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1 **Sedimentary DNA reveals the link between microbial community dynamics and climate during**  
2 **the late last glaciation in the offshore region of the Zambezi River, southwest Indian Ocean**

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16  
17 **ABSTRACT**

18 Reconstructing the relationship between microbial communities and past abrupt climate change is of great  
19 importance for understanding current biodiversity patterns and predicting changes under future climate scenarios.  
20 However, little is currently known about how microbial communities respond to changes in key environmental stages  
21 due to a lack of research in this area. Here, we examine the variability in the communities of bacteria, archaea, and  
22 fungi from sediments deposited offshore of the Zambezi River between 21.7 and 9.6 thousand years ago (ka)  
23 (covering the last glacial maximum, or LGM, and the early Holocene) using DNA metabarcoding approach via high-  
24 throughput sequencing. The results showed that (1) microbial assemblages differed across three key time intervals,  
25 **with the last deglaciation having the most homogeneous prokaryotic assemblages, while for fungal communities in**  
26 **the LGM**, and the early Holocene and LGM differing the most; (2) the warm early Holocene showed the highest  
27 diversity, whereas the lowest diversity was found in the LGM; and (3) the selected indicator species better reflected  
28 the climatic characteristics of different environmental stages. These results highlight the power of ancient  
29 sedimentary DNA to refine our understanding of microbial dynamics in marine sedimentary systems near large rivers,  
30 thus providing a basis for better modeling ecological processes in further research.

32 **Keywords:** Sedimentary DNA; Metabarcoding; Microbial dynamics; Abrupt climate change; Offshore Zambezi  
33 River

34

## 35 **1. Introduction**

36 Marine microbes account for  $\geq 90\%$  of the total marine biomass (Suttle, 2007), and contribute  $\sim 50\%$  of global  
37 primary productivity (Arrigo, 2005). The global diversity and distribution patterns of microorganisms in the marine  
38 realm are strongly related to climate-driven variability in primary production (Lozupone and Knight, 2007; Hoshino  
39 et al., 2020), with strictly anaerobic organic-rich sediments found along the continental margins (Lipp et al., 2008).  
40 As important engines of global changes, marine microbes not only affect climate change, but also play vitally  
41 important roles in the origin and evolution of life on Earth and biogeochemical cycles (Kasting and Siefert, 2002;  
42 Falkowski et al., 2008). In addition, these microbes are highly sensitive to climate change, and their community  
43 variations can serve as sentinels to examine the long-term changes in ecosystems (Yao et al., 2006; Mitchell et al.,  
44 2009). Therefore, understanding the composition and dominant groups of microbial communities, especially in  
45 sedimentary environments, is essential for elucidating a number of urgent ecological issues, including microbial  
46 succession, microbial interactions and climate feedback.

47 The diversity of biological dynamics contained within DNA signatures can be examined using DNA  
48 metabarcoding via high-throughput sequencing. More importantly, sedimentary DNA (sedDNA) enables the  
49 identification of a wide range of organisms that leave few or no traces in the fossil record, including prokaryotes and  
50 eukaryotes, thus allowing for the exploration of ecological impacts on a finer scale far beyond traditional  
51 morphological observations using microscopes (Pawlowski et al., 2018; Cabrera et al., 2019). The study of past  
52 biodiversity using sedDNA has been extensively reviewed (Li et al., 2023; Capo et al., 2021; Armbrrecht et al., 2019;  
53 Ruppert et al., 2019; Thomsen and Willerslev, 2015). It is worth noting that most of these studies have suggested that  
54 microorganisms are an excellent target for sedDNA-based research and are particularly interrelated in climate–  
55 environment–ecosystem reconstructions.

56 The abrupt climate change events since the Last Glacial Maximum (LGM) have had profound effects on the  
57 global climate and environmental evolution (Lambeck et al., 2014; Cotton et al., 2016 Hahn et al., 2021), and are  
58 among the key topics in the field of global change research. Microbial dynamics in subseafloor sediments have been  
59 a research focus for decades (Coolen et al., 2013; More et al., 2018; Armbrrecht et al., 2021), whereas limited research

60 has been conducted in shallow marine sediments influenced primarily by river discharge. Considering the critical  
61 roles of microorganisms in marine ecosystems, more attention should be paid to their responses to climate change.  
62 Taken together, the primary objectives of this study were as follows: (1) to elucidate the microbial dynamics in  
63 sediments supplied by the offshore Zambezi River using the metabarcoding approach; (2) to identify potential  
64 indicator species among the microbial communities related to the specific environmental conditions; and (3) to reveal  
65 the climatic signatures that could affect microbial communities.  
66

