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1 Paleooceanographic implications of diatom seasonal laminations in the Upper Miocene
2 Pisco Formation (Ica desert, Peru) and their clues on the development of the Pisco
3 Fossil-Lagerstätte.

4
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16
17 *Keywords:* mixed lamina-*Coscinodiscus* lamina duplet; permanent El Niño (El Padre); fall-dump;
18 Western and Eastern Pacific temperature gradient; biogenic silica as upwelling proxy.

19
20 *Keypoints:*

- 21 - Laminae of the Upper Miocene diatomaceous Pisco Formation reveal that the fall dump
- 22 mechanism regulated marine export production;
- 23 - The predominance of fall dump over upwelling implies a drop of the temperature gradient
- 24 between the Western and Eastern Pacific;
- 25 - Evidences highlight a need of caution when using biogenic silica as a proxy for paleo upwelling.

26
27 ABSTRACT

28
29 The detailed study of diatom laminations conducted by means of backscattered electron imaging
30 serves as tool to unravel details of past ocean dynamics. In this paper we apply this method to the
31 analysis of the diatomites of Cerro Los Quesos, Upper Miocene Pisco Fm, Peru. Numerous studies
32 have been conducted on the Pisco Fm; however, a focus on its paleooceanographic significance is
33 still lacking. In this work, we provide information on the oceanographic setting in the area at the
34 time of diatomites deposition. The high abundance of deep-living *Coscinodiscus* laminae,
35 proceeded by either a mixed lamina or a terrigenous one, let us hypothesize a deep position of the
36 thermocline during the deposition of the Pisco diatomites; together with the scarcity of *Chaetoceros*
37 *Hyalochaete* spp. resting spores, this evidence confutes the belief that equals high biogenic silica
38 content in marine sediments with enhanced upwelling. Conversely, the depositional setting of the
39 Pisco Fm diatomites is more similar to what is known as “permanent El Niño” (or “El Padre”) state,
40 meaning a constant weakened upwelling (or upwelling of nutrients-poor waters). Climate modeling
41 warns that an increase in atmospheric CO₂ may lead to this mean state in the near future. Thanks to
42 this study we also obtained refined information on the diatomites sedimentation rates. The
43 comparison of the Pisco diatomites sedimentation rates with those of Quaternary diatomites gave
44 strength to the hypothesis that the formation of the vertebrate Lagerstätte may have been enhanced,
45 among others, by the so-called “impact-burial” mechanism.

46
47 PLAIN LANGUAGE SUMMARY

48
49 Some sedimentary rocks are formed by the remains of small organisms. This is the case of diatoms,
50 microscopic algae with a siliceous exoskeleton. As we know the ecological conditions of the
51 modern oceans in which different diatom species live, when we found them in sedimentary rocks,

we can infer the ecological conditions of the oceans millions of years ago. Here, we present the species that we found in some Peruvian rocks, the so-called Pisco Formation, which dates back to 7/6 Million of years ago. Different species are preserved in these rocks in the same order in which they bloomed, so that we can identify small “laminae” (horizontal strips in the rock with thicknesses smaller than 1 mm) for each blooming season. The species that we recognise are those that today bloom during “El-Niño”, a climatic warm condition that causes loss of large fishery stocks, inundations and droughts. This small finding helps us hypothesize how climate may evolve if the Earth’s temperatures keep on rising. Also, the Pisco formation is famous because of their huge content of fossil whales and dolphins, thus the study of this rock helps us understand how these large mammals got preserved trough millions of years.

1. INTRODUCTION

The Late Miocene Pisco Fm, southwestern Peru, is an exceptional marine vertebrate Lagerstätte. Although its vertebrate fossil content has been deeply investigated for years (Lambert et al. 2010; Esperante et al., 2015; Collareta et al. 2015, 2021; Bianucci et al., 2016a and b; Gioncada et al., 2016; and references therein), an interpretation of the paleoceanographic setting existing during the Pisco Fm deposition is still lacking. This deficiency contrasts with the informative potential on the paleoceanography of the area that these sediments hold. Indeed, part of the formation, as observed at the site of at Cerro Los Quesos (CLQ, Pisco Fossil Lagerstätte Ica Desert, Peru; Fig. 1A, B), is made up of laminated diatomites, of which existing literature has largely proved the potential.

Previous high-resolution studies of laminated diatom-rich marine sediments have provided important insights into past seasonal cycles of phytoplankton productivity (Kemp et al., 2000; Pike et al., 2001; Stickley et al., 2005; Davies et al., 2009; Maddison et al., 2012; Pike and Stickley, 2013; Davies and Kemp 2016) by comparing the sequences of laminations with modern diatom seasonal assemblages obtained from sediment traps (e.g. Dunbar and Berger, 1981; Thunell et al., 1993; Sancetta, 1995) in different environments, such as upwelling areas (Peruvian forearc basins: Kemp, 1990, Brodie and Kemp, 1994; Gulf of California: Pike and Kemp, 1996b, 1997, 1999; Santa Barbara Basin: Bull et al., 2000), enclosed seas, as the Mediterranean Sea (Kemp et al., 1999; Corselli et al., 2002) and Black Sea (Pilskaln and Pike, 2001), the Southern Ocean (Grigorov et al., 2002; Alley et al., 2018; Tesi et al., 2020).

In their study on Pleistocene laminae from the Santa Barbara Basin, Bull et al. (2000) were able to recognise evidence of El Niño events. These were reflected in the frequency of terrigenous laminae representing the continental runoff caused by the intensified rainfall associated to this phenomenon. In the coastal water of Peru, the modern El Niño Southern Oscillation (ENSO) causes a warming of the subsurface water and the consequent deepening of the thermocline (e.g. Caviades, 1984). This condition modifies the regular Peruvian upwelling regime (the periodicity observed today is of ca. 3 to 7 years; Adamson, 2019), by preventing the south-easterly winds to act on the deep cold and nutrient-rich waters segregated under the deeper thermocline. As a consequence, primary production in surface waters declines, causing the loss of large fishery stocks (during 1997-1998 El Niño event, Peruvian fishery export dropped by 66%), inundations along the coast and droughts in the inlands (e.g. Caviades, 1984).

El Niño Southern Oscillation-like variability has been observed in the laminated diatomites of the Upper Cretaceous Marca Shale, California (Davies et al., 2012). Marty (1988) suggests that Eocene laminated diatomites from Fundo Desbarrancado (Southern Peru) testifies an upwelling regime already taking place during the Eocene. Most recent studies based on reconstructing the Pacific surface temperature measuring the Mg/Ca ratio on foraminifera tests have highlighted the presence of the El Niño phenomenon during the Pliocene (Ravelo et al., 2006, 2014 and references therein; White and Ravelo 2020a, b and references therein). These studies suggested us that the investigation of the Miocene diatomaceous laminae of the Pisco Fm may have helped us found the climatic mechanisms that regulated the seasonal stratification of the water column in the area.

As a second step, we have wanted to test the possibility of getting indications of the role played by the flux of diatoms to the sea bed in preserving the carcasses and thus leading to the formation of the Lagerstätte. We can get a glimpse of the importance of this Lagerstätte in terms of: 1) number of findings by citing the data reported by Bianucci et al. 2016a, b, where the authors list more than 300 specimens preserved as bone elements belonging mostly to cetaceans at the site of Cerro Colorado (Pisco Fossil Lagerstätte Ica Desert, Peru) and 192 fossils of marine vertebrates preserved as bone elements at CLQ; 2) the exceptional preservation of the specimens, both in terms of completeness and details of delicate features such as baleens (e.g., Esperante et al., 2015; Bosio et al., 2021b; Collareta et al., 2021), and; 3) the scientific relevance of this findings by recalling that the Miocene represents a pivotal moment in the evolution of marine vertebrates (Marx and Uhen, 2010).

Recently, researches have investigated the mechanisms that have favored the fossilisation of all these organisms. Brand et al. (2004) and Esperante et al. (2008, 2015) were the first to hypothesize some of the mechanisms that may have led to the development of the Lagerstätte, citing early mineralisation of the carcasses due to the rapid burial and sedimentation rates in the Pisco Fm two to four orders of magnitude higher than in modern analogues. The mechanism invoked to justify such a high sedimentation rate is a “strong ocean upwelling” (Esperante et al., 2015), which, according to the authors, is indicated by the abundance of the diatom species *Thalassionema nitzschiodes*. Yet, neither Esperante et al., (2008, 2015), nor Brand et al. (2004) present in their papers diatom species counts or age models.

Only some more recent papers (Gariboldi et al., 2015, 2017; Gioncada et al. 2016, 2018a, b; Bosio et al., 2021a, b) explored in detail the cause of the rapid mineralisation of the carcasses and the sedimentation rates in the Pisco Fm. In particular, Gariboldi et al. (2017) were able to calculate the sedimentation rate of a stratigraphic section measured at CLQ, this being equal to 19 ± 1 cm/ka. This estimate is high, but not exceptional if compared with sedimentation rates of other high productivity basins. However, we must underline that it was calculated using few tie points (either diatom bioevents or $^{40}\text{Ar}/^{39}\text{Ar}$ ages from volcanic ash layers). Considering this limit, we decided to expand our knowledge on the influence of diatom deposition on fossil preservation, by studying in detail the diatomaceous laminae characterising part of the stratigraphic section at CLQ. Such approach provides estimates of the annual sedimentation rates in the basin during the deposition of diatomites, by recognising the annual repetition of species blooms in the sediments: the thickness of annual sequences corresponds to the yearly sedimentation rates.

As such, although our information are limited to a small sample, in this paper we debate on the paleoclimatic significance of the CLQ laminae sequences and conclude presenting the implications that the sedimentation rates of the diatomaceous laminae had on the formation of the fossil Lagerstätte.

2. MATERIALS AND METHODS

The Pisco Fm crops out along the southwestern coast of Peru, from Pisco to Yauca (ca. 300 km, Fig. 1A), for about 300 km, with a thickness ranging from 200 to 1000 m (Dunbar et al., 1990). It was deposited during the Mio-Pliocene, resulting as the youngest sedimentary unit filling the East Pisco forearc basin (Thornburg and Kulm, 1981; De Muizon and DeVries, 1985; DeVries, 1988, which started to uplift in the Pliocene, mostly due to the subduction of the aseismic Nazca ridge under the South American plate (Hsu, 1992). Nowadays, the East Pisco Basin belongs to the so-called “Pampas Costera” (Coastal Desert), a desert region cluttered with numerous hills with a large base and a planar top (Montoya et al., 1994) and with their stratigraphical top characterised by laminated diatomites (but also by nodular dolomite layers, terrigenous sandstones, tuff beds and phosphorites: Dunbar et al., 1990; Brand et al., 2011; Di Celma et al., 2016; Malinverno et al., 2023). Cerro Los Quesos, CLQ, is one of these hills.

