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1 **Designing clinical Indicators for common residential aged care conditions and processes of care: the**  
2 **CareTrack Aged development and validation study**

3 **Abstract**

4 **Background**

5 People who live in aged care homes have high rates of illness and frailty. Providing evidence-based care  
6 to this population is vital to ensure the highest possible quality of life. This study (CareTrack Aged, *CT*  
7 *Aged*) aimed to develop a comprehensive set of clinical indicators for guideline-adherent, appropriate  
8 care of commonly managed conditions and processes of care in aged care.

9 **Methods**

10 Indicators were formulated from recommendations found through systematic searches of Australian and  
11 international clinical practice guidelines (CPGs). Experts reviewed the indicators using a multi-round  
12 modified Delphi process to develop consensus on what constitutes appropriate care.

13 **Results**

14 From 139 CPGs, 5,609 recommendations were used to draft 630 indicators. Clinical experts (n=41)  
15 reviewed the indicators over two rounds. A final set of 236 indicators resulted, mapped to 16 conditions  
16 and processes of care. The conditions and processes were admission assessment; bladder and bowel  
17 problems; cognitive impairment; depression; dysphagia and aspiration; end of life/palliative care; hearing  
18 and vision; infection; medication; mobility and falls; nutrition and hydration; oral and dental care; pain;  
19 restraint use; skin integrity; and sleep.

20 **Conclusions**

21 The suite of *CT Aged* clinical indicators can be used for research, assessment of quality of care in individual  
22 facilities and across organisations to guide improvement, and to supplement regulation or accreditation  
23 of the aged care sector. They are a step forward for Australian and international aged care sectors, helping  
24 to improve transparency, so that the level of care delivered to aged care consumers can be rigorously  
25 monitored and continuously improved.

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27 **Keywords:** Aged care, indicators, clinical practice guidelines, quality of care

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## 41 **Background**

42 Whilst much focus of quality improvement, research, and implementation has been on acute and primary  
43 health systems in the last 20 years, care for older adults in residential settings or nursing homes  
44 (residential aged care facilities, RACFs) has been less prominent. This is despite major quality and safety  
45 issues being frequently encountered in aged care such as neglect of wounds and incontinence, failure to  
46 recognise malnutrition and provide nutritional support and poor management of medication, falls, and  
47 restraint practices (1-3) .

48 In light of these issues, reviews into aged care safety and quality in Australia (2-7), the UK (8, 9)  
49 and Canada (10), for example, repeatedly highlight the need for more rigorous mechanisms for monitoring  
50 quality and safety and the importance of benchmarking and audit using clinical indicators. Lack of these  
51 not only leads to a fundamental deficit in transparency (3); it also means poor standards of care are not  
52 identified and opportunities to improve both processes and overall care are missed (2).The use of clinical  
53 indicators is a key component in ensuring continuous quality improvement and providing transparency  
54 through benchmarking at the level of both the facility and the whole system.

55 Clinical indicators can be described using a Donabedian Framework, categorising them as  
56 structure, process, and outcome (11). Structure level indicators mainly measure the systems and staff that  
57 are in place and are often used as the basis of assessing facilities against standards such as for  
58 accreditation or regulation (11). However, adherence to these standards does not guarantee RACFs will  
59 deliver appropriate care to consumers (2). Outcome indicators measure the health or well-being (or its  
60 change) of a RACF resident (12). Advantages of outcomes indicators are face validity and focussing on the  
61 longer term goals of the system (13). Their disadvantages are that they are difficult to attribute to  
62 particular actions as many variables may affect an outcome and considerable risk adjustment may be  
63 required for case-mix reasons (13). In a review of indicators in eleven countries, the majority were

64 outcome and five of the 11 countries used the Resident Assessment Instrument (RAI) minimum data set  
65 (MDS) (12). In Australia, the National Aged Care Quality Indicator Program involves the mandatory  
66 collection of and reporting on five outcome indicators, focussing on pressure injury, use of physical  
67 restraint, unplanned weight loss, falls and major injury and medication management (14). Since mid-2021,  
68 all RACFs are required to report their data against these indicators to the Australian government (14).  
69 RACFs can compare their results against similar services via a secure portal; high level de-identified results  
70 are available for the public.

