

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository: <https://orca.cardiff.ac.uk/id/eprint/146153/>

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Parker, Gavin, Lynn, Tessa and Wargent, Matthew 2015. Sticking to the script? The co-production of neighbourhood planning in England. *Town Planning Review* 86 (5), pp. 519-536. 10.3828/tpr.2015.31

Publishers page: <http://dx.doi.org/10.3828/tpr.2015.31>

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies. See <http://orca.cf.ac.uk/policies.html> for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



Sticking to the Script? The Co-production of Neighbourhood Planning in England.

Introduction

This paper sets out findings drawn from a study of 120 neighbourhoods¹ from across England who, at the time of the research discussed here, had completed or were preparing a Neighbourhood Development Plan (NDP) under the auspices of the Localism Act (2011) and associated regulations covering neighbourhood planning (NP) in England². The focus is placed on the experience that the groups have accumulated in developing an NDP. This is particularly important given that there is a great deal of interest in this policy initiative and some early work examining the dynamics of neighbourhood planning case studies has been emerging (see, for example; Defra, 2013; Davoudi and Madanipour, 2013; and this journal), but little empirical work with users has been published. This paper therefore contributes needed empirical evidence and also adds to the ongoing debate over how localism is being translated into practice in a post-collaborative neo-liberal era.

It is axiomatic that the views and experiences of participants, alongside claims made on behalf of neighbourhood planning, should be factored in for careful assessment, reflection, and further analysis. For reasons of space and focus this paper necessarily concentrates on the reported views of volunteers in neighbourhood planning, we also acknowledge that there is an ongoing need for theoretically informed critique (see also Davoudi and Madanipour, 2013; Parker and Street, 2015) and further research as indicated towards the end of this paper.

Neighbourhood planning (NP) suggests itself as a collaborative planning form (e.g. Healey 2003) and given thoroughgoing criticisms of the collaborative planning paradigm elucidated by, for example; Allmendinger and Tewdwr-Jones (1998); Huxley (2000); Hillier (2003); Mouffe (2005); Sager (2009); Swyngedouw (2010) and

¹ Much of the source data drawn upon here is derived from a research study conducted by the authors (see Parker *et al*, 2014).

² The Neighbourhood Planning regulations: <http://www.legislation.gov.uk/ukxi/2012/637/contents/made> and the Neighbourhood Planning Referendum regulations. See: <http://www.legislation.gov.uk/ukxi/2012/2031/made> (last accessed August 6th 2014).

1
2
3 Allmendinger and Haughton (2012), neighbourhood planning has been introduced to
4 a somewhat cautious welcome by planning theorists. It has been variously pointed
5 out that a lack of substantive principles undermine the legitimacy of such forms of
6 planning (Allmendinger and Tewdwr-Jones, 1998) and that this lack may actually
7 serve to jeopardise effective contestation of planning alternatives. There is a concern
8 that dialogic spaces such as NP (Mouffe, 2005) may bundle-up plurality and alterity
9 into some position justified on broad 'public interest' grounds (Campbell and
10 Marshall, 2002). This positionality may or may not include future generations and
11 other absent, or marginalized group interests who may not be able to engage directly
12 (Eversole, 2010). Huxley (2000) in this vein, has contended that the collaborative
13 planning paradigm carries two other important weaknesses i.e. it may be susceptible
14 to co-option by powerful interests, and that proponents have downplayed
15 asymmetries of power and knowledge between participants in dialogic spaces and
16 collaborative forms of planning.
17

18
19
20
21
22
23
24
25
26
27
28 Yet such critique cannot be allowed to close-down this important debate if concerned
29 parties are to challenge and reformulate spatial planning in England as a genuinely
30 co-produced and pluralist activity. One of the challenges then becomes how to
31 design and implement engagement that is somehow proofed against the problems of
32 instrumentalism, co-option and colonization by more dominant actors and interests. It
33 is also accepted however that participation cannot be open-ended and that
34 consensus in a diverse social environment is unlikely (Hillier, 2003; Sager, 2009).
35 Thus we are interested in developing awareness of the technologies of governance
36 employed and that commonly circulate and shape engagement processes and
37 outcomes (Parker and Street, 2015; Swyngedouw, 2005) and how neighbourhood
38 planning groups are navigating through a challenging operating milieu and with a
39 circumscribed mechanism such as neighbourhood planning. This leads us to a
40 consideration of the value of the process and the outcomes of neighbourhood
41 planning, as well as a focus here on how community views are 'rescripted' as a result
42 of the co-production processes anticipated by Gallent (2013) and which highlights
43 issues found in many neighbourhoods of capacity, skills and poor connection to
44 existing planning networks.
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 Despite difficulties in terms of practice and philosophical critique, successive UK
4 governments have experimented with weak forms of collaborative planning and
5 simultaneously with innovation in public service provision at the local level as part of
6 a wider governance 'shift'. Some of the changes wrought and associated tools have
7 involved elements and forms of partnership and co-production (Ostrom, 1996;
8 Eversole, 2010; Albrechts, 2013; Watson, 2014), which typically claim to involve
9 actors making 'better' use of each other's assets and resources (e.g. knowledge,
10 skills, time, money, facilities) to achieve improved outcomes and efficiencies. This
11 reflects a connecting point between dialogic forms of planning and the exigencies of
12 post-welfare times, including what may be regarded as a creeping neo-liberalisation
13 of the practices of local planning and of the public sector generally (Raco, 2009).
14 These incremental changes and associated mechanisms draw on justifications
15 regarding both questions of cost and of control over pre-established policy aims or
16 dominant tropes (e.g. 'growth', 'investment', 'sustainability'). Such policy shifts and
17 institutional iterations are represented by proponents as innovations and 'gains' for a
18 more participatory governance. The UK Coalition government, when introducing the
19 Localism Bill in 2011, stated this quite clearly; that they were giving local authorities:
20 'more freedom to work with others in new ways to *drive down costs*. It will give them
21 [LAs] increased confidence to do creative, innovative things to meet local people's
22 needs' (DCLG, 2011: p5, *our emphasis*).