## 67 **2. Materials and methods**

### 68 *2.1. Study area and sample collection*

69 Site U1477, from the International Ocean Discovery Program (IODP) Expedition 361, is located in the western  
70 Mozambique Channel on the upper continental slope, ~65 n mile east of the Zambezi River Delta, at a water depth  
71 of 429.2 m below sea level, and receives fluvial material exported from the Zambezi River Catchment (Hall et al.,  
72 2017). The Zambezi River, originating in northern Zambia, the longest river in southern Africa (over 2500 km in  
73 length). The mean volume of the runoff is 3000 m<sup>3</sup>/s, with an annual sediment load of ~51 Mm<sup>3</sup>, making it the largest  
74 single source of suspended sediment supply to the Mozambique margin (Walford et al., 2005). The Zambezi River  
75 Catchment, with a total of area of ~1.385 million km<sup>2</sup>, is situated in the southernmost reach of the African monsoonal  
76 precipitation belt referred to as the Intertropical Convergence Zone (ITCZ) and the Congo Air Boundary (CAB). It  
77 has mean annual rainfall of 95 cm, and an average annual discharge of 103 km<sup>3</sup> (MacDonald, 2008). Peak  
78 precipitation occurs during the austral summer (December, January, and February), when the ITCZ is located at its  
79 most southerly position (Hall et al., 2017). In the Mozambique Channel, there is a dominant southward surface flow  
80 accompanied by multiple quasi-stationary counterclockwise eddies (Fig. 1). The discharge of the Zambezi River  
81 sediments into this system is reflected by the high sedimentation rates, up to 1 m/ka, recorded at Site U1477 (Hall et  
82 al., 2017), providing a potential sedimentary archive that records regional hydroclimate changes in the Zambezi River  
83 Catchment at an unprecedented temporal resolution. The samples were collected from Hole U1477B (19°21.2822'S,  
84 36°54.8958'E) of IODP Expedition 361 during the RV “JOIDES Resolution” cruise on March 14, 2016.

### 85 *2.2. Sedimentary DNA extraction and analysis*

86 Sedimentary DNA was extracted from a total of 38 sediment samples (triplicate for each sample) that spanned  
87 the core interval of 5.666–17.495 m below the sea floor using the MOBIO PowerSoil<sup>®</sup> DNA Isolation Kit (MOBIO

88 Laboratories, Carlsbad, CA, USA) following the manufacturer's instructions. The extracted DNA concentrations  
89 were quantified using the Thermo NanoDrop One (Thermo Fisher Scientific, MA, USA), and the extracted DNA was  
90 stored at  $-80^{\circ}\text{C}$  until amplification. For prokaryotes, the V4 region of the 16S rRNA gene was amplified using the  
91 primer pair 515F (5'-GTGCCAGCMGCCGCGGTAA-3') and 806R (5'-GGACTACHVGGGTWTCTAAT-3') (Zhou  
92 et al., 2016). This targeted gene region (about 300 bp) could provide enough resolution for the accurate taxonomic  
93 classification of prokaryote (bacteria and archaea) sequences. For eukaryotes, the primer set 528F (5'-  
94 GCGGTAATTCAGCTCCAA-3') and 706R (5'-AATCCRAGAATTCACCTCT-3') was used to amplify the V4  
95 region of the 18S rRNA gene (Lutz et al., 2016), with a targeted gene region of about 350–390 bp. Fifty-microliter  
96 polymerase chain reaction (PCR) reaction systems were prepared in duplicate, and each contained 50 ng of DNA  
97 template, 25  $\mu\text{l}$  2 $\times$  Premix Taq DNA polymerase (Takara Biotechnology, Dalian Co., Ltd., China), 1  $\mu\text{l}$  of each primer  
98 (10  $\mu\text{M}$ ), and 20  $\mu\text{l}$  nuclease-free water. The PCR thermal regime consisted of an initial denaturation of 5 min at  $94^{\circ}\text{C}$ ,  
99 followed by 30 cycles of denaturation at  $94^{\circ}\text{C}$  for 30 s, annealing at  $52^{\circ}\text{C}$  for 30 s, and extension at  $72^{\circ}\text{C}$  for 30 s,  
100 with a final extension at  $72^{\circ}\text{C}$  for 10 min. PCR was replicated in triplicate and the products of each sample were  
101 pooled and purified. The pooled PCR products were visualized on 1% purified agarose gel and purified using the  
102 E.Z.N.A.<sup>®</sup> Gel Extraction Kit (Omega, USA). The purified products were finally quantified with the Quant-iT<sup>™</sup>  
103 Broad-Range DNA Assay Kit (Invitrogen, Carlsbad, CA, USA). Library construction was performed using the  
104 NEBNext<sup>®</sup> Ultra<sup>™</sup> II DNA Library Prep Kit for Illumina<sup>®</sup> (New England Biolabs, USA). Sequencing was conducted  
105 on an Illumina Nova 6000 platform for PE250 (Guangdong Magigene Biotechnology Co., Ltd., Guangzhou, China).