153 After numerous field campaigns undertaken between 2007 and 2015, a total of 192 fossil
154 marine vertebrates were censused at CLQ in an area of approximately 4 km² (Bianucci et al., 2016b).
155 Information, such as the specimens' position, taxonomy, degree of completeness, degree of
156 articulation and potential presence of dolomite nodule enclosing the bones were collected on
157 dedicated sheets (Gariboldi et al., 2015).

158 The stratigraphic position of the fossils was based on the geological investigation and
159 mapping carried out at CLQ by Di Celma et al. (2016). These authors subdivided the sedimentary
160 succession exposed at CLQ in 6 informal lithological members that were labelled from A to F in
161 stratigraphic order. The vertebrate census allowed to point out that 92.7% of the fossils are
162 preserved in the "F member", which is composed mainly of a monotonous succession of finely
163 laminated white diatomites (Di Celma et al., 2016). Considering that, due to the paucity of tie
164 points, specific sedimentation rates were not calculated for each informal member (Gariboldi et al.,
165 2017), in this study we try to identify seasonal laminae cycles in the F member to calculate the
166 yearly accumulation rates of sediments into the basin during its deposition.

167 Using a metal conduit, a 25-cm-thick sample of laminated diatomaceous mudstone was
168 collected in the F member of the CLQ stratigraphic succession, from under a vertebra of a fossil
169 whale (Fig. 2A) called CLQ M58 (Fig. 2; hereafter M58. Specimen position: 14°30'58.3"S;
170 75°43'04.5"W; 167.0 m above the base of the measured section (abs), Bianucci et al., 2016b).
171 The conduit was placed on the outcrop surface and sediments were excavated around the conduit
172 profile. In this way, the conduit slid into the outcrop encapsulating the intact sediment section.
173 Next, the protected sample was dug out of the outcrop. This technique facilitated the preservation of
174 the 25-cm sequence and its stratigraphy. The sample was named CLQ20 (Fig. S1). Besides the fact
175 that M58 is located in the F member, we decided to collect the sample for laminae analysis under it
176 because: 1) M58 represents an almost complete and still articulated specimen, therefore
177 representing a good example of exceptional preservation of the Pisco Lagerstätte; 2) the sediments
178 directly underlying M58 are not only laminated, but also characterised by a typical sediment
179 geochemical perturbation (the yellow-black-red sequence described by Gariboldi et al., 2015 and
180 Gioncada et al., 2018a in the frame of the taphonomic studies of the Pisco Lagerstätte; see details of
181 Fig. 2A) derived by diagenetic processes that bring to the precipitation of a dolomite nodule around
182 the whale carcasses, therefore allowing us to have a complete frame of the different taphonomic
183 processes that a carcass can undergo; 3) M58 is located on the top of the CLQ hill, on a
184 morphological plateau that facilitates the access to the specimen, its observation and the sampling
185 of the underlying sediments; 4) stratigraphically, M58 is placed between two dated volcanic ash
186 layers: the older, the so called "Mono" ash layer, has an age of 6.93 ± 0.09 Ma, while the younger
187 has a lower limit of $\geq 6.71 \pm 0.02$ Ma (Di Celma et al., 2016; See TextS1 in Suppl.Mat.), therefore
188 dating M58 and the CLQ20 sample back to the Messinian (Fig. 3). The age of these rhyolitic ashes
189 was provided by ⁴⁰Ar/³⁹Ar dating of biotite (Di Celma et al., 2016).

190 Small, 4-5 cm subsamples of CLQ20 oriented perpendicular to the lamina fabric were
191 embedded in epoxy resin (Araldite 2020) using a vacuum chamber and a total of 15 (Fig. S1)
192 polished thin sections were prepared for scanning electron microscope backscattered electron
193 imagery (BSEI) analysis (Kemp, 1990; Pike and Kemp, 1996a). Thin sections were carbon-coated
194 and analysed in backscatter mode using a Veeco FEI -Philips- XL30 environmental scanning
195 electron microscope in the School of Earth and Environmental Sciences, Cardiff University and a
196 Hitachi TM 3030 SEM at the Department of Earth Sciences, Pisa University. One thousand two
197 hundred and eighty-four BSEI images were taken to construct 20 BSEI photomosaics at 100x, 800x
198 and 2000x magnification; and more than 400 high magnification images were collected. Only some
199 selected images are presented in this work.

200 Laminations were described using 4 parameters: relative bimodality, laminae content
201 (terrigenous or biogenic particles, diatom species composing each lamina), laminae boundaries
202 (straight or wavy, sharp or blunt) and laminae lateral continuity.

The relative bimodality is, as described by Grimm et al. (1996), the relative difference in gray value between adjacent laminae. However, differing from Grimm et al. (1996), we evaluated the bimodality from the BSE images and not from X-radiograph. The difference in gray value on a BSE image depends on the atomic number of the element hit by the electron beam. Terrigenous particles have a higher atomic number than the epoxy resin filling the pores of diatoms and therefore appear lighter. As such, as stated by Grimm et al. (1996), high bimodality (HB) couplets are more evident where pure diatomaceous ooze laminae juxtapose terrigenous laminae. Conversely, low bimodality couplets (LB) are made of discernible laminae but with a very low gray contrast (as in the case of two diatomaceous laminae bearing different species associations). An intermediate situation between HB and LB is defined as moderate bimodality (MB).

3. RESULTS

3.1. THE CLQ M58 WHALE AND THE CLQ20 SAMPLE: IN SITU AND MACROSCOPIC OBSERVATIONS

The M58 whale is an indetermined Balenopteroidea censed by Bianucci et al. (2016b) in the F member of the sedimentary succession exposed at CLQ and described as an articulated skeleton with the skull eroded (Table 1 of Gariboldi et al., 2015 and Fig. 2A, B of this work). M58 lies on a yellow portion of diatomites underlain by a black manganese-rich layer and reddish diatomites (Fig. 2A, B, black arrowhead) related to geochemical processes activated by the decomposition of the carcass (see Gariboldi et al., 2015; Gioncada et al., 2018a; see in particular paragraph 3.5 and Fig. 5 of Gioncada et al., 2018a for explanations). Therefore, we consider the Mn layer as the boundary between sediments influenced by the presence of the carcass (sediments above the Mn layer) and those not influenced by its presence (sediments below the Mn layer). Below the reddish layer the diatomaceous mudstone shows millimetric white-to-dark grey laminations. The CLQ20 sample represents the sediment under M58 from the yellow diatomites to the gray laminated diatomaceous mudstones, which are interrupted near the bottom of the sample by a 5 mm-thick black tephra (Fig. 2A, B, black arrow); unfortunately, the latter could not be dated because of the lack of both biotite and sanidine crystals.

Detailed field observation of the skull of M58 highlighted that the lamination was deformed and in some points cut by the Mn layer (Fig. 2B). This deformation strongly resembles the shape of the side of the skull lying on the diatomites and, as suggested by Bosio et al. (2021b), could be the evidence of the sinking of the carcass into the soupy but plastic diatomitic sediments as it reached the seabed.

3.2. SEDIMENT BIMODALITY, LAMINAE CONTENT, STYLES, SEQUENCES, AND LAMINAE THICKNESSES

Visual analysis of the low magnification mosaics (100 x) was used to give a general evaluation of the bimodality pattern of the sediment. The sediment appears to be mainly characterized by low to moderate or moderate bimodality (L-MB or MB; Figs. 4A; 5A; 6A; 7A), which is mostly given by the sparse presence of silt particles. Silt particles appear very light in BSE images, within a dominant dark matrix made of the siliceous diatom frustules (Figs. 4C, D, G, J; 5C, D, E, F, H, J, L, M; 7D, E, F; 8G, H). Only rarely the bimodality is high (HB) and this condition is always verified where the terrigenous components dominated by clay particles are grouped to form laminae overlying and overlaid by biogenic ones (Figs. 6A; 7A; 8A).

On the basis of the laminae content we can identify:

- terrigenous laminae: laminae where terrigenous particles are > 90% of the laminae. In CLQ20 these laminae are mostly made by clay particles (Figs. 6B, E; 7B, C, F; 8D, E, F), but also some silt particles (Figs. 8A, D) or biogenic particles, such as rare *Thalassionema* specimens (Fig. 6C), or other species (Fig. 8D).

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- *Coscinodiscus* laminae: in this paper we use the definition “*Coscinodiscus* lamina” to indicate a diatomite (a hard pelagic sediment made by >30% of skeletal remains of diatoms and <30% silt and clay, as defined by Palmer et al., 1986) where *Coscinodiscus* (possibly *Coscinodiscus asteromphalus*; Tab. 1) is the dominant genus (>90%; figs. 4B, E; 5B, F, G, I, K, M; 8A, C, G). Other rare components of these laminae are *Actinocyclus octonarius* (Tab. 1) specimens (Fig. 4F), *Thalassionema* specimens (Fig. 8B; Tab. 1) and rare terrigenous particles (Fig. 4E).
- Mixed laminae (Figs. 4A, B, G, H, I, J; 5A, B, C, D, E, H, J, L; 6A, B; 7A, D, E, F; 8A, H): these are laminae composed of clay and silt particles in different percentages (Figs. 4B, C, D, G; 5B, C, D, E, H, L, J; 7D, E, F; 8A, H), specimens of *Coscinodiscus* (Figs. 4B, J; sometimes the presence of *Coscinodiscus* in mixed laminae is due to an interdigitation of *Coscinodiscus* laminae with mixed laminae as in Fig. 5L) *Actinopteryx* (Figs. 4H; 5D, red circle, probably *Actinopteryx senarius*; Tab. 1), *Stephanopyxis* (most probably *Stephanopyxis turris*, Figs. 4G, J; 5E, red circle; Tab. 1) and *Chaetoceros Hyalochaete* spp. resting spores (CRS; Figs. 4I; 8H; Tab. 1). The percentages of these different components vary in each mixed lamina.
- *Actinopteryx* cf. *senarius* laminae: rare diatomites where *Actinopteryx* cf. *senarius* represents >90% of the diatom species (Figs. 6A, D, E; Tab. 1).

Straight lamina boundaries in sample CLQ20 are rarely found and difficult to be traced, as the transitions from one lamina to the next are often indistinct. More frequently, boundaries are wavy (Figs. 4B; 5B; 6D) and, as said, indistinct, especially when representing the limit between a diatomaceous lamina and a mixed lamina (Figs. 4A, B). Only in slide t14 quite distinct boundaries between a *Coscinodiscus* lamina and the over- and underlying mixed laminae are recognisable at low magnification (Fig. 5A, dotted lines). Noteworthy, the only sharp boundaries are those delimiting terrigenous clayey laminae from others (Figs. 6A, B; 7A; 8A). Boundaries between these laminae and the others are normally less wavy (then those between biogenic laminae) or straight (Figs. 6A -white arrows-; 7A; 8A); also clots of clay can be observed throughout some slides, resembling a terrigenous lamina, but having a boudinage-like aspect (Figs. 6A –arrowheads-; 7A –arrowheads and arrows on the right side of the figure-). We do not consider them laminae as they are very thin (also << 100 µm). These clots have very straight and distinct boundaries, just as terrigenous laminae (Figs. 7B, F).

