






# Endocrine therapy for the treatment of leptomeningeal carcinomatosis in luminal breast cancer: a comprehensive review

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Leptomeningeal disease (LMD) represents a devastating complication of advanced breast cancer (ABC), with survival of <5 months with multimodal treatment. The role of endocrine therapy (ET), due to its favorable toxicity profile and first-line indication in luminal ABC, appears promising in the setting of LMD, where symptom stabilization and quality-of-life preservation are the main goals; however, evidenced-based data are lacking. We conducted a thorough review of published evidence, aiming to investigate the role of ET in LMD treatment in luminal ABC. Twenty-one of 342 articles, evaluating 1302 patients, met inclusion criteria. ET use was rarely reported. New targeted agents show CNS activity. Research is lacking on the role of ET and targeted agents in BC-LMD treatment.

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Leptomeningeal disease (LMD) is characterized by tumor cells spread within the leptomeninges or the subarachnoid space [1]. Diagnosis can be challenging because signs and symptoms may be subtle. The presence of malignant cells in the cerebral spinal fluid (CSF) (specificity >95%, sensibility 45–75% [2]) and/or magnetic resonance imaging (MRI) with gadolinium (the accuracy of which is still debatable) consistent with CSF dissemination and clinical suspicion confirms the diagnosis. LMD is mainly a late-stage complication but can be an inaugural diagnosis (10%) [3,4].

In breast cancer (BC), LMD has an estimated incidence of 5% [5,6]. Triple negative (TN) subtype, lobular histology and ventricle disruption during surgical excision of brain metastasis are associated with a higher risk for LMD-BC [1,5,7]. Distribution by subtype is almost equivalent in proportions: 17–37% for TN BC, 19–40% for HER2 positive (HER2+) BC and 35–50% for luminal BC [hormone receptor positive (HR+) and HER2 negative (HER2-)] [8–13].

Patients with LMD have a dismal prognosis. At diagnosis, 80% are symptomatic with severely impaired functional status and quality of life [5,6,14]. Median survival without treatment is 6–8 weeks; with multimodal treatment, it usually does not exceed 5 months [1,5,8,12,14]. At 1 year, less than 25% are alive [1,8].

No prognostic score has been validated to help clinicians with stratifying patients or providing the appropriate therapeutic approach [7,8,12]. The most consistent favorable prognostic factor seems to be a good performance status (PS) at diagnosis [5,8,11,15–18].

Given the bleak prognosis of LMD, stabilizing neurologic symptoms, improving quality of life and preventing or delaying further disability are the goals of treatment [1]. A multimodal approach has been suggested to be the key for a sustained response [12,19,20]. Accordingly to the European Society for Medical Oncology recommendations, surgery, radiotherapy (RT), systemic anticancer therapy (SACT), intrathecal therapy (ITT) and supportive therapy

should be used in conjunction for patients with good performance status [1]. Surgery is mostly indicated in cases that require relief of intracranial pressure, placement of a ventriculoperitoneal shunt or insertion of an Ommaya reservoir [21,22].

RT has an important role in highly symptomatic and bulky disease, and it seems it can improve results in the setting of a multimodal approach. However, its effect on survival and quality of life have not been well established [13,19,23–25]. Furthermore, it seems that the molecular landscape and tumor microenvironment of CNS metastasis may have implications in terms of RT response, which in the future could dictate multimodality approaches [26–28].

Most retrospective LMD studies suggest that SACT improves survival [1,5,11,12,29]. However, significant challenges to its use have been pointed out, including limited tested regimens in this setting, the assumption that most regimens have limited efficacy in CNS metastasis and the paucity of additional available treatment lines. The blood–brain barrier (BBB) is cited as the principal factor for the limited CNS activity of most therapeutic regimens [26], but several authors suggest that in the presence of brain metastasis, the integrity of the BBB has been compromised, which could explain the survival benefit provided by SACT [30,31]. Furthermore, LMD itself has been shown to increase BBB permeability [7], and these patients are often subjected to whole-brain RT, which disrupts BBB organization and consequently increases concentration of systemic agents in CSF [7,31].

Administration of agents directly in the CSF bypasses BBB and, in theory, ensures therapeutic levels of anti-neoplastic agents, although diffuse distribution of these implies absence of an obstruction to CSF flow [24]. These are the principles of ITT that have been the mainstay for BC-LMD treatment in most European centers [17], yet its value is not well established [32]. Furthermore, its use is not recommended in the presence of hydrocephalus (because, due to impaired CSF flow, it augments the risk of neurotoxicity, furthering intracranial pressure and consequently brain herniation and ischemia) [4,22,33] or nodular disease only (due to limited diffusion of ITT agents into the subependymal tissue) [1,7,24,34]. ITT also involves invasive procedures and has possible serious adverse effects [24,29,35], even if it seems not to negatively affect quality of life [25,32].

Endocrine therapy (ET) alone or in combination with other agents is the standard first-line treatment for advanced luminal BC (luminal ABC) unless patients are endocrine resistant or present with visceral crisis [36]. ET is extremely effective in controlling bone disease [37,38], including disease of the skull, because bone is the most common place for luminal BC metastasis [39]. Regarding CNS metastasis, patients have been excluded from most trials. Furthermore, most studies on LMD have not examined BC exclusively nor have they been dedicated to researching the value of ET, with many focusing mainly on ITT [30,32,40], chemotherapy regimens [41–43] and RT [19,23,44].

