

1 Neuropsychiatric risk in children with intellectual disability of
2 genetic origin: IMAGINE - The UK National Cohort Study

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Abstract/Summary

Background

Children with intellectual disability (ID) frequently have multiple co-morbid neuropsychiatric conditions and poor physical health. Genomic testing is increasingly recommended as a first-line investigation for these children. We aimed to determine the impact of genomics, inheritance and socioeconomic deprivation on neuropsychiatric risk in children with intellectual disability of genetic origin as compared to the general population.

Methods

IMAGINE is a prospective study using online mental health and medical assessments in a cohort of 2770 children with ID and pathogenic genomic variants, identified by the UK's National Health Service.

Outcomes

Assessments completed on 2397 young people with ID (4-19 years, M 9.2, SD 3.9) with a rare pathogenic genomic variant. 1339 (55.9%) were male and 1058 (44.1%) were female. 1771 (73.9%) of participants had a pathogenic copy number variant (CNV), 626 (26.1%) a pathogenic single nucleotide variant (SNV). Participants were representative of the socioeconomic spectrum of the UK general population. The relative risk of co-occurring neuropsychiatric diagnoses, compared with the UK national population, was high: Autism Spectrum Disorder 29.2 (95% CI 23.9 to 36.5), Attention Deficit Hyperactivity Disorder 13.5 (95% CI 11.1 to 16.3). In children with a CNV, those with a familial variant tended to live in more socioeconomically deprived areas. Both inheritance and socioeconomic deprivation contributed to neuropsychiatric risk in those with a CNV.

Interpretation

Children with genomic variants and ID are at a greatly enhanced risk of neuropsychiatric difficulties. CNV variant inheritance and socioeconomic deprivation also contribute to the risk. Early genomic investigations of children with intellectual disability could facilitate the identification of the most vulnerable children. In addition, harnessing parental expertise using online DAWBA assessments could rapidly highlight children with exceptional needs to child mental health services.

Funding

UK Medical Research Council and Medical Research Foundation.

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Research in context

Evidence before the study

We searched PubMed title/abstract for publications in English from database inception until June 11, 2021, using the search terms ((child*) AND ((developmental delay) OR (intellectual disability)) AND (mental health) AND (cohort). Only one national cohort survey of children's mental health has reported on the increased risk of mental health and neurodevelopmental disorders among children with ID using standardized measures. Other relevant cohort studies have focused on the identification of specific neurodevelopmental disorders (e.g., ASD, ADHD) in a population, which may incidentally be associated with ID, but have not reported on co-occurring behavioural or emotional problems. One genotype-first study of developmental delay in non-syndromic children has been published, but this did not systematically evaluate neurodevelopmental risk or mental health.

Added value of this study

Our nationally representative cohort of children (4-19 years, M 9.2, SD 3.9; 55.9% male, 44.1% female) with identified pathogenic genomic variants encompassing CNVs and SNVs that are far more varied than any previous genotype-first investigation of neurodevelopmental risk. Data were collected using standardized measures of child mental health that are equivalent to those used in UK national surveys and thus allow direct comparison with general population data collected contemporaneously. Previous studies of neuropsychiatric risk in children with ID have either been small-scale cohorts or were not designed to evaluate such a wide range of mental health issues. Those that have sought evidence for genetic predisposition have, in almost all instances, started from a phenotype of interest (such as ASD) and then screened for pathogenic variants. The unique contribution of this investigation is that it provides evidence from a genotype-first investigation of neuropsychiatric risk, with the predisposing genomic variants reported by a UK NHS diagnostic protocol. The addition of data on socioeconomic status is based on a multifaceted UK index of multiple deprivation; a variable that has not previously been used in epidemiological studies of mental health risk in children with developmental delay (DD).

Implications of all the available evidence

Routine genomic testing is identifying pathogenic variants in an increasing proportion of

109 children with developmental delay but, with the exception of a few relatively well-studied
110 variants, the implications of a genomic disorder for a child's future mental health is
111 currently unknown. Intellectual disabilities are generally associated with an increased risk of
112 neurodevelopmental disorders, as well as emotional and behavioural problems, but this
113 study has shown that in children whose DD has an identifiable genetic aetiology that the risk
114 is amplified considerably. Our findings have implications for the clinical management of
115 such children and indicate an urgent need for early assessment and intervention

116 **Introduction**

117 The genomic basis of intellectual disability (ID) is being unveiled at pace. Large-scale
118 identification of highly penetrant variants that cause developmental delay, ID and autism has
119 been achieved using next generation sequencing methods with a trio-based design (parents
120 and child) ¹⁻³. Best practice guidelines recommend exome or genome sequence analysis as a
121 first or second tier investigation for all children presenting with developmental delay or ID ⁴.
122 Yet, with a few exceptions, the confidence with which a rare genomic variant can be
123 regarded as pathogenic is not matched by an equivalent confidence about the implications of
124 that finding for the child's future neuropsychiatric profile. Compared with the general
125 population, children with ID have significant additional needs, in terms of physical and
126 mental health, but we know little about the influence of most identified rare genomic
127 variants on a child's long-term outcome⁵. Most previous studies of children with ID are
128 limited by modest sample sizes^{5,6} or have selected participants from large epidemiological
129 cohorts in which the proportion of children with moderate to profound ID was small^{7,8}. So
130 far, no national cohort study of ID has collected genomic data at scale. We do not know to
131 what extent prognosis is influenced by environmental factors such as socioeconomic
132 deprivation or family factors, including the inheritance of the genomic variant (familial or *de*
133 *novo*). This study was designed to assess social and demographic influences on the physical
134 and mental health of a national cohort of children and young people with rare genomic
135 disorders associated with ID. It was also designed to make a comparison of prevalence with
136 the equivalent diagnostic data provided by the UK National Survey of Children's Mental
137 Health 2017 ([https://digital.nhs.uk/data-and-information/publications/statistical/mental-](https://digital.nhs.uk/data-and-information/publications/statistical/mental-health-of-children-and-young-people-in-england/2017/2017)
138 [health-of-children-and-young-people-in-england/2017/2017](https://digital.nhs.uk/data-and-information/publications/statistical/mental-health-of-children-and-young-people-in-england/2017/2017)). If physical and mental health
139 care needs can be predicted at the point of genetic diagnosis, then early personalised
140 interventions may benefit the most vulnerable children.