71 In this research, we are interested in care that is delivered to residents that is in accordance with  
72 the evidence, embodied in process indicators. These are defined as indicators which assess the  
73 degree to which health care adheres to processes that are proven by scientific evidence, professional  
74 consensus to affect health, or that concur with patient preference (15). There are fewer comprehensive  
75 sets of process indicators developed and in use across the world internationally compared to outcome  
76 indicators, underlying the importance of this work (12). The advantages of process indicators are that they  
77 are under the control of the professionals and managers running the facility and the results give a clear  
78 indication of what is being done well and how it could be done better. They allow comparison of existing  
79 practices against evidence based or best practice standards and are commonly used to drive improvement  
80 initiatives (11). Compared to outcome indicators, less weighting and risk-adjustment is necessary (16),  
81 and therefore they are likely to be collected and report on in a timely manner and are easier to analyse.

82 Interpretation of clinical indicators across a sector can have far-reaching implications in terms of  
83 reporting, public disclosure and reputation, and pay-for-performance, so it is vital that indicators are  
84 based on scientific principles. The principles guiding indicator development include the adoption of a  
85 transparent and systematic approach (17) that incorporates both evidence from clinical practice  
86 guidelines (CPGs) and independent assessment by experts such as by a Delphi process(18) so as to arrive  
87 at a set of indicators that are comprehensive, reliable and valid. The indicators should be responsive to

88 change over the time period of measurement, be attributable to the organisation or service and be under  
89 an organisation's ability to influence to improve (17) The indicators should be based on a conceptual  
90 framework that sets out the rationale and design principles for the indicator set and which links to the  
91 wider health system context.

92 Building on work completed previously in both the *CareTrack Australia* (19, 20) and *CareTrack*  
93 *Kids* (21-23) studies, the *CT Aged* study aimed to develop a comprehensive set of clinical process indicators  
94 for appropriate care of commonly managed conditions and processes of care in aged care using robust  
95 scientific principles.

## 96 **Methods**

97 The methods used for developing and refining the *CT Aged* indicators are based on the established  
98 methodology used in previous studies of quality of healthcare in adults (24, 25) and children (21-23).  
99 These in turn drew on methods from the United States (US) (24, 25). The definitions used in the *CT Aged*  
100 study are in Box 1.

### **Box 1. CareTrack Aged study definitions (19, 26)**

- A **resident** is a person aged 65 years and older living in a RACF.
- **Condition** refers to acute (e.g., pressure injuries, falls) and chronic (or long term) conditions (e.g., dementia, incontinence) or care processes (e.g., medication management, oral and dental care).
- **Healthcare provider** includes any healthcare professional delivering services to residents within a RACF, and whose scope of practice is covered by identified CPG recommendations.
- **Appropriate care** is that which is considered to be evidence- or consensus-based (taken from CPG recommendations and ratified by a panel of experts in Australia) in the RACF context in which it was delivered in the years 2019 and 2020.

- A **clinical indicator** is a measurable component of a standard or guideline, with explicit criteria for inclusion, exclusion, time frame and setting. In the context of this study, an indicator is relevant for Australian practice during 2019 and 2020. Compliance with each indicator is scored ‘yes’ or ‘no’, if the indicator is deemed eligible for assessment (i.e., meets all inclusion criteria, and does not meet any exclusion criterion).
- An **encounter** is an interaction between a resident and a healthcare provider defined by the inclusion criteria of the clinical indicators.

101

102           As outlined in our protocol, we determined which conditions and processes of care were to be  
103 included in the study (26). Fifteen conditions and processes of care were identified for inclusion, through  
104 use of published research, prevalence and burden of disease data, CPGs, and indicator sets relevant to  
105 RACF settings (26).

106           Indicators representing appropriate care for each of these conditions were developed using a  
107 four-stage approach: systematic search, source and quality appraise relevant CPGs; select, draft and  
108 format proposed clinical indicators; review draft clinical indicators via a modified Delphi approach; and  
109 finally, ratify and validate clinical indicators.