Some studies suggest that there is a role for ET in luminal ABC with CNS metastasis, including LMD [15,31,45–47]. There is evidence that hormonal agents can cross the BBB: tamoxifen has a good CSF bioavailability and can also modulate p-glycoprotein, which are important for achieving CNS activity [48,49]; likewise, letrozole penetrates the BBB better than anastrozole [50] and seems to have potential tumor selectivity by achieving greater levels in tumor areas [51]. It can be inferred that demonstration of efficacy in controlling CNS disease comprises control of LMD, and thus results from CNS disease should guide treatment approaches to this rare manifestation of advanced BC (ABC).

Decisions about LMD treatment lack evidence-based practice. The rarity of the disease along with the usually poor clinical performance due to symptom burden brought by LMD limits randomized trial conduction and prospective data gathering. The aim of this review was to investigate the role of ET in the treatment of LMD in patients with luminal BC.

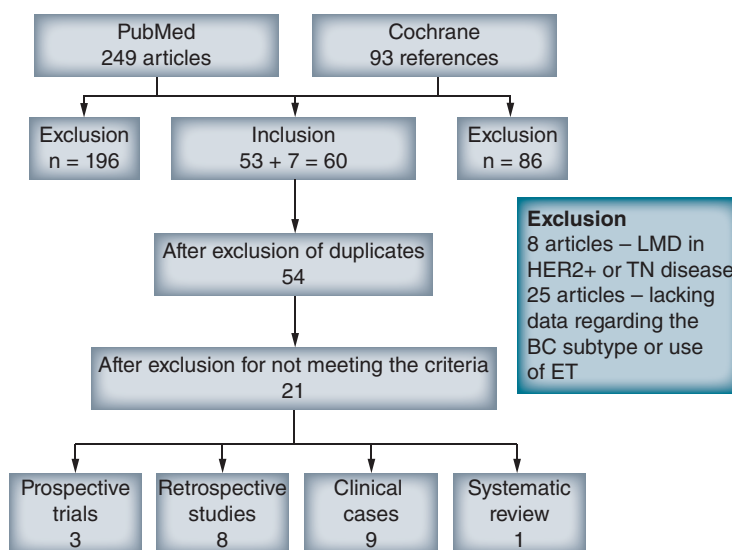
## Methods

### Study question & inclusion criteria

This study was designed to evaluate published evidence underlying ET options for LMD in luminal ABC. The research question was set using the Population-Intervention-Comparator-Outcome-Study Design framework [52].

The population of interest included individuals aged >18 years with diagnosis of LMD in the context of luminal BC for whom ET was given after diagnosis. All articles featuring this population, even if submitted to other treatments or without available outcomes and survival for this group, were eligible for inclusion.

Studies featuring other tumors were not excluded if the information required was presented. Studies using ET in combination with targeted agents for HER2 disease were excluded due to the possible confounding effect.



**Figure 1. Search strategy according to Preferred Reporting Items for Systematic Reviews and Meta-Analysis method.**

BC: Breast cancer; ET: Endocrine therapy; LMD: Leptomeningeal disease; TN: Triple negative.

### Literature review

This review was performed independently in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guidelines [53]. Eligible articles published between 2000 and as late as July 2020 were retrieved by searching PubMed and COCHRANE. The search strategy was restricted to publications in English and Portuguese and included all publications with BC and LMD (or carcinomatosis or metastasis) and ET (or hormonal therapy or tamoxifen or letrozole or anastrozole or fulvestrant or exemestane). All study types were permitted, including randomized and nonrandomized interventional studies, observational studies (controlled or uncontrolled), systematic reviews and clinical cases or case series.

### Data collection & statistical analysis

For each study, the following data were collected: year of publication; first author; patient age at diagnosis; Karnofsky Performance Status (KPS)/Eastern Cooperative Oncology Group (ECOG)-PS; estrogen, progesterone and HER2 status; type of ET used (when available); and other therapeutic strategies used before and after LMD diagnosis. Whenever possible, we also gathered the following data: time from breast cancer diagnosis until LMD diagnosis (TLMD), progression-free survival corresponding to the time each ET was used before changing line due to progression, quality of life, treatment toxicity and overall survival (OS) for LMD. OS was calculated as time from LMD diagnosis until death or last contact (OS.LMD). We performed a simple one-sample comparative analysis, with the nonparametric Wilcoxon test, using R statistical software version 4.0.2 [54].

### Results

Our search retrieved a total of 342 articles of which 21 studies were included (selection is detailed in Figure 1). These evaluated 1302 patients, of which 161 of 473 with luminal ABC-LMD received ET after diagnosis. We organized data in four groups: prospective studies (Table 1), retrospective studies (Table 1), clinical cases/case series (Table 2) and systematic reviews (Table 1). Because of the substantial heterogeneity of data, we only compared median OS of the group of clinical cases against reference median OS for LMD (described in more detail subsequently).

