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1	Modelling non-isothermal volume change and solute transport behaviours of a semi-
2	permeable clay soil under the combined influence of mechanical loading, chemical-
3	osmosis, and thermo-osmosis
4	
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10	
11	Abstract: Clays are widely used in geotechnical and geoenvironmental engineering applications and it
12	is crucial to understand its behaviour for the dynamic field conditions. The presence of a temperature
13	gradient across clayey soils that exhibit semi-permeable membrane (or osmotic) behaviour may promote
14	a number of complex processes, including thermal expansion or consolidation, thermally induced osmosis,
15	thermal diffusion, along with other flow and deformation processes. Chemical-osmosis and chemico-
16	osmotic consolidation that are significant in isothermal conditions are also affected by temperature.
17	Publications on chemical-osmosis, and to some extent thermo-osmosis behaviour of semi-permeable
18	clays under coupled conditions are widely available. However, studies that include the influence of both

is presented to study the effects of both osmotic processes on thermo-hydro-mechanical-chemical
behaviour of semi-permeable clays. Solute spread in a landfill clay liner is investigated under the

thermo-osmosis and chemical-osmosis together are rare. In this paper, a fully coupled numerical model

combined influence of mechanical, thermal, and chemical loading. Model results show that the clay deformation is sensitive to thermo-osmosis; and the effects of thermo-osmotic consolidation, excess pore water dissipation, and the overall settlement increases with temperature. Variation of the source temperature from 40°C to 80°C yielded an increase of 11.3% of the peak soil settlement. Significant contribution of thermo-osmosis on solute transport is noticed in this study and ignoring the process substantially deviate from the realism. Up to 80% overprediction of cadmium spread is observed in the clay liner when the thermo-osmotic processes are neglected.

29

30 Keywords: coupled transport, deformation, temperature, osmotic conductivity, thermo-osmotic
 31 conductivity, sorption.

32

33 1 Introduction

34 Clayey soils are often used as buffer materials to prevent and to minimise the spread of toxic pollutants in the surrounding environment. Materials such as synthetic or natural clay liners, compacted 35 clays, often constitute the key component of engineered barrier systems (Coo et al., 2016) in various 36 37 waste disposal and landfill applications. Efficacy of such systems depends largely on ensuring the expected physical, chemical and mechanical behaviour of clay buffers in-field or in-situ conditions 38 (Zheng et al, 2017), where they are exposed to simultaneous thermal, hydraulic, chemical and mechanical 39 40 loading events. Therefore, it is essential to study their complex, coupled flow and deformation behaviours on mitigating contaminant spread effectively and/ maintaining contamination level below regulatory 41 limits. 42

43 Coupled flow and deformation processes in clays that exhibit semi-permeable membrane behaviour

are complex and strongly affected by the osmotic processes related to the physico-chemical interactions 44 between the clay aggregates and the pore fluid (Barbour and Fredlund, 1989). During osmosis fluid flows 45 through a semi-permeable membrane submitted to pore fluid chemicals (solutes) concentration gradients 46 47 (Mitchell, 1973; Keijzer, 1997; Malusis, 2003) or to soil thermal gradient (Mitchell and Soga, 2005); and may lead to volumetric changes in the soil. The former is known as chemical-osmosis and the later as 48 49 thermo-osmosis. The corresponding soil deformations are termed as chemico-osmotic consolidation (Mitchell, 1973; Kaczmarek and Hueckel, 1998) and thermo-osmotic consolidation (Mitchell and Soga, 50 2005). Osmotic potentials in clays depend on the osmotic efficiency of the membrane (ω), which varies 51 between $0 \le \omega \le 1$ (Shackelford et al., 2019). Unlike perfect semi-permeable membranes, *i.e.* $\omega = 1$, 52 53 clays exhibit imperfect semi-permeable behaviour and that leads to solute as well as pore fluids flow across the membrane (Barbour and Fredlund, 1989). When exposed to heating both soil aggregates and 54 pore fluid experience thermal dilation; and under drained condition, the thermally induced expansion and 55 expulsion of pore fluid across the membrane result into consolidation of the soil volume (Paaswell, 1967; 56 Habibagahi, 1977; Delage, 2000; Monfared et al., 2012; Donna and Laloui, 2015). Pore fluid flow in 57 semi-permeable clays during chemical-osmosis and thermo-osmosis are measured by the osmotic 58 59 conductivity (k_{ω}) and the thermo-osmotic conductivity (k_T) , respectively (Goncalves et al., 2012; Shackelford et al., 2019). When submitted to simultaneous thermal, hydraulic and chemical gradients, 60 they combinedly contribute with the hydraulic conductivity (k_h) into the bulk fluid flow. Accurate 61 prediction/ estimation of transport and fate of solutes in semi-permeable clays, therefore, depends on 62 comprehensive understanding of the combined, inter-related flow and deformation behaviours. 63 Numerous studies on coupled processes in clayey soils are available in the literature. Here the focus 64

65 is on the theoretical and/ numerical studies including coupled thermal, hydraulic, mechanical and/

66	chemical processes in semi-permeable clays with particular relevance to osmotically induced volume
67	change behaviours. For isothermal conditions, the effects of chemical-osmosis and chemico-osmotic
68	consolidations on coupled flow processes are presented in the works of Mitchell et al. (1973), Barbour
69	and Fredlund (1989), Kaczmarek and Hueckel (1998), Malusis and Shackelford (2002), Peters and Smith
70	(2004), Lewis et al. (2009), Pu et al. (2016), Xie et al. (2015), Zhang et al. (2018) and others (a
71	comprehensive list of the literatures are available in Zhang et al. (2018)). However, the contribution of
72	thermo-osmosis on coupled flow processes is usually ignored based on the assumption that the thermo-
73	osmotic flux is negligible (Sánchez, et al., 2016; Shackelford et al., 2019). For argillaceous media e.g.
74	semi-impermeable clays, validity of this assumption is questionable (Soler, 2001; Shackelford et al.,
75	2019). Laboratory investigations on non-isothermal volume change experiments in clays, (Carnahan,
76	1983; McVay, 1984, Delage et al., 2000; Trémosa et al., 2010; Goncalves et al., 2012; Roshan et al., 2015),
77	reported significant mass transfer associated with thermo-osmosis. Nevertheless, literatures including
78	thermo-osmosis in coupled flow processes are limited (Zhai et al., 2020). Relevant analytical and/
79	numerical modelling studies include, McTigue (1986), Smith and Booker (1993), Zhou et al. (1998),
80	Ekbote and Abousleiman (2005), Villar et al. (2005), Tong et al. (2010), Gonçalvès and Trémosa (2010),
81	Goncalves et al. (2012), Yang et al. (2014), Roshan et al. (2015), Gao et al. (2017), Zheng et al. (2015),
82	Salomoni (2018), Song et al. (2019), Sun et al. (2020), Zhai et al. (2020). These studies investigated the
83	contribution of temperature driven osmotic processes on partially- or fully-coupled thermo-hydraulic-
84	mechanical (THM) or thermo-hydraulic-chemical-mechanical (THCM) behaviours, but ignored
85	chemical-osmosis or chemico-osmotic consolidation. Ekbote and Abousleiman (2005) developed a
86	coupled thermo-hydraulic-chemical-mechanical model to study the thermochemical effects on borehole
87	stability in oil and gas exploration applications. Poulet et al. (2012) also derived a coupled THCM model