37 38 **Neighbourhood Planning and the New Localism in Neo-Liberal Times**

39 Efforts to decentralise and bring government closer to citizens or to 'govern through
40 communities' (Rose, 1999) has been mainstream in UK government policies since at
41 least the Major administration (1990-97) and requires compliance of the 'good citizen'
42 to engage on the terms afforded. Rose, drawing from Foucault, stresses that
43 populations are 'governed by freedom'; that the opacity of scope and understanding
44 of what is legitimate or not can precipitate conformity rather than challenge. Bourdieu
45 (1977) explains this by stressing that individual practices usually fall back on learned
46 behaviours and what we have internalized as successful or acceptable socialities.
47 Thus the combination of instruction from authority and loose boundary setting found
48 in the Localism Act (2011) and associated neighbourhood planning regulations, may
49 encourage compartmentalized or isolated thinking (Zizek, 1999), and this
50 combination may, as a result, actually serve to *limit* the imagineering of alternatives.
51
52
53
54
55
56
57
58
59
60

1
2
3 Such conditions are further emphasised by an approach to town and country
4 planning by the Coalition government and applied to England, that emphasizes a
5 'control shift' that claims, via the use of a discourse of localism, to empower, but
6 which may act to reinforce centralised control precisely *because* of the opacity and
7 the degrees of self-regulation highlighted by Rose (1999). Into this milieu we need to
8 include the variance and limitations of resources held by neighbourhoods and other
9 actors which also constrain behaviours in conditions of an 'overloaded state' (Rose,
10 R., 1980) where the enabling capacity and attitudes towards participation may be
11 limited and 'necessarily' instrumentalised.
12
13
14
15
16
17
18

19 The orientation of neighbourhood planning as explained below, reflects a twin
20 configuration of a guiding State and the need for the good citizen with, in many
21 situations, the involvement of the private sector or business interests to 'improve'
22 matters (Jessop, 2002). This modality typically cites a need to address dissatisfaction
23 with outcomes in local policymaking, secondarily a problematisation of the costs and
24 benefits of public service delivery and a third justification around deficits of
25 representativeness and inclusivity is also heard. All are framed using discourses of
26 accountability and the criticisms are made and displayed as justificatory ramparts for
27 change and selective formulations of 'new' localism aligned with neo-liberal versions
28 of New Public Management thinking (cf. Diefenbach, 2009). This can be discerned in
29 the foreword to the National Planning Policy Framework (2012), applicable in
30 England:
31
32
33
34
35
36
37
38

39 *'planning has tended to exclude, rather than to include, people and*
40 *communities. In part, this has been a result of targets being imposed, and*
41 *decisions taken, by bodies remote from them. Dismantling the*
42 *unaccountable regional apparatus and introducing neighbourhood*
43 *planning addresses this' (DCLG, 2012: pi).*
44
45

46 Despite widespread scepticism, New Localist initiatives such as neighbourhood
47 planning may provide a platform for agenda setting and voice for communally held
48 views. The approach also holds *potential* for a more pluralist planning. Yet the
49 critique outlined briefly above highlights the potential subjugation of difference and
50 dissensus when and if instrumental pragmatism, whereby 'getting things done',
51 becomes a dominant rationale in neighbourhood planning. In such circumstances the
52 main concern *can appear* to be getting the task 'over and done with' and seeking to
53 organise engagement and co-production activity in an instrumental fashion and in
54
55
56
57
58
59
60

1
2
3 such a way that suits the organiser, rather than serving a wider (or even a narrower),
4 'public interest' (e.g. Honig, 1993; Rydin, 2003). There is a view derived from the
5 above, and bearing in mind the rules imposed around neighbourhood planning, that
6 this could become little more than an instrumentalist tool to effect centralism locally;
7 aimed largely therefore at those willing to engage on the limited terms offered. We
8 reflect on this later based on the findings presented, after first setting out a brief
9 description of neighbourhood planning in England.
10
11
12
13
14
15

16 **The Practice of Neighbourhood Planning under the Localism Act (2011)**

17 The Localism Act (2011) placed neighbourhood planning on a statutory footing, but it
18 is non-mandatory, with the decision to produce a Neighbourhood Development Plan
19 (or Neighbourhood Development Order) resting with the 'neighbourhood'. If the
20 option to do this is taken up the completed neighbourhood plan will become part of
21 the statutory 'plan-led' planning system in England (see Gallent and Robinson, 2012;
22 Parker, 2012). The extent to which control over the content has been ceded to
23 neighbourhoods is important. While 'rules of the game' for NP exist and are imposed,
24 they have been presented by Government in such a way that *appears* to allow for
25 significant influence:
26
27
28
29
30
31
32

33 *'Instead of local people being told what to do, the Government thinks that*
34 *local communities should have genuine opportunities to influence the*
35 *future of the places where they live. The Act introduces a new right for*
36 *communities to draw up a neighbourhood plan' (DCLG, 2011: p12).*
37
38

39 Such was the enthusiasm for neighbourhood planning in government that 'Vanguard',
40 (then renamed 'Frontrunner') groups had been encouraged to begin to prepare
41 neighbourhood plans in advance of the enactment of the Localism Bill. Indeed the
42 virtues of neighbourhood planning were extolled as part of the Conservative Party's
43 agenda even before the election of May 2010 and a policy paper *Open Source*
44 *Planning* set out a vision for a re-orientated planning system where:
45
46
47
48

49 *'Local people in each neighbourhood... will be able to specify what kind of*
50 *development and use of land they want to see in their area... this will lead*
51 *to a fundamental and long overdue rebalancing of power, away from the*
52 *centre and back into the hands of local people' (Conservative Party, 2010:*
53 *p2).*
54
55

56 This apparent desire to enable neighbourhoods to specify the kind of development in
57 their area through a neighbourhood plan was to be enabled by a series of
58
59
60

1
2
3 'community rights' enabled through a 'powerful set of tools for local people to ensure
4 that they get the *right types* of development for their community' (DCLG, 2012: p44,
5 our emphasis). Despite reservations from some commentators and local authorities,
6 these tools, in particular the option of producing a Neighbourhood Development Plan
7 (NDP) has gained significant momentum after featuring in the Localism Act. By
8 Autumn 2014 well over 1200 groups were using neighbourhood planning tools
9 according to the Department of Communities and Local Government (DCLG). The
10 Localism Act also introduced a 'duty to support' neighbourhood planning on local
11 authorities in their area. This places local authorities as important partners who hold
12 knowledge, resources and power to shape the progress and content of NDPs. In
13 order to co-produce the Plans. many of the participating neighbourhoods have been
14 drawing on government funded support which often manifests itself in planning
15 consultancy input which supplements local authority support.
16
17
18
19
20
21
22
23
24
25