Both composition and boundaries of laminae help in verifying if they are laterally continuous; in CLQ20, although boundaries are normally indistinct, they are typically continuous (Figs. 4A; 5A; 6A; 7A; 8A) and discontinuous laminae are present.

A deeper investigation at 800 x and 2000 x magnification helped the identification of different sequences of laminae, in particular:

- the mixed lamina-*Coscinodiscus* lamina duplet (Figs. 4B; 5B; S2)
- the terrigenous lamina-*Coscinodiscus* lamina duplet (Fig. 8A);
- the mixed lamina-*Actinopteryx* cf. *senarius* lamina duplet (Figs. 6A, D, E).

The last case was observed only once and, therefore, it is considered rare. Also the terrigenous lamina-*Coscinodiscus* lamina duplet is evident only in one case (Fig. 8A). In Fig. 7A some clots of clay topping the mixed laminae can be observed (arrows on the right of the photo); these have a frequency of 3-3.5 mm, made exception for the first one, which is ca. 1 mm apart from the underlying terrigenous lamina. Similar clots overlying a mixed lamina are observed in slide t5 (Fig. 6A –arrowheads-, E).

Comparing at higher magnification (Fig. S2) the t9 slide (Fig. 7A) for its whole length it appears clearly that the sediment is mainly composed of the mixed lamina-*Coscinodiscus* lamina

duplet, the mixed laminae being topped by clay clots (Fig. 7A –arrows–; S2 –arrows–). As the t9 slide is defined by a MB (Fig. 7A) we consequently translated the MB and L-MB feature (Figs. 4A; 5A; 7A) into sediment composition: in other words, we started considering the MB and L-MB equivalent to the presence of mixed lamina-*Coscinodiscus* lamina duplex. This deduction is confirmed by the investigations at higher magnifications of slides t13, t14, t9 images (800 x and 2000 x: Figs. 4B; 5B, 8A), where this duplex prevails. As the L-MB and MB are the mostly observed throughout the CLQ20 sample, we deduce that the mixed lamina-*Coscinodiscus* lamina duplex is the one most frequent in CLQ20.

The mixed lamina-*Coscinodiscus* lamina duplex shows very different thicknesses, the three highlighted in Fig. 5B varying from ca. 625 µm to ca. 1750 µm, with a huge difference in the thickness of the two mixed laminae (ca. 375 µm in the second duplex vs. ca. 1500 µm in the third duplex). Differences in the thickness of *Coscinodiscus* laminae are nonetheless noteworthy: on one hand, the *Coscinodiscus* lamina of the second and third duplexes are similar (varying from ca. 250 µm to 500 µm); on the other hand the *Coscinodiscus* lamina of the first (highlighted with an asterisk) and second duplexes are ca. 1000 µm thick (Fig. 5B). The lower boundary of the mixed lamina of the first duplex is not clear, therefore we do not report the thickness of the whole duplex. Significantly, also in the duplex terrigenous lamina-*Coscinodiscus* lamina observed in Fig. 8A, the latter is ca. 250 µm as in the two cases in Fig. 5B; its terrigenous companion is ca. 650 µm thick (Fig. 8A), the thickness of the whole duplex being ca. 900 µm.

4. DISCUSSION

4.1. PALEOCLIMATIC IMPLICATIONS OF THE PISCO FM. DIATOM LAMINAE

There are at least three features confirming that laminae in the CLQ20 sample are the product of a primary deposition process: i) the recurrent patterns of laminae in the CLQ20 sediments, in particular the mixed lamina-*Coscinodiscus* lamina; ii) the very well-defined boundaries of the terrigenous laminae; and iii) the lateral continuity of laminae.

The characteristic of the primary production inputs and of the terrigenous ones, can therefore be used as proxy for a paleoclimatic reconstruction of the area.

The studies of laminated sediments from the Peru forearc basins (Kemp, 1990; Brodie and Kemp, 1994) described upper Quaternary laminated sediments from the Peru shelf and upper slope. These were collected during ODP Exp 112 (Sites 680, 681 and 686) and during the R.R.S. Darwin Leg 38 (Sites 38.10 and 38.9). These studies highlighted the presence of three different groups of laminae; isolated, irregularly spaced and continuous sub-millimetre laminae. In all those three cases diatomaceous laminae are often mainly monospecific and composed of upwelling genera, such as *Skeletonema* and *Chaetoceros*. Conversely, *Coscinodiscus* oozes are rare. On the other hand, terrigenous laminae are either silt-rich (main thickness 600 µm, with a standard deviation of 350 µm) or clay-rich laminae (main thickness 550 µm, with a standard deviation of 500 µm), with the silt component not invariably present in the sequence (Brodie and Kemp, 1994). These laminae form a sub-millimetre couplet that is irregularly inter-laminated with diatom ooze. The oozes are controlled by the intensity of upwelling and/or the nutrient content of upwelled waters, while terrigenous laminae are the expression of regular rainfalls caused by the permanent presence of warm water off Peru during Isotope Stage 5 (Brodie and Kemp, 1994). The absence of diatom oozes between the silt/clay couplets is interpreted by the authors either as the result of absence of an algal bloom or the complete dissolution of the crop in the water column; both these hypotheses would suggest a reduction of nutrient availability, a feature which is consistent with El-Niño events (Brodie and Kemp, 1994).

Coscinodiscus spp. were associated with the “Fall dump” events, described for the first time in the Gulf of California, during the Holocene, by Kemp et al. (2000). The authors identify *Coscinodiscus* spp. specimens as “clusters of individuals rather than as contiguous sub-laminae”

356 deposited above the diatomaceous laminae (made either of *Rhizosolenia* spp. or *Stephanopyxis*
357 *palmeriana*) overlying the summer terrigenous lamina. Therefore, the authors include this genus
358 among those that are able to thrive at the thermo/nutricline at low light conditions preferring a
359 stratified water column, the so-called “shade” flora (Sournia, 1982; Kemp et al., 2000). Blooms of
360 the “shade flora” may last throughout the periods of water stratification; the diatoms growing during
361 this period start settling with the onset of fall/winter mixing (the “fall dump”) (Kemp et al., 2000).
362 These characteristics make them differ from the small subgenus *Chaetoceros Hyalochaete*, which
363 thrives during upwelling conditions (spring) (Kemp et al. 2000). Somehow similarly, Romero and
364 Hebbeln (2003), studying diatom assemblages of surface sediments below the Peru-Chile Current,
365 list *Chaetoceros* species in the coastal upwelling group (together with *Thalassionema nitzschioides*
366 var. *nitzschioides*), while they classify *Coscinodiscus argus* and *C. radiatus* in the coastal
367 planktonic group (characterised by the presence of non-upwelling associated species). Yet, the
368 authors find *C. argus* and *C. radiatus* together with *Chaetoceros* spp. between 34° and 38° S,
369 highlighting that at those latitudes the primary production is due to both upwelling and proliferation
370 of the fall dump flora during periods of water column stratification.

371 With these pieces of information, some interpretations can be given to the *Coscinodiscus*
372 laminae, while no present analogue has been observed for the *Actinopterychus* cf. *senarius* lamina
373 (Figs. 6A, D, E).

374 Indeed, the huge contribution of *Coscinodiscus* spp. to the diatom fraction in laminae of
375 sample CLQ20 and the scarce presence of *Chaetoceros Hyalochaete* spp., which was observed only
376 in small sparse clusters (Figs. 4I, 8H), testify that primary production during the deposition of the F
377 member was regulated by the stratification of the water column rather than by coastal upwelling;
378 however, the presence of both phenomena in the same region, during different time of the year, is
379 also plausible.

380 On one hand, the absence of *Rhizosolenia* spp. and *Stephanopyxis palmeriana* in the fall
381 dump lamina suggests that the dominance of *Coscinodiscus* is not only related to stratification of
382 the water column but also to some other ecological limiting factor. As *Stephanopyxis palmeriana* is
383 known as a warm water (Drebes, 1966) tropical species (Molina et al., 1997), warmer than
384 *Stephanopyxis turris* (Cupp, 1943), a species sporadically present in the CLQ20 sediments (Figs.
385 4B, G; 5E, L), it appears that water temperature may play a role in the absence of *S. palmeriana*.
386 More generally, it can easily be assumed that the waters present in the Eastern Equatorial Pacific
387 (EEP) Ocean during the late Miocene had different properties and origin in respect of those present
388 in the Gulf of California during the Holocene; as such, it is not surprising that the dominant genera
389 thriving at the thermocline in these two scenarios are different. Also Shankle et al., (2020) tested the
390 possibility that water properties reaching the equatorial Pacific in the Late Miocene/Early Pliocene
391 were different from today’s (older, more acid and more nutrient-rich).

392 On the other hand, the absence of strong upwelling-related-*Chaetoceros* blooms, such as
393 recorded in the laminae of the CLQ20 sample, may reflect a deepening of the thermocline in the
394 EEP during the Messinian. Currently, this condition is registered during El Niño events: during the
395 1982-1983 El Niño, the winds were constantly upwelling-favourable but the thermocline was
396 deeper than normal. Thus, as the source depth of upwelled water was the same of normal conditions
397 (i.e. 50-100 m), upwelled waters were warm and poor of nutrients, as they came shallower than the
398 thermocline (Huyer et al., 1987). Such a functioning of the El Niño was later confirmed by other
399 authors (Strub et al., 1998; Hill et al., 1998).

400 With these observations in mind, when looking at the CLQ20 mosaics one may be tempted
401 to say that the mixed lamina-*Coscinodiscus* lamina duplets, together with the terrigenous lamina –
402 *Coscinodiscus* lamina duplets are not only the expression of a El-Niño like condition, but, indeed of
403 the El Niño condition itself (or, at least, of a proto El-Niño condition). Not only the paucity of CRS,
404 together with the abundance of *Coscinodiscus* ssp., highlights a stratified water column with warm
405 and nutrient-poor upwelled water: also the presence of silt particles in mixed laminae point to a
406 rainy condition, which appears to increase its intensity periodically (as described by Bull et al.,

2000, for late Quaternary in the Santa Barbara Basin and as it is known to happen today during El Niño phenomena; see for examples Cavedes, 1984; Hebbeln et al, 2000; Romero et al., 2002; Shipe et al 2002) leading to the formation of clots of clay (Figs. 7A –arrows-; Fig. S2 –arrows-). Briceño-Zuluaga et al. (2016) gave to the enhanced particle flux observed in the Pisco Basin during the Little Ice Age a similar interpretation.

