Mini Review on Anomalous Diffusion by MRI: Potential Advantages, Pitfalls, Limitations, Nomenclature, and Correct Interpretation of Literature

Silvia Capuani1,2* and Marco Palombo3

1 NMR and Medical Physics Laboratory, CNR Institute for Complex Systems (ISC) c/o Department of Physics, Sapienza University of Rome, Rome, Italy, 2 Neuroimaging Laboratory, Santa Lucia Foundation, Rome, Italy, 3 Department of Computer Science and Centre for Medical Image Computing, University College of London, London, United Kingdom

In this mini-review, we addressed the transient-anomalous diffusion by MRI, starting from the assumption that transient-anomalous diffusion is ubiquitously observed in biological tissues, as demonstrated by different single-particle-tracking optical experiments. The purpose of this review is to identify the main pitfalls that can be encountered when venturing into the field of anomalous diffusion quantified by diffusion-MRI methods. Therefore, the theory of anomalous diffusion deriving from its mathematical definition was reported and connected with the consolidated description and the established procedures of conventional diffusion-MRI of tissues. We highlighted the two different modalities for quantifying subdiffusion and superdiffusion parameters of anomalous diffusion. Then we showed that most of the papers concerning anomalous diffusion, actually deal with pseudo-superdiffusion due to the use of a superdiffusion signal representation. Pseudo-superdiffusion depends on water diffusion multi-compartmentalization and local magnetic in-homogeneities that mimic the superdiffusion of spins. In addition to the relatively large production of pseudosuperdiffusion images, anomalous diffusion research is still in its early stages due to the limited flexibility of conventional clinical MRI scanners that currently prevent the acquisition of diffusion-weighted images by varying the diffusion time (the necessary acquisition modality to quantify transient-subdiffusion in human tissues). Moreover, the wide diffusion gradient pulses complicates the definition of a reliable function representative of anomalous diffusion signal behavior to fit data. Nevertheless, it is important and possible to address these limitations, as one of the potentialities of anomalous diffusion imaging is to increase the resolution, sensitivity, and specificity of MRI.

Keywords: diffusion NMR, anomalous diffusion, subdiffusion, superdiffusion, pseudo-superdiffusion, internal magnetic field gradients, magnetic susceptibility
INTRODUCTION

Diffusion magnetic resonance imaging (DMRI) is a consolidated radiation-free technology able to quantify in vivo features of water molecules’ diffusion related to tissue microstructures [1]. The acquired diffusion-weighted images (DWI) are usually characterized by spatial resolution within the millimeter scale but are sensitized to the random motion of water molecules, providing an indirect measure of molecular displacement in the range of few tens micrometers (that is the intrinsic DMRI resolution). Conventional DMRI is based on normal (Brownian) diffusion, characterized by a Gaussian motion propagator (MP) for which the mean squared displacement (MSD) is linearly time dependent. Despite the great importance that DMRI has in medical diagnostics, being based on the Gaussian MP limits its potential in terms of intrinsic resolution, specificity, and sensitivity.

Indeed, biological tissues are structurally complex media with different length scales of intracellular and extracellular compartments, intricate microvasculature, submicroscopic traps, and barriers hindering water diffusion.

The normal diffusion of bulk water in tissues is not able to capture all these detailed microstructural features, providing non-local, diffusion measurement averaged on a length scale \( l_D \) approximately equal to the squared-root of the MSD of the diffusing particles.

However, all the aforementioned characteristics of biological tissues can be captured using an anomalous diffusion (AD) description of molecular diffusion in living systems that, unlike normal diffusion, has a non-Gaussian MP and its MSD is not linearly proportional to its diffusion time [2]. In particular, anomalous subdiffusion is the tendency of particles in a fluid to diffuse slower than normal diffusion due to random barriers and traps with heavy-tailed trap-time distribution, while anomalous superdiffusion is the behavior of particles that diffuse showing random walk with occasional very long steps in very short times [2].