26 While a detailed overview of the neighbourhood planning process is not possible
27 here (see for example Locality, 2012), the regulations that structure NP does require
28 clear, if brief, explanation. The NDP process involves producing a plan for the whole
29 neighbourhood area with the focus and marshalling of discussions, evidence and
30 views all convened and directed at the neighbourhood scale. Local actors work
31 together to produce the Plan, with the neighbourhood level 'Qualifying Body'; either
32 the existing town or parish council or the specially formed Neighbourhood Forum
33 overseeing the plan preparation – usually through a steering group.
34
35
36
37
38
39
40

41 The groups work to a scheme set out in the NP regulations and the NDP, if deemed
42 to be acceptable, becomes a statutory document with associated weight in decision-
43 making, but in order to be finalised or 'made' NDPs need to conform to policy from
44 the national level (i.e. the NPPF) and the strategic policies of the Local Plan (i.e.
45 show 'general conformity'), as well as comply to various European level directives.
46 Together an NDP must fulfil these 'basic conditions' (see Locality, 2012: p38). There
47 are prescribed stages set out in the neighbourhood planning regulations (UK
48 Government, 2012a,b). Each stage - and the consideration of what is to be done and
49 how it should be done - is therefore shaped by a number of factors, including: the
50 stipulations of the NP regulations; the emerging accepted view of allowable practices
51 advised by DCLG; advice of support organizations such as Planning Aid and Locality;
52
53
54
55
56
57
58
59
60

1
2
3 and the dynamics of the NP steering group, community members and independent
4 examiners. Taken together this situation indicates a much more heavily prescriptive
5 environment than government pronouncements might otherwise suggest.
6
7

8 9 **Methodology**

10 A sample of 120 neighbourhood planning groups who had been involved in NP
11 activity for at least six months were interviewed using a structured interview, involving
12 a mix of open and closed questions to generate both qualitative and quantitative
13 data. A series of six focus groups were convened with various types of
14 neighbourhood planning groups operating in differing contexts to discuss key points
15 emerging. The NP groups were spread across England and comprised 70 Parished
16 areas (mostly classed as rural) and 50 Neighbourhood Forum areas (predominately
17 urban). This data collection focussed on gathering evidence about what issues and
18 means were most relevant to the groups in terms of enabling and constraining
19 successful plan-making and across the stages of plan-making. The quantitative data
20 was analysed using SPSS and the qualitative data was coded and analysed
21 thematically (see Parker et al, 2014: p8-16 for more detail).
22
23
24
25
26
27
28
29
30
31

32 **User Experiences of Neighbourhood Development Planning**

33 We wanted to understand who instigated neighbourhood planning in each area and,
34 as expected, there was substantial variation regarding who prompted this activity: the
35 largest group who acted to initiate neighbourhood planning was the Parish and Town
36 Councils (in 60 of the parished areas). In the Forum areas, 66% of NDPs were
37 instigated by an individual or existing community group. In 35% of the cases overall it
38 was indicated that the Local Authority had acted to initiate neighbourhood planning -
39 reflecting their lead in the piloting of neighbourhood planning with selected and willing
40 Frontrunner neighbourhoods in 2011 and 2012 (see Defra, 2013; London Assembly,
41 2012; Parker, 2012).
42
43
44
45
46
47
48
49

50
51 Several main reasons for embarking on neighbourhood planning were revealed, with
52 key motivations for starting a neighbourhood plan stated to be reinvigorating the local
53 area and protecting the desirable characteristics of the neighbourhood. Perhaps
54 unsurprisingly, more than two-thirds of the interviewees stressed that they wanted to
55
56
57
58
59
60

1
2
3 have more influence; with a greater say in planning and development in their own
4 areas and in shaping a future vision for the neighbourhood:
5
6

7 *'We wanted to stop situations where we weren't being listened to, and give*
8 *the community a voice.'* [Int. 3]
9

10 *'The bottom line was to get the community to be involved in thinking about*
11 *planning policies for the future'* [Int. 18].
12

13 *'...to have genuine involvement of local people in planning decisions, it's*
14 *just the sheer process of involvement'* [Int. 36]
15

16 *'In theory we should have more power now under the Localism Act - if*
17 *you're not part of it you don't get a say, so that's why we started. Hopefully*
18 *Neighbourhood Planning will give us some say, people comment that we*
19 *[the Parish Council] don't have any more power than an individual, so we*
20 *want to have our voice listened to'* [Int. 68]
21
22
23

24
25 The responses collected about *motives* for embarking on neighbourhood plans were
26 ranged on a continuum from simply wishing to be 'involved' to actively challenging
27 the Local Authority on its approach to their neighbourhood. The overriding message
28 was that the neighbourhoods wanted more control and the ability to influence, as well
29 as to plan for the future. The theme of shaping a local vision was raised throughout
30 the responses and was more prominent than shaping specific projects or land use
31 policies. The issue of gaining a degree of community control was often portrayed as
32 desirable, in contrast to prior experience of decision making by the Local Authority
33 that was often perceived negatively.
34
35
36
37
38
39

40 Previous research (e.g. Gallent, 2013; Parker and Murray, 2012) has indicated that
41 such neighbourhood activities are typically led by a small team of people who act to
42 steer a community-led planning process (i.e. not necessarily the full set of people
43 constituting the Qualifying Body or a large body of community members) and this
44 was confirmed by this research. In most places it was a small group of people who
45 were the main force behind progress. These key people in the community drove
46 things forward; often with key skills or professional backgrounds. Many respondents
47 indicated the presence of pertinent skills and knowledge within the neighbourhood
48 and general town planning knowledge was present in more than half of the groups
49 interviewed. Many groups claimed to be without one or more useful skills however
50 and it was recognized that planning skills were crucial to Plan progress. Where these
51
52
53
54
55
56
57
58
59
60

