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1		A review of atmospheric individual particle analyses: Methodologies and
2		applications in environmental research
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25 Highlights

- 26
- 27 1. The techniques of individual particle analyses are summarized.
- 28 2. The classification systems of the individual particles are reviewed.
- 29 3. Possible sources of individual primary and secondary particles are discussed.
- 30 4. Application of individual particle analysis in the environmental research are31 introduced.
- 32

33 Graphical Abstract



36 Abstract

Aerosol ultra-fine and nano-particles are playing essential roles in the evolution of 37 the Earth environment because of their deep connections to the chemical conversions 38 and solar radiation energy transfer in the atmosphere, and have also become an urgent 39 public concern in recent years due to their adverse health effects. Electron microscopes, 40 41 as major tools being able to accurately identify the physical and chemical characteristics of individual particles in micron and submicron size, have been widely used in aerosol 42 studies, although some barriers remain for their low efficiency and high cost. In this 43 work, current understandings on the physical and chemical characteristics, mixing state 44 45 and heterogeneous chemical reactions of individual aerosol particles, mainly obtained with electron microscopes, are reviewed. First, the techniques of individual particle 46 analyses are briefly summarized and their advantages and disadvantages are discussed. 47 Then, the morphology and composition of major atmospheric particle types obtained 48 49 with these techniques and how the information was used to identify particle sources are introduced. The effects of aerosol particles on the environment, climate, human health, 50 and global geochemical cycles are also discussed based on the data from individual 51 52 particle analyses. Finally, challenges faced in individual particle studies are prospected. Keywords: climate, electron microscope, global geochemical cycles, health, 53

54 individual particle analyses, particle source

56 1 Introduction

Airborne particulate matter is a general term for all types of solid and liquid 57 particles in the atmosphere (Tang et al., 2006), which is an atmospheric pollutant that 58 is potentially harmful to human health (Dockery et al., 1993). Aerosol in atmospheric 59 science generally refers to the suspension system of solid particles, liquid particles, or 60 61 both of them in a gaseous medium (Freney et al., 2010). PM₁₀ refers to particulate 62 matter in the ambient air that has an aerodynamic equivalent diameter of less than or equal to 10µm; also known as inhalable particulate matter. PM2.5 refers to particulate 63 matter in the ambient air that has an aerodynamic equivalent diameter of less than or 64 65 equal to 2.5µm; also usually known as fine particulate matter (Jones et al., 2021). Ultrafine particle refers to particulate matter in the ambient air with an aerodynamic 66 equivalent diameter of less than or equal to 0.1µm, also known as nanoparticles (Shao 67 et al., 2000; Cao et al., 2014; Manigrasso et al., 2020; Naing and Lee, 2020). 68 69 Atmospheric particulate matter is from natural and anthropogenic sources. Natural sources typically include emissions from crustal dust, wildfires, volcanic eruptions or 70 resuspended volcanic ash, biological emission such as pollen and spores, and sea spray, 71 72 in addition to particles produced via gas-to-particle conversions in the atmosphere (Li et al., 2016b; Oliveira et al., 2021; Trejos et al., 2021). The main anthropogenic sources 73 include fuel combustion, industrial process, waste incineration and agricultural activity 74 emissions (Silva et al., 2021). Atmospheric particulate matter released from 75 anthropogenic sources is an important air pollutant (Chan and Yao, 2008; Bi et al., 76 77 2011). For example, industrial processes can emit large numbers of fugitive dust particles (Cheng et al., 2020); incineration of solid waste can release large numbers of 78 soot particles (He et al., 2021); agricultural activities can emit large quantities of 79 potassium-rich (K-rich) particles (Bi et al., 2011; Singh et al., 2018). The continuous 80 accumulation of particles in the atmosphere and the increase of particle concentrations 81 facilitate the formation of haze under certain meteorological conditions (Shen et al., 82 2021). Frequent haze events pose a significant threat to human health, even leading to 83 increased numbers of mortality (Oberdorster et al., 1995; Pope et al., 1995; Garcia-84

Hernandez et al., 2019). When particles are inhaled, they can cause a variety of health 85 hazards including asthma, lung function decline and respiratory inflammation, and can 86 also affect the cardiovascular, nervous, and immune systems (Daigle et al., 2003; 87 Oberdorster et al., 2004; Pope and Dockery, 2006; Chai et al., 2019;). In addition, 88 atmospheric particles can also directly or indirectly affect the climate. Sulfate particles 89 reflect incident solar radiation (Krishnamohan et al., 2020); carbonaceous particles can 90 absorb sunlight, leading to positive radiative forcing and global warming (McMeeking 91 92 et al., 2011). Sea salt particles play a dual role in atmospheric radiation balance, scattering incoming solar radiation and absorbing ground radiation, thus directly 93 affecting climate (Ayash et al., 2008; Meesang et al., 2013). At the same time, sea salt 94 particles can also act as cloud condensation nuclei, indirectly affecting climate (Ayash 95 et al., 2008). The absorption by brown carbon was noted to be highest in winter, 96 accounting for 41% of the total absorption (Zhu et al., 2021). Another study found that 97 sulfates and organic matter acted as coating materials enclosing the soot particles (Yuan 98 et al., 2019), enhancing the light absorption capacity compared to the uncoated soot 99 100 particles (Wang et al., 2017).

101 There are diverse research methods that can be applied to atmospheric particles. These methods are divided into bulk sample analysis and individual particle analysis. 102 Bulk sample analysis has involved a variety of instrumental tests. Inductively Coupled 103 Plasma Mass Spectrometer (ICP-MS) is a common method for bulk sample chemical 104 composition analysis, which can be used to measure metal levels (Ari et al., 2020). 105 106 Inductively Coupled Plasma-Optical Emission Spectroscopy (ICP-OES) is used to measure inorganic elements (Zalakeviciute et al., 2020). Ion Chromatograph (IC) is 107 108 used to measure water-soluble ions (Rodriguez et al., 2020). Inductively Coupled Plasma Atomic Emission Spectrometry (ICP-AES) is used for the determination of 109 elements in a great variety of different types of samples (Menzel et al., 2002). 110 sample measurement methods bulk include Aerosol 111 Additionally, Mass Spectrometry (AMS) (Reyes-Villegas et al., 2018), Elemental-Carbon tester, 112 Meteorological Gas Chromatograph Mass Spectrometry (GC-MS) (Choi et al., 2020), 113

High Performance Liquid Chromatography Mass Spectrometry (HPLC/MS) (Buiarelli
et al., 2018), and Proton Transfer Reaction Mass Spectrometry (PTR-MS) (Maji et al.,
2020).

In bulk sample analysis, various instruments can simultaneously analyze hundreds 117 or thousands of aerosol particles and characterize the mass concentrations of different 118 components in the particles. These batch methods can quantify different aerosol species, 119 depending on the instrument (Li et al., 2016b). However, bulk sample analysis has 120 many limitations, they cannot provide mixing state and surface properties of airborne 121 particles. The mixing state of particles is of great significance in the study of regional 122 haze (Li et al., 2016b; Saikia et al., 2018). Due to the limitation of bulk sample analysis, 123 individual particle analysis technology has been developed, which makes up for the 124 125 deficiency of bulk sample analysis well.

Individual particle analysis was first used to analyze aerosol particles with an 126 electron microscope in 1967 (Frank and Lodge, 1967). Bigg et al. (1974) developed 127 vapour-deposited thin film method to test sulfate particle under electron microscope. 128 129 Ayers et al. (1977) improved this method in 1977. With the development of measurement technology, microanalysis technology has been applied to the study of 130 individual aerosol particle in the atmosphere. Ramsden and Shibaoka, (1982) studied 131 the morphology and chemical composition of soot particles by scanning electron 132 microscopy, transmission electron microscopy and EPMA (Electron Probe X-ray 133 Micro-Analysis). Iwasaka et al. (1988) observed the morphology of individual particles 134 135 in the height range from near the ground to about 4400m with electron microscope and found that particles were very frequently transported from Asian desert areas to the 136 137 islands of Japan in the middle troposphere. In 1990s, many references on individual particle analysis have emerged. Individual particle analysis techniques began to be 138 applied to mineralogy, morphology, chemical composition, and the relationship 139 between various effects of particulate matter. Liu et al. (1994) analyzed atmospheric 140 particulate matter in Qingdao and found that the sources of particulate matter mainly 141 included soil dust, coal burning, cement industry, fuel oil and steel industry. Prospero 142

et al. (1999) studied the long-distance transport of sand dust in the southeastern United 143 States affected by African dust storms and concluded that kaolinite is mainly located in 144 low latitudes in West Africa, while illite is mainly located in northern regions to the 145 Mediterranean coast. Zhang et al. (1998) made a preliminary classification of the 146 morphology of particles, and analyzed individual sulfate particles and sand particles 147 with EDX respectively. In the early stage of individual particle analysis, many other 148 researchers have made outstanding achievements (Mamane and Noll, 1985; De Bock 149 150 et al., 1994; Yamato and Tanaka, 1994; Katrinak et al., 1995;).