AD is ubiquitously observed in biology: it can be due to macromolecular crowding in cytoplasmic fluid of living cells [3], in cellular membranes [4–6] and extracellular space (ECS) [7], as shown by single-particle tracking (SPT) [8] and fluorescence-correlation spectroscopy (FCS) [9] techniques. Specifically, the cerebral ECS occupies ~20% of the total brain volume and includes all the space outside of neurons, glial cells and the interstitial space between cells. ECS has a well-connected foam-like structure and it is rich in heterogeneous ions accumulation, obstructions due to macromolecules, extracellular matrix binding sites and dead space microdomains that transiently entrap diffusing molecules in a dead-end [7, 10–12]. All these ECS features affect the diffusion of molecules such as dextran, smaller proteins, or little fluorophores showing anomalous subdiffusion. Therefore, we could expect such structural features to have a similar impact also on water diffusion.

The quantification of DMRI parameters related to AD could then provide higher sensitivity, resolution, and complementary information for improving the detection of early changes due to pathological conditions, compared to conventional metrics. For these reasons, in the last 10 years, some theoretical and experimental approaches have been proposed to account for and quantify AD by MRI. However, the methodologies used, the results and their interpretation have often aroused doubts, even questioning the fact that biological water in tissues can effectively diffuse with AD [13, 14]. To confuse further this scenario, some authors have used in a phenomenological way the stretched-exponential function to fit experimental DWI data that de facto is the function type predicted by the AD theory to quantify the superdiffusion and subdiffusion parameters. Lastly, different authors have assigned different nomenclatures to indicate the same stretching exponent, fueling the confusion that characterizes the literature of AD methods in MRI.

This mini-review is organized as follows. In the next section, we briefly introduce AD and the principal approaches for AD by MRI while, in section Transient-Anomalous Diffusion in Biological Systems, we indicate how to reconcile the theory and the established procedures of conventional DMRI with the advanced modality of tissue analysis provided by the AD. In section The Pseudo-superdiffusion, we describe the origin of the peculiar image contrast mechanism named pseudo-superdiffusion through which we provide, in section Literature Examination in the Light of the Pseudo-superdiffusion Mechanism, a new interpretation to results published by different authors, making order and clarity in the AD MRI literature. In section Conclusion, we draw our conclusions.

AD BY MRI: MATHEMATICAL AND PHYSICAL EFFECTIVE APPROACHES

AD is mathematically defined as the asymptotic power-law increase of the mean-squared displacement (MSD) as a function of the lag time \( t \) of diffusing particles: \( \text{MSD} = K t^\alpha \) [15]. The sublinear increase with the exponent in the range \( 0 < \alpha < 1 \) defines subdiffusion whereas the exponent in the range \( 1 < \alpha < 2 \) regulates superdiffusion [15, 16]. Several theoretical models have been proposed to describe AD phenomenon, such as the continuous-time random walk (CTRW) model [15], the fractional motion (FM) model and others [3]. The adaptation of these models to DMRI experiments leads to fit experimental DW-data to functions containing stretched-exponentials. Two different approaches, based on CTRW framework, have emerged for quantifying parameters of AD by DMRI: a purely derived mathematical approach related to fractional derivatives [17, 18] and an effective approach mainly based on the physical phenomena [19, 20]. The mathematical approach, made use of stretched-exponential functions derived from fractional order differential operators in the Bloch-Torrey equation to quantify the subdiffusion and superdiffusion parameters, indicated as \( \alpha \) and \( \beta \), respectively. In particular, Magin et al. [17, 18] solved the fractional-derivative equations in space with the fractional-order time \( \alpha = 1 \) and the fractional-order in space \( 0.5 < \beta < 1 \) and in time with \( 0 < \alpha < 1 \) and \( \beta = 1 \). Successively, Mittag-Leffler type function [21], including both variable \( \alpha \) and \( \beta \) were used [22, 23].
The effective physical approach takes into account AD by using two different functional forms to characterize subdiffusion and superdiffusion, quantified by two exponents $\alpha$ and $\mu = 2\gamma$, respectively [19]. In particular, to investigate subdiffusive processes it is possible to assume the following asymptotic behavior for the Fourier Transform of AD MP [19]:

$$W(k, t) \propto \exp(-K_\alpha k^2 t^\alpha) \quad \text{when} \quad k^2 \ll 1/(K_\alpha t^\alpha) \quad (1)$$

where $k = 1/(2\pi)g\delta$ with: $g$, the diffusion gradient strength, $\delta$, the gradient-pulse width, $\gamma$ the nuclear gyromagnetic ratio and $0 < \alpha < 1$. Because the DW-signal is proportional to the Fourier-transform of the MP, AD parameters can be quantified by fitting the function $W(k, t)$ to experimental DW-data. Clearly, to fit Equation (1) to the DW-signal, data must be collected by changing diffusion time $t = \Delta$ in a pulsed-field-gradient (PFG) acquisition sequence, as depicted in Figure 1. On the other hand, to quantify superdiffusive processes, it is possible to use the following function:

$$W(k, t) \propto \exp(-K^{2\gamma} |k|^{2\gamma} t) \quad (2)$$

where $1 < 2\gamma < 2$. As $k$ depends on $g$, the correct fit of Equation (2) to DW-signal requires data obtained by changing diffusion gradient strength $g$ (Figure 1). It is very important to understand how to acquire the DW-signal (by changing $g$ at fixed $\Delta$ or by changing $\Delta$ at fixed $g$) to disentangle and quantify subdiffusion or superdiffusion processes.

Indeed, clinical MRI scanners normally provide DW-images at varying $g$. Therefore, in principle, since there is no reason to find water superdiffusion in biological tissues, it would not be possible to quantify AD using conventional clinical scanners. However, in section The Pseudo-superdiffusion we report interesting results obtained by acquiring DW-signal at varying $g$ and fitting Equation (2) to the data.

Some research groups have acquired DW-images at varying $\Delta$, quantifying the subdiffusion in excised tissues [24, 25] and rat brain [26]. Other groups have collected data varying $g$ while keeping $\Delta$ constant and varying $\Delta$ while keeping $g$ constant to quantify subdiffusion and superdiffusion parameters by Mittag-Leffler type function [23, 27]. Since in a fractal-like system the subdiffusion is related to the fractal dimension $d_f$ ($d_f = 2/\alpha$), Özarslan et al. [26] quantified $d_f$ in rat cerebellum. Other authors [28] failed to quantify $d_f$ in the human brain.

**FIGURE 1** | Schematic representation of the PGSE sequence at a given $b$-value, where $\delta$ is the diffusion gradient pulse width. By changing the gradient strength $g$ at a fixed value of diffusion time $\Delta$, the DW-signal decay must be fitted to the function displayed in red box, which is the correct signal representation describing superdiffusion processes. Please note that this function depends on $q^\mu$, where $q = (ygh)^{1/2}\pi$ and $\mu$ is the index that quantifies superdiffusion [15, 19] or the space derivative order. In literature, the $\mu$ index is also indicated as $\gamma$ or $\beta$, where $\mu = 2\gamma = 2\beta$. Conversely, by changing $\Delta$ value at a fixed $g$, the DW-signal decay must be fitted to the function displayed in green box, which depends on $\Delta^\alpha$, where the index $\alpha$ quantifies subdiffusion phenomena, or the time derivative order. It is important to pay attention to PFG acquisition modality and to the corresponding signal representation to fit data. The vast majority of clinical MRI scanners allow to acquire the DW-signal by varying the gradient strength only.
because they acquired the DW-signal at varying g and fitted the stretched-exponential function reported in Equation (2) to the data. Therefore, they did not quantify subdiffusion, nor fractal dimension, although they obtained images of the human brain characterized by a new contrast compared to the conventional one provided by diffusion tensor imaging (DTI).