141 **Methods**

142 Study Design and participants

143 3407 participants were recruited to the IMAGINE study (Intellectual Disability and Mental
144 Health: Assessing the Genomic Impact on Neurodevelopment, <https://imagine-id.org/>)
145 between 1/10/2014 and 30/06/2019 under London Research Ethics Committee-Queen
146 Square 14/LO/1069. The criteria for entry into the study were: 1) presence of developmental
147 delay or ID diagnosis made by a clinical care team 2) a confirmed molecular genetic
148 diagnosis documented from an accredited diagnostic laboratory and 3) age of at least 4 years
149 at enrolment. Recruitment to the study was by referral from all UK Regional Genetics
150 Centres (94.4%), self-referrals or patient support groups (5.6%). This study is focused on a
151 subset of the sample who were between 4 and 19 years of age. A parent or guardian
152 provided consent on behalf of children under 16 years. All children received a copy of a
153 storybook *Avery* written for the this study to facilitate a parent-child discussion about
154 involvement in research⁹. For individuals >16 years who lacked capacity, consultees acted
155 on their behalf.

156 Procedures

157 Diagnostic genomic reports were obtained from NHS medical records or directly from
158 families including inheritance information where available (Appendix pp.2). Pathogenic
159 variants were classified according to American College of Medical Genetics (ACMG)
160 guidelines and only those with pathogenic or likely pathogenic variants were included¹⁰. For
161 individuals with multiple genetic variants, subsequent data analysis was based on the most
162 pathogenic variant.

163 Choice of primary outcomes

164 Primary caregivers were invited to complete online assessments of their child's educational
165 progress, physical and mental health. We chose the *Development and Well-Being*
166 *Assessment (DAWBA)* and the *Strengths and Difficulties Questionnaire (SDQ)* as our
167 primary outcome measures (Appendix pp.3)^{11,12}, as both have been used in national studies
168 of children's mental health in the UK. The potentially lengthy comprehensive psychiatric
169 interview (DAWBA) provides DSM-5 compatible diagnoses, and broader measures of
170 adjustment and family functioning too. It has been used for both UK national⁸ and
171 international surveys¹² of mental health in children from 5-17 years (to 19 years in latest
172 survey). Making a clear distinction between problem behaviour in general and specific
173 psychiatric disorders, is important in the ID population^{7,13,14}. To maximise validity and
174 reliability, rating procedures identical to the latest UK national survey were used¹¹.

175 Diagnoses, using DSM-5 criteria, were assigned by two independent experienced clinicians
176 (Appendix pp.3)¹⁵. Inter-rater reliability was checked by co-rating 147 randomly chosen
177 participants with the team that conducted the UK National Survey of Children's Mental
178 Health ([https://digital.nhs.uk/data-and-information/publications/statistical/health-survey-for-](https://digital.nhs.uk/data-and-information/publications/statistical/health-survey-for-england/2018)
179 [england/2018](https://digital.nhs.uk/data-and-information/publications/statistical/health-survey-for-england/2018)), and all kappa values for diagnostic categories were >0.7.

180 The *Strengths and Difficulties Questionnaire (SDQ)* assessed children's emotional and
181 behavioural adjustment in dimensional terms^{8,13,16,17}. The SDQ has been validated with children
182 with IDD¹³. The SDQ includes scales that measure emotional symptoms, conduct problems,
183 hyperactivity/impulsivity and inattention difficulties, peer relationship problems and
184 prosocial behaviour. The first four scales are combined to create a total difficulties score.
185 High scores are indicative of greater levels of mental health difficulty and scores above the
186 90th percentile indicate a high probability of a diagnosable psychiatric disorder (≥ 17)¹⁷.

187 Secondary outcomes

188 Daily living skills were measured using the *Adaptive Behaviour Assessment System 3*
189 (*ABAS-3*)¹⁸. A developmental quotient was calculated from parental estimates of the child's
190 mental age divided by their chronological age^{7,19}. General physical health was estimated
191 using parent ratings on the DAWBA (5 point Likert scale from very bad to very good). A
192 structured questionnaire gathered information about pregnancy, birth, early development
193 and current medical problems and medication.

194 Postcodes of participating family homes were scored on an Index of Multiple Deprivation
195 (IMD), provided by the UK Office for National Statistics
196 (<https://www.gov.uk/government/statistics/english-indices-of-deprivation-2019>). In the
197 current [English Indices of Deprivation 2019 \(IoD2019\)](#) seven domains of deprivation are
198 considered and weighted as follows; Income (22.5%), Employment (22.5%), Education
199 (13.5%), Health (13.5%), Crime (9.3%), Barriers to Housing and Services (9.3%) and
200 Living Environment (9.3%). The indices of multiple deprivation for Wales, Scotland,
201 England, and Northern Ireland are calculated separately.

