# Deep Bi-directional Attention Network for Image Super-Resolution Quality Assessment

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Abstract—There has emerged a growing interest in exploring efficient quality assessment algorithms for image super-resolution (SR). However, employing deep learning techniques, especially dual-branch algorithms, to automatically evaluate the visual quality of SR images remains challenging. Existing SR image quality assessment (IQA) metrics based on two-stream networks lack interactions between branches. To address this, we propose a novel full-reference IQA (FR-IQA) method for SR images. Specifically, producing SR images and evaluating how close the SR images are to the corresponding HR references are separate processes. Based on this consideration, we construct a deep Bidirectional Attention Network (BiAtten-Net) that dynamically deepens visual attention to distortions in both processes, which aligns well with the human visual system (HVS). Experiments on public SR quality databases demonstrate the superiority of our proposed BiAtten-Net over state-of-the-art quality assessment methods. In addition, the visualization results and ablation study show the effectiveness of bi-directional attention.

Index Terms—Image super-resolution, quality assessment, bidirectional attention, human visual system

### I. INTRODUCTION

Image super-resolution (SR) aims to reconstruct SR images from the input low-resolution (LR) images. Early image SR methods were based on interpolation, such as bicubic, cubic spline interpolation [1], and adaptive structure kernels [2]. Later, compressed sensing was applied to image SR, leading to many SR algorithms on the basis of sparse coding [3]. Recently, with the rapid development of deep learning, there has been a shift towards designing deep learning based image SR frameworks, including convolutional neural network (CNN) based methods [4], generative adversarial neural network (GAN) based methods [5], and attention based models [6]. However, image SR is highly ill-posed, as the input LR image can be zoomed into SR results with various quality scores by different SR algorithms and upsampling factors. Therefore, the accurate quality evaluation of SR images is a vital but challenging problem.

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In general, image quality assessment (IQA) methods can be categorized into full-reference (FR), reduced-reference (RR), and no-reference (NR) IQA methods according to the presence or full/partial absence of reference information. The simplest FR-IQA method is peak signal-to-noise ratio (PSNR) or mean square error (MSE). However, they only compute pixel differences by signal fidelity. Based on the characteristics of the human visual system (HVS), Wang et al. proposed the structural similarity (SSIM) [7], which serves as the basis for many other metrics. These include the multi-scale SSIM (MS-SSIM) [8], the complex wavelet SSIM (CW-SSIM) [9], the gradient magnitude similarity deviation (GMSD) [10], IQA using Kernel Sparse Coding (KSCM) [11], etc. However, these classical IQA methods are all designed for natural images instead of the SR scenario.

Since SR images meet unique distortions that are different from conventional natural images, some hand-crafted FR-IQA methods developed for SR images have been proposed. To be specific, these methods consist of the structure-texture decomposition based algorithm, namely SIS [12], and the structural fidelity versus statistical naturalness (SFSN) method [13], as well as the deterministic and statistical fidelity method called SRIF [14]. Basically, they analyze the statistical characteristics of various SR images from a separate twodimensional perspective. Although the predicted results of both dimensions are finally combined by weighting strategies, they generally lack the information interactions between the quality dimensions. Besides, deep learning based IQA models for SR images are mostly designed as NR-IQA methods. DeepSRQ [15] built a two-stream CNN to obtain the structural and texture features separately. HLSRIQA [16] developed a deep learning based NR-IQA method by the high-frequency

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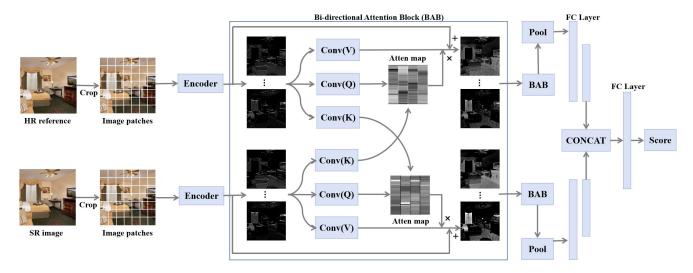


Fig. 1. The overall framework of the proposed BiAtten-Net. **Encoder** indicates the stacking of a convolution layer, a batch normalization layer, and the activation function (ReLU). **Conv** refers to convolution layer, and the kernel size of all Convs is  $3 \times 3$ . **Pool** represents the adaptive average pooling layer, and **Atten map** is the attention map. + refers to Shortcut, which directly adds the inputs of BAB to the end of the block.

and low-frequency maps of SR images. EK-SR-IQA [17] predicted SR image quality by leveraging a semi-supervised knowledge distillation strategy.

On the contrary, existing deep learning based FR-IQA methods are designed for general image distortions on traditional IQA databases (e.g., LIVE [18] and TID2013 [19]) rather than specific SR artifacts. For example, MGCN [20] proposed the mask gated convolutional network for evaluating the image quality and identifying distortions simultaneously. WaDIQaM [21] proposed joint learning of local quality and local weights. LPIPS [22] calculated the distance of features extracted from the pre-trained networks between the reference and distorted images. AHIQ [23] utilized a two-stream network to extract the feature from both vision transformer [24] and CNN branches. However, to the best of our knowledge, there are no deep learning based FR-IQA methods focusing on SR images.

Given that paying visual attention to artifacts of SR images aligns with the HVS, many works enhanced IQA's ability to capture quality degradations of local artifacts or dominant distorted regions by weighting attention maps. For example, JCSAN [25] proposed a dual-branch based network to capture perceptual distortions based on joint channel-spatial attention. TADSRNet [26] constructed a triple attention mechanism to acquire more significant portions of SR images. However, these attention-based methods lack interactions between individual branches (i.e., channel and spatial). Additionally, these methods only consider visual attention towards SR images and lack interactions with HR references.