The incorrect use of the Mittag-Leffler function in AD MRI has generated questionable or unreliable results. Some authors have quantified both subdiffusion and superdiffusion parameters from data acquired at varying g fitted with the Mittag-Leffler function. In this way, the authors did not quantify the subdiffusion (because it is necessary to acquire DMRI by changing diffusion time) nor the correct value of the superdiffusion due to the simultaneous dependence of the Mittag-Leffler function on both $\alpha$ and $\beta$ [29].

Another problem to deal with in AD MRI experiments using clinical scanners is related to the conditions of validity of the relations reported in Equations (1) and (2) and Magin et al. papers [17, 18], Ingo et al. papers [22, 23]. Equations (1) and (2) are valid when $\delta << \Delta$ (Figure 1), i.e., the diffusion-gradient pulse duration must be at least one order of magnitude shorter than the diffusion time. However, this condition is practically never satisfied in clinical scanners where the gradient pulse width of PFG is usually long. This scenario also influences the behavior of the DW-signal decay due to Gaussian diffusion, which is represented with a decreasing exponential function only when $\delta << \Delta$ [30, 31].

To overcome this drawback, Lin [32, 33] recently developed general expressions of PFG signal attenuation describing the AD NMR signal. Of particular interest is the general analytical expressions of PFG signal attenuation for AD that include the finite gradient pulse width effect, namely, the DW-signal attenuation during each gradient pulse application period [34, 35].

**TRANSIENT-ANOMALOUS DIFFUSION IN BIOLOGICAL SYSTEMS**

In physics literature, AD is defined to require the diffusion to be asymptotically anomalous. Considering the general AD relation: $\text{MSD} \propto K_v t^\nu$, where $\text{MSD} \propto D(t)^* t$ with the anomalous exponent $0 < \nu < 1$ that defines subdiffusion and $1 < \nu < 2$ defining superdiffusion, the time dependent diffusion coefficient $D(t)$ can be written as:

$$D(t) \sim t^{\nu-1}\text{or, } D(t) \sim 1/t^{1-\nu}$$

(3)

Using the above Equation (3) at long diffusion times, i.e., for $t \to \infty$ we obtain $D_\infty = 0$ and $D_\infty = \infty$ for subdiffusion and superdiffusion behavior, respectively. Therefore, when we use AD in living systems, we collide with one of the fixed points of the diffusion in biological tissues: the existence of a diffusion coefficient $D = D_\infty > 0$, related to the tortuosity limit $\tau = (D_0/D_\infty)^{1/2}$ that assumes finite no zero values at long diffusion times [7, 13, 31, 36–38]. In other words, because of the central limit theorem, the diffusion is Gaussian at long diffusion times, with a finite effective diffusivity $0 < D_\infty \leq D_0$ [14].

However, a suitable infinite hierarchical structure (such as fractals) leads to AD at all times, i.e., diffusion asymptotically anomalous. On the other hand, finite hierarchies (such as three or four orders of magnitude microstructures from 0.1 to 50 $\mu$m) lead to AD that becomes normal diffusion at long times [39, 40]. Realistically, we find “transient-AD” in biological tissue, where AD exists between two crossover times (cr) (Figure 2) as experimentally showed by several works [3–11, 41]. As shown in Figure 2, for $t < cr_1$, i.e., at very short diffusion times we find normal diffusion characterized by $D = D_0$, while for $t > cr_2$, i.e., at long diffusion times, we still find normal diffusion, defined by a finite value of $D = D_\infty \leq D_0$. Therefore, in the case of
Transient-subdiffusion, Equation (3) becomes [39]:

\[ D(t) \sim D_0/(1 + t^{1-v}) \quad \text{with} \quad 0 < v < 1 \quad \text{for} \quad t \to 0 \]
\[ D(t) \sim D_\infty + 1/t^{1-v} \quad \text{with} \quad 0 < v < 1 \quad \text{for} \quad t \to \infty \]