### 106 **2.3. Bioinformatics analysis**

107 The raw sequencing data were initially processed using fastp (an ultra-fast all-in-one FASTQ Preprocessor, v0.14.1)  
108 (<https://github.com/OpenGene/fastp>) with a sliding window to cut the two-terminal raw reads. Furthermore, cutadapt  
109 (v1.14) (<https://github.com/marcelm/cutadapt/>) was used to remove the primer to obtain the paired-end clean reads  
110 after quality filtering. Paired-end clean reads that overlapped more than 16 bp were merged into a single sequence,  
111 and sequences containing ambiguous bases were removed to obtain raw tags using usearch-fastq\_mergepairs  
112 (v10.0.240) (<http://www.drive5.com/usearch/>). The raw tags were processed using fastp with a sliding window to  
113 obtain clean tags. Furthermore, after the chimeras and singletons were discarded, the generated high-quality  
114 sequences were clustered into operational taxonomic units (OTUs) at 97% sequence similarity using the UPARSE  
115 workflow (Edgar, 2013). The representative sequences were selected from each OTU and aligned against the SILVA



116 (v138) database at a bootstrap cutoff of 80% using usearch-sintax (v10.0.240). In this study, for the prokaryotic  
117 dataset, both bacteria and archaea were considered for further analysis. For the eukaryotic dataset, OTUs that were  
118 not classified into fungi were filtered for further analysis. Finally, because uneven distribution in sample sequences  
119 might affect statistical analysis, the data were resampled based on the lowest sequence number across all samples  
120 (72,152 and 23,850 for prokaryotes (Table S1) and micro-eukaryotes (Table S2), respectively) for downstream  
121 analysis. Sequencing data were analyzed on the MgiGene Cloud Platform (<http://cloud.magigene.com/>). The raw  
122 sequences have been uploaded and are available in the National Center for Biotechnology Information (NCBI)  
123 Sequence Read Archive (SRA) Database (Accession Nos: PRJNA1021399 for prokaryotic microbes and  
124 PRJNA1021574 for eukaryotic microbes).

#### 125 **2.4. Statistical analysis**

126 Samples were generally grouped based on time windows representing specific climate intervals, where the  
127 interval transitions corresponded to major changes in microbial community structure. The alpha diversity of the  
128 microbial communities (the Richness, Shannon, and Simpson indices) were estimated with the “vegan” package in  
129 R (Oksanen et al., 2022) based on grouped samples. On this basis, one-way analysis of variance (ANOVA) with post-  
130 hoc Tukey’s honestly significant difference (HSD) tests were employed using the R package “multcomp” (Hothorn  
131 et al., 2008) to determine the differences among environmental intervals. For beta diversity, to identify the grouping  
132 patterns of microbial communities that were significantly different from each other at the OTU level, non-metric  
133 multidimensional scaling (NMDS) analysis based on the Bray–Curtis distance with 999 permutations was performed  
134 using the “vegan” package in R (Oksanen et al., 2022). Accordingly, to determine the significance of the community  
135 differences between two or more classes of objects, similarity analysis (ANOSIM) was performed using the “vegan”  
136 package in R (Oksanen et al., 2022). ANOSIM was carried out using 999 permutations and the Bray–Curtis distance.  
137 Moreover, to determine whether there would be differences between different stages, we conducted analyses of the  
138 temporal beta diversity index (TBI; Legendre, 2019), which measures temporal beta differentiation. The TBI was  
139 calculated using the R package “adspatial” with the “aka Bray-Curtis” method and 999 permutations (Dray et al.,  
140 2023). Then, we decomposed the TBI into beta diversity explained by either species loss or gain over time. These  
141 components describe the different contributions of species loss and gain to the overall differences, thus giving us an  
142 opportunity to understand of the relative importance of these effects (Legendre, 2019). In the analyses mentioned  
143 above, including NMDS, ANOMIS, and TBI, we performed all procedures in each time window or between the

144 different windows for the all species and indicator species (which were the OTUs), respectively.

145 In addition, to detect differences in OTU abundance among grouped samples, a negative binomial generalized  
146 linear model was implemented with the R package “edgeR” (Robinson et al., 2010) using the trimmed means of M  
147 (TMM) method, and the normalized counts were expressed as the relative abundance counts per million (CPM). All  
148  $p$  values were calibrated using the false discovery rate (FDR). After screening, the significantly changed OTUs were  
149 displayed in a heatmap using the R package “pheatmap” (Kolde, 2019).