In recent years, individual particle analysis became an indispensable methodology. 151 The development of high-resolution micro-electronics has improved the use of this 152 method, with particulate matter identification more accurate. In this review, we 153 summarize the individual particle analysis techniques, including Scanning Electron 154 Microscopy (SEM) coupled with Energy Dispersive X-ray spectrometry (EDX), 155 Transmission Electron Microscopy (TEM) coupled with Energy Dispersive X-ray 156 spectrometry (EDX), Surface-Enhanced Raman Scattering (SERS), Spectroscopy 157 158 Scanning Transmission X-ray Microscopy (STXM), Scanning Transmission X-ray Microscopy with Near-edge X-ray Absorption Fine-structure (STXM-NEXAFS), 159 Atomic Force Microscopy (AFM), Nanometer-scale Secondary Ion Mass Spectrometry 160 (Nano-SIMS), Time of Flight Secondary Ion Mass Spectrometry (TOF-SIMS), Single 161 Particle Aerosol Mass Spectrometry (SPAMS), Aerosol-Time-Of-Flight Mass 162 Spectrometry (ATOFMS), Ultrafine Aerosol Time-Of-Flight Mass Spectrometer (UF-163 ATOFMS), and Micro-Raman Spectroscopy (Micro-RS) without SERS. Most of 164 individual particle analysis techniques use high-energy particle beam incident on 165 particle surface and collect physical signals excited by the interaction between high-166 energy particle beam and particle surface atoms to obtain information about individual 167 particle. These individual particle analysis techniques can provide detailed insights into 168 particle origin, formation, migration, reactions, mixing mechanisms, aging status, and 169 environmental effects, and human health impact. 170

171

In this review, the technologies used to analyze individual particles, the type of

individual particles appropriate for these techniques, the sources of individual particles
and their characteristics, and the influence and behavior of individual particles
(hygroscopicity, optical effects, climatic effects, transport, and health effects) are
reviewed.

176 2 Methodologies of individual particles analysis

There are offline and online techniques in individual particle analyses. Offline
methods for the individual particle analysis include SEM-EDX, TEM-EDX, SERS,
STXM-NEXAFS, AFM, Nano-SIMS, and TOF-SIMS. Online methods and equipment
for individual particle analysis include SPAMS, ATOFMS, UF-ATOFMS and MicroRS.

182 SEM-EDX has high resolution (20nm) and is suitable for particles larger than 183 100nm. SEM-EDX can obtain the three-dimensional morphological characteristics of 184 particles and the element composition on the surface of particles, but only the 185 information on the surface of particles (Li and Shao, 2010).

TEM-EDX has higher resolution (0.1nm) and is suitable for particles smaller than 186 187 2µm. TEM-EDX can obtain internal information about particles but operating the TEM-EDX is complex and expensive, and its detection of N is poor (Li et al., 2016b; 188 Xing et al., 2020). Cryogenic TEM and Scanning TEM are also methods under the 189 category of TEM. Cryogenic TEM has advantages in detecting the microstructure of 190 191 volatile atmospheric particles, excluding the influence of volatile component loss on particle morphology and composition (Li et al., 2021). Scanning TEM mode is more 192 convenient for particle analysis than manual processing, saving a large amount of work 193 and time (Yuan et al., 2021). 194

195 SERS is a comprehensive technology for sample detection, that is a good 196 supplement to traditional electron microscopy methods. SERS is very effective in 197 monitoring the mass concentration of sulfate and nitrate. In addition, SERS can observe 198 the evaporative behaviour of sulfate under vacuum conditions, but it cannot provide 199 images and particle size information (Sun et al., 2019).

STXM can study specific bond types in aerosols, as can Nano-SIMS and TOF-SIMS. The resolution of STXM images is 35nm, and the resolution of the energy spectrum is 100nm. STXM is suitable for particles greater than 100nm and can characterize the morphology and functional groups of aerosol particles, but the spatial resolution of STXM is low (Fraund et al., 2019).

AFM can also obtain the three-dimensional morphology of particles, which is suitable for particles smaller than $2\mu m$. AFM can study the surface texture, viscosity, deformation, and elasticity of particles (Shi et al., 2015; Zhang et al., 2020a), but it cannot provide information about the composition of those particles.

Nano-SIMS is suitable for particles larger than 50nm and is sometimes used to study the isotopic characteristics of individual particles, but more often it is used to characterize the mixing state of aerosols, especially the mixing characteristics of organic matter, sulfate, and nitrate (Ghosal et al., 2014; Li et al., 2016a). However, Nano-SIMS is not suitable for statistical analysis because it requires manual operation and statistics and is also very expensive.

TOF-SIMS is suitable for particles larger than 100nm and can obtain the surface chemical composition of aerosol particles, the depth distribution of chemical components, surface, and three-dimensional images (Tervahattu et al., 2002; Lazzeri et al., 2003), but the spatial resolution is low.

SPAMS uses a high-energy pulsed laser as the ionization source, which can analytically ionize almost all kinds of particles. SPAMS is used for particles larger than 100nm, and the main particle size range is 0.2-2.5µm. SPAMS can obtain the chemical composition and size information of particles, but it cannot provide the images of those particles (Chen et al., 2017b; Peng et al., 2020; Shen et al., 2020).

ATOFMS/UF-ATOFMS uses aerodynamic lens focused injection and provides real-time size and chemical composition data of individual particles using a dual laser caliper system and a two-stage Time-Of-Flight Mass Analyzer (Toner et al., 2006). ATOFMS can detect a particle size range of 0.1μm-3μm (Gard et al., 1997). Different versions of ATOFMS can measure different compositions of aerosol particles, such as organic matter, sulfate, nitrate, metal, and mineral (Middlebrook et al., 2003). UFATOFMS instrument incorporated an aerodynamic lens for improving transmission of
smaller accumulation mode and ultrafine particles. UF-ATOFMS can provide a particle
size range of 50-300nm (Toner et al., 2008), but cannot provide individual particle
images.

Micro-RS collects spectral data corresponding to molecules and functional groups under normal ambient conditions and can monitor the changes in morphology and chemical composition of individual particles during their reaction with trace gases. Micro-RS without SERS was also used to detect the chemical composition of individual atmospheric particles (Wang et al., 2021b).

239 In general, although online individual particle analysis is more convenient and has a higher efficiency in the chemical characterization of pollutants, what is obtained is 240 still the overall chemical compositions of the particulate matters, and the method cannot 241 provide detailed information on the internal structure and the inhomogeneous chemistry. 242 Although the overall chemical compositions of the particulate matter by these online 243 244 methods are useful in determining the total compositions of particles, the results obtained are more or less similar to those by the bulk analysis methods. In contrast, 245 offline individual particle analysis represented by SEM-EDX and TEM-EDX can be 246 247 used to observe the detailed morphology, internal structure, phase-separated elemental distribution, mixing states, and all this information can be used to analyze the sources, 248 secondary chemical reaction and even can be extended to the assessment of climatic 249 250 effects and health effects of particulate matter.

251 **3 Classifications of atmospheric aerosol individual particles**

252 SEM-EDX and TEM-EDX are the two common methods for individual particle 253 analysis. In this review, we mainly consider SEM-EDX and TEM-EDX for the 254 classification of individual particles. Based on EDX analysis and the morphology of 255 individual particles, atmospheric aerosol individual particles are divided into three 256 categories, carbonaceous particles, non-carbonaceous particles, and mixed particles. Carbonaceous particles include soot particles, organic particles, and biological particles.
Non-carbonaceous particles include mineral particles, metal particles, fly ash particles,
S-rich (Sulphur-rich) particles, K-rich particles, and sea salt particles (Shi et al., 2003;
Yue et al., 2006; Adachi et al., 2010; Adachi and Buseck, 2011; Li et al., 2010b; Li et al., 2020a; Dehghani et al., 2017; Liu et al., 2017; Shao et al., 2017; Hou et al., 2018a;
Hu et al., 2018; Abbasi et al., 2019; Wang et al., 2019a; Xing et al., 2020). There are many types of mixed particles, which will be introduced in the following chapters.

264 3.1 Carbonaceous particles

Soot particles (Fig. 1a), also known as the major types of particles containing black 265 carbon (BC) or elemental carbon (EC), are chain-like aggregates (Fig. 1d) containing 266 267 spherical carbonaceous particles with sizes ranging from 10 to 100nm (Li et al., 2016b). 268 Soot particles show onion – like structure at high resolution (Fig. 11a4). Soot particles (chain-like, cluster-like, and compact-like) are extremely stable under the electron 269 270 beam. The soot particles may emission from fossil fuels combustion and biomass burning (China et al., 2013; Xing et al., 2017). The main element of soot particles is C, 271 272 but they can also contain small amounts of O, Si and K. During the aging process, soot particles can change from chain-like (Fig. 15g) to cluster-like (Fig. 15h), and eventually 273 become compact-like (Fig. 15i) in shape. Some soot particles also formed core-shell 274 275 structure (Fig. 15j) in shape during the aging process.