Although aware that such affirmation is far too reckless (more data would be needed for such a statement), we still think that this observation may encourage to start to look better into diatomaceous records that may help us comprehend when and how the ENSO phenomenon started.

At present the El Niño phenomenon has been identified back to the Cretaceous (Davies et al., 2012) and to the so called “Middle Pliocene Warm Period”, ca. 4.5 – 3.0 Ma (Wara et al., 2005; Fedorov et al., 2006; Ravelo et al., 2006; Ragaini et al., 2008; White and Ravelo, 2020a, b. See TextS2 in Suppl.Mat.). Some authors stated that, during the Middle Pliocene Warm Period the El Niño conditions was permanent, rather than showing a periodicity similar to that of recent days: this is the so called “El Padre” state (Ravelo et al., 2014), which is not a individual event, like El Niño, but a “mean state”. The deepening of the thermocline and, therefore, the phenomena of El Niño, is triggered by the warming of the EEP and by the consequent drop of the temperature gradient between the Western Pacific Warm Pool and the EEP (Wara et al., 2005; Zhang et al., 2014a), consisting in a mean zonal gradient in the case of El Padre.

The hypothesis on whether the El Padre state either existed or is just a result of a bias in the proxies (TEX₈₆, U^K₃₇, Mg/Ca) used for the reconstruction of the SST gradient is still ongoing (Zhang et al., 2014a, b; Ravelo et al., 2014; White and Ravelo 2020b, and reference therein), also extending into the Middle Miocene (Fox et al., 2021).

Although the discussion on the existence of an El Padre State lies outside the objectives of this paper, we would like to point out that: 1) there is no doubt that the CLQ20 *Coscinodiscus* laminae point to a deepening of the thermocline in the Messinian and it is stunning to observe that the deposition of CLQ20 happened during a period when SST in the EEP were increasing (Fig. 3) and 2) a primary production segregated at the thermocline depth may help explain the so-called “Pliocene paradox” (Shankle et al., 2000), which highlights enhanced primary production in the EEP despite a reduced latitudinal gradient (which, as said, would imply a deepening of the thermocline in the EEP) in the Late Miocene/Early Pliocene; and 3) the BSE images of CLQ20 highlights that shade flora can represent a huge percentage of the total diatom assemblage; therefore, given the importance of the carbon export attributable to the thriving of the shade flora at depth, the use of biogenic silica as a proxy for intensification of upwelling, as done in some researches (for example, Holbourn et al., 2014; Fox et al., 2021. Also Esperante et al., 2015 suggest that the abundant occurrence of *Thalassionema nitzschioides* in the sediment of the Pisco Fm. suggests strong upwelling conditions) should not be used without a quantitative check of the different diatom species present in the sediment.

To conclude, it is vital to point out what climate modeling suggests us: to an increase in atmospheric CO₂, models point out a reduction of the temperature gradient between the Western Pacific Warm Pool and the EEP, caused by an enhanced warming of the EEP compared to the West Pacific (Meehl and Washington, 1996); as such, the current increase of CO₂ in the atmosphere, may lead to a future characterised by more frequent El-Niño events (Meehl and Washington, 1996).

4.2. THE ROLE OF DIATOM LAMINATIONS IN PRESERVING MARINE FOSSIL VERTEBRATES

The investigation of diatomaceous laminae of the CLQ20 sample, the recognition of some laminae sequences that may be assumed as annual cycles (literally the mixed lamina-*Coscinodiscus* lamina and the terrigenous lamina-*Coscinodiscus* lamina duplets) and the possibility of measuring their thickness, open a further discussion on their role in favoring the preservation of marine fossils in the F member of the Pisco Fm at CLQ. The sedimentation rates registered along the F member (approximately 500 µm to 2 mm/a for the mixed lamina-*Coscinodiscus* lamina duplet, Fig. 5B; 900

458 μm for the terrigenous lamina –*Coscinodiscus* lamina duplet, Fig. 8A), although supported by few
 459 data, are conspicuously different from those calculated for the whole section at CLQ, at least
 460 doubling and sometimes even increasing of one order of magnitude those previously reported by
 461 Gariboldi et al, 2017 (0.2 mm/a of Gariboldi et al. 2017 for the CLQ section vs. 0.5 to 2 mm/a (50
 462 to 200 cm/ka) for the F member, this study). Differing from Gariboldi et al., (2017), who took in
 463 account a compaction of the sediments equal to 60% (by giving a wrong interpretation to Isaacs et
 464 al., 1983), in this paper we consider a definitely lower porosity loss for diatomaceous sediments due
 465 to compaction: Hamilton (1976) calculated a porosity loss during early burial of diatomaceous
 466 sediments equal to 15% (from 86% to 71% at 500 m below sea floor). Adding this 15% to the
 467 thickness of laminae observed in CLQ20 would implicate an insignificant increase to the yearly
 468 sedimentation rates. This implies that only in some cases these sedimentation rates are higher than
 469 those of Quaternary basins where primary production is high (e.g. Pleistocene of DSDP Site 478,
 470 Gulf of California, DSDP Leg 64, > 125 cm/ka, Schrader 1982; Quaternary of ODP Site 686, West
 471 Pisco Basin, ODP Leg 112, 16 cm/ka Suess and Von Huene 1988; Pleistocene of ODP Site 1078
 472 outside the Bight of Angola, ODP Leg 175, 60 cm/ka, Wefer et al. 1998; Pleistocene of ODP Site
 473 881, 5.6 cm/ka and Mio–Pliocene of ODP Site 883, 9.1 cm/ka, Subarctic Pacific Ocean, ODP Leg
 474 145, Rea et al. 1993); to this list we add the sedimentation rates recorded in the last 2.6 ka old
 475 sediments of the Edisto Inlet, Ross Sea, Antarctica: Tesi et al. (2020) calculated a sedimentation
 476 rate equal to 2 to 7 mm/a (200 to 700 cm/ka) for the laminated diatomaceous sediment of core
 477 HLF17-01. As, due to their lithology and fabric, diatomaceous sediments of the Edisto Inlet may be
 478 considered a modern analogue of sediments from the F member, it is worth reporting that in core
 479 ANTA02-CH41, Edisto Inlet, the soupy consistence of the diatomaceous laminated mud revealed a
 480 water content that was close to 80% (Finocchiaro et al., 2005). Imaging such physical characteristic
 481 for a just-deposed diatom ooze at the bottom of the East Pisco Basin would itself explain how deep
 482 marine vertebrate carcasses may have sunk into the sediments, supporting the “impact burial”
 483 (partial or complete burial of an object in the sediments upon its high velocity sinking through the
 484 water column into soupy substrates) hypothesis proposed by Bosio et al. (2021b) to explain the
 485 rapid burial of marine vertebrates in the Pisco Fm. Indeed, any of the sedimentation rates calculated
 486 in this paper and in Gariboldi et al., 2017 are not high enough to cover large carcasses permitting
 487 high articulation and high completeness of the fossil specimens, as observed in the Pisco Fm
 488 (Gariboldi et al., 2015). As such, we agree with Brand et al. (2004), who state that rapid burial is
 489 needed to explain such a preservation in the Pisco Fm. However, we disagree when they state that
 490 “such burial requires diatom accumulation rates at least three to four orders of magnitude faster than
 491 is usual in the ocean today—centimeters per week or month, rather than centimeters per thousand
 492 years”. Also, Gariboldi et al. (2015) highlighted the role of dolomite precipitation inside and outside
 493 (dolomite nodule) the whale carcasses in the process of preservation of the fossils (for example, by
 494 avoiding bone dissolution, preventing diagenetic compression of the specimens and erosion of
 495 bones, favoring the articulation and completeness of skeletons). The process of dolomite
 496 precipitation, which also includes recurrent basin-wide decimetric-thick dolomite layers
 497 (Malinverno et al., 2023), was explained as biomediated by sulphate-reducing bacteria, which are
 498 able to degrade organic matter in low-oxygen environment, as demonstrated also in laboratory
 499 experiments (see references therein Gariboldi et al. 2015). Thus, the sinking of the carcasses into
 500 the soupy diatom ooze would have favored the formation of the Pisco Lagerstätte also by
 501 subtracting the carcasses from a possible oxygenated sea floor, thus favoring dolomite precipitation.
 502

503 4.3 CLQ20: THAT’S ONE SMALL SAMPLE FOR A FORMATION

504
 505 We need to recall to the readers that the CLQ20 sample is a very short piece of the diatomite
 506 portion of the P2 allomember of the Pisco Fm and that it may be representative neither of the whole
 507 F member, nor of the diatomitic portions of the other older and younger allomembers of the Pisco
 508 Fm (Lamy et al., 2001, affirm that changes in continental rainfall in southern Chile are regulated by

millennial to multi-centennial shifts in the position of the southern westerlies, periods that lag far behind the duration of the CLQ F member deposition). However, in their studies of the biostratigraphy of the Pisco Fm, Gariboldi et al., (2017) have published a table of relative abundances for all the diatom species encountered in the Cerro Los Quesos; from this work (table 3 of Gariboldi et al., 2017; see TextS3 in Suppl.Mat.) we can infer that *Coscinodiscus asteromphalus* is present in great abundance in almost all the samples collected in the CLQ F member; yet, also CRS are always present. This apparent equality between these two genera abundances can be explained considering the counting method (Schrader and Gersonde, 1978; Armand, 1997; Crosta and Koç, 2007); following this protocol only *Coscinodiscus* spp. valve which are preserved for $\frac{3}{4}$ or more can be counted in the assemblage. However, the higher valve-face diameter/pervalvar-axis ratio makes large diatoms easier to break during slide preparation, resulting at last in an underestimation of large diatoms in the assemblages. Conversely CRS are often found intact, with the 2 valves still connected.

As such, it appears clear that analyses on diatom assemblages by means of the light microscope, combined with those on diatom laminations, where possible, may be the most direct proxy, yet imprecise (as they give no absolute values on the reduced zonal SST gradient), to study the initiation and the temporal patterns of ENSO in the deep time and to verify the hypothesis of the El Padre state. The observation of laminae in their original depositional settings gives a glimpse, not only on the depositional mechanism, but also on the real relative abundance of different species; light microscope analysis is essential to investigate long stratigraphic succession efficiently.

5. CONCLUSIONS

Backscattered electron imagery analysis of Cerro Los Quesos laminated diatomaceous mudstone provided insight into the seasonality that was affecting water column stability.