150 Finally, to investigate the differences in the occurrence or abundance of a small set of OTUs in the selected time  
151 periods, correlation based on indicator species analysis (ISA) was conducted using the R package “indicspecies”  
152 (Cáceres and Legendre, 2009). The analysis for individual time windows only (rather than combinations of time  
153 windows) was conducted with 999 permutations and considered significant at  $p < 0.05$ . This analysis generated two  
154 indicator values (i.e., A and B), where an A-value of 1 indicates that the species in question occurs in only one  
155 indicator group, while an optimal B-value of 1 means that this species occurs in all samples in that category. In this  
156 study, we chose to use the A value to measure the contribution of the indicator species. We then further identified the  
157 best environmental indicator species with reference to the approach of Coolen et al. (2013). All statistical analyses  
158 were conducted in R v4.2.2 (R Core Team, 2022).

### 159 3. Results and discussion

#### 160 3.1. Chronology of the core

161 Six mixed planktonic foraminifera samples were collected for  $^{14}\text{C}$  dating using accelerator mass spectrometry  
162 (AMS) at Beta Analytic, USA (Table 1). The  $^{14}\text{C}$  dates were calibrated using the Marine20 calibration curve (Reimer  
163 et al., 2013). The age-depth model was produced using Bacon v2.2, with a Bayesian modeling of accumulation rates  
164 (Blaauw and Christen, 2011). Based on these models, the calibrated dates and their 95% confidence intervals were  
165 calculated for the boundaries of the stratigraphic sections, and then linear interpolation was used to determine the  
166 ages for each individual sample.

#### 167 3.2. Microbial community composition

168 After bioinformatics processing, taxonomic filtering, rarefaction, and normalization, a total of 8,225,328 high-  
169 quality prokaryotic 16S rRNA gene (Table S1) and 2,718,900 micro-eukaryotic 18S rRNA gene (Table S2) sequence  
170 counts were assigned across all samples. The subsampled sequences numbered 72,152 and 23,850 for the prokaryotic

171 and fungal communities, respectively. The Venn diagram showed that 471 OTUs were shared by bacterial and  
172 archaeal communities, while there were 79 shared OTUs for fungal communities across the late last glaci-  
173 [S1](#)). We defined the three time windows based on our dataset, namely the LGM (21.7–19.1 cal ka BP; 17.495–14.2  
174 m depth), last deglaciation (18.7–12.2 cal ka BP; 13.8–6.966 m depth), and early Holocene (11.5–9.6 cal ka BP;  
175 6.666–5.666 m depth). Among them, for the bacterial and archaeal communities, there were 236 shared OTUs for  
176 the LGM and the last deglaciation, 223 shared OTUs for the last deglaciation and the early Holocene, and 6 shared  
177 OTUs for the LGM and the early Holocene ([Fig. S1a](#)); for the fungal communities, there were 50 shared OTUs for  
178 the LGM and the last deglaciation, 28 shared OTUs for the last deglaciation and the early Holocene, and 2 shared  
179 OTUs for the LGM and the early Holocene ([Fig. S1b](#)). Overall, microbial diversity increased from the LGM to the  
180 early Holocene ([Tables S1 and S2](#)).

181 For the prokaryotic dataset, these sequences were clustered into 1,609 OTUs (including bacteria of 1320 OTUs  
182 in 82%, archaea of 289 OTUs in 18% were assigned) at the cutoff of 97% sequence identity, ranging from 148 to 333  
183 OTUs per sample across all samples. Of these, 826 OTUs could be identified to the family level, excluding the  
184 “uncultured” and “Unknown\_Family” (51%), eliminating the “uncultured” for 543 OTUs to the genus level (34%),  
185 and 137 OTUs to the species level (9%) after removing the “uncultured.” The most abundant taxa were Proteobacteria  
186 and Bacteroidota at the phylum level, while *Pseudomonas* was the most abundant at the genus level, and a large  
187 proportion was “unassigned” ([Fig. 2](#)). Bacterial and archaeal communities were mainly composed of members from  
188 the phyla Proteobacteria and Bacteroidetes, and from Crenarchaeota and Asgardarchaeota, respectively. In the  
189 bacterial and archaeal communities, the phylum Proteobacteria, of which Gammaproteobacteria and  
190 Alphaproteobacteria are the most widely distributed and abundant classes in marine sediments ([Ambati and Kumar,](#)  
191 [2022](#)), which is consistent with the results of the present study.