### Prospective trials

Three trials were included in this group (Table 1). In a randomized study from 2004 with 35 patients, Boogerd *et al.* compared ITT with methotrexate combined with SACT versus SACT alone. Fourteen patients received ET, seven combined with ITT (experimental group) [29]. Agents used were tamoxifen, orimeten, megestrol and fluoxymesterone. This trial showed better OS for patients in the control group (SACT only), but OS was not detailed according to the type of therapy used (OS: 18.3 weeks vs 30.3 weeks, 95% CI: 5.5–34.3 weeks).

The only phase III trial in BC-LMD, DEPOSEIN, was recently published and enrolled 73 patients (37 in the control group and 36 in the experimental group) with the aim of comparing ITT with SACT versus SACT alone [32]. Although 39 patients had luminal ABC, only two were treated with ET. After LMD progression, 19 more

in the control group and 10 in the experimental group received further systemic agents, but no additional detail is given [32]. The experimental group almost doubled OS (OS: 4.0 months [95% CI: 2.2–6.3] vs 7.3 months [95% CI: 3.9–9.6], hazard ratio [HR]: 0.85, 95% CI: 0.53–1.36), suggesting that ITT with SACT is the best approach for LMD. However, this was a small, open-label trial without stratifications, leading to unbalanced groups [32], and the pattern of LMD disease (nodular vs linear) was not accounted [32].

Recently, the first prospective trial to evaluate the efficacy and safety of immune checkpoint inhibitors in patients with LMD of any solid tumor was published [55]. Brastianos *et al.* tested pembrolizumab in 20 patients, 17 with BC. Of those with BC, seven were HR+/HER2-, and two received pembrolizumab concurrently with ET (fulvestrant and letrozole). The primary endpoint of this study was met, and at 3 months, 12 of 20 patients were alive including four patients from the group of luminal BC-LMD patients (OS 3.4–14.6 months). Outcomes of the two patients with concurrent pembrolizumab and ET are not disclosed [55].

### Retrospective studies

In this group, eight studies met the inclusion criteria, although most did not detail the variables under study (Table 1). Of 403 luminal ABC patients, 135 received ET after LMD diagnosis.

In 2013, three retrospective studies on this subject were published. LeRhun *et al.* studied a cohort of 103 patients diagnosed between 2007 and 2011 and treated with intrathecal cytarabine combined with other treatments [15]. 44 had luminal ABC-LMD, and 15 started concomitant ET. The authors reported a median OS of 3.8 months (1 day–2.8 years) for the whole cohort, but in univariate and multivariate analysis, both non-TN tumors ( $p = 0.0139$ ) and initial treatment that included ET ( $P = 0.0238$ ) were significantly associated with a better OS.

Comte *et al.* also published a retrospective study with 66 patients diagnosed between 2000 and 2012, of which 45 had HR+ BC-LMD, although it is not specified if they are all luminal [14]. Their aim was to evaluate benefits of ITT with thiotepa. SACT was used in combination with intrathecal thiotepa, 90% patients had been previously treated with SACT regimens and ET was used only in nine patients (14%). Median OS was 4.5 months (0.1–50 months) for the cohort, and 12 patients (18%) had OS >1 year. Univariate analysis showed that high grade tumors, >3 prior lines of chemotherapy and PS >2 were poor prognostic factors [14]. ET agents were not specified, but use of hormonal treatment ( $n = 9/66$ ) was not associated with better OS (univariate analysis,  $p = 0.15$ ).

Torrejón *et al.* also studied implications of BC subtypes for the development of LMD [10]. The retrospective cohort of 38 BC patients included 19 luminal ABC (seven luminal A and 12 luminal B). Only one was treated with an ET (anastrozole) in addition to other SACT. The authors concluded that the use of SACT was related to a better prognosis and that luminal ABC patients had longer TLMD but worse nonsignificant OS, compared with TN (OS: luminal B 1.3 months, luminal A 2.7 months, HER2+ 3.0 months and TN 3.1 months,  $p = 0.296$ ).

Abouharb *et al.* published a study that included 233 patients with BC-LMD diagnosed between 1997 and 2012 [11]. The aim was to characterize clinical features and outcomes of patients with LMD based on BC subtypes. Survival for luminal BC patients was better than for TN BC but worse than for HER2+ BC (OS 3.7 vs 2.2 months and 4.4 months, respectively). Forty-four of 67 luminal BC patients received SACT and 19 (28%) received ET, but specific survival for the latter group is not detailed. Multimodal treatment was used in most patients, and only 10% proceeded to supportive care immediately after LMD diagnosis. Patients who received SACT (in all molecular subtypes) had significantly better OS (for all: OS 6.4 vs 1.7 months; HR: 0.31; 95% CI: 0.24–0.42  $p < 0.001$ ; luminal group: HR: 0.41; 95% CI: 0.24–0.69,  $p = 0.001$ ).