to investigate the influence of folds, shear zones, and other geological structures on hydrothermal ore-88 deposits formation. To study the impacts of temperature on the alteration of chemical properties such as 89 illitization of engineered barriers, Zheng et al. (2015) presented a coupled THCM model including stress 90 91 balance, enthalpy balance, fluid and solute mass conservation principles. However, Ekbote and Abousleiman (2005) and Poulet et al. (2012) did not consider the influence of thermo-osmosis on solute 92 transport. Although Zheng et al. (2015) considered the thermo-osmotic effects on solute transport, they 93 ignored the contribution of thermal diffusion on solute transport and chemico-osmotic consolidations on 94 the overall soil deformation. None of these models can directly calculate the soil deformation or 95 settlement due to partial coupling or neglecting. Literatures that include both chemical-osmosis and 96 97 thermo-osmosis behaviours in fully coupled THCM problems are rarely available.

In this work, the effects of both thermal and chemical osmosis on deformation and solute transport 98 behaviours of a saturated, natural, semi-permeable clay liner are investigated. Previously presented model 99 100 of Zhang et al. (2018), to study coupled hydro-mechanical-chemical behaviours of saturated natural clays including chemical-osmosis and chemico-osmotic consolidation, is extended here by including the non-101 102 isothermal flow and deformation behaviours. Unlike the previous models (Ekbote and Abousleiman, 2005; 103 Poulet et al., 2012; Zheng et al., 2015), the presented model can directly calculate the soil settlement 104 behaviour of semipermeable clays subjected to multiple loading mechanisms. It also includes thermal 105 diffusion or Soret effect, and both chemico-osmotic and thermo-osmotic consolidation effects under a 106 fully coupled modelling framework. Therefore, the presented model addresses the limitations of the previous models, and advances the knowledge and understanding of non-isothermal behaviours of 107 semipermeable clay soils. In this paper, three loading mechanisms, namely, i) Mechanical loading, 108 109 associated with a landfill-waste weight; ii) chemical loading, due to osmotic potential; and iii) thermal

110 loading, as a result of thermal gradient, are incorporated with simultaneous fluid, heat and solute transport mechanisms. Both molecular diffusion and thermal diffusion, and sorption processes on the solute 111 transport behaviours are considered. The thermo-chemo-poroelastic model considers a homogenous, 112 113 isotropic porous media with linear and elastic deformation. The accuracy of the model to predict nonisothermal volume change behaviours is demonstrated by validating the model against laboratory 114 experiments obtained from the literatures. Applications of the model include a comparative analysis of 115 the osmotic processes on soil deformation behaviour, and non-isothermal evolution of pore fluid pressure, 116 overall settlement as well as transport and fate of a solute in a semi-permeable clay soil. The aim of this 117 study is to present a quantitative analysis of the thermo-osmotic processes related to non-isothermal, 118 119 coupled flow and deformation problems, and to investigate its relative importance on regulating volume 120 change and solute transport behaviours when multiple loading events occur simultaneously.

121

122 2 Theoretical background

123 The governing equations of flow and deformation, presented here, are for one-dimensional (1D) 124 problems. The following assumptions are made: (1) soil is homogeneous, isotropic and saturated; (2) 125 movements occur only in the vertical direction; (3) transport of pore fluid and solutes can be explained 126 via Darcy's law and Fick's law, respectively; and (4) the consolidation deformation of soil is linear and 127 elastic.

128 2.1 Governing equations of soil deformation

129 The equilibrium between total stress (σ_z) and body force (f) in a small deforming soil sample is 130 written as,

$$\frac{\partial \sigma_z}{\partial z} + f = 0 \tag{1}$$

$$f = [n\rho_f + (1-n)\rho_s]g$$
⁽²⁾

131 Where the subscript z denotes the vertical direction, n is porosity, g is the gravitational acceleration, 132 ρ_f and ρ_s are the densities of pore fluid and soil solids, respectively. The principle of effective stress 133 (σ'_z) dictates that

$$\sigma_z' = \sigma_z - u \tag{3}$$

where u is the pore fluid pressure. Influences of three loading mechanisms, *e.g.*, mechanical, chemical and thermal loading, on the soil deformation is considered. Following (Zhang et al., 2018), the constitutive relationship of soil can be further described as,

$$\sigma_{z}' = \frac{E(1-\nu)}{(1-2\nu)(1+\nu)} (\varepsilon_{z} - \varepsilon_{\pi} - \varepsilon_{T})$$
(4)

137 where *E* is the Young's modulus of elasticity, ν is Poisson's ratio, ε_z is the total strain. ε_{π} and ε_T 138 represent the strains associated with chemico-osmotic consolidation and thermo-osmotic consolidation, 139 respectively. The total strain (ε_z) is expressed in terms of soil vertical displacement (w_z) as,

$$\varepsilon_z = -\frac{\partial w_z}{\partial z} \tag{5}$$

140 The osmotic strain is related to the osmotic potential, *i.e.*, the osmotic suction (π) , and in an

141 incremental form it is expressed as (Barbour and Fredlund, 1989),

$$d\varepsilon_{\pi} = -m_{\pi}d\pi \tag{6}$$

142 where m_{π} is the coefficient of volume change due to the osmotic pressure gradient, and $\pi = RTc$. Here, 143 *R* is the universal gas constant, *T* is absolute temperature in degree Kelvin and *c* is the solute 144 concentration in the liquid phase. For non-isothermal condition, Eq. (6) is expanded as,

$$d\varepsilon_{\pi} = -m_{\pi}d(RTc) = -m_{\pi}RTdc - m_{\pi}RcdT.$$
(7)

For a thermo-elastic porous medium, the thermally induced strain is expressed after Robinet et al.
(1996) as,

$$\varepsilon_T = -\alpha dT - \beta_f^T dT \tag{8}$$

where α is the thermal expansion coefficient of the soil aggregates and β_f^T is the thermal expansion

148 coefficient of the pore fluid.