1
2
3 were not available internally they had been brought in (very often consultant support)
4 and such planning knowledge was deemed particularly useful in the plan writing
5 stages. Overall it appeared that any lack of skills experienced introduced delay rather
6 than being fatal to the progress of the Plan, but as discussed later; this did affect the
7 form and content of the Plan and the role of private consultants.
8
9
10

11
12 Reaching milestones or key stages in the neighbourhood planning process e.g.
13 successfully achieving Designated Area status, or producing a draft Plan, was
14 highlighted by some as providing motivation and encouragement to continue with the
15 Plan and some interviewees stressed how the process had been good for drawing
16 people together. This suggests that neighbourhood plans may act as a catalyst for
17 further democratic engagement, and could act as a focal point for further
18 participation. Motivations and aims for the NP groups involved a mixture of frustration
19 regarding past planning outcomes and processes, as well as hope that the
20 neighbourhood plan could effect more control and assist in projecting a future better
21 attuned to the needs and preferences of the existing population. Some groups were
22 clear that they had a feeling of disenchantment with past practices and relations
23 between the local authorities and the community. It was also stated in the focus
24 groups that the establishment of a Forum was a significant achievement for non-
25 parished areas as it was hoped that the Forum could provide a platform to engage
26 with the Local Authority and give some enhanced 'standing' to community voices. So
27 while the focus group participants recognized potential this also resonates with
28 identified strategies for incorporating and managing engagement rather than enabling
29 a more open discursive local politics (see, for example; Honig 1993; Raco et al, 2006;
30 Swyngedouw, 2005).
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45

46 The interviewees were asked about specific delays or problems with writing the
47 neighbourhood plan and the main factors cited included issues that related to the
48 *plan writing* elements. This reflects somewhat of a disjuncture between early stages
49 of NP and the types of skills and understandings needed as firstly; facilitators of
50 community engagement and latterly as 'policy integrators' and para-legal writers.
51 This was blamed partly on a lack of planning knowledge (emphasising '*what* to do'
52 issues) but a deeper set of substantive challenges were revealed i.e. questions of
53 '*what*' and '*why*' plan? which manifested in a need for repeated drafts, with multiple
54
55
56
57
58
59
60

1
2
3 amendments and the rescripting of 'community aspirations' into what was typically
4 termed '*planning language*'. One respondent argued that 'if the residents are to
5 understand it, it needs to be a local writing it' (Int. 20). Thus the time/effort/financial
6 resources required to develop this skillset placed a significant burden on the small
7 core group of people to progress the Plan; some cited the work as constituting a 'full
8 time job'. Interactions with the Local Authority and other advisors which acted to steer
9 their progress did in some cases leave a feeling that the neighbourhood volunteers
10 had lost a degree of 'ownership' of the Plan.
11
12
13
14
15
16

17
18 Interviewees did feel however that their neighbourhood planning was navigating the
19 NP system reasonably well. The interviewees were asked to reflect on whether
20 neighbourhood planning had proved to be what they *expected* with over half (55%)
21 who thought that it was broadly as they imagined. When asked to explain whether
22 neighbourhood planning had proved to be more *burdensome* than expected almost
23 half of the sample asserted that it involved more work and they had encountered
24 more bureaucracy than anticipated it was also argued that NP was an elaborate
25 process. Although others claimed that, whilst it has been hard, they entered into the
26 process with an understanding that would involve some 'learning by doing'. Some felt
27 that neighbourhood planning had not delivered as much power or control as they
28 expected and there was some scepticism about the likely outcomes; for them it was
29 not clear whether the NDP will *actually* give more say in planning and development;
30 partly because of perceived limited room for manoeuvre and partly due to a loss of
31 ownership of the 'planning script'.
32
33
34
35
36
37
38
39
40
41
42

43 There is an established point found in the community engagement literature about
44 preparation and knowledge of participatory processes and their limits (Flinders and
45 Dommett, 2013). Equally investment in early phases of planning that features
46 discussion and awareness raising amongst the community is seen as important
47 (Parker and Murray, 2012). These studies showed that outcomes were significantly
48 'better' where expectations were managed and good preparation was made. Our
49 findings appear to reinforce such points and preparatory work in developing
50 awareness and understanding of issues and building local capacity was seen as
51 important amongst the sample. Some respondents indicated that previous work in
52 community-led planning had aided their capacity and tempered their expectations of
53
54
55
56
57
58
59
60

1
2
3 neighbourhood planning. However concerns regarding the nature of the content and
4 the orientation of the final Plan remained and in our view these issues require further
5 exploration still.
6
7

8
9 The focus group sessions revealed some concern over the quality and extent of the
10 engagement undertaken and, given the work reported here, which did not examine
11 the detail of the process, there is a need to more fully understand the methods and
12 quality of consultation and engagement undertaken in NP. Moreover how the views
13 and preferences of all community members and interests are discussed, retained,
14 blended or discarded during the process of neighbourhood planning (and particularly
15 when reaching the final stages) is clearly important, as reflected upon below.
16
17
18
19
20
21
22

23 **Developing and writing the neighbourhood plan with others**

24 As intimated above most groups had overcome difficulties in progressing the Plan
25 either themselves, or with assistance from planning consultants and the Local
26 Authority. It is notable that a large number of neighbourhoods had relied on
27 consultant input for at least some tasks or stages of neighbourhood planning (69%)
28 and it was at the plan-writing stage that the perceived reliance on planning
29 consultants was identified as critical:
30
31
32
33
34

35 *'the whole process is designed for professionals; outside our scope'* [Int.
36 57]

37
38 *'Communities need significant assistance translating ideas and theory to*
39 *the Plan and planning language'* [Int. 1]

40
41 *'Guidance implies we can put a broader range of things in the Plan than in*
42 *reality you can...support would have speeded it up immensely'* [Int. 72]

43
44 *'The community just haven't got the expertise'* [Int. 5]
45
46
47

48 There was a recognition of the need for local authority support and the
49 neighbourhood planning experience so far showed a mixed picture of local authority
50 support. The work showed that the steering groups lacked confidence and that their
51 Local Authority involvement was critical to progress throughout all of the stages. The
52 inputs had be established more clearly however given there were some reported
53 difficulties with the timeframes in which some local authorities operated and
54 consequent delays, as well as variable levels and quality of support:
55
56
57
58
59
60