202 Data analysis

203 Four sets of analyses were conducted. First, descriptive statistics were computed to describe
204 the cohort's characteristics in the following domains: genetics; development, education and
205 adaptive impairment; socio-economic status; and neuropsychiatric risk. Secondly, we
206 conducted group comparisons using chi squared tests on the prevalence of DAWBA
207 diagnoses between the IMAGINE cohort and the UK national study. Then, we conducted the

208 third and fourth set of analyses on a subset of the cohort who had a CNV of known
209 inheritance (i.e. *de novo* or familial status). The third analysis compared the behavioural
210 phenotypes and neuropsychiatric risk of children based on the inheritance of their CNV
211 (familial/*de novo*). The Bonferroni method was used to adjust the threshold of significance
212 for multiple comparisons in the second and third set of analyses. Our fourth and final set of
213 analyses investigated the association between variant. Inheritance (*de novo* or familial
214 status), the indices of deprivation (IMD) and the severity of behavioural and emotional
215 difficulties (SDQ) using multivariable hierarchical linear regressions. Model 1 predicted the
216 degree of behaviour difficulties (SDQ total score) from the IMD quintile and variant
217 inheritance (binary variable: *de novo*/familial). Model 2 adjusted for confounds including
218 sex, age of diagnosis, developmental quotient (developmental age/chronological age) and
219 physical health problems (rated by parents on a 5 point likert scale from very bad to very
220 good). Model 3 added an interaction factor (deprivation x inheritance). All data were
221 analysed in SPSS version 24²⁰.

222 Role of the funding source

223 The study funders and sponsors were not involved in the study design, the collection,
224 analysis, and interpretation of data, in the writing of the report and in the decision to submit
225 the paper for publication.

226

227 **Results**

228 Participants

229 A total of 3407 participants were recruited to the IMAGINE study^{19,21} (Figure 1). 2770 were
230 aged between 4 to 19 years. Of these, 2397 (86.5%) families completed basic assessments of
231 their child's mental health (Figure 1; Appendix pp.4). The mean age in this subsample was
232 9.2 years (SD 3.9) and 1339 (55.9%) were male and 1058 (44.1) were female.

233 Genetics

234 The cohort represented a diverse group of 2770 individual children with many different
235 genomic disorders, 2397 of whom have measures of mental health available (Figure 1). 1771
236 (73.9%) individuals had a pathogenic copy number variant (CNV) and 626 (26.1%)
237 individuals had a pathogenic single nucleotide variant (SNV). Familial variants were
238 identified in 645 (26.9%) individuals; *de novo* variants were identified in 940 (39.2%); and
239 in 812 (33.9%) individuals the parental results were not available to the study, thus, familial
240 or *de novo* status could not be determined for the pathogenic variant (Appendix pp.4).

275 Neuropsychiatric risk

276 The Strength and Difficulties Questionnaire (SDQ) scores (2397) revealed a high prevalence
277 of behaviour difficulties compared to the UK national survey norm (Table 1)⁸. Most of the
278 sample (1992, 83·1%) scored above the clinical ‘cutpoint’, compared to 20% of the general
279 population of equivalent age and sex. Of these children; 334 (13·9%) had slightly raised
280 scores, 378 (15·8%) had high scores, and 1279 (53·4%) had very high scores. Subscale
281 scores for specific traits were raised to an equivalent degree (Appendix pp.4).

282 Clinically significant neuropsychiatric disorders were observed in 1161 (53·1%) of the
283 children with completed DAWBAs (2186), compared with 12·8% in the 2017 national
284 population survey ([https://digital.nhs.uk/data-and-information/publications/statistical/health-](https://digital.nhs.uk/data-and-information/publications/statistical/health-survey-for-england/2018)
285 [survey-for-england/2018](https://digital.nhs.uk/data-and-information/publications/statistical/health-survey-for-england/2018); RR 4·1, 95%CI 3·9 to 4·5, $p < .001$). Autistic Spectrum Disorder
286 (ASD) diagnostic criteria were met in 776 (35·5%) compared to 1·2% in the general
287 population (RR 29·2, 95%CI 23·9 to 36·5, $p < .001$). Attention deficit hyperactivity disorder
288 (ADHD) diagnostic criteria were met in 473 (21·6%) compared with 1·6% in the general
289 population (RR 13·5, 95%CI 11·1 to 16·3, $p < .001$, Table 2). Oppositional defiant disorders
290 (ODD) were also relatively common (264, 12·1% vs 222, 2·9%; RR 4·2, 95%CI 3·5 to 5,
291 $p < .001$), but the rates of conduct disorder were not raised (34, 1·6% vs 130, 1·7%). Anxiety
292 disorders were identified in 232 (10·6%) compared with 551 (7·2%) in the comparison
293 cohort (RR 1·5, 95%CI 1·3 to 1·7, $p < .001$, Table 2). Rates of depression were significantly
294 lower, 9 (0·4%) vs 161 (2·1%) (RR 0·2, 95%CI 0·1 to 0·4, Table 2). Of the 1161 (53·1%)
295 who met criteria for any psychiatric diagnosis, 483 (41·6%) had two or more co-occurring
296 disorders, of which the most frequent co-occurring conditions were ASD and ADHD (247,
297 21·3%).

298 Physical health disorders

299 1277 (46·1%) caregivers completed a supplemental medical history questionnaire. 1195
300 (93·6%) reported at least one significant physical health problem. Many children had a
301 history of seizures (355, 29·7%), the most common were absence seizures (148/355,
302 41·7%), generalized tonic-clonic seizures (120/355, 33·8%) and febrile seizures (94/355,
303 26·5%, (Appendix pp.5). Of those with a history of seizures, 188/355 (53%) were on
304 specific anti-epileptic medication(s). Other physical health problems were common: 825
305 (64·6%) reported disturbed sleep; motor or movement disorders affected 814 (63·7%) of
306 participants; 587 (46%) had fine motor control problems; 24 (1·9%) had cerebral palsy
307 (Appendix pp.5).