276 Organic particles (Fig. 2) include Primary Organic Matter (POM) and Secondary 277 Organic Matter (SOM); often referred to as OM particles. OM particles also include tar balls. The POM (also known as brown carbon) is spherical or nearly spherical (Fig. 2a, 278 2d) and extremely stable under the electron beam, and are easily identified under 279 280 electron microscopy, with diameters ranging from 30nm-500nm (Posfai et al., 2004; 281 Posfai et al., 2013a). Secondary organic particles tend to be irregular in shape (Fig 2b), and often are mixed with secondary sulfate particles. Secondary organic particles can 282 disintegrate rapidly under the electron beam (Hou et al., 2018a). Organic Particles may 283 also form core-shell structure in shape after aging (Fig. 2c). Organic particles are mainly 284

derived from fossil fuels and biomass combustion (Li and Shao, 2009a; Posfai and Buseck, 2010; Liu et al., 2017; Xing et al., 2019). Organic particles are mainly composed of C and O, and typically contain a small amount of S, Na, Mg, K, and other trace elements.

Biological particles (Fig. 3), also known as bioclasts, generally have specific morphologies and composition, they include spore (Fig. 3a, 3b), bacteria (Fig. 3c), and plant debris (Fig. 3d). Biological particles are mainly composed of C, O, P, K and Si and the size is mainly between 1.8-10µm (Li et al., 2020a).

293 3.2 Non-carbonaceous particles

Mineral particles (Fig. 4) include long-axis (Fig. 4g), irregular (Fig. 4h) and 294 295 regular (Fig. 4i) in shape and are very stable under the electron beam. Mineral particles 296 can be either anthropogenic or natural and mainly come from construction dust, road dust and crustal dust. Most mineral particles, often larger than 2µm, originate from the 297 long-distance transport of sandstorm materials or road-suspended dust (Okada et al., 298 2005; Ramírez et al., 2020). Mineral particles are mainly composed of crustal elements, 299 such as Si, Al, Ca, and Fe (Li and Shao, 2013). The common mineral particles collected 300 in dust storms or dusty atmospheric conditions are mainly feldspar minerals (Fig. 4a), 301 clay minerals (Fig. 4b), carbonate minerals (Fig. 4c), sulfate minerals (Fig. 4d), quartz 302 (Fig. 4e) and unidentified minerals (Fig. 4f). (Wang et al., 2021a). 303

Metal particles (Fig. 5) are sourced from heavy industry, fuel combustion, vehicle wear, and train track wear (Moreno et al., 2015), and the main elements are Fe (Fig. 5c), Zn (Fig. 5a), and Pb (Fig. 5b) (Liati et al., 2013). They can exist as pure metal or metal oxides. The metal particles are not volatile under the electron beam. Metal particles from combustion-sourced tend to be spherical in shape (Fig. 5c), whereas abrasionsourced tend to me more angular.

Fly ash particles (Fig. 6a, 6c) are usually spherical in shape and mainly composed of aluminosilicates (Fig. 6b) with occasional small amounts of Ca, Ti, Mn, and Fe. Fly ash particles with small particle sizes easily mix with sulfate to form composite particles and rarely exist alone. Coal combustion is a common source of fly ash particles
(Kashiwakura et al., 2010; Wang et al., 2019a), producing non-crystalline (glassy)
particles that can later partially crystallize (Lawson et al., 2020).

S-rich particles (Fig. 7a, 7b, 7c) tend to be irregular in shape and can be volatile under the electron beam, typically forming 'foam-like' structure (Fig. 7a). Some S-rich particles form core-shell structure after aging (Fig. 7b). The composition of shell may be organic, sulfate, nitrate, and other inorganic salts (Wang et al., 2021b). Sulfate particles are the most common S-rich particles in the atmosphere. A study reported that spherical particles dominated the fine mode in urban and marine samples, these particles were droplets containing ammonium sulfate (Zhang et al., 2000).

K-rich particles (Fig. 8) are a commonly used marker for biomass combustion 323 although coal combustion also contains a small amount of K (Lu et al., 2017; Zhang et 324 325 al., 2020a). K-rich particles in the atmosphere are mainly composed of K, N, Cl and S usually with irregular shapes (Fig. 8b) (Bi et al., 2011; Giordano et al., 2015). When 326 the main elements of K-rich particles are K and Cl and have a crystalline structure, they 327 328 are usually KCl particles (Fig. 8a). Changes in the elemental ratios of Cl/Na and S/Na in sea-salt particles are expected from the atmospheric reactions of sulfuric and nitric 329 acids with these particles (McInnes et al., 1994). 330

Sea salt particles (Fig. 9) are mainly sourced from the ocean or evaporated lakes, and the main elements are Na, Cl and S (Frey et al., 2020). Sea salt particles are stable under the electron beam and are typically seen with cubic NaCl crystalline morphology (Fig. 9a, 9b). In certain particle collection devices, the NaCl can dissolve then recrystallize on the collection substrates (Jones et al., 2001). In some humid coastal environments, the cubic sea salt crystals tend to form amorphous sea salt particles after aging (Fig. 9c) (Li et al., 2010a).

338 3.3 Mixed particles

Particles in the atmosphere often does not exist as a single phase of chemical
 composition. For example, due to the high humidity in haze weather, the physical and

chemical reaction between particles is more intense than that in non-haze days. Under 341 these conditions, particles tend to appear in a mixed state (Fig. 10). The mixing state of 342 343 particles affects their physical and chemical properties (Zhang et al., 2008), so it is necessary to understand the make-up of individual mixed particles. Individual particle 344 analysis has many advantages in the study of these mixed particles, compared to bulk 345 346 analysis. The mixing state of individual particle can be divided into internal mixing and external mixing. External mixing is defined as no contact between particles and no 347 change in the physical and chemical properties between particles. Internal mixing is 348 defined as the simultaneous presence of two or more aerosol components in an 349 individual particle (Li et al., 2016c). The term "mixed particles" used in this review 350 refers to the internal mixed particles. We divide the internal mixing into heterogeneous 351 352 mixing and inhomogeneous mixing. Homogeneous mixing refers to the uniformity of mixing between particles, indicating a total mixing. Heterogeneous mixing often shows 353 a mixture of multiple phases of particles, indicating an incomplete mixing. Based on 354 the morphology and internal structure, the internal mixed particles can be subdivided 355 356 into two structural types, that is, irregular mixing shape (Fig. 10a, 10b) and core-shell structure (Fig. 10d). In terms of chemical compositions, the internal mixed particles 357 have been divided into the organic and sulfate mixed particles (Fig. 10a), organic and 358 soot mixed particles (Fig. 10b), organic and K-rich mixed particles (Fig. 10c), S-rich 359 and fly ash mixed particles (Fig. 10d), S-rich and metal mixed particles (Fig. 10e), S-360 rich and mineral mixed particles (Fig. 10f), S-rich and soot mixed particles (Fig. 10g) 361 362 and K-rich and metal mixed particle (Fig. 10h) (Table 3) (Fan et al., 2016a; Li et al., 2016c; Chen et al., 2017a; Zhang et al., 2017; Hou et al., 2018a; Liu et al., 2018; Yu et 363 364 al., 2019; Zhang et al., 2018).

365 **4 Source analysis of different types of individual particles**

The aim of source apportionment of atmospheric particulate matter is to identify, either qualitatively or quantitatively, the sources of atmospheric particulate matter to environmental receptors using chemical, physical, mathematical, or other methods. The

results of source apportionment can not only identify the outcomes of differentiated 369 management and control of key areas and sources at the local level, but also help to 370 371 develop fast, scientific, effective, and feasible solutions. When the elemental mixtures in the particulate matter are complex, traditional bulk analytical methods ignore low 372 concentrations of toxic and characteristic elements, resulting in errors in the results. 373 374 Individual particle analysis can directly observe and characterize properties of individual particles in relation to their sources, which potentially can provide high 375 resolution of source apportionment and avoid artifacts or confounding factors. 376

The individual particle analysis observes the morphological characteristics of 377 378 individual particles by electron microscopy and the characteristic compositional spectra observed by EDX, and by these criteria, the possible sources of individual particles can 379 be classified. According to the Technical Guide for the Source Analysis of Particulate 380 381 Matter in the Atmosphere, particulate matter emission sources can be divided into stationary combustion sources, biomass open combustion sources, industrial process 382 sources and mobile sources. Among them, the stationary combustion sources include 383 384 power generation, industrial, and domestic use (coal, diesel, oil, kerosene, fuel oil, liquefied petroleum gas, gas, natural gas, and other fuel types). Industrial process 385 sources include metallurgy, building materials, chemical and many other industries. In 386 387 the process of source analysis, the bench experiments are the most direct method to trace the source of atmospheric particles, and smog chamber experiments are the most 388 direct method to detect the formation of new particles and atmospheric heterogeneous 389 390 reactions.