1
2
3
4 *'[I] urge all parishes, towns, forums starting up to try to do the process in*
5 *partnership with the Local Planning Authority.'* [Int. 6]
6
7

8 *'Someone at the end of line all the time would be good, not just*
9 *independent consultants...to help with stipulations, anything, procedural,*
10 *how deep do certain tasks [need to be] - just to discuss and add*
11 *reassurance and maybe tell communities where to look for the answer.'*
12 [Int. 8]
13

14
15 *'The Local Authority could be more proactive in communications, should*
16 *keep people better informed of other local planning and policies going on.*
17 *Should be more proactive on approaching groups and keeping on board.'*
18 [Int. 11]
19

20
21 *'In our experience, more and more timely help from the Local Planning*
22 *Authority [would help] ... pulling people together and getting an overview*
23 *of what's happening has been excellent, that's the best way to ease the*
24 *burden'* [Int. 27]
25
26

27 *'We need a guru at the next level to co-ordinate.'* [Int. 53]
28

29
30 These types of experiences underpinned a common refrain about a need for more
31 clarity and more detailed guidance on the process and the scope of action in NP and
32 which was urged by most groups participating in the research.
33
34

35
36 Further evidence of local authority intervention in NP included the imposition or
37 changes to existing neighbourhood boundaries. The existing administrative Ward, or
38 in some cases parish boundaries, were not deemed appropriate in some places by
39 neighbourhood groups themselves and this was expanded upon in the focus groups.
40 Some thought the 'default' boundaries were too large for the resources available and
41 would be too varied in terms of neighbourhood make-up or character. In some local
42 authorities were apparently wishing to impose alternative boundaries for some
43 places, and for their own reasons:
44
45
46
47
48
49

50
51 *'Local Authority changed the area...controversial issue was surrounding*
52 *areas – some didn't want council estates in the area. It was redrawn only*
53 *to include nice areas. Community have a slightly broader boundary*
54 *definition'* (Int. 76)
55
56
57
58
59
60

1
2
3 *'We have a recognised town boundary but the Local Authority*
4 *misunderstood this process and essentially said 'no' to our boundary' (Int.*
5 *79)*

6
7
8 *'Local council refusing to put a large [brownfield] site into the*
9 *Neighbourhood Development Plan... site has other parishes adjoining it...*
10 *invited together as group of communities to influence [the site owner].*
11 *Debated having a big neighbourhood area but [Local Authority] kicked that*
12 *into touch' (Int. 113)*

13
14 *'...smaller areas – hard to dictate – confusion is that some areas are doing*
15 *Neighbourhood Planning for three streets and some doing a large rural*
16 *environment. It's not the area but the mixture of issues. We feel the area*
17 *might be wrong, because we're corresponding with Local Neighbourhood*
18 *Partnerships' (Int. 48)*

19
20
21 There was concern expressed by some interviewees that the 'duty to support' NP
22 which the Localism Act introduced needs to be operationalised in a more
23 accountable fashion, given that the nature and extent of such support is not
24 specified. There were clear frustrations experienced by some Qualifying Bodies in
25 respect of local authorities, while others were pleased with the approach and
26 relations fostered through neighbourhood planning. This also highlights that there is
27 clearly a gap in knowledge about the capacity, attitudes and behaviours towards NP
28 amongst local authorities and which requires further attention.
29
30
31
32
33
34

35
36 As recalled above, it has been suggested that where public expectation is adequately
37 managed, the outcomes of participatory initiatives can be improved significantly.
38 However there is a danger that such outcomes have effectively been choreographed;
39 and there is a well-established understanding of the dangers of forcing community
40 participants into a frame that suits the agents or promoters of the process (Eversole,
41 2010; Parker and Street, 2015). This corresponds to work on co-production in
42 planning which brings into question the dangers of assuming that co-production
43 processes can or do avoid the co-option of participants into pre-conceived schema
44 (Watson, 2014).
45
46
47
48
49
50
51

52 While the early stages of NDP production were seen as being more about community
53 development and were more open and discursive, it was in the latter stages that
54 respondents saw that the nature and types of skills and knowledge shifted over to
55 more technical 'planning' and at this point the professional planners exerted influence
56
57
58
59
60

1
2
3 over the style and content. In the latter stages of NDP production it emerged that in
4 numerous cases some distance was created between the final draft plan and the
5 ideas and form that the neighbourhood had wanted to put forward initially.
6 Interviewees saw pertinent issues involving questions of drafting and redrafting;
7 where a danger of loss of control and ownership was recognized and set against
8 concerns over passing the imposed tests at independent examination and
9 referendum. Some groups were ready to be pragmatic about the Plan and its form
10 and content, while others were less willing to become so instrumental. The specific
11 content affected varied and included a range of deemed 'non-land use planning'
12 matters, attempts to impose restrictions on development and more general wording
13 deemed by consultants to be unsuitable for decision-makers or for robust defence of
14 NDPs under legal challenge.
15
16
17
18
19
20
21
22
23

24 This process of translating inputs from the community and other sources of evidence
25 and creating a draft plan is a critical stage that cuts across several of the formal
26 neighbourhood planning process stages. A key tension of neighbourhood planning
27 undoubtedly lies in the relationship between amateur/volunteer and
28 professional/expert voices and their competencies, as Gallent (2013: p380) also
29 highlights. The draft NDP often went through a series of amendments, ostensibly to
30 ensure that it conformed to the NP regulations and the other basic conditions.
31 Interviewees continually highlighted the fact that community aspirations had to be
32 translated and rescripted into planning language, often to the detriment of community
33 desires and affecting legibility. This was performed jointly between the Local
34 Authority, consultants and the NP steering group itself and may be read as part of an
35 instrumentalist co-production. Such a phenomenon was seen as a possible outcome
36 in neighbourhood planning by Gallent (2013) and was observed and highlighted by
37 both Watson (2014) and Honig (1993) and in planning and local governance more
38 generally.
39
40
41
42
43
44
45
46
47
48
49