In 2017, Kingston *et al.* studied 182 patients diagnosed between 2004 and 2014, of whom 90 (49.5%) had luminal ABC with LMD [56]. The authors aimed to evaluate potential predictors of survival. Seven patients received ET, four patients concomitantly with RT (2) and ITT (2); three patients received supportive care but maintained hormonal treatment. OS was 5.4 months, and progression-free survival was 3.9 months for all treatment approaches. The group that received SACT as the first strategy had the best OS (8.8 months), but the authors only mentioned chemotherapy and did not detail all regimens used. Their conclusion was that there is no current indication to deviate from standard ABC regimens when treating LMD because patients who received ITT or palliative care alone had the worst outcomes.

In the same year, Niwinska *et al.* studied retrospectively the factors affecting survival of BC-LMD in a cohort of 187 patients diagnosed between 1999 and 2015 [12]. 32 patients of 75 with luminal ABC-LMD received ET during the course of their disease, but the authors did not detail which agent was used or the OS for this group. They concluded that older age, luminal ABC (HR: 0.64; 95% CI: 0.461–0.887,  $p = 0.007$ ) and good PS were linked to better prognosis, but the use of multimodal treatment, specifically SACT and RT, was the strongest positive factor.

Patients with the best prognosis ( $n = 24$ ) achieved a median OS of 9.6 months (95% CI: 4.3–14.9). The authors did not detail median OS for luminal patients.

The retrospective study by Griguolo *et al.* aimed to show the prognostic value of systemic therapy and comprised 153 patients diagnosed between 2002 and 2017 [18]. A total of 110 patients received SACT, 78 patients had luminal ABC, but only 44 received ET. Median OS for the luminal ABC group was 3.2 months, but specific outcomes for the ET group were not explored [18]. This study also concluded that patients with deteriorated PS were less likely to receive treatment, in particular SACT and RT. Also, in the group of HER2- patients ( $n = 101$ , HR+/HER2- and TN), use of both SACT (HR: 0.16; 95% CI: 0.1–0.27;  $p < .001$ ) and ITT (HR: 0.42; 95% CI: 0.27–0.65;  $p < 0.001$ ) were significantly related with better prognosis.

The only retrospective study that specifically addresses ET in BC-LMD was published in 2019; the primary aim of Bergen *et al.* was to evaluate the impact of ET on the survival prognosis of patients with luminal-ABC and brain metastasis [45]. Their cohort had 198 patients with brain metastasis diagnosed between 1990 and 2017, of whom 30 concomitantly had LMD. Only eight of these received ET; OS was double for these patients compared with those who did not receive ET along the treatment (7 months vs 3 months,  $p = 0.012$ ). Overall, there were no significant differences between agents used (aromatase inhibitors, tamoxifen or fulvestrant). The authors concluded that ET significantly improves survival in BC-CNS metastasis and might particularly be considered in the presence of nodular LMD.

### Clinical cases

We found nine clinical cases reporting 12 women with BC-LMD treated ET (Table 2) [46,47,58–64]. Nine patients received multimodality treatment after LMD diagnosis in addition to ET, RT (7), ITT (4) and chemotherapy (5). Eight patients received multiple endocrine agents, and of these, two were also treated with CDK 4/6 inhibitors. All had good clinical responses, and the median OS was 25.5 months (12–120 months; two cases had no OS detailed). Median TLMD was 35.5 months (min–max: 0–216 months), and in four cases LMD was the inaugural diagnosis of BC.

### Survival analysis

Analysis of OS\_LMD was done only for the group of clinical cases; therefore, it is based on only 12 patients with luminal ABC-LMD, treated with ET combined or alone and compared with three reference OS\_LMD. For comparison, we choose three OS that we thought could be representative of the median: OS for BC-LMD (median OS from the retrospective studies reviewed here [4.3 months]), the best median OS found in this review (median OS achieved by the best prognostic group in the Niwinska *et al.* cohort [9.6 months]) and the median OS of the experimental group in the DEPOSEIN trial (7.3 months).

The median OS\_LMD from the clinical cases group was significantly different from all three comparisons (25.5 vs 9.6 months, 4.3 and 7.3 months, respectively, with adjusted  $p < 0.01$  for all of them) (Table 3).

### Systematic Reviews

Only one publication from 2016 was included in this group (Table 1). Lee *et al.* conducted a systematic review and pooled analysis, of seven case series and 25 case reports, to compare outcomes of ET, chemotherapy and ITT in BC-LMD [57]. There were only seven patients in the ET group, which comprised only patients from clinical cases. The Kaplan-Meier method showed no differences in survival. The ET group had the longest median OS (65 vs 52 weeks in the systemic chemotherapy vs 41 weeks in the ITT group). One patient who received ET exhibited the longest survival of approximately 8.5 years. Five of the clinical cases from this work are the same in our group of clinical cases.

## Discussion

### Results from the studies reviewed

The first European guidelines for LMD were published in 2017 and highlighted the scarcity of evidence in this area [1]. Also, in the setting of ABC, metastatic CNS disease has historically been excluded from most clinical trials. This reality is changing, and in recent years trials have been designed to specifically approach CNS metastasis [55,65], some of them, in particular those in HER2+ disease [66,67], with promising results. Despite this, LMD continues to be understudied, and evidence for optimal treatment in BC-LMD is still limited [67]. Use of SACT has been consistently linked to better prognosis [12,14,56], but in Europe, ITT is the mainstay in LMD [17].