The most frequent laminae duplet observed in the Messinian CLQ20 sample is the mixed lamina – *Coscinodiscus* lamina duplet. The large contribution in the CLQ20 sediments of this duplet, and of the genus *Coscinodiscus* in general (also in the less frequent terrigenous lamina – *Coscinodiscus* lamina duplet), reflects a rather deep position of the thermocline. This water column setup led to a weak proliferation of upwelling related diatom species (i.e., *Chaetoceros Hyalochaete* and *Thalassionema*), as the upwelled waters were warm and nutrient-poor. Such oceanographic situation resembles that hypothesized for the so-called “El Padre” state in the middle Pliocene Warm Period; this is described as a constant El Niño phenomenon (a “mean state”) triggered by the warming of the EEP and the consequent drop of the temperature gradient between the West Pacific Warm Pool and the EEP itself. As such, we highlight that: 1) studies focused on verifying the existence of the El Padre setup in the low latitude Pacific during the Late Miocene are needed; and 2) climate modelling suggests that to a future increase in atmospheric CO₂ will correspond an enhanced warming of the EEP compared to the West Pacific, which may lead to more frequent El-Niño events.

Analyses on CLQ20 laminae thickness have confirmed that sedimentation rates in the Pisco Basin during the Late Miocene were comparable to those of Quaternary basins elsewhere. This evidence rules out the hypothesis that depositions of diatomites in the East Pisco Basin were orders magnitude faster than in today’s oceans. Moreover, direct observation on modern diatom oozes and the observation made on their water content, make us affirm that the hypothesis of an “impact burial” for the marine vertebrate carcasses is robust.

On a broader view, our study suggests that:

- during diatom counts for palaeocological analysis, special care should be paid in not underestimating large-sized diatoms over small-sized ones; light microscope analysis coupled with BSEI analysis on diatom laminations (where possible) helps overcome this possible bias;

- analyses on diatom assemblages and diatom laminations, where possible, may be the most direct proxy, yet imprecise (as it gives no absolute values on the reduced zonal SST gradient), to study ENSO in the deep time;
- the use of biogenic silica as a proxy for intensification of upwelling, as done in some researches, should be used with caution, given the importance of the carbon export attributable to the thriving of the shade flora at depth.

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BSE images are from Gariboldi et al. 2023, available at <https://doi.org/10.6084/m9.figshare.22309204.v1>. Data used to compile Fig. 3 are available from Rousselle et al., 2013 and Herbert et al., 2016.

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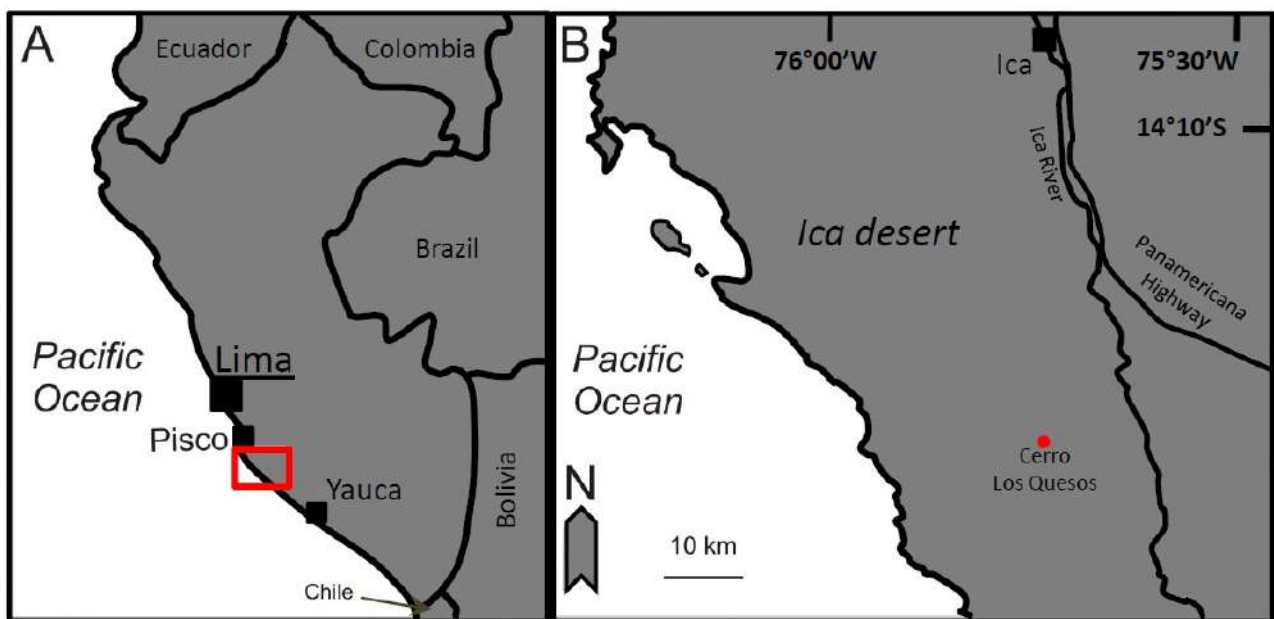
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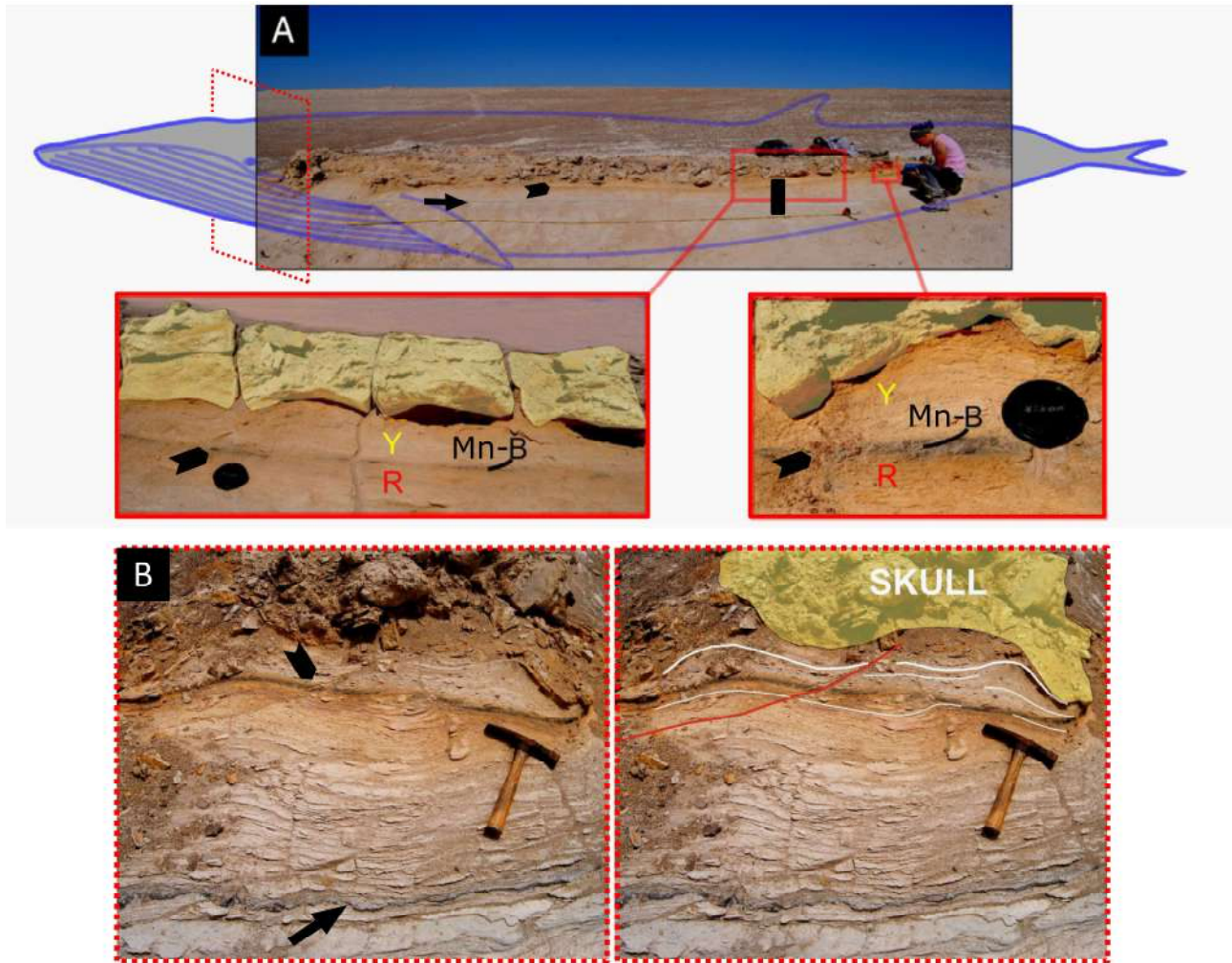
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880 FIGURES

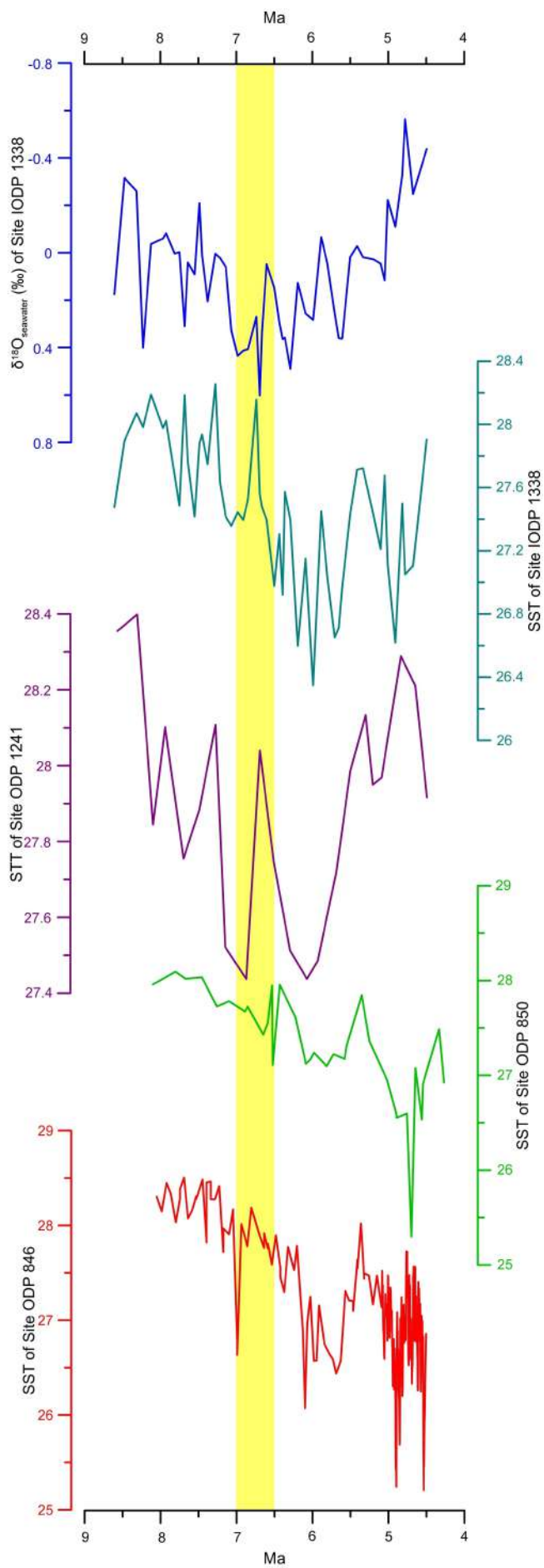


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882 Figure 1. Geographic setting of the Ica desert. A. Sketch map of Peru, with location of the Ica desert
883 (red square). B. Close up of the Ica desert; location of Cerro Los Quesos (CLQ).