#### 110 **Stage 1: Systematically search, source, and quality appraise relevant clinical practice guidelines**

111 A systematic search was undertaken for national-level Australian and international CPGs relevant at the  
112 time of the search (6<sup>th</sup> March 2019). Two research team members conducted the searches and selected  
113 relevant CPGs (AD (see acknowledgements), CJM), with any discrepancies resolved through discussion  
114 with a third team member (LKW). Full details of the search strategy are available in Additional File 1 of  
115 Supplementary material. Each CPG was also independently appraised by two reviewers (AW, MC - see

116 acknowledgements) using the Appraisal of Guidelines for Research and Evaluation (AGREE) II instrument  
117 (27).

## 118 **Stage 2: Select, draft and format proposed clinical indicators**

119 Recommendations were extracted verbatim from CPGs along with supporting references, grade of  
120 recommendation and level of evidence (if available) and compiled in a Microsoft Excel spreadsheet.  
121 Similar recommendations were grouped together to minimise duplication. Recommendations were  
122 excluded if they met any of four criteria:

- 123 • Out of scope of the study (e.g., structure or outcome recommendations).
- 124 • Guiding statement without recommended action.
- 125 • Low level of strength/certainty of the wording of the recommendation (e.g., may, could,  
126 consider)
- 127 • Low likelihood of information being documented in the resident's care record.

128 The remaining indicators were described in a structured and standardised format, commencing  
129 with inclusion criteria (e.g., condition, phase of care [e.g., at diagnosis]), followed by the compliance action

### **Box 2: Example indicator format (inclusion criteria in *italics*, compliance action underlined)**

- *Residents who have dementia* should have a current care and support plan
- *Residents prescribed benzodiazepines OR antipsychotics* should have a written tapering plan

130 (e.g., the recommended appropriate care) (see box 2) (23, 26).

## 131 **Stage 3: Review draft clinical indicators using a modified Delphi process**

132 Australian-based aged care experts were recruited to ensure wide-ranging knowledge and  
133 multidisciplinary experience of the field, through members of the research team and their extended

134 professional networks (purposive-opportunistic sampling). All experts were required to complete a  
135 Conflict of Interest (COI) declaration (28) The experts completed two rounds of review, with the aim to  
136 have the indicators for each condition independently reviewed by at least three experts.

137 The first round was completed via an online survey platform (Qualtrics, Provo, UT, USA), and  
138 utilised review criteria based on methods from previous US and Australian studies (20, 22, 24, 25). The  
139 experts scored each indicator using one of three responses (Yes, No, Out of my scope of practice) against  
140 three key criteria: feasibility, acceptability, and impact (Box 3). They were also asked to score the  
141 appropriateness of each indicator on a nine-point Likert scale (9=highly appropriate, 1=not at all  
142 appropriate; Box 4) and provide any additional comments. A second-round external expert review was  
143 undertaken with experts who had completed the round one review.

#### 144 **Stage 4: Ratify and validate clinical indicators**

145 Following each round of external expert review, research group members (PH, LKW, CM, AW) collated the  
146 feedback and revised each indicator. Indicators with an average appropriateness score of less than 7, or  
147 a majority score of a “No” across any of the scoring criteria were flagged for exclusion. Indicators with  
148 more than three inclusion criteria, or indicators containing a ‘second-line’ or ‘follow up’ treatment were  
149 also flagged for exclusion, as these were likely to have a lower prevalence in RACF settings and compliance  
150 can be more complicated and difficult to conclusively determine. For indicators where there was no clear  
151 consensus from the experts the indicators were referred to study chief investigators who currently work  
152 as clinical geriatricians (LG, IC) or general practitioners (RR) in RACFs for further review, ratification, and  
153 validation. Finally, the research group members clarified wording and created or confirmed definitions for  
154 all concepts within the indicators in close consultation with the study chief investigators.