**Table 1. Detailed characteristics of the prospective, retrospective and systematic studies selected.**

Author (year)	Type of study	Time of study	n (total)	n (luminal)	n (ET)	TLMD (months)	Other therapies (ITT, RT, ChT)	ET	Survival/OS_LMD	Ref.
Boogerd et al. (2004)	Prospective trial	1991–1998	35	–	14	–	Yes	Tamoxifen, orimetan, megestrol and fluoxymesterone	ITT arm 18.3 months Non-ITT 30.3 months	[29]
LeRhun et al. (2020)	Prospective trial	2011–2018	73	39	2	–	Yes	Exemestane (CG) anastrozole + enantone (EG)	CG 4.0 months (95% CI: 2.2–6.3) EG 7.3 months (95% CI: 3.9–9.6) HR: 0.85, 95% CI: 0.53–1.36, p = 0.51	[32]
Brastianos et al. (2020)	Prospective trial	2016–2018	22	7	2	–	Yes	Fulvestran, letrozole	OS (3 months) 0.60 (90% CI: 0.39–0.78) (for total n) Median survival 3.6 months (90% CI: 2.2–5.2) (for total n)	[55]
LeRhun et al. (2013)	Retrospective study	2007–2011	103	44	15	–	Yes	–	3.8 months (1 day–2.8 years) (for total n)	[15]
Comte et al. (2013)	Retrospective study	2000–2012	66	45 <sup>†</sup>	9	–	Yes	–	4.5 months (for total n) use of ET vs no ET, p = 0.15	[14]
Torréjon et al. (2013)	Retrospective study	2005–2010	38	7 (lum A) 12 (lum B)	1 (lum A)	96.2 (63.3–129.3) (lum A) 66.3 (33.2–97.4) (lum B)	Yes	Anastrozole	2.7 months (95% CI: 1.2–4.1) (lum A) <sup>‡</sup> 1.3 months (95% CI: 0.0–3.2) (lum B) <sup>‡</sup> 3.0 months (95% CI: 2.6–3.4) (HER2+) 3.1 months (95% CI: 0.0–6.4) (TN) p = 0.296	[10]
Abouharb et al. (2014)	Retrospective study	1997–2012	233	67	19	–	Yes	–	4.4 months (HER2+); 3.7 months (luminal) <sup>‡</sup> ; 2.2 months (TN); p = 0.0002 Use of SACT (luminal) <sup>‡</sup> HR: 0.41, 95% CI: 0.24–0.69, p = 0.001	[11]
Kingston et al. (2017)	Retrospective study	2004–2014	182	90	7	–	Yes	–	5.4 months (95% CI: 4.2–6.6) (for total n)	[56]
Niwinska et al. (2017)	Retrospective study	1999–2015	187	75	32	–	Yes	–	4.2 months (0.1–47 months) (for total n) 9.6 months (95% 4.3–14.9) (best group)	[12]

<sup>†</sup> Corresponds to hormone receptor-positive patients; luminal patients are not distinguished, and we were not able to calculate them with the data available.

<sup>‡</sup> Corresponds to all luminal patients and not those who received ET.

<sup>§</sup> Includes only 52 patients.

<sup>¶</sup> Total number of patients is 198 but corresponds to patients with CNS metastasis; only 30 had LMD.

<sup>#</sup> Corresponds to year of publication and not time of diagnosis.

CG: Control group; ChT: Chemotherapy; EG: Experimental group; ET: Endocrine therapy; HR: Hazard ratio; ITT: Intrathecal therapy; LMD: Leptomeningeal disease; Lum: Luminal; OS: Overall survival; PFS: Progression-free survival; RT: Radiotherapy; SACT: Systemic anticancer therapy; TLMD: Time to the development of leptomeningeal disease; TN: Triple negative.

**Table 1. Detailed characteristics of the prospective, retrospective and systematic studies selected (cont.).**

Author (year)	Type of study	Time of study	n (total)	n (luminal)	n (ET)	TLMD (months)	Other therapies (ITT, RT, ChT)	ET	Survival/OS_LMD	Ref.	
Griguolo et al. (2018)	Retrospective study	2002–2017	153	78	44	68.9 (40.0–97.9) <sup>§</sup>	Yes	–	11.4 months (95% CI: 0.0–24) (HER2+) 6.6 months (95% CI: 0.4–12.7) (luminal/HER2+) 3.2 months (95% CI: 1.9–4.5) (luminal) <sup>‡</sup> 2.0 months (95% CI: 0.0–4.3) (TN) p = 0.266	[18]	
Bergen et al. (2019)	Retrospective study	1990–2017	198 <sup>¶</sup>	30	8	–	Yes	–	7 months (luminal with ET) 3 months for (luminal without ET) p = 0.012, log-rank test	[45]	
<b>Total</b>				1290	449	152					
Author (Year)	Type of study	Time of study	n (total)	n (luminal)	n (ET)	TLMD (months)	Other therapies (ITT, RT, ChT)	ET	PFS (months)	Survival/OS_LMD	Ref.
Lee et al. (2017)	Systematic review + pooled analysis	2000–2016 <sup>#</sup>	34	5	7	–	Yes	Tamoxifen, letrozole, exemestane, leuprolide	13 (52 weeks, 34–209 weeks)	16.25 months (65 weeks, 52–443 weeks)	[57]

<sup>†</sup> Corresponds to hormone receptor-positive patients; luminal patients are not distinguished, and we were not able to calculate them with the data available.