149 Substitution of Eqs. (2)-(8) into Eq. (1) yields,

$$\frac{E(1-\nu)}{(1+\nu)(1-2\nu)} \left[-\frac{\partial^2 w_z}{\partial z^2} + m_\pi RT \frac{\partial c}{\partial z} + \left(m_\pi Rc + \alpha + \beta_f^T \right) \frac{\partial T}{\partial z} \right] + \frac{\partial u}{\partial z} + \left[(1-n)\rho_s + n\rho_f \right] g = 0$$
(9)

150 Eq. (9) is the governing equation of soil deformation, which includes four independent variables, *e.g.*,

151 soil displacement (w_z) , excess pore fluid pressure (u), chemical concentration (c) and temperature (T).

152

153 2.2 Governing equations of pore fluid flow

154 The mass conservation equation of pore fluid flow is written as (Smith, 2000),

$$\frac{\partial(\rho_f n)}{\partial t} + \nabla(\rho_f n V_f) = 0 \tag{10}$$

155 where V_f is the absolute velocity of the pore fluid. The Eq. (10) is expanded as,

$$\rho_f \frac{\partial n}{\partial t} + n \frac{\partial \rho_f}{\partial t} + \nabla (\rho_f n V_f) = 0.$$
⁽¹¹⁾

156

Francois (2009) suggested that the temporal variation of pore fluid density can be expressed as a function of pore fluid pressure (u) and temperature (T). By assuming that the influence of solute concentration on pore fluid density is negligible, the temporal variation can be described as,

$$\frac{\partial \rho_f}{\partial t} = \rho_f \beta_f \frac{\partial u}{\partial t} - \rho_f \beta_f^T \frac{\partial T}{\partial t}$$
(12)

160 where β_f is the compressibility of the pore fluid.

In this study, the change in soil volume is being coupled with three loading mechanisms, *e.g.* mechanical, chemical and thermal loadings. For isothermal conditions, Kaczmarek and Hueckel (1998) proposed a relationship for porosity variation of semi-permeable clays, by incorporating mechanical 164 loading and chemical loading. Here, the relationship is extended by including the thermal and thermo-

165 osmotic consolidations as,

$$n = n_0 - \Delta n = n_0 - m_v \Delta \sigma'_z - m_\pi RT \Delta c - m_\pi Rc \Delta T - \alpha \Delta T - \beta_f^T \Delta T$$
(13)

166 where m_{ν} is the volume change coefficient related to the effective stress. The temporal derivative of Eq.

167 (13) is expressed as,

$$\frac{\partial n}{\partial t} = m_v \frac{\partial u}{\partial t} - m_\pi RT \frac{\partial c}{\partial t} - \left(m_\pi Rc + \alpha + \beta_f^T\right) \frac{\partial T}{\partial t}$$
(14)

168 The absolute pore fluid velocity (V_f) combines the pore fluid relative velocity with respect to the

169 soil skeleton (V_r) and the absolute velocity of the soil-skeleton deformation (V_s) as,

$$V_f = V_r + V_s \tag{15}$$

$$V_r = \frac{1}{n}V \tag{15a}$$

$$V_s = \frac{\partial w_z}{\partial t}$$
(15b)

where V is the velocity of the pore fluid flow and it combines the contributions of hydraulic head, osmotic-pressure head and temperature gradients. Gonçalvès and Trémosa (2010) explained the thermo-

172 osmotically driven pore fluid flow as,

$$V_T = \frac{k_T}{\mu_{l(T)}} \frac{\partial T}{\partial z} \tag{16}$$

173 where V_T is the pore fluid velocity associated with the temperature gradient and k_T is the thermo-

174 osmotic conductivity. $\mu_{l(T)}$ is the temperature-dependent dynamic viscosity of water.

175 Fluid flow due to hydraulic head gradient (V_u) and osmotic-pressure head gradient (V_{π}) are 176 presented in Smith (2000) and Zhang et al. (2018). Here, the relationship is extended by including V_T as,

$$V = V_u + V_\pi + V_T = -\frac{k_h}{\gamma_w} \frac{\partial u}{\partial z} + \omega \frac{RT}{M} \frac{k_h}{\gamma_w} \frac{\partial c}{\partial z} + \frac{k_T}{\mu_l} \frac{\partial T}{\partial z}$$
(17)

177 where k_h is *in-situ* hydraulic conductivity, γ_w is the unit weight of water, M is the molar mass, and ω

178 is the osmotic efficiency. Here, $\omega \frac{RT}{M} \frac{k_h}{\gamma_w} = k_\omega$, *i.e.*, the osmotic conductivity. Hart and John (1986)

179 described the in-situ hydraulic conductivity (k_h) in terms of initial hydraulic conductivity (k_{h0}) and initial

180 porosity (n_0) as,

$$k_h = k_{h0} \left(\frac{n}{n_0}\right)^3 \tag{18}$$

181

Substituting Eqs. (12), (14) and (17) into Eq. (11) yields the governing equation of pore fluid flow,

$$(m_{v} + n\beta_{f})\frac{\partial u}{\partial t} - m_{\pi}RT\frac{\partial c}{\partial t} - (m_{\pi}Rc + \alpha + n\beta_{f}^{T})\frac{\partial T}{\partial t}$$

$$= -\frac{\partial}{\partial z} \left[-\frac{k_{h}}{\gamma_{w}}\frac{\partial u}{\partial z} + \frac{RT}{M}\frac{k_{c}}{\gamma_{w}}\frac{\partial c}{\partial z} + \frac{k_{T}}{\mu_{l}}\frac{\partial T}{\partial z} - n\frac{\partial w_{z}}{\partial t} \right]$$

$$(19)$$

182

183 2.3 Governing equations of heat transfer

Following the energy conservation law heat transport in soil, in absence of a source/sink, is written
as,

$$\frac{\partial \Phi}{\partial t} = -\frac{\partial Q}{\partial z} \tag{20}$$

186 where Q is the heat flux per unit volume of soil and Φ is the heat content. Considering that the different