308 Neuropsychiatric risk in children with CNVs by variant inheritance (*de novo* /familial)

309 Variant inheritance was examined for its contribution to risk of neuropsychiatric disorder in
310 the CNV group (*de novo* 541, familial 564). Too few familial SNVs were observed for
311 comparison (*de novo* 399, familial 81) (Appendix pp.3). Children with a *de novo* CNV
312 variant were more impaired in their intellectual function, but not in their adaptive
313 functioning compared to those with a familial variant, (DQ $t(784.7)=4.4$, $p<.001$, 95%CI
314 0.04 to 0.1; ABAS ($t(547)=1.9$, $p=.06$, 95%CI -0.7 to 4.5; Table 3). In contrast, more
315 severe behavioural and emotional problems were observed in participants with a familial
316 variant (SDQ $t(1103)=10.6$, $p<.001$, 95%CI 3.4 to 4.9). Those with a familial ($p<.001$,
317 95%CI 3.4 to 4.9). Those with a familial variant were also at a higher risk of specific mental
318 health diagnoses, including ASD and ADHD, than those with a *de novo* variant (ASD RR
319 1.6 95%CI 1.4 to 1.9. $p<.001$; ADHD RR 1.9 95%CI 1.5 to 2.5 $p<.001$) and they were
320 more likely to live in more deprived socio-economic areas (Table 3).

321 Genomic and Socioeconomic contributions to neuropsychiatric conditions

322 In children with a CNV, there was a greater severity of behavioural and emotional disorders,
323 in those whose variant was familial and there was an association with socio-economic
324 deprivation. In addition, there was a significant shift in the sex ratios of those with familial
325 variants (Figure 3). The cohort overall was 1339 (55.9%) male and 1058 (44.1%) female
326 whilst those with familial genomic variants were 356 (63.0%) male and 209 (37.0) female.

327 We conducted a series of hierarchical multivariable linear regressions to test the statistical
328 significance of this association. Model 1 predicted the degree of behaviour difficulties (SDQ
329 total score) from the IMD rank and variant inheritance (*de novo*/familial). Greater
330 socioeconomic deprivation and possession of a familial variant both contributed to
331 behaviour difficulties ($F(2, 803) = 56.7$, $p < .001$, $b_{\text{IMD}} = -0.48$, $SE=0.16$, $p=.003$; $b_{\text{inheritance}} =$
332 4 , $SE=0.46$, $p<.0001$). Model 2 adjusted for confounders including sex, age of diagnosis,
333 developmental quotient and physical health ($F(6, 799) =24.5$, $p < .001$, Table 4); inheritance
334 and the degree of deprivation remained predictors of behaviour difficulties ($b_{\text{IMD}} = -0.34$,
335 $SE=0.16$, $p=.033$; $b_{\text{inheritance}} = 3.7$, $SE=1.16$, $p<.001$). Model 3 added an interaction factor
336 (deprivation x inheritance). No significant interaction was found between the index of
337 multiple deprivation and inheritance of the genomic variant ($p=.41$;Table 4).

338 **Discussion**

339 Our study, which involved over 2500 children, highlighted that intellectual disability of
340 identifiable genetic aetiology is strongly associated with neurodevelopmental and mental

341 health disorders, and that the risk is greater in those whose genetic disorder is inherited, even
342 after adjusting for developmental level, sex and socio-economic deprivation.

343 Our unique approach to measurement allowed us to include the assessment of disorders that
344 are typically not included in studies of genetic risk in childhood. Former studies have either
345 focused almost entirely on the physical consequences of genetic changes ², or they have
346 taken a relatively homogeneous population with a specific neurodevelopmental disorder
347 (such as autism) and sought evidence of specific genomic variants that could have played a
348 causal role²³. Whilst we found that neurodevelopmental disorders were particularly
349 frequently associated with ID of genetic origin, we also discovered that anxiety and
350 oppositional defiant behaviour were major concerns. Previous studies that have examined
351 the impact of pathogenic CNVs on child mental health have been small scale, focused on
352 specific neurodevelopmental disorders (such as autism or schizophrenia), and considered
353 only a small range of genomic variants. The IMAGINE study comprised a far wider range of
354 CNVs, and a greater breadth of neuropsychiatric phenotypes, than any previous investigation
355 of its type.

356 Consistent with previous work on intellectually disability in populations of children ⁷, we
357 found an association between the degree of children's emotional and behavioural
358 disturbance and families living in greater socioeconomic deprivation
359 ([https://www.instituteofhealthequity.org/resources-reports/a-fair-supportive-society-](https://www.instituteofhealthequity.org/resources-reports/a-fair-supportive-society-summary-report)
360 [summary-report](https://www.instituteofhealthequity.org/resources-reports/a-fair-supportive-society-summary-report)). Our first novel discovery was that such disorders were more prevalent
361 among children whose genetic condition was inherited. The measurable impact of heritable
362 variants on associated risk was largely confined to CNVs because SNVs were usually *de*
363 *novo* in origin. Individuals with SNVs were also disproportionately drawn from less socially
364 disadvantaged families, unlike CNVs which were identified in a socioeconomically
365 representative cohort.