<sup>‡</sup> Corresponds to all luminal patients and not those who received ET.

<sup>§</sup> Includes only 52 patients.

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CG: Control group; ChT: Chemotherapy; EG: Experimental group; ET: Endocrine therapy; HR: Hazard ratio; ITT: Intrathecal therapy; LMD: Leptomeningeal disease; Lum: Luminal; OS: Overall survival; PFS: Progression-free survival; RT: Radiotherapy; SACT: Systemic anticancer therapy; TLMD: Time to the development of leptomeningeal disease; TN: Triple negative.

Table 2. Detailed characteristics of each patient from the clinical cases selected.

Author (Year)	Age	PS	Type of tumor	TLMD (months)	Diagnosis	Other sites of metastasis	RT	ITT	ChT	ET	Treatment	PFS.ET (months)	OS.LMD (months)	Ref.
Boogerd et al. (2001)	33	-	ER/PR+, N+ >2nd tumor lobular	12	CSF+; symptoms/signs+	Liver, bone	Focal RT (lumbar spine + C3-T3)	-	-	TAM + Gos > TAM + ooph > TAM + Gos > meg	M + adj[ChT-CMF] > ET + focal RT > EC	11	17	[58]
Ozdogan et al. (2003)	44	-	ER+/PR- HER2- >HER2+ (local relapse) <sup>‡</sup> ; NO	35	CSF-; MRI+; symptoms/signs+	LN, bone	WBRT	MTX	Yes	LET > meg	M + adj[ChT-CMF] <sup>‡</sup> > TAM (after progression, before LMD) > Cis-Eto > LET > meg	16 + 4	>21	[59]
Peronikides et al. (2011)	60	-	IDC ER/PR+ HER2-; T2N0	60	CSF+; MRI+; symptoms/signs+	-	-	MTX	-	LET	MRM + ALND > adj[ChT-CMF + TAM]	-	>36	[60]
Singh et al. (2012)	57	-	Lobular; ER/PR+; NO	0	CSF-; MRI+; symptoms/signs+	Bone	-	-	-	LET	-	-	-	[61]
Magdula et al. (2014)	66	-	IDC ER/PR+ HER2-; T2N3	0	CSF-; MRI+; symptoms/signs+	Bone	-	-	-	ANA	Lump.	>2	-	[62]
Zoghi et al. (2016)	46	-	Lobular low grade; ER/PR + HER2- Ki67 1%; NO	0	CSF+; MRI+; symptoms/signs+	-	Focal RT (cauda equina)	-	-	TAM	Leup. > EVE + LET + leup.	10	12	[47]
Almajed et al. (2016)	54	-	IDC low grade; ER/PR+	204	CSF+; MRI+; symptoms/signs+	LN, soft skin tissue, lung, liver, bone	Focal RT (dorsal spine)	MTX	-	LET > EXE > meg	Seg. M + adj[RT] <sup>‡</sup> > Lump. > M > local resection + TAM	48 + 36	>120	[46]
Takanashi et al. (2019)	60	KPS 30	ER/PR+ HER2-	216	CSF+ (Gtd LP); MRI+; symptoms/signs+	LN, thoracic wall	-	-	-	LET	-	-	>30	[63]
Kapke et al. (2019)	71	-	IDC ER/PR+ HER2-	0	CSF+; MRI+; symptoms/signs+	Bone, lung, liver, CNS	Focal RT (cervical and lumbar spine)	-	Yes	ANA > + palbo > EXE > abema + F > F	HD-MTX > ET > ET + palbo > iCDK4/6 > pac	7 + 5 + ? + 7 + 7	>54	[64]
	72	-	Lobular ER/PR+ HER2-; T2N0	108	CSF <sup>‡</sup> ; MRI+; symptoms/signs+	Bone	-	-	Yes	ANA > + palbo + LET	M + adj[ChT-TAC + RT] <sup>‡</sup> > HD-MTX > ANA > + palbo + LET	-	>44	
	48	-	IDC ER/PR+ HER2-; NO (oncotype score = 30)	36	CSF <sup>‡</sup> ; MRI+; symptoms/signs+	Liver, bone	Focal RT (L5 compression) + WBRT	-	Yes	ANA > ANA + leup.	ANA > ANA + B-pac > erib > LET > erib > HD-MTX > carbo-gem > cap	3 + 5	17	
<b>Mean</b>	55			64.9 months									36.5	
<b>Median</b>	56			35.5 months									25.5	

<sup>†</sup> Local relapse without LMD progression; after 16 months on letrozole, ET was changed to megestrol.  
<sup>‡</sup> Patient did not receive adjuvant endocrine therapy.

<sup>§</sup> Results for CSF were negative but patient underwent a leptomenigeal biopsy which confirmed diagnosis.  
<sup>¶</sup> Not mentioned if lumbar puncture was performed for diagnosis.