187 components of the soil are in thermal equilibrium, heat content is expressed as (Thomas and Cleall, 1999),

$$\Phi = [(1-n)C_{ps}\rho_s + nC_{pf}\rho_f](T-T_r)$$
(21)

188 where C_{ps} and C_{pf} are the specific heat capacity of the solid particles and pore liquid, respectively, and

189 T_r is the reference temperature.

190 We consider two modes of heat transfer in soil, *e.g.*, thermal conduction and convection. The heat

191 flux is therefore expressed as,

$$Q = -\lambda_T \frac{\partial T}{\partial z} + C_{pf} \rho_f V(T - T_r)$$
⁽²²⁾

192 where λ_T is the intrinsic thermal conductivity of the soil.

193 Substitution of Eqs. (21)-(22) into Eq. (20) yields the governing heat transfer equation as

$$A\frac{\partial T}{\partial t} + B\frac{\partial u}{\partial t} + C\frac{\partial c}{\partial t} = -\frac{\partial}{\partial z} \left[-\lambda_T \frac{\partial T}{\partial z} + C_{pf}\rho_f (T - T_r) \left(-\frac{k_h}{\gamma_w} \frac{\partial u}{\partial z} + \omega \frac{RT}{M} \frac{k_h}{\gamma_w} \frac{\partial c}{\partial z} + \frac{k_T}{\mu_l} \frac{\partial T}{\partial z} \right) \right]$$
(23)

$$A = C_{ps}\rho_s + [n - (T - T_r)(m_{\pi}Rc + \alpha)](C_{pf}\rho_f - C_{ps}\rho_s) - (T - T_r)nC_{pf}\rho_f\beta_f^T$$
(23a)

$$B = (T - T_r) \left(C_{pf} \rho_f m_v - C_{ps} \rho_s m_v + n C_{pf} \beta_f \right)$$
(23b)

$$C = (T - T_r) \left(C_{pf} \rho_f - C_{ps} \rho_s \right) m_{\pi} R T$$
(23c)

195 2.4 Governing equations of solute transport

196 Transport of a solute in pore fluid is explained as,

$$\frac{\partial(nc)}{\partial t} = -\frac{J_f}{\partial z} \pm Y \tag{24}$$

197 where J_f is the total solute flux in the pore fluid, and Y is the sink/source term. The total flux combines

198 convection, molecular diffusion and thermal diffusion and it is expressed as,

$$J_f = J_c + J_{D_c} + J_{D_T}$$
(25)

199 where J_c , J_{D_c} , and J_{D_T} represent solute flux due to convection, molecular diffusion and thermal

200 diffusion, respectively. They are defined as,

$$J_{c} = cV = c\left((1-\omega)\left(-\frac{k_{h}}{\gamma_{w}}\frac{\partial u}{\partial z}\right) + \omega\frac{k_{h}}{\gamma_{w}}\frac{RT}{M}\frac{\partial c}{\partial z} + \frac{k_{T}}{\mu_{l}}\frac{\partial T}{\partial z}\right)$$
(26)

$$J_{D_c} = -nD_c \frac{\partial c}{\partial z} \tag{27}$$

$$J_{D_T} = -n\tau D_0 S_T c \frac{\partial T}{\partial z}$$
(28)

201 where D_c is the effective diffusion coefficient, D_0 is the diffusion coefficient of the solute in free liquid.

Eq. (28) calculates the contribution of temperature on the solute diffusion, *i.e.*, the Soret effect, and S_T is the Soret coefficient. τ is the tortuosity factor and it lies between $0 < \tau < 1$. In this work, τ is calculated following Liu et al. (2004) as,

$$\tau = n^2 \tag{29}$$

205 Malusis et al. (2015) described the effective diffusion coefficient of semipermeable clays as,

$$D_c = \tau (1 - \omega) D_0$$

Substitution of Eqs. (25)-(30) into Eq. (24) yields

$$\frac{\partial(nc)}{\partial t} = -\frac{\partial}{\partial z} \left((1-\omega) \left(-\frac{k_h}{\gamma_w} \frac{\partial u}{\partial z} \right) c + \omega \frac{RT}{M} \frac{k_h}{\gamma_w} \frac{\partial c}{\partial z} c + \frac{k_T}{\mu_l} \frac{\partial T}{\partial z} c - nD_c \frac{\partial c}{\partial z} - n\tau D_0 S_T c \frac{\partial T}{\partial z} \right)$$

$$\pm Y$$
(31)

Eq. (31) is the governing equation of solute transport in the pore fluid.

208 The mass conservation equation of a solute adsorbed in soil aggregates is written as,

$$\frac{\partial [(1-n)\rho_s S]}{\partial t} = -\frac{\partial J_s}{\partial z} \pm Y$$
(32)

209 where S represents the amount of solute adsorbed in the solid phase. J_s is the solute flux in the solid

210 phase, which is expressed as,

$$J_s = (1-n)V_s \rho_s S. \tag{33}$$

211 Here, solid-solution partitioning is descried as,

$$S = K_d c \tag{34}$$

212 where K_d is the partitioning or distribution coefficient.

$$\frac{\partial [(1-n)\rho_s K_d c]}{\partial t} = -\frac{\partial}{\partial z} \Big[(1-n)\frac{\partial w_z}{\partial t} \rho_s K_d c \Big] \pm Y$$
(35)

- 214 which is the governing equation of solute transport in the solid phase.
- According to the mass balance of solute, combining Eq. (31) and (35) together, then

$$\frac{\partial(nc)}{\partial t} + \frac{\partial[(1-n)\rho_{s}K_{d}c]}{\partial t} = -\frac{\partial}{\partial z} \left((1-\omega) \left(-\frac{k_{h}}{\gamma_{w}} \frac{\partial u}{\partial z} \right) c + \omega \frac{RT}{M} \frac{k_{h}}{\gamma_{w}} \frac{\partial c}{\partial z} c + \frac{k_{T}}{\mu_{l}} \frac{\partial T}{\partial z} c - nD_{c} \frac{\partial c}{\partial z} - nT_{c} \frac{\partial c}{\partial z} \right) - \frac{\partial}{\partial z} \left[(1-n) \frac{\partial w_{z}}{\partial t} \rho_{s} K_{d} c \right]$$
(36)

Eq. (36) is the final governing equation of solute transport in a porous medium.