50
51 Some of the comments made about the 'professionalisation' of the NP process, as
52 opposed to neighbourhood planning being truly a community-led process, highlight
53 the views and experiences of input from the consultants and the local authorities, and
54 indicate that many participants had accepted that their ideas 'needed' to be
55 'rescripted' (cf. Haughton et al, 2010: Gallent, 2013: p393). This pragmatic
56
57
58
59
60

1
2
3 disposition was seemingly sustained by the instrumental desire to 'succeed' in getting
4 a Plan completed. It is notable that many of the consultants and local authority
5 officers involved were also 'learning on the job', and appeared to default to a default
6 'safety first' mode when interpreting both their role and the NP regulations. There is
7 also an associated issue here, in that professional planners may actually be sidelined
8 into performing a task of ensuring procedural compliance, rather than focussing on
9 substantive matters as professionals trained to oversee appropriate scope and
10 inclusivity and quality of research and evidence and to help realise aspirations. This
11 brings into view the roles that actors are directed into by the requirements of the
12 regulatory frame, limitations of knowledge and moreover the restrictions of available
13 resources. Such conditions clearly shape the product as well as the process.
14
15
16
17
18
19
20
21
22

23 As such the co-production relations that are evident thus far are not necessarily
24 benign. Neighbourhood plans are necessarily co-produced and the study
25 foregrounds the need to more closely examine and understand how such relations
26 are developed, maintained and on what basis. Some NP groups are continuing their
27 Plans without consultants and with little or no local authority support. The
28 experiences of such groups also needs to be investigated further and act in part as a
29 counterbalance or control to be juxtaposed to the evidence presented here, as well
30 as to enable comparison of NDP content in different operating contexts (e.g.
31 urban/rural; affluent/deprived; local plan/ no local plan; growth pressure/weak
32 property market - and see Parker et al, 2014).
33
34
35
36
37
38
39
40

41 There is clearly room for local authorities to both increase and refine support for
42 neighbourhood planning groups. The question marks still remain however regarding
43 the modality and management of NP. In particular how and why bottom-up
44 aspirations or priorities are actually dealt with and reflected upon in substantive terms
45 and in the light of needs and priorities (pre)established nationally or locally. At
46 present it is difficult to see how an NDP reflects the neighbourhood interest or is even
47 a negotiated hybrid of 'national' and neighbourhood interest. Instead the danger is
48 that the Plan and its content simply results in performing national agendas, or
49 conversely reflecting the predilections of a small group of people residing in the
50 neighbourhood, or indeed achieving both.
51
52
53
54
55
56
57
58
59
60

Conclusion

The neighbourhood planning project initiated by the Coalition over the past four years has clearly been experimental and learning has been generated for all parties. However what exactly are we left with? The conditions of operation as discussed may be constricting neighbourhood plans and the groups involved to such an extent that this first phase of NP activity (i.e. 2011-2014) needs to be reflected upon critically by policymakers, let alone academics. It was apparent that the combination of factors discussed above are creating pressure on NP groups and their advisors to behave conservatively and begs a question for at least some groups and commentators about why they should 'bother' (Brownill and Parker, 2010); what added value is being achieved? Perhaps even more pertinently are NDPs acting to exclude possibilities rather than create spaces for dialogic, transactive planning to emerge?

While the findings demonstrated that the sample of neighbourhood planning areas were progressing well in broad terms, when measured against neighbourhood planning stages. Skills were being used within communities and otherwise largely being brought in where required. Moreover the understanding of NDPs and wider planning issues is apparently being developed in many places. There are numerous areas that could be looked at to 'improve' the process and to mitigate against some of the issues raised here. These include; ensuring that further clarity over the *duty to support* on local authorities is established and as part of this a protocol or *memoranda of understanding* between the local authority and the Qualifying Body could be a useful requirement. This could at least ensure that the level, type, timing and nature of the respective inputs required are recognized (see also Parker *et al*, 2014).

The current design and application of NP may be characterized as being instrumentally driven, given the emphasis on current government priorities and the need for such plans to be in conformity with higher level planning policy. Thus a wider conceptual point is to be made about the respective roles of different actors involved in neighbourhood planning and the overall relationship maintained and directed at the aim of producing robust neighbourhood plans. This research indicates that Plans are being shaped and altered for instrumental reasons by *all* parties

1
2
3 involved. There is also a suspicion that the NDPs that are progressing reflect the
4 resources assembled in each neighbourhood, rather than actually highlighting the
5 issues and scope of content that a plan could (or should) otherwise embrace. One
6 reading of this situation is that many of the groups who have taken up neighbourhood
7 planning are willing to behave instrumentally, on the premise that the outcome will be
8 of *some* benefit i.e. in the hope that they may have a degree of influence on the
9 future of the neighbourhood.
10
11
12
13
14
15

16 There is clearly a danger that the concerns and options that are generated by early
17 neighbourhood planning process stages are rescripted; written out of the draft Plan –
18 removed from the field of social design - or otherwise downplayed. This may be
19 presented by some as necessary to ensure conformity, or to help manage intra-
20 community relations and maintain agreement from the Local Authority. Such
21 concerns have encouraged the practice of rescripting of early draft plans in order to
22 ensure what might be termed, after Callon (1986), 'obligatory passage'. This leaves a
23 series of questions to ponder; including substantive points about the difference that
24 NP will actually make and the circumstances in which NDP activity is worthwhile,
25 leaving aside any process benefits This corresponds to classic rational choice
26 questions intimated above, including 'what's in it for me?' / why bother?' (cf. Parker,
27 2012; Rydin and Pennington, 2010; Brownill and Parker, 2010) which need to be
28 answered if NP is to be self-sustaining.
29
30
31
32
33
34
35
36
37
38

39 Smith (2011) queries when such activity becomes merely co-option and, given the
40 NP environment and observed co-production practices, we think there is a need to
41 acknowledge who, how and on what basis the different parties will and should
42 contribute to neighbourhood planning in the future. A genuine reflection on *how* to
43 plan as well as *what* to plan for needs to be communicated effectively to all parties.
44 Neighbourhoods need know to what they are committing and what the processes and
45 aims, including the implications of any necessary 'airframing', really are. In our view
46 the lead in this does need to be taken by the state; acting as a genuine broker of
47 inclusive, transactive planning (Friedmann, 1973). As part of this Watson (2014)
48 argues that the state does need to keep 'reserve powers' in order to ensure that co-
49 production processes are resilient enough to prevent colonization by self-serving
50 interests. This role cannot be left without oversight however and others do need to be
51
52
53
54
55
56
57
58
59
60