Abema: Abemaciclib; adj: Adjuvant; ALND: Axillary lymph node dissection; ANA: Anastrozole; B: Bevacizumab; Cap: Capecitabine; Carbo: Carboplatin; ChT: Chemotherapy; Cis: Cisplatin; CMF: Cyclophosphamide + Methotrexate (oral) + Fluorouracil; CSF: Cerebrospinal fluid; ER: Estrogen receptor; Erib: Eribulin; EVE: Everolimus; ET: Endocrine therapy; Eto: Etoposide; EXE: Exemestane; F: Fulvestrant; Gem: Gemcitabine; Gos: Goserelin; HD-MTX: High-dose methotrexate; IDC: Invasive ductal carcinoma; ITT: Intrathecal therapy; KPS: Karnofsky Performance Status; LET: Letrozole; Leup: Leuprolide; LMD: Leptomeningeal disease; LN: Lymph nodes; LP: Lumbar puncture; Lump: Lumpectomy; M: Mastectomy; Meg: Megestrol; MRI: Magnetic resonance image; MRM: Modified radical mastectomy; MTX: Methotrexate; N: N stage of the TNM; ooph: Oophorectomy; OS: Overall survival; Pac: Paclitaxel; Palbo: Palbociclib; PFS: Progression-free survival; PR: Progesterone receptor; PS: Performance status; TAC: Taxane + anthracyclines/cyclophosphamide; TAM: Tamoxifen; TLMD: Time to the development of Leptomeningeal disease; WBRT: Whole brain radiotherapy.



**Table 3. Median overall survival comparative analysis with the Wilcoxon test.**

Cohorts	Median overall survival (months)	Wilcoxon test (p)
Le Rhun <i>et al.</i> [15]	3.8	
Comte <i>et al.</i> [14]	4.5	
Abouharb <i>et al.</i> [11]	4.4	
Griguolo <i>et al.</i> [18]	3.9	
Kingston <i>et al.</i> [56]	5.4	
Niwinska <i>et al.</i> [12]	4.3	
<b>Median</b>	<b>4.3</b>	<b>p &lt; 0.01</b>
<b>Experimental group DEPOSEIN trial</b>	<b>7.3</b>	
<b>Best prognostic group (Niwinska <i>et al.</i>)</b>	<b>9.6</b>	
<b>Group of clinical cases</b>	<b>25.5</b>	

The most important works published in this setting are the recent DEPOSEIN phase III trial [32] and the phase II trial of pembrolizumab in LMD [55]. DEPOSEIN, in our opinion, reinforces the idea that BC-LMD requires a combined modality approach and that ITT should be used in subsets of patients, which is in accordance with expert recommendations [24]. In this trial, although approximately 50% of these patients had luminal ABC, only a small minority received ET. However, evidence shows that if BC-LMD is treated according to its molecular characteristics, better results are achieved [3,40,55,65,68].

CNS metastasis occur less frequently and usually later in the course of HR+/HER2- disease compared with other BC subtypes [69,70]. Thus, evidence on the use of ET in luminal ABC-LMD is lacking. In all retrospective studies analyzed, the trend is the same, whenever SACT is used, ET is not the first choice and we cannot assure that this happens due to endocrine resistance or lack of available lines. We speculate that clinicians consider that ET is not a suitable option for CNS metastasis [67] and opt for ITT or chemotherapy, even if CNS metastasis remains HR+ in more than two thirds of patients [71–73]. Furthermore, underlined studies were performed in very uneven time periods and thus a great heterogeneity in treatment approaches can be observed, reflecting the great changes that ABC treatment has undergone in the last decades yet missing the advent of the CDK 4/6 inhibitors era.

In this review we have gathered data showing that subsets of patients have almost double the median OS in BC-LMD [12,45] and that use of ET in LMD can achieve prolonged survival rates compared with the usual reported outcomes [46,47,58–64,74]. Our statistical comparison showed significant differences between OS\_LMD, suggesting that risk stratification could help identifying the most suitable patients for ET.

Only a few prospective ongoing studies are dedicated to the use of ET in luminal ABC-LMD, and most of them are in BC patients with CNS metastasis, and LMD patients with stable disease were not excluded [7,66]. Less than a handful are with newer ET agents (Z-endoxifen or elacestrant), and the majority are with ET combined with other agents such as the CDK4/6 inhibitors abemaciclib, palbociclib and ribociclib; PI3K inhibitors; the mTOR inhibitor everolimus; VEGFR inhibitors cabozantinib and lenvatinib; and IGF receptor antibodies (xentuzumab and BMS-754807) [7,66].

### Endocrine therapy combined with other agents

Because LMD is a late event in luminal BC, it is possible that, due to endocrine resistance, ET cannot be used alone in this setting. With the introduction of CDK4/6 and mTOR/AKT/PI3K inhibitors, more therapeutic options for luminal ABC are available, which can somewhat overcome this problem. Palbociclib, abemaciclib, everolimus and buparlisib are all able to cross the BBB [7,26,65,66,75], and alpelisib appears to be active against parenchymal brain metastasis [76].