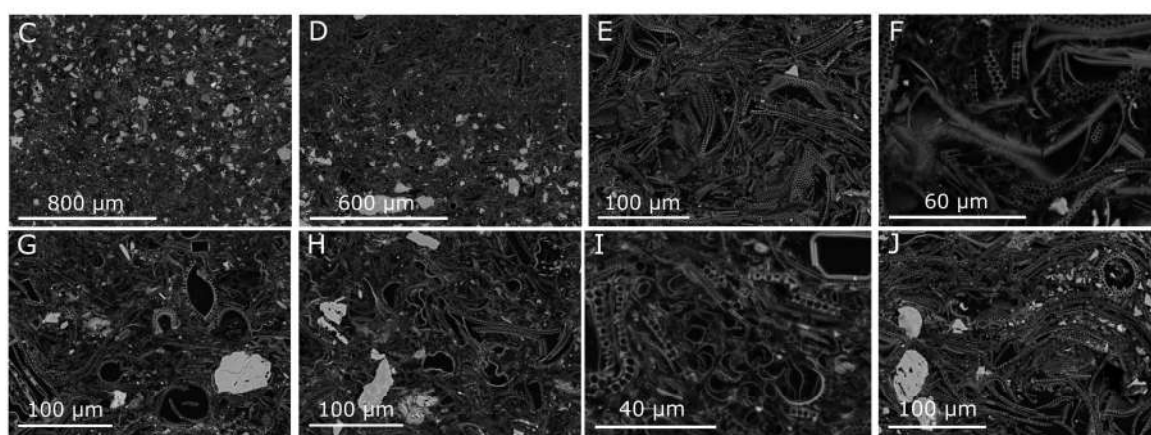
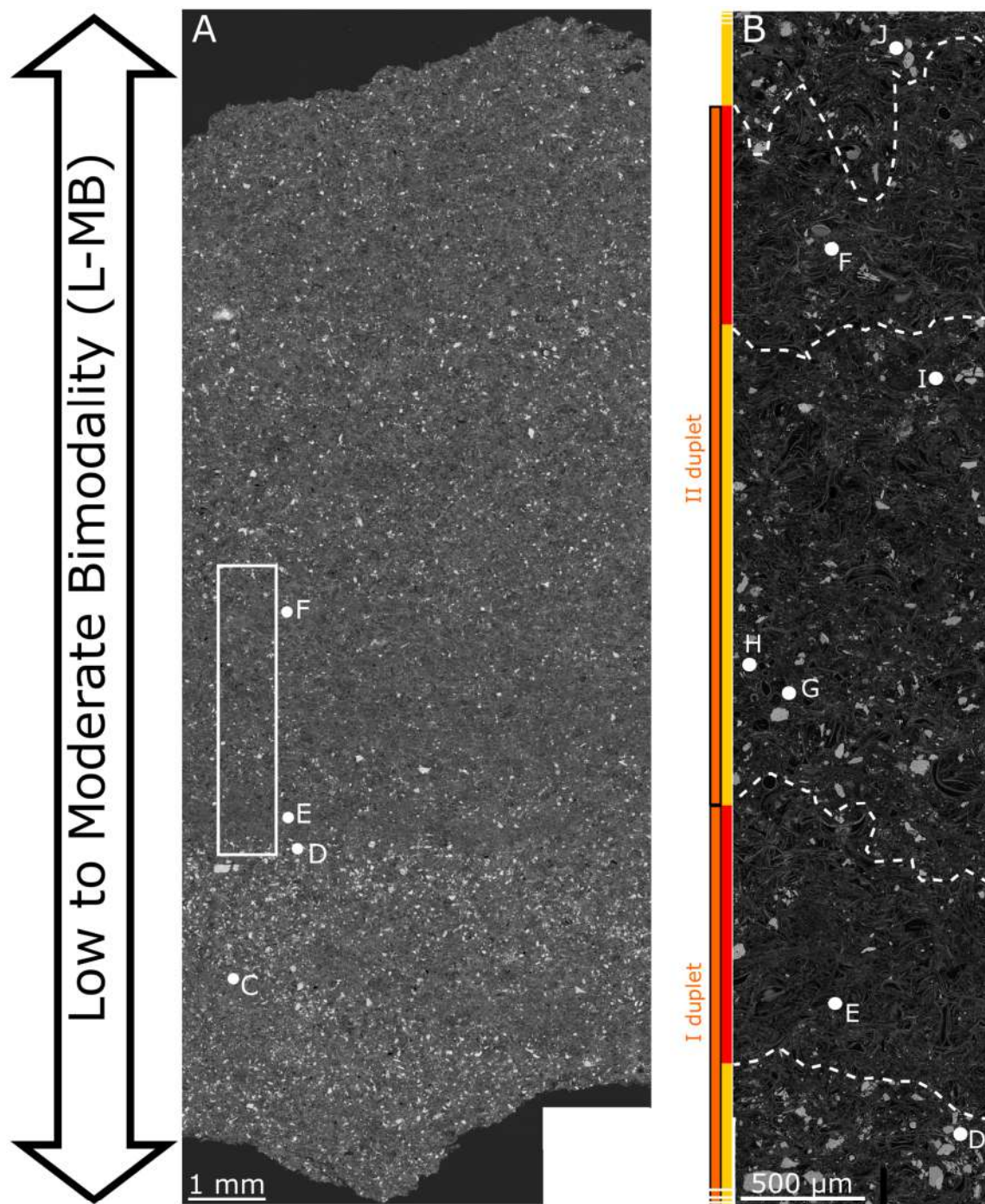


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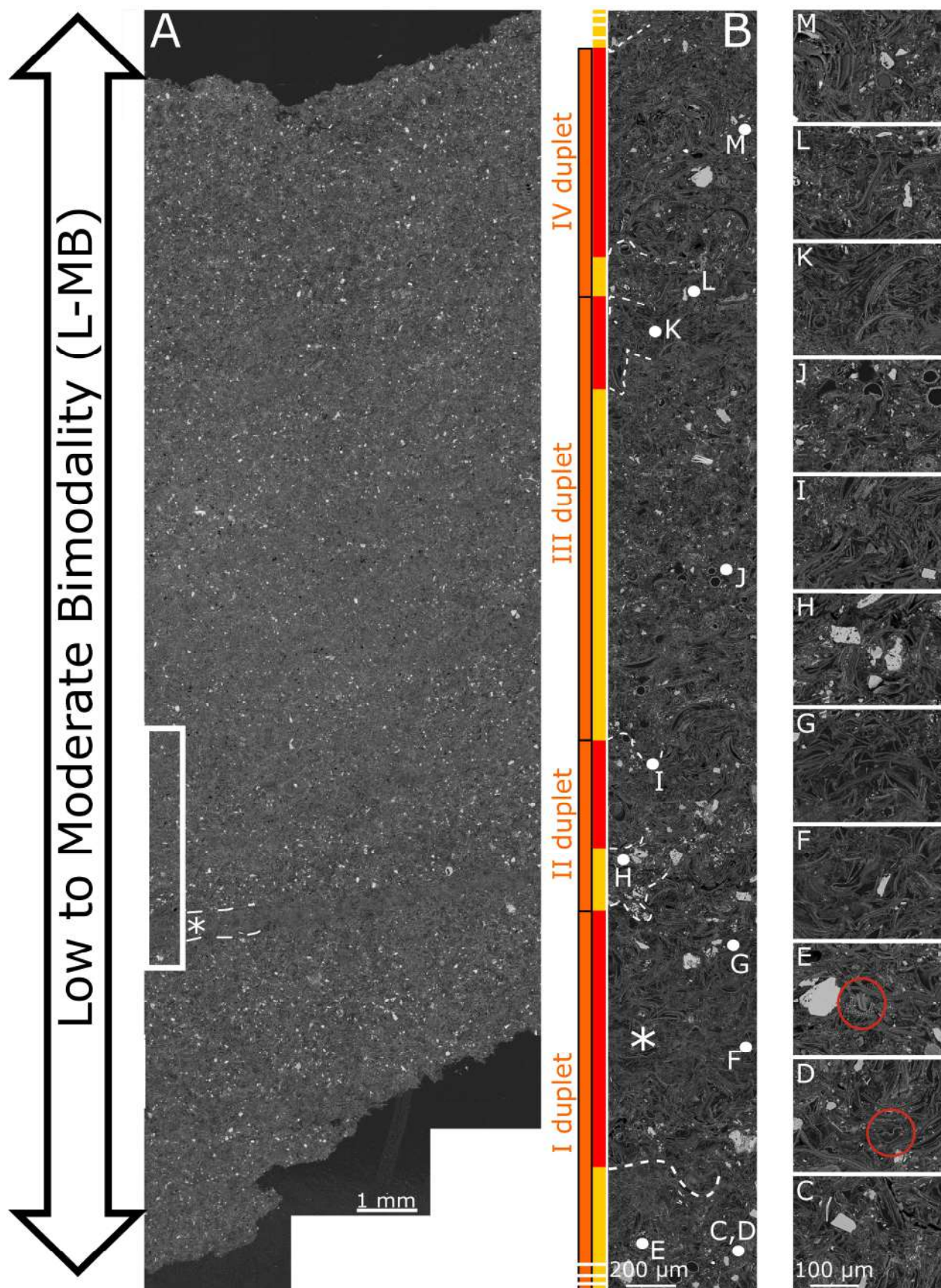
885 Figure 2. Fossil whale CLQ M58 ($14^{\circ}30'58.3''\text{S}$; $75^{\circ}43'04.5''\text{W}$) at Cerro Los Quesos, Ica Desert,
 886 Peru. A. CLQ M58 in the outcrop. Black rectangle: location of the CLQ20 sample; black arrow:
 887 black tephra underneath CLQ M58 (not dated); black arrowhead: Mn layer of the YBR sequence
 888 (see text for explanation). The two red rectangles show the yellow portion of diatomites ("Y")
 889 underlain by a black manganese-rich layer ("Mn-B") and by reddish diatomites ("R") related to
 890 geochemical processes activated by the decomposition of the carcass (see Gariboldi et al., 2015;
 891 Gioncada et al., 2018). Camera dust cap for scale. The red dotted square highlights the position of
 892 Fig. 2B in respect to M58. 2B. Detailed of the diatomites below the skull of M58; right: the
 893 sedimentary features observed in the left picture are outlined. Laminations (white lines) are
 894 deformed by the weight of the skull (yellow area) and in some points cut by the Mn layer (black
 895 arrowhead in the left picture). These deformations highlight that the carcass sank into the soupy,
 896 plastic diatomitic sediments as it reached the seabed. The geometry of the Mn layer with respect to
 897 the laminations shows that it precipitated after the diatomites were deformed. Red continuous line
 898 highlights secondary deformations. The black arrow points to the black tephra layer. Hammer as a
 899 scale. Modified from Gariboldi et al., 2015 and Bosio et al., 2021b.