366 Considering the important finding that children with an inherited CNVs are at far greater
367 risk of neuropsychiatric disorders, it is feasible that some parents also may have a degree of
368 cognitive impairment themselves, associated with their carrier status, and thus are at social
369 and educational disadvantage ²⁴. This could explain the observation that such families live in
370 conditions of greater multiple deprivation and would contribute to the association with non-
371 specific emotional and behavioural problems ²⁵. But we also found that the
372 neurodevelopmental disorders, ADHD and ASD, were nearly twice as prevalent among
373 children whose CNV was inherited. This difference could reflect some factors that

374 influenced the pathogenicity of the associated CNV, and/or polygenic risk that was also
375 inherited²⁶, or unmeasured environmental factors that the study did not capture. Consistent
376 with previously published data we found a relative paucity of females with familial variants
377 compared to males supporting the theory of neuroprotective effect of the female sex²⁷. We
378 found that children with ID of genetic aetiology are not only at high risk of mental health
379 and neurodevelopmental disorders, but also ~30% had a seizure disorders and other complex
380 physical health needs. The children with seizures or absences were not confined to those
381 with genomic variants within known epilepsy genes or genomic loci but was associated with
382 a wider range of genomic disorders than anticipated suggesting the presence of a seizure
383 disorder was a more generalised phenomenon in children with ID²⁸.

384 Our study has some limitations. Recruitment was almost exclusively based on referrals
385 initiated by UK NHS Regional Genetics Centres (RGCs). Families with a child in whom a
386 pathogenic variant had been diagnosed were approached with information about the
387 IMAGINE Study by RGCs, therefore the number of families that declined to take part is
388 unknown. Initial genetic investigations in most children were due to developmental delay.
389 Genetic testing due to suspected autism cannot be excluded, although autism alone is not an
390 indication for genetic investigations under current NHS guidelines. All participants in the
391 UK came through NHS testing routes and a diverse range of technologies was used to make
392 genomic diagnoses. The high number of children with CNV reflects historic limitations in
393 diagnostic technologies. The inheritance of each variant was only identifiable in 64% of
394 participants.

395 The study did not include children with ID without a molecular diagnosis. It is unlikely that
396 these children will have significantly different mental health needs compared to those with a
397 genetic diagnosis, but our study could not inform this assumption.

398 Assessments of mental health were mostly obtained online and were based on parental
399 report. It is possible that parents who have a rare genetic disorder themselves, are living in
400 socioeconomically disadvantaged circumstances, provided a different account of their
401 child's behaviour than those with no underlying rare genetic disorder who live in less
402 deprived circumstances. To mitigate against parental bias in reporting, for example,
403 cognitive levels, multiple validated and independent assessment tools were used throughout.
404 In addition, the threshold for referral and difficulties navigating access to services including
405 genetic testing may be far higher for children with a familial CNV. This would bias the
406 sample to more severe neurodevelopment in children with a familial CNVs. Participation
407 rates in those families who volunteered to join the cohort was very high: 85% completed at

408 least some assessments. A strength of our design was that we measured and assessed mental
409 health and neurodevelopmental disorders using the same instruments used in other UK
410 national studies, allowing direct comparisons with general population data. Our diagnostic
411 evaluations were shown to be consistent with the diagnostic decision-making of the latest
412 national UK survey of children's mental health. A further strength was that participants were
413 recruited from the NHS genetic service which is free at the point of delivery and thus
414 demographically and socioeconomically unbiased and provided consistent quality of
415 diagnoses, based on accredited diagnostic reports.

416

417 Future research should evaluate the emergence of new mental health outcomes over time and
418 investigate sex-differences in these trajectories. The median age of participating children
419 was 9 years at our initial assessment, but serious mental health disorders that are associated
420 with many of the genetic disorders we surveyed do not appear until adolescence or early
421 adult life. We are now following up the families 5 years after our initial evaluation, in order
422 to understand the impact of their genetic disorder on specific educational needs, and plan for
423 appropriate medical management. At the point of a genetic diagnosis, often in very early
424 childhood, this information is lacking for the majority of the conditions we identified. To
425 conclude, we have conducted the largest survey yet of rare genomic variants that are
426 associated with intellectual disability. The identification of a pathogenic CNV or SNV, in a
427 child with developmental delay, indicates an exceptionally high risk of their developing an
428 associated neurodevelopmental disorder or other mental health condition, irrespective of the
429 specific rare genomic variant. Those in whom a genomic variant is inherited are particularly
430 vulnerable. This information should be used to plan targeted assessments and interventions
431 to support families at the earliest opportunity. Based on our data we would recommend
432 better training for health care providers about the wider use and utility of genetic testing and
433 its value in terms of predicting potential mental health needs of children. We would also
434 recommend better use of parental expertise in pre-assessment of children's needs. Wider use
435 of online assessments of children e.g. DAWBA could have a significant impact on
436 identifying rapidly those children in most need of child mental health services which are
437 currently hugely limited in the UK.