391 4.1 Fingerprint features of individual aerosol particles

Microscopy is a method that allows the probable determination of the source of particulate matter from the microscopic information of individual particles. Microscopy is therefore suitable for the analysis of particulates with obvious morphological characteristics. Individual particle analysis can obtain the type, size, quantity, shape, color, optical properties, chemical composition, and other characteristics of particles. Individual particle analysis can also be used to visually identify the most likely source of particulate matter. The bench experiment is to analyze the particles emitted from the source sample and directly characterize the source of the particles. The results for coal burning, biomass burning, and vehicle exhaust sources can provide information on the primary particles in the atmosphere.

402 4.1.1 Coal burning bench experiments

Emissions from coal burning is an important source of gaseous and particulate 403 pollutants in the atmosphere, with fine particles emitted being one of the main causes 404 of regional haze in China (Jones et al., 2009; Pui et al., 2014). After the State Council 405 of China issued the "Air Pollution Prevention and Control Action Plan" (APPCAP) on 406 September 10, 2013 (The State Council of China, 2013), the energy infrastructure 407 408 changed with a dramatic decrease in coal consumption; however, domestic coal burning still contributes a significant amount of PM_{2.5} to the atmosphere due to incomplete 409 combustion and dedust devices (Li et al., 2016c; Li et al., 2020b). Wang et al (2019a) 410 used a measurement system of an atmospheric dilution chamber in the laboratory to 411 show that the particulate matter produced by coal combustion mainly includes S-rich 412 particles (Fig. 11a₁), mineral particles (Fig. 11a₂), soot particles (Fig. 11a₃) and organic 413 particles (Fig. 11a₅). In the ignition stage, organic particles accounted for the largest 414 415 proportion, up to 66%. In the intense combustion stage, soot particles accounted for the 416 largest proportion, up to 71%, In the final charcoal burning stage, mineral particles 417 accounted for the largest proportion, up to 73% (Wang et al., 2019a). Hou et al. (2018b) also showed that the particles produced by coal burning can be divided into soot 418 particles, organic particles, mineral particles, sulfate, and metal particles. Related 419 420 studies have found that in the combustion of low rank coal the particles emitted are mainly carbonaceous particles (organic particles and soot particles), while the particles 421 emitted from high rank coal burning are mainly organic and sulfate mixed particles 422 (Zhang et al., 2018). Therefore, in the different combustion stages and temperatures the 423 424 characteristics of particles are different, also the coal rank will result in different types 425 of particles being emitted.

426 4.1.2 Biomass burning bench experiments

427 Agricultural waste is volumetrically one of the most burned biomasses in the world. 428 The open burning of agricultural residues is a convenient and inexpensive way to prepare for the next crop but can lead to serious regional haze events (Tariq et al., 2016). 429 Biomass combustion emits large numbers of organic particles and gaseous pollutants, 430 including Non-Methane Volatile Organic Compounds (NMVOCs), CO, CO₂, CH₄, 431 NO_x, NH₃, OC, EC and metals (Bond et al., 2004; Li et al., 2007; Chang-Graham et al., 432 2011; Heringa et al., 2011; Bond et al., 2013; Laskin et al., 2015). Biomass burning is 433 also the second largest source of non-methane organic gases in the atmosphere 434 (Stockwell et al., 2014). Li et al. (2021b) showed that there were mainly five types of 435 436 particles in straw burning of corn, wheat and rice. These were soot particles (Fig. 11b₁), K-rich particles (Fig. 11b₂), tar balls (Fig. 11b₃), organic containing K particles (Fig. 437 11b₄) and pure organic particles respectively (Fig. 11b₅). Organic containing K particles 438 accounted for the most, followed by organic particles. Soot particles only appear in the 439 flaming burning stage (Li, 2021). When comparing the particles emitted by coal 440 burning, it is found that soot particles are greatly reduced, and there are very few S-rich 441 particles and mineral particles emitted by biomass burning. 442

443 4.1.3 Vehicle exhaust emissions bench experiments

444 Vehicle exhaust emissions are major sources of airborne particles in the urban atmosphere (Hwa and Yu, 2014). The secondary aerosol formed by the particles from 445 motor vehicle exhaust is an important component in the formation of haze (Huang et 446 al., 2014). Studies have shown that the number of particle emissions of gasoline engines 447 are usually lower than those from diesel engines (Alves et al., 2015). Xing et al. (2020) 448 found that GDI (gasoline-direct-injection) engine and PFI (port fuel injection) engine 449 mainly emitted six types of particles. they were soot particles (Fig. 11c₁), Ca-rich 450 particles (Fig. 11c₂), organic particles (Fig. 11c₃), S-rich particles (Fig. 11c₄), Fe-rich 451

(Fig. 11c₅) and other particles. Soot particles accounted for the highest proportion of
those emitted by GDI engine, and organic particles accounted for the highest proportion
by PFI engine (Fig. 12) (Xing, 2018). Xing et al. (2020) also found that when gasoline
direct-injection engines are in a cold start and acceleration conditions, soot particles
accounted for a greater proportion (Xing et al., 2020).

457 4.2 The formation of secondary particles and heterogeneous reactions

Airborne particles can be thought of as suspensions in reaction containers in which 458 459 there are numerous chemical and physical processes, such as multiple phase reactions and gas-particle distributions (Poschl, 2005; Kuwata and Martin, 2012). The 460 heterogeneous reactions between aerosol particles and trace gases can change the 461 mixing state of aerosol particles and the composition of those particles, through several 462 463 physical and chemical processes (Fuzzi et al., 2006; Zhang et al., 2008; Posfai and Buseck, 2010). Li et al. (2011) showed that secondary nitrate and sulfate mixed with 464 soot and sea salt particles could completely change the surface moisture absorption 465 properties. Recent studies have shown that liquid particles can accelerate the mass 466 transfer and multiphase reaction of reactive trace gases, promote the formation of 467 secondary aerosols, and eventually lead to the rapid increase of aerosol mass (Liu et al., 468 2019). 469

Core-shell structure was considered as a sign of particle aging (Niu et al., 2011, 470 471 2012). The surface of the core can be used as a heterogeneous reaction site for SO_2 and NO_x (Ebert et al., 2016). The ratio of core to shell is usually used to measure the degree 472 of particle aging. The smaller the ratio is, the more aging the particle. Sometimes the 473 coating thickness of core-shell structure particles can also be used to directly estimate 474 475 the degree of particle aging. The greater the coating thickness is, the more aging the particle. Xu et al. (2019) showed that organic particles with larger particle sizes had a 476 higher degree of aging than those with smaller particle sizes, and S-rich particles along 477 the coast showed more indications of aging than those collected in their urban site (Xu 478 479 et al., 2019). Hou et al. (2018) showed that the particles in the city are aged than those

collected in a local highway tunnel, which was due to the higher solar radiation in the 480 city. Under solar radiation, photochemical reactions can promote the aging of primary 481 particles to form large numbers of secondary organic aerosols (Miracolo et al., 2011). 482 The mixing state and aging process of particulate matter play an important role in 483 atmospheric circulation, which will have an important impact on the future study of 484 485 aerosol impacts on regional and global climate. Smog chamber experiments are an important method to study the heterogeneous reaction and aging of atmospheric 486 particles. Details of chamber setups and associated facilities can be found in Deng et 487 al., (2017) and Liu et al., (2015). 488

489 4.2.1 Smog chamber experiments; coal burning particles

490 Coal burning emitted large amounts of particles (organic particles, soot particles, 491 sulfate particles and mineral particles), which is a major source of regional air pollutant PM_{2.5}. In addition, coal burning also emits gaseous pollutants such as VOCs, NOx and 492 SO₂. These solid and gaseous pollutants can participate in physical and chemical 493 processes in the atmospheric environment, such as nucleation, condensation, 494 gas/particle collision, heterogeneous reaction, or multiphase reaction, and form 495 secondary organic aerosols (SOA). Jaoui et al. (2012) found that SO₂ can promote the 496 generation of anthropogenic and biological VOCs for SOA, and showed that 497 heterogeneous reaction is an important way for the transformation of organic 498 compounds from gas phase to granular phase. The smoke chamber experiments shows 499 that large numbers of secondary particulate matter are generated in the photochemical 500 reaction of the flue gas emitted from coal burning. The morphology of soot particles 501 changed from chain (Fig. 13a) to cluster dense structure (Fig. 13b). The morphology of 502 503 organic particles changed from spherical or quasi-spherical (Fig. 13c) to irregular shape (Fig. 13d, 2c). Some fresh S-rich particles (Fig. 13e) formed core-shell structure in 504 shape (Fig. 13f) (Li, 2021). 505