(4)

And in case of transient-superdiffusion:

\[ D(t) \sim D_\infty/(1 + t^{1-v}) \quad \text{with} \quad 1 < v < 2 \quad \text{for} \quad t \to \infty \]
\[ D(t) \sim D_0 + 1/t^{1-v} \quad \text{with} \quad 1 < v < 2 \quad \text{for} \quad t \to 0 \]

(5)

The \((\text{cr}1, \text{cr}2)\) time interval, which depends on microstructure investigated, must be long enough to include at least three orders of magnitude over time for defining a power-law. Therefore, it is preferable to use echo-stimulated types sequences (i.e., pulse-gradient stimulated-echo, PGSTE) to acquire DW-signal, since PGSTE allows to select a wider range of \(\Delta\) values (Figure 1) compared to a pulse-gradient spin-echo (PGSE) sequence [31].

Transient-AD described with Equations (4) and (5), reconciles the advanced modality of tissue analysis provided by the AD with the theory and the established procedures of conventional DMRI [13, 30].

THE PSEUDO-SUPERDIFFUSION

Since our first works concerning AD by MRI, we showed a strong connection between magnetic susceptibility and AD image contrast [19, 24, 42] when data obtained by changing \(g\) in a PFG sequence were fitted with Equation (2). In particular, we obtained more details at the interface between different substances in AD MRI [42] and a strong negative correlation between the \(\mu = 2\gamma\) parameter quantifying superdiffusion and the internal magnetic-field-gradient variance \((\text{Gi})\) arisen from the magnetic susceptibilities differences \((\Delta\chi)\) between water and polystyrene [19, 24]. Moreover, the dependence of \(\gamma\) on \(\Delta\chi\) was stronger compared to that of the conventional apparent diffusion coefficient \((\text{ADC})\) on the same magnetic in-homogeneities. Building on our previous in vitro studies, we have recently demonstrated, using a clinical 3T MRI scanner, the utility of AD contrast in identifying key aspects of the composition (iron content, myelin density, and myelin distribution) and organization (tissue heterogeneity and tortuosity) in both cerebral white and gray matter [43]. Furthermore, we used this new AD image contrast based on \(g\) maps, to obtain useful and complementary information (compared to that provided by DTI) about the early changing occurring in normal brain aging [44].

According to our experimental results, and considering the theory of AD, as well as the physical mechanisms underlying the MRI, we called the new AD image contrast “pseudo-superdiffusion,” as water molecules do not experience a real superdiffusion. In fact, no superdiffusion dynamics were predicted in phantoms comprised of packed polystyrene micro-beads in water, nor in the biological tissues that may eventually express subdiffusion. In practice, the \(\gamma\) parameter obtained with an effective physical approach is equal to the \(\beta\) parameter quantified by Magin through a mathematical approach. Therefore, \(\beta\) or \(\gamma\) are fractional exponents associated with intravoxel spatial heterogeneity related to the heterogeneous diffusion jump length. Water molecules can produce a variable displacement in each move, because of water multi-compartmentalization and local magnetic in-homogeneities (due to \(\Delta\chi\)) at the interface between the different diffusion compartments. In particular, the coupling between diffusion gradients and \(\text{Gi}\) generated by \(\Delta\chi\), causes an irreversible signal loss and an unexpected signal refocusing that can be modeled as a pseudo-superdiffusion process. More in deep, local magnetic gradients impart a phase shift to the spins within a spatial region strictly close to interfaces. This \(\Delta\chi\)-derived phase adds up to the phase shift given by the diffusion gradient pulse. When \(\text{Gi}\) and diffusion gradients are of the same order of magnitude, some spins contribute to increasing the DW-signal attenuation; other spins (that can be very far from the first ones) acquire a phase shift that helps to increase the signal. Due to indistinguishable spins associated with water molecules, this scenario mimics a superdiffusion regime of water molecules, the signal of which disappears in one spot and appears in another one simulating long jumps of water molecules. This contrast mechanism increases the image sensitivity and resolution at the interfaces between different tissues [42, 43] and at sources of magnetic field inhomogeneities, such as iron accumulations in tissues [43, 44].