438 **Data Sharing statement**

439 The full phenotypic IMAGINE dataset is available from the UK Data Archive under special
440 license access (SN 8621):

441 <https://beta.ukdataservice.ac.uk/datacatalogue/studies/study?id=8621>

442 Requests for genotype or linked genotypic-phenotypic data can be made through the study's
443 data access committee: [https://imagine-id.org/healthcare-](https://imagine-id.org/healthcare-professionals/datasharing/)
444 [professionals/datasharing/](https://imagine-id.org/healthcare-professionals/datasharing/)

445 **Declaration of Interests**

446 No conflict of interest is disclosed by co-authors.

447

448 **Author contribution statement**

449 All authors contributed to writing the manuscript. JW conducted the analysis. JW, RS, FW,
450 TF, FLR and DS verified data and had access to raw data. FLR had final responsibility for
451 the decision to submit for publication

452 **Acknowledgements**

453 We wish to thank all the families and children who took part in the study. We also wish to
454 thank all UK NHS Regional Genetics Centres and UNIQUE charity (<https://rarechromo.org>)
455 for their time and support in offering referral and recruitment to the study to families. The
456 work was supported by a grant from the MRC and MRF (grant no. MR/L011166/1 and
457 MR/N022572/1). This research was supported by the NIHR and FLR and ERM were
458 supported by Cambridge NIHR BRC. SJRAC is supported by an ISSF Wellcome Trust
459 Fellowship (204824/Z/16/Z) and RS from the Wellcome Trust grant (211163/Z/18/Z). The
460 University of Cambridge has received salary support in respect of EM and FLR from the
461 NHS in the East of England through the Clinical Academic Reserve. The views expressed
462 are those of the authors and not necessarily those of the NHS or Department of Health.

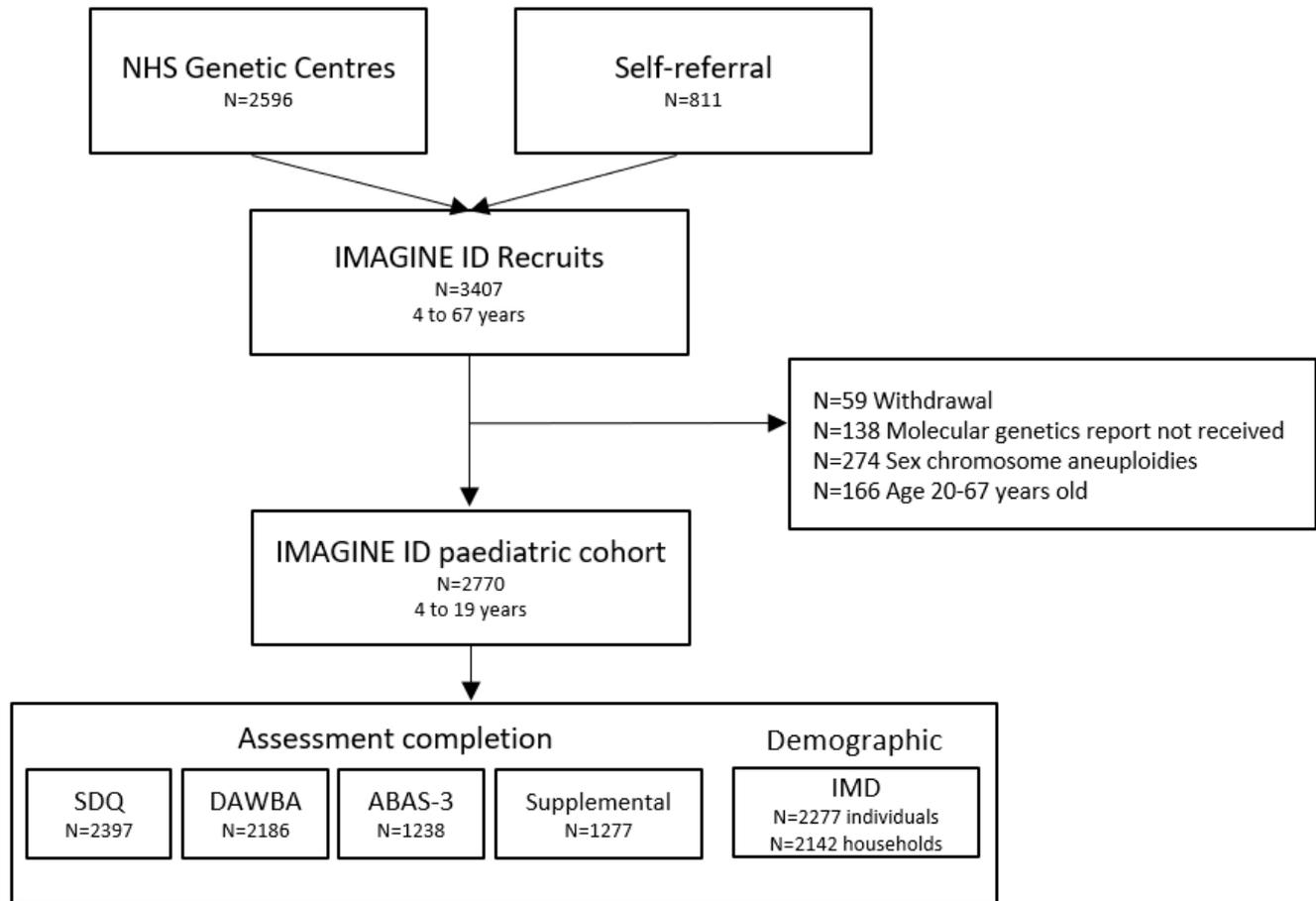
463

464 **Figures**

465 **Figure 1: Cohort ascertainment flow chart.**

466 The main recruitment source was UK Regional Genetic Centres 94.4% and the remaining
467 5.6% were ascertained through self-referral.