506 4.2.2 Smog chamber experiments; biomass burning particles

Aerosol particles emitted by biomass burning have a significant impact on regional 507 and global atmospheric environment and climate, especially in developing countries, 508 509 where crop straw burning emissions aggravate air pollution. Primary particles emitted from biomass combustion will age in the air, which directly leads to the formation of 510 511 secondary particles, thus exacerbating the formation of regional haze. Li et al. (2003) 512 found that K-rich particles in aerosol emitted by biomass burning would be converted into K_2SO_4 and KNO_3 in the photochemical reaction. Hennigan et al. (2011) found that 513 the emission of organic particles from wood burning increased due to photochemical 514 515 oxidation reaction. The smog chamber simulation experiment found that the micro morphology and chemical composition of particles changed after primary particles 516 517 aging. It mainly shows that the K-rich particles change from the initial crystal morphology (Fig. 14a) to the irregular shape of inclusions (Fig. 14c); In terms of 518 519 elements, the content of S increased in particles. K-rich particles are mainly KCl particles in the early stage and are gradually vulcanized in the aging process to form 520 more K_2SO_4 particles. The aging process of soot particles also observed that during the 521 aging process, soot particles changed from chain-like (Fig. 14d) to cluster-like (Fig. 522 15e), and become compact-like (Fig. 15f) in shape (Li, 2021). 523

4.2.3 Smog chamber experiments; vehicle exhaust emission particles

Vehicle exhausts emit a large amount of primary particulate matter, which is an 525 important source of PM_{2.5} in the atmosphere. However, gaseous pollutants such as SO₂, 526 527 NO_X and VOCs emitted by motor vehicle exhaust can generate secondary particulate matter through physical and chemical reactions in the atmospheric environment, and 528 their contribution to PM_{2.5} can also not be ignored. The smog chamber experiments 529 shows that in Beijing urban atmosphere, gasoline vehicle exhaust rapidly (only 3.5 530 hours) formed large numbers of secondary organic particles, and the primary particles 531 also aged. The generation of secondary particles and the aging of primary particles are 532 affected by a variety of factors, among which the more important are the initial 533

concentration of pollutants discharged by gasoline vehicles (including VOCS, NO_X, 534 etc.), relative humidity, light intensity, oxidizer (oxidation level) (Ding et al., 2011; 535 536 Donahue et al., 2012). The smoke chamber experiment shows that large numbers of sulfate particles are generated in the photochemical reaction of the flue gas emitted 537 from vehicle exhaust. The morphology and composition of primary particles emitted 538 from gasoline vehicles changed after aging (Fig. 15a, 15b, 15c). Soot particles changed 539 from chain-like (Fig. 15g) to cluster-like (Fig. 15h), and eventually become compact-540 like (Fig. 15i) in shape. Some soot particles also change into core-shell structure. The 541 morphology of organic particles and Ca-rich particles changed from a spherical 542 structure (Fig. 15d) to a more irregular shape. In terms of elemental composition, the 543 amount of S element in organic particles and Ca-rich particles increased (Fig. 15f) 544 545 (Xing, 2018).

546 5 Applications in the study of climatic change, geochemical cycling, and the human 547 health effects

Individual particle analysis technique is widely used as an important method in characterization of the physical and chemical properties of airborne particles in recent research. Individual particle analysis can detect particle sources, heterogeneous reactions, climatic effects, global geochemical cycling effects, and health effects. The results obtained by individual particle analysis have greatly improved public awareness of the hazards of particles in the atmosphere.

554 5.1 Airborne particles and climatic change

Airborne particles can directly or indirectly affect the climate. Lage numbers of anthropogenic sources (such as soot, organic and sulfate particles) and natural sources (such as dust and sea salt particles) emitted into the atmosphere will absorb and scatter the incident solar radiation, thus directly changing the energy budget of the Earthatmosphere system, and ultimately affecting climate change (Fig. 16) (Wang et al., 2018; Adam et al., 2021; Mu et al., 2021). In addition, atmospheric particles can also

act as Cloud Condensation Nuclei (CCN) to change the cloud optical properties and 561 lifetime, and indirectly affect the climate (Buseck and Posfai, 1999; Wang et al., 2019b). 562 563 Atmospheric particles can also participate in the heterogeneous reaction of ozone to affect ozone balance and indirectly affect the energy budget of the Earth-atmosphere 564 system (McNeill, 2017). The radiation effect of atmospheric particles depends on 565 566 particle size, spectral distribution, chemical composition, surface characteristics and the relative humidity of the environment (Penner et al., 1992; Fan et al., 2016b; Zieger et 567 al., 2017). In addition, the mixing state of particulate matter (Fig. 17) has also an 568 important impact on climate (Hou, 2017; Wang et al., 2017). When the relative 569 humidity of the surrounding environment increases, atmospheric particles can display 570 hygroscopic behavior, which has an important influence on cloud condensation activity 571 and atmospheric visibility, and can indirectly affect regional or global climate change 572 573 (Chen et al., 2012a; Lei et al., 2014).

Remote areas at high altitudes are often seen as pristine environments that are 574 particularly sensitive to climate change and have received much attention from 575 576 scientists. Recent research showed that primary brown carbon particles from biomass burning in South Asia can travel long distances to the high-altitude Himalayas, 577 contributing significantly to local atmospheric warming and potentially affecting 578 glacier melting (Yuan et al., 2020). The study of Zhao et al. (2017) on the Tibet Plateau 579 showed that the direct surface radiative forcing of black carbon (-36.0 Wm⁻²) is much 580 stronger than the typical levels found at lower altitudes and the contribution of black 581 582 carbon to the radiative forcing is higher than at lower altitudes.

583 5.1.1 Indirect climatic effects and the hygroscopicity of particles

When the relative humidity of the atmospheric environment increases, the ability of atmospheric particles to absorb water is called the hygroscopicity of particles (Gasparini et al., 2004). The hygroscopic tandem differential mobility analyzer is the most common method to measure the hygroscopic growth of particles under different modes (Liu and Zhang, 2010). The hygroscopic behavior of atmospheric particles has

a direct or indirect influence on their moisture content, scattering extinction 589 characteristics, heterogeneous reactions on the particle's surface, cloud condensation 590 nucleation characteristics, and human health (Chen et al., 2012a; Lei et al., 2014; Chen 591 et al., 2016). The hygroscopicity of particles will increase the particle size and lead to 592 the increase of the extinction efficiency factor, which makes up for the effect of the 593 reduced surface concentration of particles on the reduced visibility (Yang et al., 2018). 594 The hygroscopicity of atmospheric particles can be expressed by hygroscopicity growth 595 596 factor HGF= D_{RH}/D_d . In this formula, D_{RH} and D_d are the particle size of hygroscopic and dry particles at a certain relative humidity (Wu et al., 2017). Based on Kohler's 597 theory, Petters and Kreidenweis (2007) proposed an individual parameter κ (Kappa), 598 which is independent of relative humidity and particle size, to characterize the 599 hygroscopicity of particles. The study found that the k value of rural particle collections 600 was higher than that of urban collections (the κ value of urban sites was around 0.1-0.3, 601 the rural sites was about 0.15-0.4) (Wang et al., 2017). Cheung et al. (2020) found that 602 the κ value of cloud condensation nuclei decreased in the early stages of new particle 603 604 formation and increased in the later stages of new particle formation. Recent research shows that when the relative humidity is 90%, the mass ratios of adsorbed water to dry 605 mineral ranged from 0.0011-0.3080, the hygroscopicity of mineral aerosols depends 606 largely on the BET surface area of mineral aerosols; the method uses a measurement of 607 the physisorption of a gas to derive a value of 'surface area' for a sample (Chen et al., 608 2020a). In addition, organic matter coating the surface of inorganic salts in the 609 610 atmosphere usually inhibits deliquiating and hygroscopic growth of inorganic aerosols. Zhang et al. (2020b) showed that the coating of organic shells would lag the deliquiating 611 612 point of sodium chloride crystals, with the thicker the organic coating, the more obvious 613 the hysteresis effect (hysteresis is the dependence of the state of a system on its history).