192 For the fungal dataset, a total of 279 OTUs were assigned to fungi at the phylum level at a similarity level of 97%,  
193 ranging from 14 to 64 OTUs per sample across all samples. Of these, 119 OTUs could be identified to the family  
194 level (42%), 50 OTUs to the genus level excluding from the “uncultured” (18%), and 10 OTUs to the species level  
195 (4%) removing the “uncultured.” Ascomycota (75%) and Basidiomycota (16%) are the most dominant taxa at the  
196 phylum level ([Table S2](#)), which was consistent with the results of Tisthammer et al. (2016) and Hoshino et al. (2020),  
197 and are slightly more abundant than in the Pearl River estuary of southern China ([Cheung et al., 2018](#)). In addition,  
198 most abundant taxa are Eurotiomycetes and Sordariomycetes at the class level, while at the genus level “unassigned”  
199 is the most dominant, followed by *Exophiala* ([Fig. 3](#)).



200 For the alpha diversity, the prokaryotic communities from the last deglaciation were similar, while other stages  
201 were dissimilar, with the exception of the early Holocene, for the Shannon and Simpson indices (Fig. 4a–c). In  
202 particular, the Simpson index was the most similar between different stages. The fungal communities from the same  
203 stage were significantly more similar than those from other stages, as verified by multiple dissimilarity tests (Fig.  
204 4d–f). In particular, the Shannon and Simpson indices were more consistent between different stages. Overall, the  
205 alpha diversity was highest in bacteria and archaea, while it was lowest in fungi.

206 Ordination using NMDS reveals a less pronounced grouping of samples in three different time windows across  
207 the records. The samples displayed concentric distribution between time windows, accounting for their similar OTU  
208 compositions. The prokaryotic communities were more divergent (Fig. 5a) than the fungal communities (Fig. 5b).  
209 Furthermore, ANOSIM revealed that the overall prokaryotic communities differed slightly (Fig. 5c), while there were  
210 no evident changes in fungal communities (Fig. 5d). Specifically, only minor differences were observed in both the  
211 prokaryotic and fungal communities between environmental stages (Fig. S5), suggesting the existence of some  
212 similar general conditions in the environmental habitats between different stages. In particular, for the prokaryotic  
213 community, the last deglaciation showed the greatest differences in the three time windows (Fig. S7a), with species  
214 loss being dominant (Fig. S8b), indicating that the loss of new species contributed the most to the overall differences  
215 at this stage. However, for fungal communities, species loss was dominant in the LGM and had the largest  
216 dissimilarities (Fig. S7b and Fig. S8f).

217 Differential OTU abundance analysis identified 699 enriched OTUs in prokaryotic communities and 99 enriched  
218 OTUs in fungal communities (Table S3 and Table S4). Taxonomy at the phylum level showed few differences, with  
219 Proteobacteria and Crenarchaeota contributing the greatest differences in both periods for the prokaryotic  
220 communities (Fig. S2), while the Ascomycota exhibited the greatest differences in the fungal communities (Fig. S3).

### 221 **3.3. Microbial indicator taxa**

222 For the prokaryotic communities, ISA identified 338 significant ( $p < 0.05$ ) indicator OTUs from prokaryotic  
223 communities (Table S5) and 47 for the fungal communities during the selected study period (Table S6).  
224 Proteobacteria (phylum) in bacteria, with 120 OTUs, constituted the dominant group of indicator species, followed  
225 by Crenarchaeota (phylum) in archaea, with 52 OTUs. For the fungal communities, the majority of these indicator  
226 species were Ascomycota (phylum) with 40 OTUs. For both prokaryotic and fungal communities, indicator OTUs  
227 were the most diverse in the early Holocene, followed by the last deglaciation, and finally the LGM. Specifically, at

228 the phylum level for bacteria, ISA identified 179 significant indicator taxa for the early Holocene (mainly  
229 Proteobacteria and Actinobacteriota), 86 for the last deglaciation (mainly Proteobacteria), and 87 for the LGM  
230 (mainly Proteobacteria). For archaea at the phylum level, ISA identified 62 significant indicator taxa for the early  
231 Holocene (49 Crenarchaeota, 7 Asgardarchaeota, 3 Thermoplasmatota, 2 Nanoarchaeota, and 1 Aenigmarchaeota),  
232 21 for the last deglaciation (14 Crenarchaeota, 4 Asgardarchaeota, 2 Thermoplasmatota, and 1 Nanoarchaeota), 6 for  
233 the LGM (3 Crenarchaeota, 2 Halobacterota, and 1 Thermoplasmatota). For fungi at the phylum level, ISA identified  
234 34 significant indicator taxa for the early Holocene (33 Ascomycota and 1 Basidiomycota), 21 for the last deglaciation  
235 (18 Ascomycota and 3 Basidiomycota), and 8 for the LGM (5 Ascomycota, 2 Basidiomycota, and 1 Chytridiomycota).