An exploratory arm in a recent nonrandomized multicohort phase II trial (NCT02308020) confirmed that abemaciclib and its metabolites achieve therapeutic concentrations in CSF approximating that in plasma and can control BC-LMD, achieving longer OS than that seen in historical controls [65]. Although, data suggest that these were heavily pretreated patients (five received a median of four SACT lines, all including chemotherapy and some ET and target agents), which is one of the problems involved in the management of LMD. Nonetheless, in the cohort of luminal BC patients with parenchymal brain metastasis, concomitant abemaciclib and ET achieved better responses in terms of intracranial clinical benefit (35.7 vs 21.1%), suggesting a synergistic action of these drugs in CNS disease [65].

Other targeted agents, such as AKT inhibitors, are promising for treatment of BC patients with CNS metastasis because some of these molecules are able to cross the BBB [77] and seem to prolong ET response in endocrine-resistant patients (demonstrated by the FAKTION trial of capivasertib with fulvestrant) [78].

Also, regarding mTOR/AKT/PI3K inhibitors, it seems that PI3K-mutant patients have a higher probability of developing CNS metastasis [79,80], which is something that requires further exploration in BC-LMD [76]. Specifically, as identified by Le Rhun *et al.*, single nucleotide polymorphisms in the *PI3KR1* gene seem to be associated with CNS metastasis [79]. Several ongoing trials are exploring efficacy of PI3K inhibitors. The latest results published from the ongoing BYLieve trial (NCT03056755) showed that alpelisib after CDK4/6 inhibition shows clinically meaningful efficacy and manageable toxicity [81]. However, alpelisib has only been approved for *PIK3CA*-mutated patients, in contrast to capivasertib and everolimus, which have demonstrated clinical benefit independent of *PI3K* mutations [78].

Regarding the best sequence to maximize results, data suggest that abemaciclib combined with ET followed by mTOR/AKT/PI3K inhibitors with ET could be the best option for HR+/HER2- ABC patients with CNS metastasis, but further and more mature data are needed to confirm this assumption.

Adding complexity to SACT options for these patients is the not rare event of discordance in hormone receptor status between primary tumor and CNS metastasis, with an HR+ primary switching to negative in one-fifth to one-third of cases [72,73,82]. New diagnostic techniques, such as analysis of circulating epithelial tumor cell DNA in CSF, could help increase diagnostic sensitivity and specificity and establish ABC subgroups as well as driver and resistance mutations, which could help dictate therapeutic choices [83].

Immunotherapy is also another emerging field that might bring hope to patients with LMD. It seems that CNS metastasis responds to these agents independent of PDL1 status, and even if immunotherapy seems more suitable for the TN BC subtype, targeted agents such as CDK4/6 and mTOR/AKT/PI3K inhibitors seem to alter immunogenicity in HR+ patients, thus opening further possibilities, such as combining trials of target agents with immunotherapy or sequential therapies of these agents [84,85].

We hope that in a near future, more targeted trials in LMD are designed that can clarify the best treatment option for subtypes of BC-LMD (Supplementary Table 1 lists ongoing trials in LMD).

## Conclusion & future perspective

This review has highlighted the urgent need for clinical trials in BC-LMD that have been stratified on tumor biology, which is already being done for HER2+ tumors, with promising results. Overall, we can say that there is still a great lack of knowledge in this area.

There is a need to identify in which patients a multimodal therapeutic approach should be considered. We postulate that ET, with or without other agents, with its ease of use, advantageous side effect profile and highly probable improvement in quality of life, should be considered as a first choice for the treatment of luminal ABC-LMD, especially in inaugural LMD. The future will see a multimodality approach tailored for BC subtypes and possibly different LMD characteristics.

Precision medicine approaches in BC-LMD may improve outcomes. One size does not fit all, and it is urgent that a more personalized approach to BC-LMD treatment is developed to improve the poor prognosis.

### Executive summary

- Leptomeningeal disease (LMD) is rare but a devastating complication of breast cancer (BC), and its optimal therapy is still not defined.
- Diagnosis is made by cerebral spine fluid cytology or magnetic resonance imaging with multifocal signs/symptoms suggestive of LMD.
- Prognosis without treatment is 6–8 weeks; multimodality treatment (surgery, systemic anticancer treatment [SACT], intrathecal treatment, radiotherapy) can increase prognosis to 5 months.
- Use of SACT is associated with better outcomes.
- In the setting of luminal BC, endocrine therapy, with or without CDK4/6 inhibitors, may play a role in LMD because it is the standard-of-care treatment for advanced BC. It is a well-tolerated therapy with a favorable toxicity profile. However, evidence is scarce for its use in the setting of BC-LMD.

### Supplementary data

To view the supplementary data that accompany this paper please visit the journal website at: [www.futuremedicine.com/doi/suppl/10.2217/cns-2020-0023](http://www.futuremedicine.com/doi/suppl/10.2217/cns-2020-0023)

### Author contributions

LF: concept and literature review, writing of the original manuscript. LM: concept and literature review; writing: review and editing. DC: supervision; writing: review and editing. MS: supervision; writing: review and editing. RM-M: statistical analysis; writing: review and editing. AC: supervision; writing: review and editing. HM: supervision; writing: review. AM: supervision.

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