The organic particles will be oxidized during aging processes, which will increase the hydrophilic functional groups on the surface of the particles and increase the hygroscopicity and cloud condensation nuclear activity of the particles (Bougiatioti et al., 2016; Slade et al., 2017). Smog chamber simulation experiments show that SO₂ in

the atmosphere can promote the formation of some organic aerosols and increase the 618 activity of cloud condensation nuclei (Li et al., 2015). Sea salt particles are an important 619 620 component of atmospheric particles in coastal cities. Due to the rapid aging of sea salt particles in the urban environment, it has an important influence on hygroscopicity and 621 light scattering (Adachi and Buseck, 2015). Sea salt particles mainly increase the 622 623 amount of CCN, thus increasing precipitation. However, for mineral particles, due to their high heterogeneity, it is difficult to estimate the effect of atmospheric radiative 624 forcing. Mineral particles can provide an important interface for atmospheric chemical 625 reactions. Mineral particles play an important role in climate effect because of their 626 hygroscopic influence on water circulation in the atmosphere and cloud radiation. 627 Soluble cations (Ca^{2+} , Mg^{2+} , Na^+ and K^+) on the surface of mineral particles can have 628 heterogeneous reactions with atmospheric acids such as HNO3 or HCl. Meanwhile, the 629 surface of mineral particles can provide a site for the oxidation of SO₂ to H₂SO₄, all 630 these processes will increase the water absorption of mineral particles and eventually 631 form CCN (Karydis et al., 2017). On the other hand, when larger mineral particles form 632 633 CCN, they will compete with smaller particles for moisture in the air, which will reduce supersaturation and cloud droplet formation (Betancourt and Nenes, 2014). Some 634 mineral particles, such as CaCO₃, have low water absorption capacity, but when they 635 form Ca(NO₃)₂ or CaCl₂ after aging-related reactions in the air, their hygroscopic 636 capacity increases, and the activity of CCN increases (Tang et al., 2015). The 637 hygroscopic properties of atmospheric particles contribute to the study of global or 638 639 regional climate change.

640 5.1.2 Direct climate effects and atmospheric visibility

Particulate matter can cause local and regional environmental deterioration and adversely affect the lives of people, the most visual of which is the impact on visibility. The 'extinction effect' caused by absorption and scattering of light by atmospheric particles, especially fine particles, is the main cause of visibility reduction (Tsai, 2005; Wu et al., 2018; Han et al., 2020; Sun et al., 2020). The light absorption effect of

particulate matter is mainly due to black carbon or substances containing black carbon. 646 The extinction effect of EC was 73.5% in atmospheric particles in the winter in Tianjin 647 (Xiao et al., 2014). Studies showed that the aerosol absorption in the winter (395 mm^{-1} 648 at 370 nm and 99 mm⁻¹ at 880 nm, respectively) was about 5-8 times that in the autumn 649 (49mm⁻¹ at 370nm and 18 mm⁻¹ at 880 nm, respectively); at all wavelengths (370nm-650 950nm), black carbon is the major light-absorbing carbonaceous component (Zhu et al., 651 2021). Although most organic aerosol components are known to have a cooling effect 652 653 on the global climate, the brown carbon in organic aerosols can absorb shorter wavelengths of solar radiation and contribute to climate warming (Alexander et al., 654 2008). Sulphate particles have ability to force negative light radiation and reduce 655 temperature (Liu et al., 2009). The optical properties of atmospheric particles contribute 656 to the study of global or regional climate change. 657

5.2 Airborne particles and global geochemical cycling

The mobility and high efficiency of the instruments used in individual particle 659 analysis are a great advantage when collecting samples in remote areas, with the 660 potential to better identify the global effects of those particles. Atmospheric particles 661 emitted from urban cities or industrial areas can reach rural areas, remote areas, oceans 662 and even the Arctic (Fig. 19) due to the capacity of the atmosphere to transport them 663 over long distances (Jane and Amber 2015). After dry sedimentation or wet 664 sedimentation, atmospheric particles will participate in biogeochemical cycle 665 (Mahowald et al., 2018; Luo et al., 2019). It is known that large amounts of mineral 666 particles are carried into the atmosphere by strong ground winds and transported over 667 long distances (Adachi et al., 2020; Ono et al., 2020). Long-distance transport of 668 669 mineral particles can alter biogeochemical processes on land and in the ocean. Li et al. (2014) found that pollutants from haze and Asian dust storms can be transported 670 continental distances. These particles connect the land, atmosphere, and ocean, 671 affecting regional climate and hydrological and biogeochemical cycles. Many studies 672 have shown that long-distance dust storms are preserved in ice cores, ocean floor 673

sediments, and peat (Lambert et al., 2008; Le Roux et al., 2012). Large amounts of 674 anthropogenic particles are now found in areas where there is little human activity. For 675 676 example, long-distance transport of light-absorbing carbonaceous aerosols from south Asia was observed in the snow cover of Himalayan glaciers (Dong et al., 2018). Sea 677 salt and sulfate particles coated in organic matter have been found in the Arctic (Yu et 678 679 al., 2019). Zhao et al. (2019) found that organic matter in Mount Tai (a mountain located north of the city of Tai'an, and the highest point in Shandong province, China) 680 was mixed with regional anthropogenic organic matter and biological organic matter 681 from long-distance atmospheric transport. Analyses of aerosol particles in the Amazon 682 Basin show differences in the fraction of particles transported over long distances and 683 from local sources (Adachi et al., 2020). In the process of long-distance transmission, 684 the acidic gases in the atmosphere, such as SO₂ and NO₂, are absorbed by the particle 685 686 surface due to heterogeneous reaction with the dust particles, and some particles form a shell structure on the surface and settle on the terrestrial surface (Li and Shao, 2009b). 687

Dissolution of particulate matter transported over long distances has significant 688 689 effects on water, soil, plants, biological communities, and climate. Bioaerosol transport 690 may affect ocean-atmosphere interactions (Yue et al., 2019). Fe particle emissions from fossil fuels may be transported to remote areas of the ocean and affect the primary 691 productivity of phytoplankton and the carbon cycle (Pinedo-Gonzalez et al., 2020). 692 Large numbers of pollutants such as SO₂ discharged into the atmosphere increased the 693 content of soluble Fe in the air, which was transported to the marine environment over 694 695 a long distance, thus increasing the amount of Fe available to marine organisms and changing biological activities (Li et al., 2017). Zhang et al. (2019) found that N 696 697 increased carbon fixation and indirectly offset global warming. Hg adsorbed on 698 particles can be transported horizontally (long distances) or vertically (deposition) or incorporated into nutrient chains and transmitted to organisms via food, making 699 important contributions to root uptake, leaf deposition, and leaf absorption metal 700 701 accumulation in plants (Beldowska et al., 2018). Because black carbon particles stay in 702 the free troposphere for a long time, black carbon particles can be transported from the tropical low troposphere for a long distance and have an impact on the climate in the far southern hemisphere (Wiedensohler et al., 2018). Research showed that the east coast of the United States is affected by long-distance particle transport from various sources throughout the year, which affects the local precipitation rates (Aldhaif et al., 2020). The global geochemical cycling of individual particles provides important information for regional haze control, climate effect and health risk assessment

709 5.3 Airborne particles and human health effects

710 Long-term exposure to atmospheric pollution increases the risk of diseases of the respiratory and cardiovascular systems (Fig. 20). According to statistics in 2015, 711 globally 8.8 million people died due to atmospheric pollution, and the average life 712 expectancy of humans was reduced by 2.9 years (Lelieveld et al., 2020). There was a 713 714 significant correlation between PM₁₀ mass concentration and mortality, especially from cardiovascular and respiratory diseases (Cao et al., 2012; Chen et al., 2012b). The 715 atmosphere contains a variety of toxic and harmful substances, including polycyclic 716 aromatic hydrocarbons and their derivatives, heavy metals (Zn, Cu, Cd, Cr, Pb, Mn, Tl, 717 etc.), black carbon particles, asbestos fibers, and radioactive substances. Short term 718 atmospheric pollution exposure can lead to lung inflammation or lung damage, and 719 long-term exposure can lead to chronic obstructive pulmonary disease, or even lung 720 cancer (Xue et al., 2019; Chen et al., 2020b). 721

722 The degree of harm from atmospheric particles to human health mainly depends 723 on the particle size, number, and composition (Dockery and Pope, 1994). The smaller the particle size, the larger the surface area, onto which harmful substances, viruses and 724 bacteria can be adsorbed (Georgakakou et al., 2016). The composition of the particles 725 726 is one of the main pathogenic factors and determines the type of disease. The 727 concentration of particles and the exposure time determine the inhaled dose by the human body. The higher the particle concentration, the longer the exposure time, the 728 greater the harm to the human body. A variety of individual particle types, such as 729 730 nanoscale soot particles (Fig. 18c), organic particles (Fig. 2) and metal particles (Fig.