217

218 **3. Model validation**

(30)

Partial validation of the model is presented in this section. Full model validation could not be performed due to lack of experimental data. The accuracy of the model on predicting thermo-osmosis and the influence of thermal gradient on solute transport has been tested here by comparing with relevant experimental data obtained from the literature. More validation and/verification of the model, especially the chemical-osmosis processes are presented in Zhang et al. (2018). The model simulations have been carried out using the COMSOL Multiphysics (https://www.comsol.com) software.

225

226 3.1 Comparison with the results of Delage et al. (2000)

227 Delage et al. (2000) conducted experimental investigation on temperature induced volume change 228 of clay-water system and subsequent consolidation in saturated Boom clays. Samples (76 mm height and 229 38 mm diameter) were tested in a triaxial compression cell under a constant isotropic stress of 4 MPa and temperatures up to 100°C. Thermal dilation and expulsion of porewater from the samples during the 230 231 drained consolidation tests allowed the thermo-osmotic consolidation to take place. Here the model predicted results have been compared with the thermal volume change behaviour of the clay-water system 232 observed in their experiment. To account thermal dilation, Baldi et al. (1988) expressed the porewater 233 thermal expansion coefficient (β_f^T) as a function of temperature and water pressure as, 234

$$\beta_f^T(T, u) = \alpha_0 + (\alpha_1 + \beta_1 T) \ln(mu) + (\alpha_2 + \beta_2 T) (\ln(mu))^2$$
(37)

235 where
$$\alpha_0$$
 (=4.505×10⁻⁴ °C⁻¹), α_1 (=9.156×10⁻⁵ °C⁻¹), α_2 (=6.381×10⁻⁶ °C⁻¹), β_1 (= -1.2×10⁻⁶ °C⁻²), β_2 (=

236 -5.766×10⁻⁸ °C⁻²), $m(=15 \text{ MPa}^{-1})$ are constants.

237

238

Table 1 Parameters used to compare simulation results against the result of Delage et al. (2000)

Parameters	Values	References

Initial porosity, n_0	0.370	
Initial hydraulic conductivity, k_{h0}	$2.0 \times 10^{-12} \text{ms}^{-1}$	Delage et al. (2000)
Coefficient of volume change, m_v	1×10 ⁻⁵ Pa ⁻¹	Delage et al. (2000)
Unit weight of liquid, γ_w	10 kNm ⁻³	
Density of the soil, ρ_s	2600 kgm ⁻³	
Modulus of elasticity, E	200 MPa	Francois et al. (2009)
Poisson's ratio, ν	0.3	Peters and Smith (2004)
Thermal expansion coefficient of soil, α	1×10 ⁻⁵ °C ⁻¹	Delage et al. (2000)
Compressibility of pore liquid, β_f ,	$4.5 \times 10^{-10} Pa^{-1}$	Francois et al. (2009)
Specific heat capacity of soil, C_{ps}	2.85×10 ⁶ Jm ⁻³ K ⁻¹	Delage et al. (2000)
Specific heat capacity of pore liquid, C_{pf}	4186 Jkg ⁻¹ K ⁻¹	Francois et al. (2009)
Intrinsic thermal conductivity of soil, λ_{T}	1.7 Wm ⁻¹ K ⁻¹	Delage et al. (2000)

The parameters used in the validation exercise are listed in Table 1. The initial temperature T(z, 0) =241 293 K, $(0 \le z < L \text{ and } L = 0.076 \text{ m})$, while the boundary temperature T(L, t) = 373 K $(t \ge 0)$. The 242 porewater pressure remained constant throughout the simulation, i.e. u(z, t) = 4 MPa, $(0 \le z \le L \text{ and}$ 243 $t \ge 0$) The simulation time (t) is 266.67 h.

The results are presented in Fig.1. The model predicted result shows good agreement with the experimental result. Temperature induced porewater expansion and expulsion caused the sample to contract and, therefore, reduced its porosity. The decreased volume or porosity reduction is associated with the dissipation of porewater pressure. Delage et al. (2000) conducted four tests using the same sample. Here we compared the 'Test 1' results which was suggested as better quality than the other test results (that exhibited anomalies at lower temperature regimes). The deviation observed at higher temperatures (70-100°C), where the model under-estimated the rate of porosity reduction (or porewater pressure dissipation), may be due to the uncertainties associated with the additional model parameters used in the simulation.







- Figure 1 Comparison of model predicted result of temperature induced (a) porosity and drained water
 variation and (b) thermal volumetric strain with that of the Delage et al. (2000).
- 258

259 3.2 Comparison with the results of Do et al. (2006)

260	Do et al. (2006) investigated effects of temperature on zinc (Zn^{2+}) and cadmium (Cd^{2+}) diffusion
261	in natural clays collected from southwester Korea. Laboratory soil column tests were conducted at various
262	temperatures ranging from 15°C to 55°C. Here, the results are compared with the experiments conducted
263	at 55°C. 100 mm by 50 mm solute free clay samples were exposed, individually, to a source concentration
264	of 500 mg L ⁻¹ Zn^{2+} and 300 mg L ⁻¹ Cd^{2+} and their transport in the soil columns were observed for 30 days.