1
2
3 involved in co-creating the boundaries. There is a complementary point then about
4 how to maintain the integrity of (re)forms of neighbourhood planning, which in turn is
5 clearly relevant as part of wider discussions about *who* is doing the planning and the
6 need for education about public policy aims and the purposes of planning; implying a
7 (continuing) role for disinterested intermediaries, as others have indicated (e.g.
8 Gallent, 2013).
9
10
11
12

13
14 For Albrechts (2013) emancipatory, or at least fair, co-production needs a
15 fundamental shift in power, which of course parallels similar assessments of
16 collaborative planning practice. This highlights how a careful balance is required in
17 relation to co-production and planning and the types of considerations that are
18 recognized and which influence behaviour. The orthodox claim that co-production
19 involves not only partnership, but actors making better use of each other's assets
20 and resources to achieve better outcomes and improved efficiency. Such reflections
21 may also assist government and local government to figure out how to make most
22 effective use of scarce resources for community engagement in planning activity, or
23 for community-led planning. Moreover appropriate resources and spaces need to be
24 *sustained* to enable knowledges and understandings to develop amongst co-
25 producers of such plans and policies. Notwithstanding this type of call for investment
26 and stability there are a range of aspects or areas of NP practice that still need to be
27 better understood. The findings of this study tend to reinforce concerns about past
28 efforts to devise forms of collaborative planning elsewhere and highlights a need to
29 maintain a critical perspective toward co-production. Equally however NP is a
30 significant shift – a statutory process that opens a space of possibility that local
31 planning authorities cannot ignore and central government are unlikely to abandon.
32 This important iteration in the way that planning is being operationalised in England
33 needs critical and supportive attention if it is to be sustained in practice and in theory,
34 and enable any potential NP holds in opening-up planning as a pluralist, civic
35 enterprise.
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50

51 52 53 **Addendum: towards an agenda for neighbourhood planning research**

54 Although not nearly comprehensive, we outline an agenda for further research below.
55 There are a significant number of avenues for investigation across key aspects of
56 neighbourhood planning which appear to us to merit attention:
57
58
59
60

- i. To explore more fully the factors and rationales which affect decisions to engage with neighbourhood planning in *non-participating* neighbourhoods;
- ii. Development of a deeper understanding of the most effective *roles, relations and divisions of labour* between the main actors involved (e.g. neighbourhood planning steering groups, local authorities, central government, consultants and others) and discern how such divisions were shaped;
- iii. Exploration of the inertias and challenges for *local authorities*, the knowledge of and attitudes to NP, as well as the benefits in terms of informing local policy and the behaviour of local authorities in terms of *inter alia* time taken, approaches to boundary setting / area designation;
- iv. Closer understanding of the *basis and process of the drafting* or 'rescripting' of Neighbourhood Development Plan content and, linked to this;
- v. A detailed review of the *content of neighbourhood plans* would be useful to reflect on the ambition/scope of the Plans, and to explore the rationale for inclusion or exclusion of topics or policies (linked to iv);
- vi. Examination of the relationship and influence of neighbourhood planning activity and outcomes on *local planning processes and structures*;
- vii. Assembly of case study evidence on the *inputs, costs and expenditures* involved in neighbourhood planning, overall and to the different parties involved.
- viii. The tracking of *implementation and the revision of NDPs* after achieving 'made' status (i.e. when sufficient time and experience has passed).

Acknowledgement

The authors note the support of Locality and DCLG in supporting the research work that underpins this paper.

References

Albrechts, L. (2013) Reframing strategic spatial planning by using a co-production perspective, *Planning Theory*, Vol. 12(1): 46-63.

Allmendinger, P. and Haughton, G. (2012) Post-political spatial planning in England: a crisis of consensus? *Transactions of the Institute of British Geographers*, NS Vol. 37(1): 89-103.

Allmendinger, P. and Tewdwr-Jones, M. (1998) Deconstructing Communicative Rationality: a critique of Habermasian collaborative planning, *Environment & Planning 'A'* Vol. 30(11): 1975–1990.

Bourdieu, P. (1977) *Outline of a theory of practice*. CUP, Cambridge.

Brownill, S. and Parker, G. (2010) 'Why bother with good works? The relevance of public participation(s) in Planning in a post-collaborative era', *Planning Practice and Research*, Vol. 25(3): 275-282.

- 1
2
3 Callon, M. (1986) 'Some elements of a sociology of translation' pp196-223 in Law J.
4 (ed.) *Power, action, belief: a new sociology of knowledge*. Routledge, Kegan and
5 Paul, London.
6
- 7 Campbell, H. and Marshall, R. (2002) 'Utilitarianism's bad breath? A re-evaluation of
8 the public interest justification on planning'. *Planning Theory*, Vol. 1(2): 163-187.
9
- 10 Conservative Party (2010) *Open Source Planning*. Policy paper #14. The
11 Conservatives: London.
12
- 13 Davoudi, S. and Madanipour, A. (2013) 'Localism and neo-liberal governmentality'.
14 *Town Planning Review*, Vol. 84(5): 551-562.
15
- 16 DCLG [Department of Communities and Local Government] (2011) *A Plain English*
17 *Guide to the Localism Bill*. January 2011. HMSO: London.
18
- 19 DCLG [Department of Communities and Local Government] (2012) *National Planning*
20 *Policy Framework*. April 2012.
21
- 22 Defra (2013) *Neighbourhood Planning. The rural frontrunners: research and case*
23 *studies*. Defra, London.
24
- 25 Diefenbach, T. (2009) 'New public management in public sector organizations: the
26 dark sides of managerialistic 'enlightenment'', *Public Administration*, Vol. 87(4): 892-
27 909.
28
- 29 Eversole, R. (2010) 'Remaking participation: challenges for community development
30 practice'. *Community Development Journal*, Vol. 47(1):29-41.
31
- 32 Flinders, M. and Dommett, K. (2013) 'Gap analysis: participatory democracy, public
33 expectations and Community Assemblies in Sheffield', *Local Government Studies*,
34 Vol. 39(4): 488-513.
35
- 36 Friedmann, J. (1973) *Retracking America: A theory of transactive planning*,
37 Anchor/Doubleday, New York.
38
- 39 Gallent, N. and Robinson, S. (2012) *Neighbourhood Planning. Communities,*
40 *Networks and Governance*. Policy Press, Bristol.
41
- 42 Gallent, N. (2013) 'Re-connecting 'people and planning': parish plans and the English
43 localism agenda', *Town Planning Review*, Vol. 84(3): 371-396.
44
- 45 Haughton, G., Allmendinger, P., Counsell, D. and Vigar, G. (2010) *The New Spatial*
46 *Planning: Territorial Management with Soft Spaces and Fuzzy Boundaries*.
47 Routledge, London.
48
- 49 Haughton, G., Allmendinger, P. and Oosterlynck, S. (2013) 'Spaces of neoliberal
50 experimentation: soft spaces, post-politics, and neoliberal governmentality',
51 *Environment and Planning 'A'*, Vol. 45: 217-234.
52
53
54
55
56
57
58
59
60