5), are all toxic components of PM_{2.5} (Dockery and Pope, 1994; Shao et al., 2006a). 731 Shao et al. (2007) compared the DNA damage caused by soot particles, mineral 732 733 particles, fly ash particles and unknown fine particles, and found that soot and unknown fine particles were important components leading to a raised plasmid DNA damage. 734 TEM analysis demonstrated that the toxic metal particles rich in Fe, Zn, Pb and Mn are 735 736 usually nanometer in size and abundant in the atmosphere (Li et al., 2013a). Studies have shown that the mixing of particles (Fig. 18) can also convert insoluble metal 737 738 oxides into metal ions (Fig. 4b) that can be deposited in the body (Baltrusaitis et al., 2012). Nano-sized metal particles of Cu in the air may affect neurological diseases, and 739 740 soluble Zn in airborne particles can damage lung cells (Richards et al., 1989; Richards, 2003; Manigrasso et al., 2019). Studies have shown that combined exposure to Fe and 741 black carbon particles induces oxidative damage, cytotoxicity, and pro-inflammatory 742 responses in the lung (Zhong et al., 2010). Long-term exposure to black carbon may 743 also lead to increased eye pressure (Manigrasso et al., 2019). The toxicological 744 properties of atmospheric particles have improved the public's awareness of the risks 745 746 presented by atmospheric haze.

747 **6** Future research on individual particle analysis; prospects and priorities

Individual particle analysis technology has been used in various research fields of atmospheric environmental science, showing a huge potential. Individual particle analysis technology has obtained some exciting research results and opened a new field for the study of atmospheric environmental science. However, the potential of individual particle analysis has not been fully exploited, and the application of individual particle analysis in atmospheric environment science is not systematic.

Future research is likely to include the following aspects.

The optimization of individual particle methodologies and instruments is
 always an urgent task, which would allow more advanced and accurate results.
 This will enable research on the physical and chemical properties, optical
 properties, and environmental effects of atmospheric particulate matter. It will

759

be important to standardize manual and automatic methods.

Obtaining large and scientifically vigorous database of individual particle
 analysis statistics is critical, including image processing. Currently individual
 particle statistical analysis and image processing is very time-consuming
 research. It would significantly benefit from improved computer software and
 big data idea for automated particle data processing, generating more reliable
 statistics and processed imagery.

- Further study is required on individual particle source apportionment, especially
 with the optimization of electron microscopes to analyze more particles in a
 more practical way. This should include establishing more accurate source
 apportionment models, refining, and improving dynamic emission inventories,
 and improving emission information from key industries. In addition, there is a
 critical need to identify sensitive sources that have significant effects on human
 health, atmospheric environment, and effective controls.
- 4) The role of atmospheric particulate matter on climate is an important issue and 773 774 special attention should be paid on the influence and relationship between particulate matter and a rapidly changing global climate. Key aspects of this 775 would include: studying the scattering and absorption effects of different 776 particle types; exploring the effects of particles on solar radiation and the global 777 heat balance; elucidating the hygroscopicity and heterogeneous reactions of 778 different types of atmospheric particles; evaluating the process of cloud 779 780 condensation nuclei of different particle types. The importance of individual 781 particle analysis in climate research should be promoted.
- 5) It must be understood that this research is interdisciplinary, integrating with natural science disciplines such as mathematics, physics, chemistry, earth science, life science and information science. The use of 'big data' methodologies is now commonplace, and presents opportunities to comprehensively study the atmosphere, particulate matter, meteorology, climate, and health.

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particles. Nat Commun. 8, 15883.

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Figure 1. Electron microscopy images of soot particles. a Soot particles observed under TEM, from $PM_{2.5}$ collected in Beijing air 2016. b EDX spectrum of individual soot particle shown in a, c Soot particle observed in SEM, from PM_{10} collected at a coal burning site in Beijing, 2001. a and b are from Wang (2020) and c is from Shao et al. (2006b).

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Figure 2. Electron microscopy images of organic particles. a Organic particle (TEM) from $PM_{2.5}$ collected in a tunnel environment in Shenzhen 2014, b Organic particle with irregular shape (TEM) from $PM_{2.5}$ collected in winter in Beijing, c Organic particle in

1535 core-shell structure (TEM) from $PM_{2.5}$ collected in tunnel environment in Shenzhen 1536 2014, d Organic particles in core shell structure shape (SEM) from $PM_{2.5}$ collected in 1537 winter in Beijing. a and d are from Hou (2017) and b and c are from Wang (2020).

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1541 Figure 3. SEM images of biological particles. a. b spores, c bacteria, d plant debris.

1542 The images of a, b and d were from samples collected in Beijing in summer 2001

- 1543 (Shao et al., 2006b, reproduced with permission) and c was from PM2.5 collected in
- 1544 the Lesser Khingan Mountain boreal forest of China (Li et al., 2020a, reproduced with
- 1545 permission).



Figure 4. Electron microscopy images of mineral particles. a, Potassium feldspar 1547 mineral (TEM), b Kaolinite mineral (TEM), c Calcite mineral (TEM), d Calcium 1548 1549 sulphate mineral (TEM), e Quartz mineral (TEM), f Ca, Al-rich mineral (TEM), g 1550 Mineral particle in Long-axis shape (SEM), h Mineral particle in irregular shape (SEM), i Mineral particle in regular shape. The images a-f were from PM_{2.5} samples collected 1551 in Beijing during two severe dust storms in spring 2015. The images g-i were from 1552 1553 PM₁₀ samples collected in Beijing in summer 2001. a, b, c, d, e, and f, are from Wang et al. (2021a, reproduced with permission) and g, h and i are from Shao et al. (2006b). 1554 1555



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Figure 5. TEM images of metal particles. Elements of the detected parts of individual
particles are in parentheses. (a) Zn-rich coatings with a rectangular Pb-rich inclusion,
(b) Zn-rich coatings with quadrangular Pb-rich inclusions. (c) Aggregates of spherical
Fe-rich particles. The images are from PM_{2.5} samples collected in the haze episodes
over northern China, 2007 (Li and Shao, 2009a).

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Figure 6. Electron microscopy images of fly ash particles. a The TEM image of a fly ash particle was from $PM_{2.5}$ collected in Beijing in spring 2011. b EDX spectrum of individual fly ash particle shown in a, c The SEM image of fly ash particles from PM_{10} collected at the coking plants in Beijing. a and b are from Li et al. (2013b) and c is from Shao et al. (2016b).



Figure 7. TEM images of sulfate particles. a Sulfate particle in foam-like' structure
shape, b and c Sulfate particles with core-shell structure shapes. The images were from
PM_{2.5} collected in a tunnel environment in Shenzhen 2014 (Hou, 2017).



Figure 8. TEM image and EDX spectrum of K-rich particles. Image a was from PM_{2.5}
collected in the haze episodes over northern China, 2007 (Li and Shao, 2009a). Image
b is from PM_{2.5} collected from a bench experiment of biomass burning (Li, 2021).



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Figure 9. Electron microscopy images of sea salt particles. a A fresh NaCl particle observed in SEM, from aerosol sample collected in Svalbard in summer 2012. b A fresh NaCl particle observed in TEM, from aerosol sample collected in Svalbard in summer 2012, c Amorphous NaCl particles were from aerosol sample collected in a south China coastal city. a and b are from Chi et al. (2015) and c is from Li et al. (2010a, reproduced with permission).

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Figure 10. TEM images of some mixed particles. an organic and S-rich mixed particle 1598 was from PM_{2.5} collected in haze episodes in in Northeast China (Zhang et al., 2017, 1599 1600 reproduced with permission), b organic and soot mixed particle was from PM_{2.5} collected in haze episodes in in Northeast China (Zhang et al., 2017, reproduced with 1601 permission), c organic and K-rich mixed particle was from aerosol sample collected in 1602 crop residue, wood, and solid waste combustion in a residential stove (Liu et al., 2017), 1603 d S-rich and fly ash mixed particle, e S-rich and metal mixed particle, f S-rich and 1604 mineral mixed particle, g S-rich and soot mixed particle, 1605 d-g were from PM_{2.5} collected at a mountain site in North China 2014 (Liu et al., 2018), (h) K-rich and metal 1606 mixed particle were from aerosol sample collected in dust episodes over northern China 1607 1608 2007 (Li and Shao, 2009b). All are mixed particles of irregular shape, except for g 1609 which is the mixed particle of core-shell structure.

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particles emitted by coal burning, b_1 - b_5 represent particles emitted by biomass burning, c₁-c₄ represent particles emitted by vehicle exhaust. a_1 , c₄ S-rich particle, a_2 mineral particle, a_3 , b_1 , c_1 soot particle, a_4 Onion like structure of soot particle at high resolution, a_5 , b_5 , c_3 organic particle; b_2 K-rich particle, b_3 tar ball, b_4 organic containing k particle, c_2 Ca-rich particle, c_5 Fe-rich particle. The images of a_1 to a_5 are from Wang et al. (2019a). The images of b_1 to b_5 are from Li (2021). The images of c_1 to c_5 are from Xing (2018).