236 This study then investigated the effects of the three environmental stages, and the transitions between these stages,  
237 on the microbial community structure using NMDS. NMDS using only these indicator species revealed stronger  
238 clustering between environmental stages (Fig. S4a–b). The shifts in community composition were clearly displayed  
239 by the NMDS, where the samples in the LGM were distinctly separated from the samples in the last deglaciation and  
240 the early Holocene. The ANOSIM results suggested that the prokaryotic communities differed significantly among  
241 the three intervals ( $R = 0.429$ ,  $P = 0.001$ ), while the differences in fungal communities were not significant (Fig. S4c–  
242 d). In particular, for the prokaryotic communities, the LGM and early Holocene showed strong differences ( $R = 0.657$ ,  
243  $P = 0.001$ ) (Fig. S6b), which may be due to the extreme cold (LGM) and warm (early Holocene) climates.

244 Additionally, the NMDS plot suggests a strong reduction of temporal beta diversity, as indicated by a decline in  
245 sample dispersion and the size of the confidence ellipse between the LGM and the early Holocene. Specifically, for  
246 the prokaryotic communities, the last deglaciation showed the greatest differences in the time windows (Fig. S7c),  
247 with species loss being dominant (Fig. S9b); this suggests that the loss of new species contributed the most to the  
248 total dissimilarity at this stage. However, for fungal communities, species loss was dominant in the LGM, resulting  
249 in the largest differences (Fig. S7d and Fig. S9f).

250 Furthermore, to identify the indicator species that exhibited the strongest relationship with environmental  
251 conditions, we assessed the species that best reflected the biotic or abiotic state of the environment. For prokaryotic  
252 communities, at the phylum level, Proteobacteria and Halobacterota were the best indicator taxa for the LGM;  
253 Proteobacteria, Actinobacteriota, and Thermoplasmatota were the best indicators for the last deglaciation; and  
254 Myxococcota and Crenarchaeota were the best indicators for the early Holocene (Fig. 6).

255 Similarly, for fungal communities, at the phylum level, Basidiomycota was the best indicator taxa for both the  
256 LGM and the last deglaciation, while Ascomycota was the best indicator taxa for the early Holocene (Fig. 7).

### 257 **3.4. Relationships between indicator species and climate**

258 Abrupt climate change during the large transitions from the LGM to the early Holocene contributed to changes  
259 in sea level, monsoon dynamics, precipitation patterns across the southeast Africa, and associated runoff of the  
260 Zambesi River that strongly affected the microbial ecosystem in Site U1477 sediments (Fig. 8). The LGM was  
261 followed by a rapid climate warming and deglaciation event initiated at 19 ka cal BP (Clark et al., 2009), with the  
262 corresponding sea level rise leading to current interglacial conditions. This transition was of crucial importance due  
263 to the occurrence of drastic climate oscillations over a short period. This was of great interest because the climate in  
264 the southern Hemisphere has undergone several distinct changes, such as the LGM, the last deglaciation, and the  
265 early Holocene with cold and warm phases. The three time windows are represented by the LGM, with the coldest  
266 climate and the lowest sea levels; the last deglaciation period, with moderate climate and sea levels; and the early  
267 Holocene, with a warm climate and high sea levels. However, these climate events were not linear, and included  
268 some very rapid climatic oscillations. Generally, Northern Hemisphere ice sheet boosting can initiate the southward  
269 displacement of the ITCZ, thereby contributing to increased precipitation in southeast Africa (Schefuß et al., 2011)  
270 and generating the maximum rainfall and Zambezi runoff (Moore et al., 2008). Consequently, after the early Holocene  
271 the record revealed stronger monsoon precipitation (Fig. 8a, c). Hence, the abrupt switch between different  
272 environmental stages may partially be attributed to a southward displacement of the ITCZ.

273 During the LGM, the increased runoff from the Zambesi River with higher precipitation, resulting in an increase  
274 in nutrients, may have contributed to the growth of Proteobacteria. However, Proteobacteria were absent or less  
275 abundant during the transition from the LGM to the last deglaciation; this was because of weak monsoons, which  
276 resulted in reduced mixing, and thus fewer nutrients. In contrast, at the onset of the LGM, Halobacterota and  
277 Basidiomycota were highly abundant, suggesting less nutrient availability with weak monsoons. To be precise, the  
278 genera *Oceanicaulis* and *Pseudomonas* (phylum Proteobacteria), the phylum Halobacterota, and the genus  
279 *Malassezia* (phylum Basidiomycota) are the best environmental indicator taxa (Fig. 8e–h). *Oceanicaulis* colonized  
280 marine environments (WoRMS, 2023a), while *Pseudomonas* inhabited diverse environments (marine, brackish,  
281 freshwater, and terrestrial environments) (WoRMS, 2023b) in oxic sediments (Hoshino et al., 2020). In particular,  
282 the wide distribution of *Pseudomonas* suggests a significant degree of physiological and genetic adaptability (Spiers  
283 et al., 2000). Although Halobacterota mainly inhabit high-salinity environments (Oren, 1994), the results showed that  
284 Halobacterota can be found under low-salinity conditions with high rainfall, which was consistent with the results of  
285 Kulp et al. (2007) and Genderjahn et al. (2018).