901 Figure 3. Temporal context of the CLQ20 sample and $\delta^{18}\text{O}$ and SST values for the Eastern
902 Equatorial Pacific in the 9-4 Ma range. Sample CLQ20 was deposited between an older age limit of
903 6.93 ± 0.09 Ma, and a younger lower limit of $\geq 6.71 \pm 0.02$ Ma (yellow rectangle; Messinian), as
904 suggested by two dated tephra in the CLQ stratigraphic succession; a) the $\delta^{18}\text{O}_{\text{seawater}}$ (‰) for IODP
905 Site 1338 (Rousselle et al., 2013) and SST (°C) values for: b) IODP Site 1338 (Rousselle et al.,
906 2013); c) ODP Site 1241 (Seki et al., 2012); d) ODP Site 850 (Zhang et al., 2014); e) ODP Site 846
907 (Liu and Herbert, 2004). The CLQ20 sample dates back to a period characterised by high values of
908 $\delta^{18}\text{O}_{\text{seawater}}$ and SST as high as during the Middle Pliocene Warm Period (see fig. 5 of Rousselle et
909 al., 2013). All SST shown are calculated following the U^{k}_{37} calibration proposed by Müller et al.,
910 1998.
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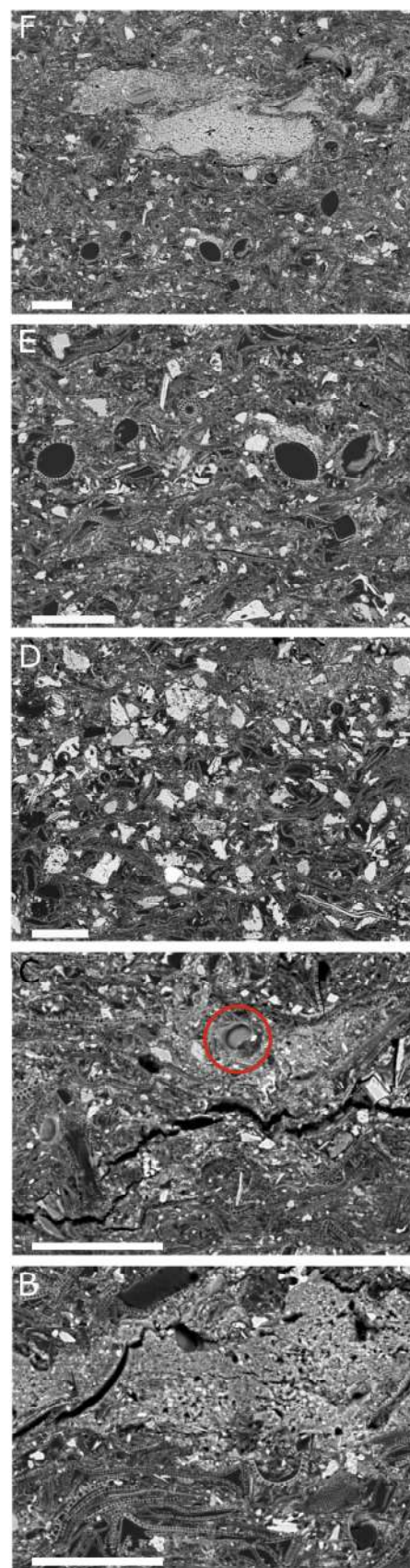
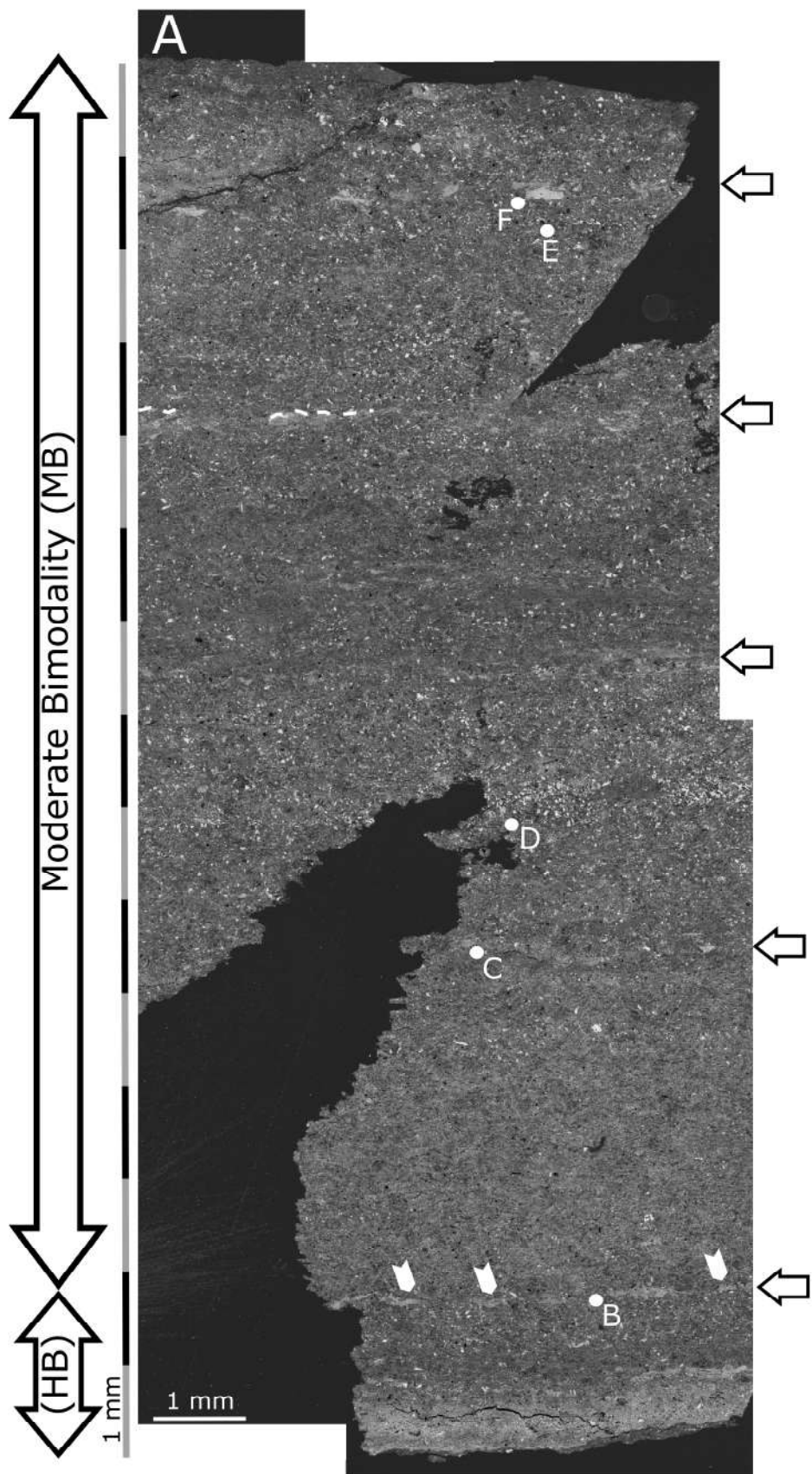
914 Figure 4. BSE-SEM images of slide t13. A. Low magnification (100x) BSE image of slide t13,
915 which is characterised by a low to moderate bimodality (L-MB). This bimodality pattern is mostly
916 given by the sparse presence of silt particles within a darker matrix (diatomite). The white rectangle
917 indicates the position of 4B; letters highlight the same spots in 4A and 4B as well as in Figs 4C-4J.
918 The mixed lamina (yellow rectangles) - *Coscinodiscus lamina* (red rectangles) duplets (orange
919 rectangles) are discernible. Mixed laminae are particularly recognizable due to the presence of silt
920 particles. Boundaries between laminae (white dotted lines) are wavy and indistinct. Coloured
921 rectangles are dotted when laminae are not pictured in their whole length. C. Silt particles in mixed
922 lamina. D. Boundary between a mixed lamina (bottom) and a *Coscinodiscus* lamina (top). E. Detail
923 of *Coscinodiscus* frustules in a *Coscinodiscus* lamina. F. Detail of *Actinocyclus octonarius* frustules
924 in a *Coscinodiscus* lamina. G. Detailed of a mixed lamina; silt particles, *Stephanopyxis* frustules and
925 *Actinoptychus* cf. *senarius* frustules are visible. H. Detailed of *Actinoptychus* cf. *senarius* frustules
926 in a mixed lamina. I. A bunch of *Chaetoceros* *Hyalochaete* resting spores in a mixed lamina. J.
927 Detail of a mixed lamina: silt particles, *Coscinodiscus* frustules and *Stephanopyxis* frustules are
928 visible.