**Box 3: Information provided to reviewers to assist with scoring clinical indicators**

### **Indicator F Feasibility (F)**

- Multiple eligibility criteria may suggest non-feasibility, as more criteria is likely to lead to fewer patients being assessable for the indicator
- Compliance can be determined preferably from one of the following time periods:
  - on admission
  - within a 90-day period
- Likely to be documented in the RACF record
  - e.g., indicators associated with lifestyle or exercise advice are less likely to be documented

### **Indicator A Acceptability (A)**

- Level of evidence or grade of recommendations vs consensus-based
- Non-Australian CPG recommendations – relevance to Australian context
- Non-national Australian CPG recommendations
  - e.g., state-based, or organisational
- Recommendation is made in more than one CPG
- Reflects current and “essential” (i.e., independent of resources) Australian RACF care.

### **Indicator I Impact (I)**

- “High impact” on the resident in terms of domains of quality
  - i.e., safety, effectiveness, resident experience, or access
- “High impact” within Australian RACF settings
  - e.g., what will be the frequency/ prevalence of presentation

### **Indicator A Appropriateness (A)**

A procedure or treatment is considered to be appropriate if:

*"The expected health benefit (e.g., increased life expectancy, relief of pain, reduction in anxiety, improved functional capacity) exceeds the expected negative consequences (e.g., mortality, morbidity, anxiety, pain, time lost from work) by a sufficiently wide margin that the procedure is worth doing, exclusive of cost." (29, 30)*

155

## 156 **Results**

### 157 **Stage 1: Systematic search for, sourcing and quality appraisal of relevant CPGs**

158 After screening and full text assessment of CPGs, initial searches found 236 eligible CPGs published  
159 between the years 2008 to 2018 inclusive (Figure 1). Due to the large number of CPGs, this date range  
160 was subsequently narrowed to 2013-2018 (except for the condition 'Infection' where small (n=4) numbers  
161 of CPGs were available between 2013-2018). After further searches and full text assessment of CPGs, the  
162 narrowed range of years resulted in 139 CPGs being included (Additional File 2). Quality appraisal for all  
163 included CPGs (including those added in stage 3), using the AGREEII tool gave a mean overall score for all  
164 CPGs of 3.3 (out of 7) (SD=1.3). A summary of results is reported in Additional File 3.

165

### 166 **Stage 2: Select, draft and format proposed clinical indicators**

167 Of the 5,263 recommendations extracted from the initial CPGs, two-thirds (n=3,473, 66%) were excluded  
168 during initial review against inclusion criteria by the researchers (PDH, LKW, CJM). The remaining  
169 recommendations (n=1,790) were used to draft 630 initial indicators (Figure 2), to be circulated to the  
170 expert review panels.

### 171 **Stage 3: Review draft clinical indicators via a modified Delphi process**

172 Forty-one reviewers completed the round 1 external review process and 83% (n=34) of these completed  
 173 round 2. Professional characteristics of the reviewers is presented in Table 1. For the external review there  
 174 were a mean of four reviewers per condition (range 1-6).

175 Table 1 Professional demographic information for reviewers. Created by the authors.

	N	%
<b>Professional group*</b>		
Nursing	11	23
Research	8	17
Medicine	7	15
Speech pathology	4	9
Optometry	4	9
Dietetics	2	4
Physiotherapy	2	4
Dental	2	4
Pharmacy	2	4
Psychology	2	4
Audiology	1	2
Other	2	4
<b>Current primary employer*</b>		
University	23	53
Aged Care Health/Service Provider	8	19
Public health Service	7	16
Allied health service provider	3	7
Other	2	5

176 \* Experts may be counted more than once if they elected multiple professional groups or primary employers

177 **Stage 4: Ratify and validate clinical indicators**

178 After the first external review round 59% (n=370/630) of initial indicators were excluded (Figure 2). Over  
 179 half (n=196, 53%) of excluded indicators included feasibility as a reason for exclusion, which included  
 180 issues around documentation, measurability, and multiple or unclear eligibility or compliance actions.  
 181 Five additional CPGs were also identified and included in round two (Figure 1). Of 295 recommendations  
 182 extracted from these additional CPGs, 39% (n=114) were incorporated into indicators. Where possible  
 183 these were incorporated into existing indicators, however eight new indicators were formed from 13  
 184 recommendations. These changes combined with the merging, and splitting of other indicators, as well as  
 185 the compiling of all relevant admission indicators into their own condition (therefore making 16 conditions  
 186 in total), resulted in 256 unique indicators to be reviewed in round 2. In the second-round review 92%  
 187 (n=236) of indicators were approved for inclusion in the final indicator set. The number of indicators by  
 188 round of review by condition are reported in Additional File 4. Most indicators related to capturing  
 189 information about under-use in RACFs (n=229, 97%), with the remainder being over-use. The number of  
 190 final derived indicators is presented in Table 2. The full set of indicators is reported in Additional File 5.