265



Table 2 Simulation conditions to test against the results of Do et al. (2006)

Initial	c(z, 0) = 0	T(z, 0) - 272 V	$(0 < \pi < I)$
conditions	c(2,0) = 0	I(2,0) = 273 K	(0 < 2 < L)
Boundary	$c(0,t) = c_0$	T(0,t) = 328 K	$(t \ge 0)$
conditions	$\frac{\partial c}{\partial x}(L,t) = 0$	T(L,t) = 273 K	$(t \ge 0)$

267

 Table 3 Parameters used to compare simulation results against the result of Do et al. (2006)

Parameters	Values	References
Porosity, <i>n</i>	0.43	Do et al. (2006)
Molar mass of Zn^{2+}	0.065 kg m ⁻³	
Molar mass of Cd^{2+}	0.112 kg m ⁻³	

Soret Coefficient, S_T	0.033 K ⁻¹	Hart and John (1986)
Diffusion coefficient (D_0) of Zn^{2+}	$7.02 \times 10^{-10} m^2 s^{-1}$	Lide (1997)
Diffusion coefficient (D_0) of Cd^{2+}	$7.17 \times 10^{-10} m^2 s^{-1}$	Lide (1997)
Distribution coefficient K_d of Zn^{2+}	12.1 L kg ⁻¹	Lin (2008)
Distribution coefficient K_d of Cd^{2+}	26.8 L kg ⁻¹	Lin (2008)

The initial and boundary conditions of the simulation are presented in Table 2 and the parameters in Table 3. The simulation results and their comparison with that of Do et al. (2006) are presented in Fig.2. It is obvious from the graphs that the model predicted results agree well with the results of Do et al. (2006). The transport of Zn^{2+} and Cd^{2+} in these results are influenced by both the concentration gradients and the thermally induced diffusion or the Soret effect. The results also highlight accurate implementation of the mechanisms and its accuracy of prediction.

276





279

of Do et al. (2006).



The model applications presented in this section investigate the relative importance of osmotic processes on non-isothermal coupled flow and deformation behaviour of a semi-permeable clay liner (bentonite) used in a generic landfill site.

285 **4.1 Problem description**

Waste weight and waste degradation, temperature evolution, leachate formation, and seepage create a complex, challenging scenario, for which optimum performance of the clay liner should be ensured and maintained to restrict the movement or spread of contaminants. Here, the model is used to simulate a number of coupled landfill processes in a 1D clay liner domain. Transport of a representative trace metal, e.g., Cadmium, is predicted in the saturated clay liner subjected to simultaneous thermal, chemical and mechanical loading events. Cadmium is a toxic heavy metal and causes adverse health consequences in human and animals when ingested at higher dosage.

293 Chen et al. (2015, 2016) reported that biological degradation of landfill waste can elevates the 294 temperature at the top of the liner up to 80°C or 353 K, while the bottom temperature remains 20°C or 295 293 K. This creates a temperature gradient of 60°C across a 1.0 m long clay liner. In the simulations, 296 three different temperatures, e.g. 313 K, 333 K and 353 K, have been considered at the top, while 293 K 297 at the bottom, yielding $\Delta T = 20$, 40 and 60, respectively. The simulation period is up to 100 years. The 298 initial and boundary conditions of the simulations are presented in Table 4.

299

300 **Table 4** Initial and boundary conditions to investigate coupled THCM behaviour of a natural clay liner

Initial conditions	Boundary conditions	
(0 < z < L)	(t > 0)	
w(z, 0)=0	- $w(L, t) = 0$	

u(z, 0) = P = 50 kPa	u(0, t) = 0	u(L, t)=0
c(z, 0)=0	c(0, t)=225	c(L, t)=0
T(z, 0)=293K	T(0, t) = 313 K/333 K/353 K	T(L, t) = 293K

302 4.2 Model parameters

The model parameters are listed in Table 5. Gonçalvès and Trémosa (2010) compiled a list of thermo-303 osmotic conductivity data, from literatures, for various clays and expressed them in "m² K⁻¹ s⁻¹" unit by 304 305 implicitly accounting the dynamic viscosity of water and osmotic efficiency of clayey soils. However, in 306 these simulations the thermo-osmotic conductivity (k_T) is calculated following Gonçalvès et al. (2012). 307 This is because under non-isothermal condition μ_l is a function of temperature (T) and by considering it implicitly in m² K⁻¹ s⁻¹ units will undermine the important thermo-physical property of clay-water 308 system. For boom clay, they reported the ratio of k_T/k in the range 2.5 - 250 Pa K⁻¹, where the intrinsic 309 permeability $k = 5.0 \times 10^{-18} \text{ m}^2$. For $k_T / k = 6.5 \text{ Pa K}^{-1}$, the value of $k_T = 3.25 \times 10^{-17} \text{ Pa m}^2 \text{ K}^{-1}$ or $3.25 \times 10^{-17} \text{ Pa}$ 310 N K⁻¹. 311

312

313 The temperature-dependent viscosity $\mu_{l(T)}$ is estimated following (Kaye and Laby, 1986) as,

$$\mu_{l(T)} = 661.2 \left(T - 229 \right)^{-1.562} \times 10^{-3} \pm 0.5\%$$
(38)

314

Table 5 Model parameters for the long-term simulation/ model application

Parameters	Values	References
Initial porosity, n_0	0.5	
Molar mass of NaCl, M	0.112 kg mol ⁻¹	

Osmotic efficiency, ω	0.005	Huang et al. (2015)
Density of the solid soil, ρ_s	2600 kg m ⁻³	Gonçalvès et al. (2012)
Diffusion coefficient (D_0) of Cd^{2+}	$3.837 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$	Araujo et al. (2007)
Initial hydraulic conductivity, k_0	1.0×10 ⁻¹⁰ m s ⁻¹	Kaczmarek et al. (1997)
Poisson's ratio, v	0.3	Peters and Smith (2004)
Modulus of elasticity, E	$1.49 \times 10^{6} \text{Pa}^{-1}$	Zhang et al. (2018)
Universal gas constant, R	8.314 J mol ⁻¹ K ⁻¹	
Unit weight of the pore water, γ_w	10 kN m ⁻³	
Distribution coefficient, k_d	14.3×10 ⁻³ m ³ kg ⁻¹	Lin (2008)
Coefficient of volume change, m_v	5×10 ⁻⁷ Pa ⁻¹	Delage et al. (2000)
Coefficient of volume change, m_{π}	0.105×10 ⁻⁷ Pa ⁻¹	Kaczmarek and Hueckel (1998)
Soret coefficient, S_T ,	0.033 K ⁻¹	Hart and John (1986)
Thermo-osmotic conductivity, k_T	3.25×10 ⁻¹⁷ Pa m ² K ⁻¹	Gonçalvès et al. (2012)
Thermal expansion coefficient, α	2.4×10 ⁻⁴ K ⁻¹	Hong et al. (2013)
Compressibility of pore fluid, β_f ,	4.5×10 ⁻¹⁰ Pa ⁻¹	Francois et al. (2009)
Pore fluid thermal coefficient, β_{f}^{T}	3.5×10 ⁻⁴ K ⁻¹	Francois et al. (2009)
Specific heat capacity, C_{ps}	732 J kg ⁻¹ K ⁻¹	Francois et al. (2009)
Specific heat capacity, C_{pf}	4186 J kg ⁻¹ K ⁻¹	Francois et al. (2009)
Thermal conductivity of soil, λ_T	$1.69 \text{ W m}^{-1} \text{K}^{-1}$	Francois et al. (2009)