930 Figure 5. BSE-SEM images of slide t14. A. Low magnification (100x) BSE image of slide t14,
931 which is characterised by a low to moderate bimodality (L-MB). This bimodality pattern is mostly
932 given by the sparse presence of silt particles within a darker matrix (diatomites). The white
933 rectangle indicates the position of 5B, while the asterisk highlights the position of a *Coscinodiscus*
934 lamina particularly evident even at low magnification (this lamina is visible also in 5B and 5F;
935 dotted lines outlines part of the *Coscinodiscus* lamina boundaries, which are wavy, quite distinct
936 and continuous. B. 800x magnification of slide t14; four mixed lamina (yellow rectangles) -
937 *Coscinodiscus* lamina (red rectangles) duplets (orange rectangles) are discernible, but the lowest
938 one lacks the bottom of the mixed lamina. The sequence represented in 5B ends with the bottom of
939 a mixed lamina (yellow rectangle). Coloured rectangles are dotted when laminae are not pictured in
940 their whole length. The mixed lamina – *Coscinodiscus* lamina duplets have thickness varying from
941 625 μm to ca. 1750 μm , with a huge difference in the thickness of the two mixed laminae (ca. 375
942 μm in the second duplex vs. ca. 1500 μm in the third duplex). The *Coscinodiscus* lamina of the
943 second and third duplets have more similar thicknesses (ca. 250 μm) but the *Coscinodiscus* lamina
944 of the first and fourth duplets are ca. 1000 μm thick (thickness of laminae are approximate as their
945 thickness vary along their length). Letters highlights the position of images in the right column. C.
946 silt particles in a mixed lamina. D. *Actinopteryx* cf. *senarius* frustule in a mixed lamina (circled in
947 red). E. Silt particle and *Stephanopyxis* valve (circled in red) in a mixed lamina. F, G.
948 *Coscinodiscus* frustules and silt particle in a *Coscinodiscus* lamina. H. Silt particles and
949 *Coscinodiscus* frustules in a mixed lamina. I. *Coscinodiscus* lamina at its upper boundary with a
950 mixed lamina. J. *Stephanopyxis* frustules and silt particles in a mixed lamina. K. *Coscinodiscus*
951 frustules in a *Coscinodiscus* lamina. L. Silt particles and *Coscinodiscus* frustules in a mixed lamina.
952 M. *Coscinodiscus* frustules and silt particle in a *Coscinodiscus* lamina. The 100 μm scale bar is
953 valid for figures 5C-M.

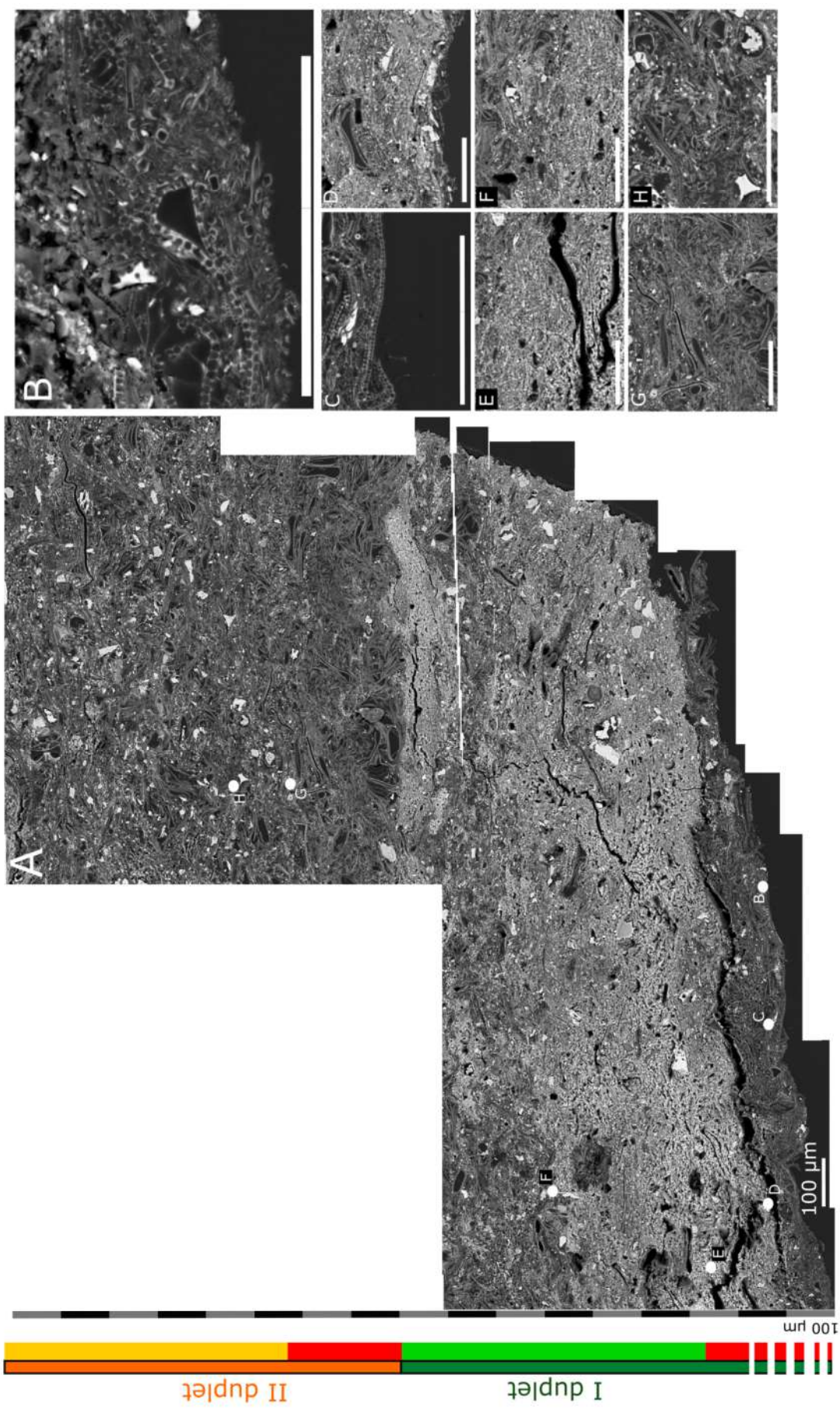


955 Fig 6. BSE-SEM images of slide t5. A. Low magnification (100x) BSE image of slide t5,
956 characterised at its bottom by a high bimodality, given by the contrast of a terrigenous lamina and
957 the terrigenous boudinage-like top of a mixed lamina (arrowheads) with the underlying and
958 overlying biogenic laminae. The rest of the slide is characterised by a low to moderate bimodality
959 (L-MB). This bimodality pattern is mostly given by the sparse presence of silt particles within a
960 darker matrix (diatomite). White arrows at the bottom point to the lower boundary of the
961 terrigenous lamina, which is straight to wavy but sharp. The dotted line at the top of the image
962 highlights a wavy boundary between a terrigenous lamina and the overlying *Actinoptychus* cf.
963 *senarius* lamina. Letters highlight the position of images in the left column. B. Detail of the lower
964 boundary of the terrigenous lamina (the content of the underlying lamina is not defined, due to the
965 imperfect polishing of the slide). C. *Thalassionema* specimens in the terrigenous lamina. D, E.
966 Details of the boundary between the terrigenous lamina and the overlying *Actinoptychus* cf.
967 *senarius* lamina; the white arrow in D points to an enlarged image of the *Actinoptychus* cf.
968 *senarius* frustules.
969



972 Fig. 7. BSE-SEM images of slide t9. A. Low magnification (100x) BSE image of slide t9, which is
973 characterised at its bottom by a high bimodality, given by the contrast of a terrigenous lamina and
974 the terrigenous boudinage-like top of a mixed lamina (arrowheads) with the overlying biogenic
975 laminae (see Figs. 7B; 8A). The rest of the slide is characterised by moderate bimodality (MB)
976 given by the sparse presence of silt particles in mixed laminae, which alternates with *Coscinodiscus*
977 laminae (see Fig. S2) within a darker matrix (diatomite). White arrows indicate the thin terrigenous
978 boudinage-like top of the mixed laminae. The dotted line represents a continuous, straight but faint,
979 upper boundary between the terrigenous top of a mixed lamina with a *Coscinodiscus* lamina. Letters
980 highlights the position of images in the right column. B. Lower boundary of the terrigenous top of a
981 mixed lamina. C. Detail of a diatom valve (red circle) within the terrigenous top of the mixed
982 lamina. D. Silt particles in a mixed lamina. E. *Stephanopyxis* frustules within a mixed lamina. F.
983 Boudinage-like structure of the terrigenous top of a mixed lamina. Scale bars in 7B-F: 100 µm.
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988 Figure 8. BSE-SEM images of slide t9. A. High magnification (2000x) BSE image of the bottom of
989 slide t9 (the same visible in Fig. 7A). Letters highlights the position of images in Figs 8B-8H. B.
990 Details of *Thalassionema* specimens within a *Coscinodiscus* lamina. C. Silt particle within a
991 *Coscinodiscus* lamina. D. Detail of sparse diatom frustules within a terrigenous lamina. E. Detail of
992 a terrigenous lamina. F. Boundary between a terrigenous lamina with the overlying *Coscinodiscus*
993 lamina. The boundary is wavy and interdigitated. G. Boundary between a *Coscinodiscus* lamina
994 with the overlying mixed lamina. The boundary is wavy, and faint, but continuous. H. *Chaetoceros*
995 *Hyalochaete* resting spores within a mixed lamina. Scale bars in 8B-H: 100 µm.

996
997 TABLE

998 Table 1. Ecology of principal diatom genera and species listed in this paper.

Genus/Species	Ecology
<i>Actinocyclus octonarius</i> Ehrenberg, 1837	Meroplanktic species correlated with the distribution of <i>Coscinodiscus</i> (Schuette and Schrader, 1981b).
<i>Actinoptychus senarius</i> (Ehrenberg) Ehrenberg 1843	Neritic (Cupp, 1943); typical of coastal upwelling assemblages (Abrantes et al., 2007).
<i>Chaetoceros</i> Ehrenberg, 1844 <i>Hyalochaete</i> Gran, 1897 spp. RS	A truly planktic genus. Most of <i>Chaetoceros</i> species are neritic, although some are oceanic. No fresh-water species are known (Cupp, 1943). <i>Chaetoceros</i> RS form at the end of upwelling bloom (spring and autumn), when the surface waters are depleted in nutrients (Schuette and Schrader, 1981a, b; Kemp et al., 2000; Romero and Hebbeln 2003).
<i>Coscinodiscus</i> Ehrenberg, 1839, nom. et typ. cons.	Recorded in modern environments as repeatedly forming continuous belts and patches (Schuette and Schrader, 1981b). Thrives at the thermo/nutricline at low light conditions, prefers a stratified water column (paart of the "shade flora") (Sournia, 1982; Kemp et al., 2000).
<i>Coscinodiscus asteromphalus</i> Ehrenberg, 1844	<i>C. asteromphalus</i> tolerates a wide range of temperatures and may be cosmopolitan (Hasle and Syvertsen, 1997).
<i>Stephanopyxis turris</i> (Greville) Ralfs 1861	Neritic, temperate and subtropical species (Cupp, 1943).
<i>Thalassionema</i> Grunow ex Mereschkowsky, 1902	A common marine plankton genus (Round, 1990).
<i>Thalassionema nitzschioides</i> (Grunow) Mereschkowsky, 1902	Marine, neritic and estuarine species; euryhaline and eurythermal (Navarro, 1982). It blooms in spring and summer (Schuette and Schrader, 1981a). <i>Thalassionema nitzschioides</i> var. <i>nitzschioides</i> is classified as part of the coastal upwelling group by Romero and Hebbeln, 2003.

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