LITERATURE EXAMINATION IN THE LIGHT OF THE PSEUDO-SUPERDIFFUSION MECHANISM

Most of the papers concerning the measurement of AD parameters actually show the pseudo-superdiffusion measurement because the signal is acquired by changing \(g\) at a fixed value of \(\Delta\) [43–49]. A careful examination of the maps shown in these papers reveals all the features of the pseudo-superdiffusion maps, namely: strong dependence on \(\Delta\chi\) at the interfaces of different tissues; inverse correlation of voxels intensity with magnetic field in-homogeneities sources (iron and heavy metals deposits in the brain parenchyma), a better definition of density and orientation of the white matter tracts [47, 49].

Recently, a new elegant view of the formalism describing DMRI has been introduced [13, 30]. In particular, two complementary approaches have been highlighted for extracting information about the tissue microstructure from DW-signal: signal representations (or statistical model deriving from statistical quantum-mechanics) and tissue models [50]. Tissue models assume a priori picture of the underlying tissue while statistical models (such as DTI, diffusion kurtosis imaging, DKI, and AD imaging) aim at using mathematical representations of the empirical DW-signal without assumptions about the underlying tissue. Thus, they are applicable to any tissue type, but the estimated parameters lack specificity and therefore these methods need to be validated with complementary techniques such as SPT, FCS, and histology.

In the light of the considerations about the mechanism underpinning the pseudo-superdiffusion contrast, and taking into account DW-signal representation, it could be argued that most AD results (in particular those that seem most useful for
future clinical applications [43, 44, 46, 47, 49, 51, 52], do not concern the quantification of the actual AD process but rather the estimation of a new parameter \( \gamma \) (or \( \beta \)) introduced by the use of DW-signal representation describing superdiffusion. The biophysical mechanism underpinning the pseudo-superdiffusion contrast resides in the interaction between diffusion in multi-compartments of different dimensions and the \( \Delta \chi \) at the interfaces between the different compartments. The multi-compartmentalization, if related to compartments characterized by length scale that covers at least three orders of magnitude, can contribute to the behavior of transient-AD of water in the tissues, but the \( \Delta \chi \) heavily contribute to varying the effective length scale simulating a more obvious AD behavior. The suspicion is that only the \( \Delta \chi \) in a heterogeneous tissue could be enough to “modeling” the DW-signal as an AD signal representation.

CONCLUSION

In this brief review, we addressed the transient-AD by MRI, starting from the assumption that transient-AD can exist in biological tissues, as demonstrated by the SPT and FCS experiments in living systems. We highlighted the two different modalities for quantifying subdiffusion and superdiffusion parameters. In biological tissues, we expect subdiffusion, not superdiffusion. However, most of the results found in the literature actually concern quantification of superdiffusion. As explained in sections The Pseudo-superdiffusion and Literature Examination in the Light of the Pseudo-superdiffusion Mechanism, this is not real superdiffusion, rather pseudo-superdiffusion due to the use of a superdiffusion signal representation. The biophysical origin of pseudo-superdiffusion depends on the multi-compartmentalization of water diffusion and on the presence of local magnetic in-homogeneities. The recent development of DW-signal representation of AD [32–35] should be used in order to investigate the role of the interplay between internal (background) and diffusion gradients, and to consider the effect of finite width of the diffusion gradient pulses. In conclusion, AD MRI is still in its early stages due to the non-flexible conventional acquisition modality of the clinical MRI, the lack of validation experiments (for example by using optical imaging) and the difficulties in a reliable signal representation.

AUTHOR CONTRIBUTIONS

SC and MP conceived the original idea, planned the review, contributed to the interpretation of the literature, and read and approved the final manuscript. SC collected all the bibliography with the contribution of MP and wrote the manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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