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471 **Figure 2: Inheritance by Index of Multiple Deprivation quintile**

472 **Panel A:** Household IMD by inheritance for all variants of known inheritance (n=1408;
 473 n_{familial}=514, n_{denovo}=894; n_{male}=776, n_{female}=632)

474 **Panel B:** Household IMD by CNV variant inheritance (n=961; n_{familial}=454,
 475 n_{denovo}=507; n_{male}=546, n_{female}=415)

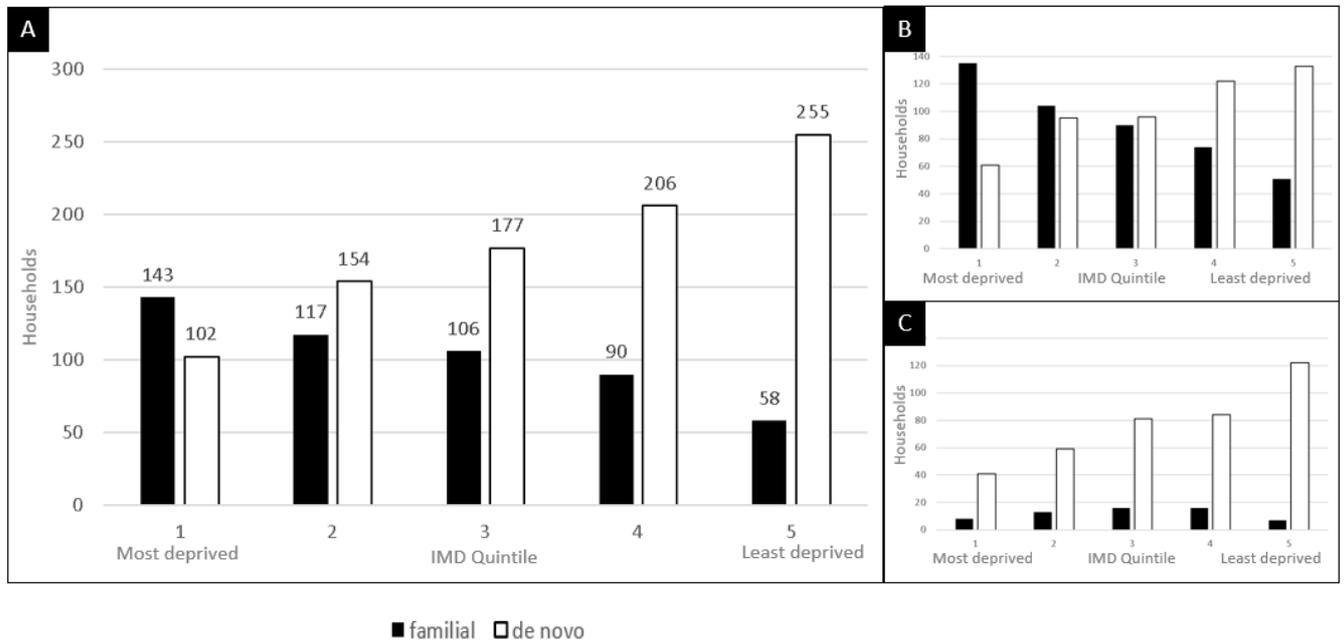
476 **Panel C:** Household IMD by SNV variant inheritance (n=447; n_{familial}=60, n_{denovo}=387;
 477 n_{male}=230, n_{female}=217)

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479 IMD ranks by UK nations were combined to examine group differences between those
 480 households with an inherited and de novo variant. Households were scored once regardless
 481 of number of individuals within the household who had genetic variants. IMDs for variants
 482 of unknown significance are not represented (n_{unknown}=734). The 1st quintile includes the
 483 most deprived postcodes and the 5th quintile the least deprived postcodes.

484 Black bars = households with a familial variant; Open bars= households with a *de novo*
 485 variant.

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Tables

Table 1: Cohort characteristics n=2397

503 Data are n (%) or specified. ¹n=2349; ²n=2142; ³n=1911, ⁴n=2297; ⁵n=1238. The
504 developmental quotient was calculated from parental estimates of the child’s mental age
505 divided by their chronological age. General physical health was estimated using parent
506 ratings on the DAWBA (5 point Likert scale from very bad to very good). See Appendix p4
507 for summary of n numbers.

508

Domain		No. (%)
Variant type	CNV	1771 (73.9)
	SNV	626 (26.1)
Variant inheritance	familial	645 (26.9)
	de novo	940 (39.2)
	not determined	812 (33.9)
Sex	Male	1339 (55.9)
	Female	1058 (44.1)
Age	4 to 8	1211 (50.5)

	9 to 11	531 (22.1)
	12 to 16	533 (22.2)
	17 to 19	122 (5.1)
Age at diagnosis¹	Under 4	710 (30.2)
	4 to 8	1045 (44.5)
	9 to 11	341 (14.5)
	12 to 16	227 (9.7)
	17 to 19	26 (1.1)
IMD quintile by household² 1st - most deprived 5th -least deprived	1st	431 (20.1)
	2nd	406 (19.0)
	3rd	407 (19.0)
	4th	427 (19.9)
	5th	471 (22.0)
Intellectual functioning³, mean (SD)	Developmental quotient	0.55 (0.24)
	Mental age	4.94 (3)
Physical health⁴	Very good	635 (27.6)
	Good	944 (41.1)
	Fair	567 (24.7)
	Bad	119 (5.2)
	Very bad	32 (1.4)
ABAS-3⁵	Extremely Low	817 (66)
	Low	238 (19.2)
	Below Average	120 (9.7)
	Average	62 (5)
	Above average	1 (0.1)
SDQ total score	Close to average	406 (16.9)
	Slightly raised	334 (13.9)
	High	378 (15.8)
	Very high	1279 (53.4)

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511 **Table 2: Neurodevelopmental and mental health diagnoses (n=2186)**

512 IMAGINE N female = 960, N male= 1226

513 National study N female=3803, N male=3851

514 Data are n (%). IMAGINE DAWBA diagnoses were compared to the DAWBA prevalence

515 estimates from the UK 2017 National study of child and adolescent mental health. Threshold

516 of significance corrected for multiple comparisons using the Bonferroni correction method.