191 Table 2: Final derived indicators – numbers and examples. Created by the authors.

Condition	No. of CPGs	No. of indicators	Examples of indicators
Admission	42 <sup>^</sup> *	30	Residents on admission should have a medical history taken.
			Residents on admission should receive a skin wound risk assessment.
Bladder and bowel	15*	21	Residents who newly present with symptoms of urinary incontinence should have a focused physical examination.

Condition	No. of CPGs	No. of indicators	Examples of indicators
			Residents who have been identified at risk of constipation, should receive prevention interventions.
Cognitive impairment	13*	22	Residents who have symptoms of delirium or dementia, should receive: - a cognitive assessment using a standardised tool AND - medication review AND - physical examination
			Residents who have dementia without psychosis should not be prescribed anti-psychotics as a first-line approach.
Depression	6*	11	Residents who have depression should have a comprehensive multidisciplinary care plan.
			Residents prescribed antidepressants should be monitored for side effects monthly.
Dysphagia and aspiration	3	7	Residents who have a choking incident should receive or have a review of a choking/dysphagia care plan
			Residents who have acute dysphagia should receive immediate evaluation and intervention (within 6 hours.)
End of life Care	17*	23	Residents should have a clinical care plan relating to end of life.
			Residents who are dying should be prescribed anticipatory medicines with indications for use, and a range of doses and routes of administration.
Hearing and vision	5	5	Residents who present for the first time with hearing difficulties should: - have an otoscopic examination to exclude impacted wax and acute

Condition	No. of CPGs	No. of indicators	Examples of indicators
			infection - be referred for audiological assessment
			Residents who have any new vision loss or sudden change in vision should be referred for an assessment by an eye care specialist within one week.
Infection	14*	16	Residents who have symptoms of a urinary tract infection should have a urine sample taken (to test for signs of infection or other abnormality) within 24 hours.
			Residents who have suspected influenza should have a nose and/or throat swab for laboratory testing.
Medication	20*	7	Residents should have a medication review when they: - have worsening health OR - have signs of administration problems OR - are on multiple psychotropic drugs OR - when a new medicine is ordered.
			Residents who are newly prescribed a medication should receive a monitoring plan.
Mobility and falls	12*	15	Residents at medium/high risk of falling should receive a multifactorial intervention.
			Residents post-fall should have details of the fall taken
Nutrition and hydration	9	20	Residents should receive monthly screening for malnutrition using a validated tool.
			Residents who have unplanned weight loss or are at risk of weight loss, should receive referral to:

Condition	No. of CPGs	No. of indicators	Examples of indicators
			- a GP AND - a dietitian
Oral and dental care	7	9	Residents should have a current oral health care plan.
			Residents who have unexpected findings during oral care should be referred to their GP or dental professional.
Pain	8*	25	Residents for whom pain is suspected should receive a pain assessment using: - self-report AND/OR - observational (e.g., non-verbal, or behavioural)
			Residents who have pain should have the effectiveness of their current treatments for pain evaluated.
Restraint	2	2	Residents who are being physically restrained had a multidimensional assessment prior to restraint use
			Residents who are being physically restrained should have daily evaluation of behaviour and behaviour interventions.
Skin integrity	13*	17	Residents should receive a skin wound risk assessment: - whenever the resident's condition significantly changes; and - monthly
			Residents who have a pressure injury should be repositioned at least every 4 hours.
Sleep	3	6	Residents newly diagnosed with insomnia should have their medications reviewed within one week.
			Residents who have newly diagnosed insomnia should receive non-pharmacological interventions as a first line treatment.