1
2
3
4 Healey, P. (2003) 'Collaborative planning in perspective', *Planning Theory*, Vol. 2(2):
5 101-123.
6

7 Hillier, J. (2003) 'Agon'izing over consensus: why Habermasian ideals cannot be
8 'Real', *Planning Theory*, Vol. 2(1): 37-59.
9

10
11 Honig, B. (1993) *Political theory and the displacement of politics*. Cornell University
12 Press, New York.
13

14 Huxley, M. (2000) 'The limits to communicative planning'. *Journal of Planning*
15 *Education and Research*, Vol. 19(4): 369-377.
16

17 Jessop, B. (2002) Liberalism, neoliberalism, and urban governance: a State-
18 theoretical perspective, *Antipode*, Vol. 34(3): 452-472.
19

20
21 Locality (2012) *Neighbourhood Planning Roadmap*.
22 See: [http://mycommunityrights.org.uk/wp-content/uploads/2012/04/Neighbourhood-](http://mycommunityrights.org.uk/wp-content/uploads/2012/04/Neighbourhood-Planning-Roadmap1.pdf)
23 [Planning-Roadmap1.pdf](http://mycommunityrights.org.uk/wp-content/uploads/2012/04/Neighbourhood-Planning-Roadmap1.pdf)
24

25 London Assembly (2012) *Beyond Consultation: The role of neighbourhood plans in*
26 *supporting local involvement in planning*. February 2012. London Assembly, London.
27

28 Mouffe, C. (2005) *On the Political*. Routledge, London.
29

30
31 Ostrom, E. (1996) 'Crossing the great divide: co-production, synergy and
32 development'. *World Development*, Vol. 24(6): 1073-87.
33

34 Parker, G. (2012) 'Neighbourhood Planning: precursors, lessons and prospects',
35 *Journal of Planning and Environment Law*, Occasional papers 40: OP139.
36

37 Parker, G., Lynn, T., Wargent, M. and Locality (2014) *User experience of*
38 *neighbourhood planning*. Published report, October 2014. Locality, London.
39

40
41 Parker, G. and Murray, C. (2012) 'Beyond tokenism? Community-led planning and
42 rational choices', *Town Planning Review*, Vol. 83(1): 1-28.
43

44 Parker, G. and Street, E. (2015) 'Planning at the neighbourhood scale: localism,
45 dialogic politics and the modulation of community action', *Environment and Planning*
46 *'C': Government and Policy* Vol. (in press).
47

48
49 Raco, M. (2009) 'From expectations to aspirations: State modernisation, urban
50 policy, and the existential politics of welfare in the UK'. *Political Geography*, Vol. 28:
51 436-454.
52

53
54 Raco, M., Parker, G. and Doak, J. (2006) 'Reshaping spaces of local governance?
55 Community strategies and the modernisation of local government in England',
56 *Environment and Planning 'C': Government and Policy*, Vol. 24(4): 475-496.
57
58
59
60

1
2
3 Rose, N. (1999) *Powers of freedom. Reframing political thought*. Cambridge
4 University Press, Cambridge.

5
6 Rose, R. (1980) *Challenge to Governance. Studies in Overloaded Polities*. Sage,
7 London.

8
9 Rydin, Y. (2003) *Conflict, consensus and rationality in environmental planning*. OUP,
10 Oxford.

11
12 Rydin, Y. and Pennington, M. (2010) 'Public Participation and Local Environmental
13 Planning: The collective action problem and the potential of social capital', *Local
14 Environment*, Vol. 5(2):153-169.

15
16 Sager, T. (2009) 'Planners' role: torn between dialogical ideals and neo-liberal
17 realities', *European Planning Studies*, Vol. 17(1): 65-84.

18
19 Smith, A. (2011) 'The Transition Town Network: a review of current evolutions and
20 renaissance', *Social Movement Studies*, Vol. 10(1): 99-105.

21
22 Swyngedouw, E. (2010) 'Governance, innovation and the citizen: The Janus face of
23 governance-beyond-the-State', *Urban Studies*, Vol. 42(11): 1991-2006.

24
25 Swyngedouw, E. (2010) 'Impossible sustainability and the post-political condition', in
26 *Making Strategies in Spatial Planning: Knowledge and Values*, pp. 85–205. Cerreta,
27 M., Concilio, G. & Monno, V. (Eds.) Springer, Dordrecht.

28
29 UK Government (2012a) Neighbourhood Planning (General) Regulations (2012).
30 see: <http://www.legislation.gov.uk/ukxi/2012/637/contents/made> (last accessed
31 August 6th 2014).

32
33 UK Government (2012b) Neighbourhood Planning Referendum Regulations. See:
34 <http://www.legislation.gov.uk/ukxi/2012/2031/made> (last accessed August 6th 2014).

35
36 Watson, V. (2014) 'Co-production and collaboration in planning. The difference',
37 *Planning Theory and Practice*, Vol. 15(1): 62-76.

38
39 Žižek S. (1999) *The Ticklish Subject*. Verso, New York.
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60