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Figure 12. The relative percentage contents of different individual particle types were tested on the bench experiments of coal burning (a), biomass burning (b) and vehicle exhaust (c). a, Coal-burning included the stages of ignition, fierce burning and char burning. b, Three types of straw in the flaming and smoldering stage. c, Vehicle exhaust included emissions from two engine types of GDI (gasoline-direct-injection) and PFI (port fuel injection). The data for image a are from Wang et al. (2019a). The data for image b are from Li (2021). The data for image c are from Xing (2018).





Figure 13. Electron microscopy (TEM) images of individual particles (fresh and aged)
from coal burning in smog chamber experiment. a Fresh soot particle; b Aged soot
particles; c Fresh organic particle; d Aged organic particle; e Fresh sulfate particle; f
Aged sulfate particle. The images are from Li (2021).



1636Figure 14. Electron microscopy (TEM) images of individual particles (fresh and aged)1637from biomass burning in a smog chamber experiment. a KCl particles, b_1 Selected area1638electron diffraction of a, b_2 Selected area electron diffraction of c, c Amorphous KCl1639particles, d, e and f soot particles. The images are from Li (2021).



Figure 15. Electron microscopy (TEM) images of individual particles (fresh and aged) from vehicle exhaust in a smog chamber experiment. a Fresh organic particle, b Aged organic particle, c EDX spectra of fresh and aged organic particles, d Fresh Ca-rich particle, e Aged Ca-rich particle, f EDX spectra of fresh and aged particles. g, h, i Soot particles. The images a-h are from Xing et al. (2020) and i is from Xing (2018).



Figure 16. Optical reflection and absorption of aerosols and cloud under incoming solar
radiation. Atmospheric particles emitted from natural and anthropogenic sources can
mix with each other, and mixed and unmixed particles can directly absorb and reflect
solar radiation. Some particles can form CCN that absorb and reflect solar radiation,
causing the indirect climate effects.



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Figure 17. NanoSIMS images of organic and sulfate mixed particles. $C^{14}N^{-}$ represents the distribution of organic matter content, and ${}^{32}S^{-}$ and ${}^{16}O^{-}$ represent the distribution of sulfate content in particles. The images were from PM_{2.5} collected at the road in urban Beijing in winter (Xing, 2018).

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- 1661 **Figure 18.** AFM images of particles in PM_{2.5}. a Metal and secondary mixed particles,
- 1662 b Mineral particles and secondary mixed particles, c Soot particles. The images were
- 1663 from PM_{2.5} collected in a tunnel environment in Shenzhen 2014 (Hou, 2017). Aerosol
- 1664 species are classified by TEM-EDX analysis.
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1670 Figure 19. Schematic of aerosols impacts on the ocean. Atmospheric particles emitted

- 1671 by natural and anthropogenic sources can be transported over great distances, affecting
- 1672 local climate and biologicals.
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Figure 20. Health risks from exposure to particles in the atmosphere. Airborne particles with different sizes can reach different tissues (Nasal cavity, throat, trachea and bronchus, second bronchus, terminal bronchus and alveolar) in the body and cause corresponding diseases (Rhinitis, chronic pharyngitis, chronic bronchitis, bronchial asthma, pneumoconiosis, emphysema and lung cancer).

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Table Captions

Methods Size		Morpholog	Advantages and drawbacks	
		V		
Scanning Electron Microscopy (SEM)	best for >100 nm particle	3D particle shape	Low spatial and compositional specificity; only surface information in images	
Transmission Electron Microscopy (TEM)	best for <2nm particle	2D particle shape	High spatial specificity for shape, manually operated and labor- intensive, resulting in poor statistics	
Surface-Enhanced Raman Scattering (SERS)	best for <10nm particles	No information	The evaporation information of sulfate under vacuum conditions can be observed but no images	
Scanning Transmission X-ray Microscopy (STXM- NEXAFS)	best for >100 nm particle	2D particle shape	Specific bond types can be studied in carbonaceous aerosol; synchrotron radiation needed. low spatial resolution	
Atomic Force Microscope (AFM)	best for <2nm particle	3D particle shape	The surface texture, viscosity, deformation, and elasticity of the particle can be studied, but the composition of the particle cannot be provided	
Nanometer - scale Secondary Ion Mass Spectrometer (Nano – SIMS)	best for >50 nm particle	2D particle shape	Specific bond types can be studied, manually operated and labor-intensive, resulting in poor statistics	
Time of Flight Secondary Ion Mass Spectrometer (TOF – SIMS)	best for >100 nm particle	2D particle shape	Specific bond types can be studied; low spatial resolution	
IndividualParticleAerosolMassSpectrometer(SPAMS)	best for >100 nm particles	No information	The chemical composition and particle size of particulate matter can be obtained but no images	

1683 Table 1 Summary of analytical methods for individual particles

>100nm ticles -ATOFMS, 50nm	information	inorganic and organic particle; online instrument for good statistics but no images
ticles -ATOFMS, 50nm		instrument for good statistics but no images
particles UF-ATOFMS, >50nm		
t for >1µm ticles	2D particle shape	Direct identification of molecules and functional groups in individual particles; Raman scattering intensity is easily affected by optical system parameters and other factors
	t for >1μm ticles	t for >1µm 2D particle ticles shape

Categori	Individ	ual particle	Major element	Morphologies	Major sources
es	types				
Carbona ceous particles	Soot aggregates		C, O, and minor Si, K	Chain-like, cluster-like, and compact- like morphologies	Emissions from vehicles burning fossil fuel
	Organ ic particl	Primary organic particles	C and O	Spherical and near-spherical morphologies	Fossil fuel and biomass burning
	es	Secondar y organic particles	C, O, and S	Irregular morphologies	Secondary conversion of volatile organic compounds (VOCs)
	Biologi particle	cal s	C, O, P, K and Si	Irregular morphologies	Pollen and robes
Non- carbonac eous	Mineral particles		Si, Al, Ca, Mg, K, and Fe	Irregular morphologies	Road, construction, and crustal dust
	Metal particles		Zn, Fe, Pb, Mn, and minor Cr	Spherical and irregular morphologies	Coal-fired power plant, heavy industries, and tyre abrasion
particles	Fly ash	es	Si, Al, Fe and minor Na, K	Spherical morphology	Coal combustion
	S-rich particles		S and minor Na, K, Ca	Irregular morphologies and core-shell structure	DerivedfromSO2emittedfromcoalcombustionorvehicles
	K-parti	cles	K, N, S and Cl	Irregular morphologies	Biomass burning
	Sea salt particles		Na, Cl and S	Cubic crystalline morphology	Ocean and blowing snow
Mixed particles	Mixture of above particles		Complicated composition	Irregular morphologies and core-shell structure	Heterogeneous reaction of particles

1686 Table 2 The types of individual particles in PM2.5 based on TEM-EDX

1689 Table 3 Common types of the internal mixed particles in terms of compositions

organic and sulfate mixed particles
organic and soot mixed particles
organic and K-rich mixed particles
S-rich and fly ash mixed particles
S-rich and metal mixed particles
S-rich and mineral mixed particles
S-rich and soot mixed particles
K-rich and metal mixed particles

1692 Abbreviation

Abbreviation	
ACMS	Aerosol Chemical Speciation Monitoring
AFM	Atomic Force Microscopy
AMS	Aerosol Mass Spectrometry
APPCAP	Air Pollution Prevention and Control Action Plan
ATOFMS	Aerosol-Time-Of-Flight Mass Spectrometry
CCN	Cloud Condensation Nuclei
EDX	Energy Dispersive X-ray Spectroscopy
EPMA	Electron Probe X-ray Micro-Analysis
GDI	Gasoline-Direct-Injection
HPLC/MS	High Performance Liquid Chromatography Mass Spectrometry
IC	Ion Chromatograph
ICP-AES	Inductively Coupled Plasma Atomic Emission Spectrometry
ICP-MS	Inductively Coupled Plasma Mass Spectrometer
ICP-OES	Inductively Coupled Plasma-Optical Emission Spectroscopy
GC-MS	Gas Chromatograph Mass Spectrometry
K-rich	Potassium-rich
Micro-PIXE	Micro-Particle-Induced X-ray Emission
Nano-SIMS	Nanometer-Scale Secondary Ion Mass Spectrometer
NMVOCs	Non-Methane Volatile Organic Compounds
OM	Organic Matter
PFI	Port Fuel Injection
POM	Primary Organic Matter
PTR-MS	Proton Transfer Reaction Mass Spectrometry
SEM	Scanning Electron Microscopy
SERS	Surface-Enhanced Raman Scattering
SOA	Secondary Organic Aerosols
SOM	Secondary Organic Matter
SPAMS	Single Particle Aerosol Mass Spectrometry
STXM	Scanning Transmission X-ray Microscopy
TEM	Transmission Electron Microscopy
TOF-SIMS	Time Of Flight Secondary Ion Mass Spectrometry
VOCs	Volatile Organic Compounds