Condition	No. of CPGs	No. of indicators	Examples of indicators
Total	N/A <sup>#</sup>	236	

192 ^ Admission was created using indicators from other conditions – therefore all the Admission CPGs are also counted under other  
193 conditions; \* Count includes Royal Australian College of General Practitioners’ Silverbook 2019 which was used for multiple  
194 conditions; CPGs – clinical practice guidelines; # Total CPGs is not applicable as some guidelines were used for multiple conditions.

195 **Discussion**

196 **Statement of principal findings**

197 As part of the *CT Aged* study, we reviewed and distilled 5,609 recommendations from 139 CPGs to select  
198 and create 236 indicators mapped to 16 conditions in aged care. The methodology employed a  
199 transparent modified Delphi process with 41 participating experts, aiming to contextualise the  
200 recommendations of published CPGs to the residential aged care setting, and therefore capture both  
201 research evidence and expertise. This is one of the first studies internationally to develop a comprehensive  
202 set of quality indicators across multiple conditions for RACFs using a robust methodology and set of  
203 scientific principles. These indicators are designed to be used in the Australian aged care sector and  
204 internationally.

205 **Strengths and limitations**

206 There are several limitations to the study findings, related to indicator scope, indicator selection, and  
207 reviewers. First, for pragmatic reasons an inclusion criterion for the indicator set is residents 65 years or  
208 older; therefore, for the 2.6% of residents in Australian RACFs who are younger than 65 years old, they  
209 may not be directly applicable. Second, the final set of indicators was created using recommendations  
210 relevant to 2019-2020, with priority given to Australian publications where possible. This may limit the  
211 applicability and generalisability of the indicators to other contexts; however, having also reviewed

212 international CPGs, we view the *CT Aged* indicator set as broadly applicable internationally. The  
213 indicators are also a product of the CPGs from which they originated, the majority of which were  
214 consensus-level recommendations, and whilst the quality of CPGs was assessed, no guidelines were  
215 excluded on this basis. The timing of the systematic searches for guidelines in 2019 was prior to the  
216 COVID-19 pandemic, and therefore indicators related to prevention, control, and management of COVID  
217 are not represented. These will need to be incorporated in the next version. Third, the indicators were  
218 reviewed by experts who chose to be involved in the study, and who were not randomly selected to  
219 participate. They were chosen to ensure a wide multidisciplinary field of experts was involved, however  
220 this may have skewed the sample and resulted in self-selection bias. While the number of reviewers was  
221 not high compared to similar studies and methodologies (22, 30) potentially affecting the  
222 representativeness of feedback, the experts' and chief investigators' experience and expertise in both  
223 geriatric care and scientific methodologies, potentially mitigates this limitation.

#### 224 **Interpretation within the context of the wider literature**

225 The effort of extracting indicators and time required of the experts to establish a comprehensive  
226 set of indicators was substantial. However, if the indicators are to remain contemporary, then they need  
227 to be periodically reviewed and updated. "Living" systems for ensuring guidelines remain current are  
228 being piloted and used and a similar mechanism could apply to indicators (31). Funding this ongoing  
229 development process remains a challenge for the research team.

230 The primary source of the indicators was recommendations from CPGs complemented by  
231 independent expert input. However, there are other sources of evidence, including qualitative syntheses  
232 of experiences of residents and carers which are being incorporated into the development of guidelines  
233 (32). These were not included as one of our data sources but could be considered in further iterations of  
234 the indicators. Qualitative syntheses of resident's experiences can inform balancing health benefits and

235 harms, human rights, sociocultural acceptability, equity, and non-discrimination (33) – all important  
236 principles for a high quality aged care service. A set of indicators incorporating qualitative syntheses of  
237 experiences of residents would then represent the clinical evidence as well as the wishes and needs of  
238 residents and carers.

