{atop} adsorption mode on the Pt (001) surface, with S-O bond length and O-426 S-O bond angle corresponding to the free SO_2 molecule, again indicating physisorption of the molecule. 427 In the third adsorption mode, S_{fcc}, one S-O bond lies in the plane of the surface and the second O atom 428 is directed away from the surface on the fcc binding site. As seen before, the parallel S-O bond is 429 elongated, and the O-S-O angle has decreased due to the binding mode. S,Obridae resembles a 430 configuration between Satop, A and Sfcc, where one S-O bond is bound to two atop Pt atoms, with a Ptsurface-431 S-O2 angle of ~9°, while the other S-O bond is pointed slightly away from the surface with a Pt_{surface}-S-432 O1 angle of ~17°. The S-O2 bond length is slightly elongated due to the bond with the atop Pt atoms. Lin 433 and co-workers [24] identified similar adsorption modes, of which the most likely were S_{fcc}, S_{atop,B} and 434 S,O_{bridge} with adsorption energies ranging between 0.93 and 1.01 eV. When we compare the different 435 adsorption energies, we find that S_{fcc} has the largest adsorption energy and is therefore the most likely 436 configuration to occur, followed by $S_{atop,B} > S_{atop,B} > S,O_{bridge}$.

- In terms of charge transfer (Table 5) between SO₂ and Pt (111), the trend is similar to the (001) and (011) surfaces, with a maximum Δq when all three atoms (S, O1 and O2) are adsorbed onto the Pt surface, although S_{atop,A} with only S adsorbed is an outlier, as this configuration leads to the largest charge transfer ($\Delta q = -0.452 \text{ e}^-$). However, the other adsorption modes follow the trend, i.e. three adsorbed atoms (S, O1 and O2) are bound in S,O_{bridge} (-0.370 e⁻), followed by two adsorbed atoms (S and O2) in S_{fcc} (-0.240
- 442 e^{-}) and finally $S_{atop,B}$ (-0.106 e^{-}) where only S was bound to the Pt surface.

The calculated modes and energies of adsorption of SO₂ on the (111) are in fair agreement with the work by Lin and co-workers [24] who calculated adsorption energies for the Pt (111) surface ranging between 0.93 and 1.01 eV, depending on adsorption mode and the dispersion correction functional chosen.

446 If we compare the adsorption sites of both H_2O and SO_2 on the (111) surface, we note that there is no 447 direct competition for the most favourable adsorption configurations, $Atop_{par}$ and S_{fcc} , but there is for the second most favourable configuration, S_{atop,A}. A comparison of the adsorption energies of H₂O and SO₂ 448 449 shows a difference of between 1.1 and 1.4 eV, indicating that if a SO₂ molecule were to approach a 450 water-covered surface, the H₂O could be displaced, as the SO₂ absorbs electrons from the surface and has a more favourable adsorption energy. Looking at the charge density difference in the S_{fcc} 451 452 configuration, we note that the S-O adsorbed onto the Pt surface has a cumulative charge of 0.91 e⁻ with 453 -1.15 e⁻ in the O atom directed away from the surface. However, in the S_{atop,A} configuration, the charge 454 is distributed equally through the molecule, with a charge transfer of 3.00 e^- and $-1.72 e^-$ for S and each 455 O atom, respectively. These O atoms may be available for reactions with the H₂O molecules.

456

457 **4.** Conclusions

In this study, we have employed density functional theory calculations to predict the behaviour of H₂O and SO₂ with the Pt (001), (011), and (111) surfaces. Our results show that a H₂O molecule will preferentially adsorb dissociatively on the (001) surface, but on both the (011) and (111) surfaces, the H₂O molecule adsorbs parallel atop the Pt surface atoms. Charge transfer analysis shows that the molecularly bound H₂O provides ~0.1 e⁻ to the Pt surface, whereas in the dissociated case ~0.4 e⁻ transferred from the surface to the molecule.

464 When SO₂ adsorbs onto Pt, was observed that on both the (001) and (111) surfaces, S-O will 465 preferentially adsorb onto the surface with one O atom directed away from the surface. However, on the 466 (011) surface, SO₂ lies parallel to the surface with one S-O pair bound across the channel and the other 467 S-O pair bound on the ridge between two Pt atoms. SO₂ can act as both a σ -donor or π -acceptor[111], 468 and when the π -acceptor aspect dominates, π bonds are formed between the SO₂ and the metal, causing 469 the molecule to adsorb in a parallel orientation on the surface, as seen on the (011) surface. In contrast, 470 when σ -bonding dominates, the molecule adsorbs perpendicular to the surface planes, as shown to occur 471 on the (001) and (111) surfaces. Charge transfer analysis shows that during adsorption, between 0.24 472 and 0.43 e⁻ is transferred from the surface to the molecule.

Taking into account all the adsorption energy and charge transfer data of both H₂O and SO₂ on all three
Pt surfaces, it can be concluded that generally both molecules compete for the same adsorption sites,

- where the strong binding of SO₂ to the surface sites should enable it to compete effectively to adsorbonto the Pt surfaces at the expense of water adsorption.
- 477 Future work will include the consideration of an explicit mixture of H₂O and SO₂ on the various Pt surfaces,
- 478 as well as the SO₂ oxidation mechanism catalysed by the Pt metal.
- 479

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