5. Results and Discussion

317 5.1 Effect of osmosis on the overall consolidation behaviour

The contributions of osmotic processes on the clay liner deformation are presented here. Various loading conditions including chemical-osmosis and thermo-osmosis are included in non-isothermal coupled flow simulations to estimate their relative dominance on the liner deformation. The results of four loading conditions, namely, Case 1: mechanical loading only; Case 2: mechanical loading and chemical-osmosis; Case 3: mechanical loading and thermo-osmosis; and Case 4: mechanical loading, chemical-osmosis and thermo-osmosis, at the source temperature of 333 K are presented in Fig.3. The deformation behaviour is explained by analysing the change in void ratio of the clay liner.

325 Fig.3a and 3b show the evolution of void ratio respectively at z = 0.25 m and 0.50 m for the four loading cases. In both figures, the lowest volume change is observed when the deformation is solely 326 327 controlled by the mechanical loading, i.e. Case 1. The combination of mechanical loading, thermo-328 osmosis and chemical-osmosis (Case 4) results into the maximum deformation of the liner. The minimum void ratio observed in Fig.3a Case 1 and Case 4 are 0.94 and 0.86, while in Fig.3b these are 0.94 and 0.88, 329 330 respectively. For the given simulation conditions and parameter regime, this represents an additional reduction of 8%, at 0.25 m depth, and 6%, at 0.50 m, of overall soil volume in Case 4 than in Case 1. In 331 the simulation, liner temperature elevates rapidly and reaches to the applied temperature of 333 K at the 332 333 boundary. The graphs of Case 3 as well Case 4, in both figures, indicate expansion of the clay liner during the temperature growth period (until around 0.01 years). This is related to the thermally induced 334 335 expansions of soil skeleton and the pore fluid volume.





Following the initial expansion, pore fluid expulsion, assisted by the thermo-osmotic conductivity of the clay liner, occurs through the drainage boundary and causes thermo-osmotic consolidation. Closer to the heat source the effect of thermo-osmotic consolidation and thermal consolidation is larger, which
reduces gradually with the distance. This is noticeable, since the predicted slopes of Case 3 graphs in
Fig.3a are steeper than that of Fig.3b.

348 The Case 2 graphs, which include the combined effects of mechanical loading and chemicalosmosis, show that initially the overall deformation is dominated by mechanical consolidation and in the 349 long term chemico-osmotic consolidation becomes more prevalent. With time the gradient of solute 350 351 concentration or chemical potential across the clay liner decreases and subsequently the effect of chemico-osmotic consolidation, which explains the 'rebound shape' observed in the Case 2 results. A 352 353 detailed study on chemical-osmosis and chemico-osmotic consolidation behaviour of semi-permeable 354 clays are presented in Zhang et al. (2018). It should be noted that under non-isothermal conditions 355 temperature variations also influence the chemico-osmotic consolidation behaviours (as per Eq. (7)).





Figure 4 Evolution of solute concentration and temperature at (a) 0.25 m and (b) 0.50 m depth of the semi-permeable clay liner for various solute transport mechanisms. Case 1: chemical diffusion only; Case 2: thermal diffusion only; Case 3: fluid diffusion only; and Case 4: fluid diffusion, thermal diffusion, and chemical diffusion.

The calculated characteristic time $\left(\approx \frac{L^2}{D_c}\right)$ for cadmium diffusion, for the parameter regime, are 2.05 363 years and 8.2 years at 0.25 m and 0.5 m, respectively. This is also noticeable in Figure 4a and 4b, which 364 show the calculated results for the fluid diffusion, thermal diffusion, and chemical diffusion and combined 365 366 actions in this consolidation problem. In comparison the characteristic times of thermal diffusivity, which is the ratio between thermal conductivity and specific heat capacity ($\approx 1.77 \times 10^{-6}$ m²/s), for the 367 corresponding characteristics length are significantly shorter, e.g., 9.8 hours and 39.2 hours. Based on the 368 calculated coefficient of consolidation of 2.04×10^{-8} m²/s, the corresponding characteristic times for 369 370 fluid diffusion are 35.4 days and 138.7 days. Therefore, the simulations suggest that the heat equilibrium reaches faster and before the pore water expulsion. The concentration equilibrium occurs at last. This 371 372 means that the effects of heat transfer, fluid dissipation, and solute transport on the overall consolidation 373 of the liner occur perhaps independently.

374

375 **5.2** Influence of temperature on the evolution and distribution of pore water

376 The evolution of excess pore water pressure along the depth of the clay liner is presented in Fig.5 for various temperature regimes. The results show that during the early simulation period (0.1 year), 377 excess pore water pressure is positive and reaches near the applied mechanical pressure of 50 kPa. The 378 379 positive porewater pressure (or compression) is associated with the mechanical consolidation and it increases further with temperature. This effect of temperature is repeated in each pressure profiles drawn 380 at the individual simulation times, *i.e.* t = 0.1, 1.0 etc. However, the excess positive pressure disappears 381 382 rapidly and leads to negative pressure development. The negative pressure in the clay liner occurs due to 383 concurrent influence of chemical-osmosis and thermo-osmosis which expel pore water from the liner and results into negative pore water pressures. Therefore, under non-isothermal conditions, excess pore water 384 385 pressure in semi-permeable clays is initially influenced by the mechanical loading and later combinedly by the osmotic loadings. The effects of osmotic consolidations on the excess pore wate pressure gradually 386 diminishes since both solute-concentration-gradient and temperature-gradient across semi-permeable 387 388 clay membranes reduces with time.



391 **Figure 5** Evolution and distribution of excess pore water pressure in the semi-permeable clay liner.