### 239 **Implications for policy, practice and research**

240 The *CT Aged* study indicators have three primary uses – research, quality improvement, and  
241 quality assurance. First, they can be used to undertake a population-level study of appropriateness of care  
242 delivered to residents, as is being conducted in the next phase of the *CT Aged* study (26). Related to this,  
243 using the indicators for research to understand the local uptake or variation in appropriateness of care  
244 and, particularly, local organisational factors that may impact on this uptake is important for systematic  
245 and spread of improvement. Second, at the level of a RACF or organisation, indicators can be used to  
246 undertake audits and for monitoring improvement. These audits are likely to be undertaken with a single  
247 condition i.e., one of the 16 conditions identified for *CT Aged*. We envisage that such audits will most likely  
248 be triggered by a concern that care in one condition may not be optimal, such as pressure ulcers or falls.  
249 Auditing the indicators will enable a facility to undertake a detailed exploration into areas of the care  
250 pathway which may need improvement. Alternatively, the audit of indicators in a single condition may be  
251 part of a quality improvement program of work by facilities, or across multiple facilities via a breakthrough  
252 collaborative or community of practice. Third, organisations responsible for accrediting, regulating, or  
253 funding aged care sectors may use these indicators to complement their extant assurance processes to  
254 collect quality indicators in a structured manner and to assess the quality of care being delivered.

255 The most frequent reason the expert reviewers excluded indicators was feasibility. When  
256 indicators are excluded based on feasibility there is a potential to skew what is deemed ‘appropriate care’  
257 towards the care we are expecting to be documented rather than the care which should be delivered as

258 best practice. An Australian government Senate inquiry (1) identified issues with poor medical record  
259 keeping practices within RACFs. The inquiry touched on issues such as continued use of paper medical  
260 records in many RACFs, and lack of ability to share information between external providers such as general  
261 practitioners (GPs) and allied health professionals with the RACF. The inquiry noted that these aspects are  
262 likely to have significant impact on quality of care. A first step to addressing some of these issues is  
263 improving the detail and consistency in record keeping, as well as the use of electronic systems that enable  
264 sharing of information between multidisciplinary teams across the spectrum of care, including primary  
265 and community care and hospitals.

266 Another potential criticism of the *CT Aged* indicator development is that 236 indicators is too  
267 many for aged care. In a world dominated by managerialism, is there too much measurement and  
268 burden on facilities and not enough action? A counter to this is that the complete set of indicators is not  
269 meant to be for routine use but applied to single conditions, periodically for quality improvement or  
270 assurance. We also intend to undertake testing on the indicators to determine which can be  
271 electronically searched for efficient extraction to reduce the burden of data collection on organisations.  
272 Additionally, refining of indicators over time may be achieved by explicitly and conceptually linking each  
273 to an outcome indicator of interest, thereby prioritising those with the greatest potential impact on  
274 care.

275 This paper reports on the *CT Aged* indicator development and validation process with experts.  
276 The next stage of our research (26) will involve collecting information from resident records in aged care  
277 homes. This ongoing research will test their feasibility in manual and electronic collection and their  
278 performance to make meaningful comparisons among facilities or over time within a facility.

## 279 **Conclusions**

280 The 236 quality indicators developed across 16 conditions represent the evidence-based care that clinical  
281 guidelines and experts agreed should be delivered to aged care consumers in Australia, with the potential  
282 for global impact. They can be used for research, locally in facilities to guide improvement and across  
283 facilities to benchmark care, and to existing initiatives contributing to registration or accreditation across  
284 the whole aged care sector. The *CT Aged* indicators are a step forward for Australian and international  
285 aged care sectors to improve transparency, so the level of care delivered to one of the more vulnerable  
286 groups in society, aged care residents, is rigorously monitored and continuously improved.

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301 JB and PH initiated the project and led the NHMRC grant proposal. JB, PH, IC, AK, RR, AG and LG co-led  
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303 PH did the first drafting of the manuscript. LKW helped to write the manuscript. All authors actively  
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308 **Conflict of interests:**

309 No known conflict of interests.

310 **Data availability:**

311 Data beyond that contained within the report may be obtained from the corresponding author on  
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418 **Figure Legends**

419 Figure 1: Clinical practice guidelines search and inclusion (Stage 1). Created by the authors.

420 \* Includes infection CPGs from prior to 2013, and the Royal Australian College of General Practitioners' Silverbook  
421 publication from 2019.

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424 Figure 2: Number of indicators by Delphi round. Created by the authors.

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