517 P =.006

518 RR= Relative Risk; ¹ Age 5-19 years; ² chi² test of independence

519

DAWBA Diagnoses, N(%)	IMAGINE n=2186	National study 2017 ¹ n=7654	P ²	RR (95% CI)

Emotional disorders	236 (10.8)	620 (8.1)	<.001	1.3 (1.2 to 1.5)
Anxiety	232 (10.6)	551 (7.2)	<.001	1.5 (1.3 to 1.7)
Depression	9 (0.4)	161 (2.1)	<.001	0.2 (0.1 to 0.4)
Behavioural disorders	283 (12.9)	352 (4.6)	<.001	2.8 (2.4 to 3.3)
Oppositional defiant disorder	264 (12.1)	222 (2.9)	<.001	4.2 (3.5 to 5)
Conduct disorder	34 (1.6)	130 (1.7)	.71	0.9 (0.6 to 1.3)
Attention deficit hyperactivity disorder (ADHD)	473 (21.6)	123 (1.6)	<.001	13.5 (11.1 to 16.3)
Autism spectrum disorder (ASD)	776 (35.5)	92 (1.2)	<.001	29.2 (23.9 to 36.5)

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522 **Table 3: CNV group participant characteristic comparison by variant inheritance**

523 Data are n (%) or as specified. ¹n=1106; ²n=1098; ³n=961; ⁴n=1071, ⁵n=855;

524 ⁶n=549; ⁷n=1021; ⁸ DAWBA skip rules affect number of responses; ⁹ chi² test of

525 independence; ¹⁰Two-sample Kolmogorov–Smirnov test; Threshold of significance

526 corrected for multiple comparisons using the Bonferroni correction method $\alpha=.002$.

527 The developmental quotient was calculated from parental estimates of the child’s mental age

528 divided by their chronological age (0= low developmental level, 1= high developmental

529 level). General physical health was estimated using parent ratings on the DAWBA (5 point

530 Likert scale from very bad to very good). IMD quintile reported by household.

531 See Appendix pp.4 for summary of n numbers.

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	familial	de novo	p
Age¹, mean (SD)	8.7 (3.6)	8.9 (3.9)	.4
Age of diagnosis², mean (SD)	6 (3.6)	4.7 (3.9)	<.001
Sex (male)^{1,9}	356 (63.0)	281 (51.9)	<.001
Sex (female)^{1,9}	209 (37.0)	260 (48.1)	<.001
IMD quintile by household^{3,10} 1st - most deprived 5th -least deprived	1 st 135 (29.7)	1 st 61 (12)	<.001
	2 nd 104 (22.9)	2 nd 95 (18.7)	
	3 rd 90 (19.8)	3 rd 96 (18.9)	
	4 th 74 (16.3)	4 th 122 (24.1)	
	5 th 51 (11.2)	5 th 133 (26)	

Physical health ^{4, 8, 10}	Very good 146 (26.6)	Very good 139 (26.6)	1
	Good 232 (42.3)	Good 231 (44.3)	
	Fair 134 (24.4)	Fair 120 (23)	
	Bad 32 (5.8)	Bad 27 (5.2)	
	Very bad 5 (0.9)	Very bad 5 (1)	
Mental age (years) ^{5, 8} , mean (SD)	5.5 (3.0)	4.8 (3)	<.001
Developmental Quotient ^{5, 8} , mean (SD)	0.6 (0.2)	0.5 (0.3)	<.001
ABAS-3 ^{6, 8} , mean (SD)	66.4 (13.7)	64.2 (13.1)	.06
SDQ Total score ¹ , mean (SD)	22.7 (6.5)	18.5 (6.5)	<.001
DAWBA ^{7, 9}			
Emotional disorders	78 (14.8)	40 (8.1)	<.001
- Anxiety	77 (14.7)	40 (8.1%)	<.001
- Depression	5 (1)	1 (0.2)	.12
Behavioural disorders	101 (19.1)	49 (10)	<.001
- Oppositional defiant disorder	96 (18.1)	48 (9.8)	<.001
- Conduct disorder	13 (2.5)	4 (0.8)	.04
Hyperactivity disorder	145 (27.4)	69 (14)	<.001
Autism Spectrum disorder	242 (45.7)	141 (28.7)	<.001

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551 **Table 4: Association between SDQ and IMD by variant inheritance in CNV group**

552 **(n=806)**

553 $R^2 = 0.12$ for Step 1; $R^2 = 0.15$ for Step 2; $R^2 = 0.16$ for Step 3

554 **Model 1** – Associations between SDQ and IMD quintile for individuals by inheritance

555 **Model 2** – Model 1 including confounding variables – child sex, child developmental level
556 as indexed by the developmental quotient (developmental age/chronological age), age of
557 diagnosis and physical health by parent report

558 **Model 3** – Model 2 including interaction factor (inheritance x IMD)

SDQ behaviour difficulties	Model 1			Model 2			Model 3		
	b (SE)	std b	p	b (SE)	std b	p	b (SE)	std b	p
IMD	-0.48 (0.16)	- 0.10	.003	-0.34 (0.16)	- 0.07	.033	- 0.47(0.23)	- 0.10	.036
de novo/familial	4.0 (0.46)	0.31	<.0001	3.7 (0.46)	0.28	<.0001	2.9 (1.06)	0.22	.006
IMD x inheritance	-	-	-	-	-	-	0.26 (0.32)	0.06	.41

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