392

393 5.3 Influence of temperature on the overall liner settlement

394 The results of time-dependent settlement behaviour of the clay liner are presented Fig.6. The graphs

395 plotted for the individual source temperatures exhibit an initial (I) increasing phase, followed by a (II)

396 decreasing phase.



398

399

Figure 6 Evolution of the clay liner settlement for various temperature regimes.

At lower temperatures, e.g. $\Delta T = 20^{\circ}$ C, the contribution of thermally induced consolidation or 400 401 expansion on the overall settlement is smaller and the settlement is dominated by the mechanical loading. The contributions of thermal volume change on the settlement increases with temperature, e.g. $\Delta T = 40^{\circ}C$ 402 or 60°C. The graphs at these temperature regimes show an initial expansion of the liner that is due to the 403 404 thermal dilation of the solid phase and the liquid phase. However, the differential expansion of the phases (liquid dilation is usually larger than a solid at a given temperature) leads to expulsion of pore water 405 406 across the semi-permeable clay membrane under the drained boundary condition, i.e., thermo-osmotic 407 consolidation. At higher temperature, the influence of thermo-osmotic consolidation on the overall 408 settlement behaviour therefore increases. The peak settlement also increases with temperature. The maximum settlement of 27.55 mm is calculated at 353 K (or $\Delta T = 60^{\circ}$ C), which is an increase of 11.3% 409 from the peak settlement of 24.71 mm at the 313 K (or $\Delta T = 20^{\circ}$ C). It is shown in Fig.3 that the mechanical 410 consolidation occurs relatively fast and in the long-term both thermo-osmosis and chemical osmosis 411

412 influence the settlement behaviour. As the elevated thermo-osmotic-gradient and chemical-osmotic-413 gradient diminishes with time, the direction of pore water flow across the semi-permeable membrane 414 reverses which leads to continuous reduction of the liner settlement. This explains the rebound shape 415 observed in the phase II of Fig.6.

416

417

7 5.4 Influence of temperature and osmotic processes on the overall solute transport behaviour

The solute transport results in the clay liner is presented in Fig.7. In the simulations, a number of 418 processes and their coupled interactions, including Fickian diffusion, temperature-induced diffusion or 419 Soret effect, osmotically driven advection, chemico-osmotic consolidation, thermo-osmotic consolidation, 420 421 mechanical consolidation and solid-solution partitioning or sorption, regulated the solute spread in the 422 model domain. The results show that in semi-permeable clays solute spread is affected negatively with temperature, despite the influence of temperature on the solute movement (or the Soret effect) is positive. 423 424 It is shown in Fig.6 that the liner settlement increases with temperature. That means that the solute transport is retarded further in a more compacted clay liner and, therefore, the effect of thermo-osmotic 425 consolidation on the solute transport dominates over Soret diffusion. After 50 years, the concentration of 426 100 kg/m³ Cd^{2+} is predicted up to 0.12 m, 0.20 m, and 0.28 m depth, for $\Delta T = 20, 40, 60^{\circ}$ C, respectively. 427 For a 20°C increase in temperature the spread of cadmium in the liner is reduced by 8 cm for the current 428 parameter regimes and the simulation conditions. 429

In practice, such as, landfill operations that often use clayey soils as barrier materials and where heat generation is significant, the effects of thermally driven consolidations should not be ignored, since it may significantly deviate the predicted outcomes. To highlight the importance of thermo-osmotic consolidation on solute transport, a comparison between hydro-mechanical-chemical (HMC) behaviour



Figure 7 Evolution of solute concentrations along the depth of the liner for various temperatures.



Figure 8 Effect of temperature on solute transport behaviour in a saturated, semi-permeable clay liner.

440 HMC represent hydro-mechanical-chemical behaviour during isothermal simulations.

442 From the results of Fig.8, it is evident that significant difference exists between the THMC and the

⁴³⁹ THMC represents thermo-hydro-mechanical-chemical behaviour for non-isothermal simulation, whereas,

443	HMC simulation. The solute concentration values in THMC simulations are less than those in HMC.
444	Furthermore, the divergence between the THMC and the HMC simulation increases with time. In the
445	HMC simulation, after 50 years, Cd^{2+} concentration elevates to 50% of the source concentration level up
446	to 0.16 m depth of the liner, whereas in the THMC simulation the spread is up to 0.109 m, which shows
447	that the thermal mechanism has a great retardation effect on solute transport. That is an overprediction of
448	5.1 cm (31.9%) for a boundary temperature of 333 K (or $\Delta T = 40^{\circ}$ C). In HMC simulation, thermo-osmotic
449	consolidation and thermal diffusion are ignored, but the effects of mechanical loading, chemical-osmosis
450	and other processes remain unchanged.

452 **6. Conclusions**

453 In this paper, a fully coupled numerical model to study thermo-hydro-mechanical-chemical behaviour of a saturated, semi-permeable clay soil, has been presented. The combined effect of thermo-454 455 osmosis and chemical-osmosis on soil deformation and solute transport behaviour has been investigated. Accuracy of the model in predicting the volume change behaviour and non-isothermal solute transport 456 has been demonstrated via partial validation exercises supported by laboratory data collected from the 457 458 literatures. In the application of the model, multiple loading events, e.g. mechanical loading, chemical loading, and thermal loadings are simultaneously imposed on a natural clay liner with the intention to 459 identify the relative importance of thermo-osmotic consolidation on other loadings and to quantify its 460 461 impact on the overall deformation of the liner as well as on the spread of a representative solute such as cadmium. 462

463 This study revealed that the effects of thermo-osmotic consolidation and the overall settlement of 464 the clay liner increases with temperature. A change in temperature from 40°C to 80°C resulted in an 465 increase of 11.3% of the peak settlement (from 24.71 mm to 27.55 mm), for the same simulation period. 466 At the early stages, soil settlement is dominated by the mechanical loading and, in the long-term, both 467 chemico-osmotic consolidation and thermo-osmotic consolidation are found regulating the deformation 468 behaviours. Excess porewater pressure in semi-permeable clays increases with temperature. Ignoring the 469 thermo-osmosis and/ thermo-osmotic consolidation processes in the simulations, the predicted transport 470 significantly deviates from the realistic spread of the solute. Up to 80% overprediction of the spread of 471 cadmium in the clay liner is observed when the thermo osmotic processes are neglected.

472

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