286 During the last deglaciation, Actinobacteriota and Thermoplasmata were identified as potential indicators for  
287 nutrient-limited conditions, which may be related to weak monsoons leading to weak mixing due to intensified upper-  
288 ocean stratification, despite the increased terrestrial input. Specifically, the genus *Promicromonospora* (phylum  
289 Actinobacteriota), the class Alphaproteobacteria (phylum Proteobacteria), the class Thermoplasmata (phylum  
290 Thermoplasmata), and the genus *Exobasidium* (phylum Basidiomycota) are the best environmental indicator taxa  
291 (Fig. 8e–h). Both *Promicromonospora* (WoRMS, 2023c) and Thermoplasmata (WoRMS, 2023d) colonized marine  
292 conditions with high terrestrial-derived organic matter contents (Fig. 8d, e and g), indicating a coastal distribution  
293 pattern. *Exobasidium* settled in terrestrial conditions (WoRMS, 2023e), followed by a lower sea level (Fig. 8b and  
294 h). The Alphaproteobacteria inhabited multiple environments (marine, brackish, freshwater, and terrestrial  
295 environments) (WoRMS, 2023f), possibly due to an adaptation to the rapid rise of sea levels. In addition,  
296 *Promicromonospora* and Thermoplasmata were found to be remarkable indicator taxa for the occurrence of increased  
297 runoff and high terrestrial-sourced organic matter contents.

298 In the early Holocene, the climate responded rapidly to the warmer conditions with a sea-level highstand, as seen  
299 in the increased abundance of Myxococcota, Crenarchaeota, and Ascomycota. During this period, the relatively weak  
300 monsoon was accompanied by less precipitation and the runoff decreased, resulting in a decrease in terrestrial-derived  
301 organic matter (Fig. 8a, c and d). The best environmental indicator species are the family Myxococcaceae (phylum  
302 Myxococcota), the class Bathyarchaeia (phylum Crenarchaeota), and the family Herpotrichiellaceae (phylum  
303 Ascomycota) (Fig. 8e, g and h). Myxococcaceae colonized the marine environment (WoRMS, 2023g) and  
304 Bathyarchaeia was prevalent within the anaerobic sediments with high marine-derived organic matter content  
305 (Hoshino et al., 2020; Romano et al., 2021), indicating anoxic conditions along the coasts in the early Holocene.  
306 Herpotrichiellaceae inhabited both marine and terrestrial environments (Index Fungorum Partnership, 2023),  
307 suggesting a marine–terrestrial transition with a higher sea level (Fig. 8b). Furthermore, Bathyarchaeia and  
308 Herpotrichiellaceae are found to be prominent indicator species for the occurrence of less runoff and high marine-  
309 sourced organic matter contents.

#### 310 **4. Conclusion**

311 This investigation on the temporal patterns of prokaryotic and fungal communities from the sediments at the  
312 offshore region of the Zambezi River in the southwest Indian Ocean reveals that the phyla Proteobacteria and  
313 Bacteroidota were the most abundant taxa for bacterial communities, while the phyla Crenarchaeota and

314 Asgardarchaeota were the most abundant taxa for archaeal communities during the transition from late glaci-  
315 the Holocene. With respect to fungi, the phyla Ascomycota and Basidiomycota dominated in abundance across the  
316 core. The findings of this study suggested that there were distinct temporal distribution patterns of prokaryotic and  
317 fungal indicator taxa, which were mainly impacted by climatic transitions. These findings add to the growing  
318 consensus that the regional oceanic conditions were highly sensitive to the monsoon. In particular, nutrient  
319 availability plays an important role in regulating the temporal variations of prokaryotic and fungal communities.

320 Although there are limitations in chronology, this study provides baseline data on microbial dynamics and may  
321 benefit the further reconstruction and prediction of microbes in southeast Africa in the framework of climate change.  
322 Future investigations will need to consider modern samples and more comprehensive methods (such as metagenomics,  
323 metatranscriptome, and metaproteome techniques) to confirm the present findings. Such integrated research will  
324 provide new insights on the temporal evolution of prokaryotic and eukaryotic communities from marine sediments  
325 and their responses to climate change in depth.

326

#### 327 **CRedit authorship contribution statement**

328 **Hucai Zhang:** Conceptualization, Methodology, Funding Acquisition, Supervision, Validation, Writing - review  
329 & editing; **Haoyu Li:** Funding Acquisition, Software, Data acquisition, Data curation, Visualization, Writing -  
330 original draft; **Huayong Li, Lizeng Duan, Xiaonan Zhang:** Data acquisition; **Ian R. Hall, Sidney R. Hemming,**  
331 **Leah J. LeVay:** Review & editing.

#### 332 **Declaration of competing interests**

333 None declared.

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339 **Appendix A. Supplementary data**

340 Additional Supporting Information may be found in the online version of this article.

341

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498 **Fig. 1.** Location map of Site U1477 (adapted from Hall et al., 2017). The main surface currents in the southwest  
499 Indian Ocean are indicated by yellow and orange arrows. Black double-headed arrows and dashed lines indicate the  
500 main pathways of atmospheric circulation over southern Africa during austral summer (December, January, and  
501 February), alongside the approximate position of the ITCZ and CAB. Purple shading indicates the Zambezi  
502 Catchment and green shading the Limpopo Catchment. ITCZ, Intertropical Convergence Zone; CAB, Congo Air  
503 Boundary; EACC, East Africa Coastal Current; SEC, South Equatorial Current; NEMC, Northeast Madagascar  
504 Current; SEMC, Southeast Madagascar Current; MC, Mozambique Channel; AC, Agulhas Current.

505 **Fig. 2.** Temporal trends of the top 15 phyla and genera based on the relative abundance ( $\geq 0.01\%$ ) of the prokaryotic  
506 community composition (bacteria and archaea) at the phylum (a) and genus (b) levels. The data were averaged across  
507 three replications for each sample. LGM, last glacial maximum.

508 **Fig. 3.** Temporal trends of the relative abundance ( $\geq 0.01\%$ ) of fungal community composition at the class (a) and  
509 genus (b) level. The data were averaged across three replications for each sample. LGM, last glacial maximum.

510 **Fig. 4.** Variation patterns of microbial diversity at the OTU level for all samples. Prokaryotic (a–c) and fungal (d–f)  
511 communities. ES, environmental stage. Different letters in (a–c) indicate significant differences ( $p < 0.05$ ). LGM,  
512 last glacial maximum.

513 **Fig. 5.** Temporal variations in microbial communities across the three time windows. (a) Non-metric  
514 multidimensional scaling (NMDS) using all 1,609 OTUs across all samples for prokaryotic communities (bacteria  
515 and archaea). (b) NMDS using all 282 OTUs across all samples for micro-eukaryotic communities (fungi). Box plots  
516 showing the dissimilarity of prokaryotic communities (c) and fungal communities (d) estimated using analysis of  
517 similarities (ANOSIM). Samples are color-coded according to three time windows based on our dataset. Ellipses  
518 show the variability of each time window with 95% confidence intervals. Between represents the difference among  
519 the compositions of the three period samples. LGM, last glacial maximum.

520 **Fig. 6.** Relationships between the weighted average scores of species (the operational taxonomic units, OTUs) for  
521 ordination configuration from the nonmetric multidimensional scaling (NMDS) analysis and indicator values (IVs)  
522 of component “A” from the indicator species analysis (ISA). Bacteria (a–b) and archaea (c–d) are shown. OTUs with  
523 the highest scores and IVs (the best environmental indicator species) are labeled in the panels. LGM, last glacial  
524 maximum.

525 **Fig. 7.** Relationships between the weighted average scores of species (the operational taxonomic units, OTUs) for  
526 ordination configuration from the nonmetric multidimensional scaling (NMDS) analysis and indicator values (IVs)  
527 of component “A” from the indicator species analysis (ISA). Fungi are shown (a–b). OTUs with the highest scores  
528 and IVs (the best environmental indicator species) are labeled in the panels. LGM, last glacial maximum.

529 **Fig. 8.** Comparison of paleo-environmental and thermal changes in southeast Africa. (a)  $\delta^{18}\text{O}_{\text{sw}}$  record used as a  
530 proxy for monsoon changes over southern Africa (Ma et al., 2021). (b) Red Sea relative sea level (RSL) (black line)  
531 and global RSL (red line) records from Grant et al. (2014) and Waelbroeck et al. (2002), respectively. (c) Ba/Ca as a  
532 proxy for the strength of the Zambezi runoff (Weldeab et al., 2014), and rainfall data from Khon et al. (2014). (d)  
533 BIT index used as a proxy for indicating the riverine transport of soil organic matter (Kasper et al., 2015). (e–h)  
534 Normalized relative abundance of selected highly relevant environmental indicator species for the three  
535 environmental stages. Cyan shaded areas indicate the peaks in abundance of selected environmental indicator species.  
536 LGM, last glacial maximum.

537 **Table 1.** Radiocarbon ages from core 1477B.