To address the above-mentioned problems, we propose a deep Bi-directional Attention Network (BiAtten-Net). The main contributions of this work are summarized as follows:

1) Motivated by the properties of the HVS, we introduce the first deep learning based FR-IQA method (i.e., BiAtten-Net), which is specifically designed for SR images.

2) We propose a bi-directional attention mechanism that can dynamically simulate the processes of SR images approximating HR references and vice versa. This approach directly provides visual attention to distortions, thereby predicting quality scores that are more in line with the HVS.

3) Our method outperforms state-of-the-arts, especially achieving significant improvements over other FR-IQA methods regarding both natural and SR scenarios. Additionally, the visualization results and ablation study demonstrate the importance of bi-directional attention.

### **II. PROPOSED METHOD**

Current dual-branch based IQA methods for SR images lack interactions between sub-branches (e.g., structure and texture). Considering that the distortion arises from generating SR images from downsampled HR references, we dynamically simulate this process by approximating HR references to SR images in the branch with the HR reference as input. Furthermore, human subjects evaluate the perceptual quality of SR images by assessing the level to which the SR images approximate HR references. Therefore, we use the branch with the SR image as input for approaching SR images to HR references, which simulates the process of subjective quality assessment and thus is more consistent with the HVS. In this way, we effectively enhance visual attention to distortions by dynamically imitating the interactions of transforming HR references into SR images and vice versa.

Recently, the attention mechanism has been widely adopted in Transformer models [24] as follows:

$$Q = XW^{Q},$$

$$K = XW^{K},$$

$$V = XW^{V},$$

$$D = Var(QK^{T}).$$
(1)

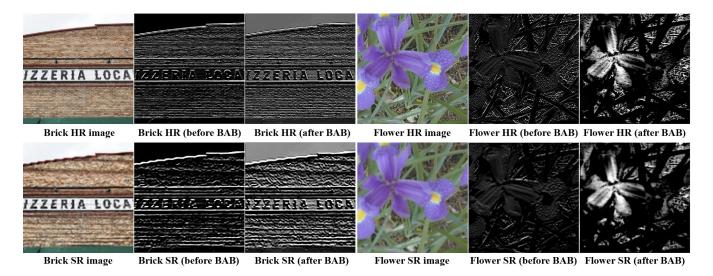


Fig. 2. Visualization comparisons of feature maps regarding the proposed bi-directional attention block. Brick HR image and Flower HR image are HR references. Brick SR image and Flower SR image are SR images. The remaining images are feature maps before and after BAB in two branches.

Due to the attention mechanism being obtained by calculating the dot product between Q, K, and V, all three matrices need to be square matrices. Given an input image X of shape  $M \times M$ , we can obtain  $Q(Query) \in \mathbb{R}^{M \times M}$ ,  $K(Key) \in \mathbb{R}^{M \times M}$ , and  $V(Value) \in \mathbb{R}^{M \times M}$  matrices through linear transformations  $W_Q$ ,  $W_K$ , and  $W_V$ . The linear transformations are typically fully connected linear layers. D is the variance of the dot product of Q and K. Afterward, the attention score is computed by taking the dot product of Q and K, followed by normalization using standard deviation and the Softmax function:

Attention
$$(Q, K, V) = \text{Softmax}\left(\frac{QK^{\top}}{\sqrt{D}}\right) V.$$
 (2)

Here, the attention score reflects the similarity between each pixel and the other pixels in the image X, thereby achieving effective visual attention for the image. Inspired by the attention mechanism, we develop our BiAtten-Net, as shown in Fig. 1. A two-stream network is exploited to extract features from HR reference and SR image, while gradually enhancing information interactions and directing visual attention to distortions through the proposed Bi-directional Attention Block (BAB). These features are then combined from both branches to predict the final visual quality score.

Specifically, given a pair of input images (i.e., SR image and the corresponding HR reference), we first crop the images into overlapping patches. Following the settings of [15], the patch size is set to  $32 \times 32$  in our experiments. We then employ a stacking of single convolution layer with batch normalization layer (BN) and activation function (ReLU) to preliminarily extract the features of image patches. After that, the feature maps of these image patches are fed into the proposed BAB. We calculate the Q, K, and V matrices for both branches separately:

$$Q^{HR}, K^{HR}, V^{HR} = Conv(X^{HR}),$$

$$Q^{SR}, K^{SR}, V^{SR} = Conv(X^{SR}),$$

$$D^{HR} = Var(Q^{HR}(K^{SR})^{T}),$$

$$D^{SR} = Var(Q^{SR}(K^{HR})^{T}),$$
(3)

where  $X^{SR} \in \mathbb{R}^{M \times M}$  and  $X^{HR} \in \mathbb{R}^{M \times M}$  represent the input feature maps to BAB.  $D^{HR}$  and  $D^{SR}$  are the variance of the dot product of  $Q^{HR}$ ,  $K^{SR}$  and  $Q^{SR}$ ,  $K^{HR}$ , respectively. Considering that the learning capacity of linear layers is limited, we employ convolutional layers rather than linear layers to obtain the Q, K, and V matrices. The  $K^{HR}$  and  $K^{SR}$  matrices of the two branches are exchanged to calculate the attention maps as:

$$\begin{aligned} \text{Attention}^{\text{HR}}(Q^{HR}, K^{SR}, V^{HR}) \\ &= \text{Softmax} \left( \frac{Q^{HR} K^{SR^{\top}}}{\sqrt{D^{HR}}} \right) V^{HR}, \\ \text{Attention}^{\text{SR}}(Q^{SR}, K^{HR}, V^{SR}) \\ &= \text{Softmax} \left( \frac{Q^{SR} K^{HR^{\top}}}{\sqrt{D^{SR}}} \right) V^{SR}. \end{aligned}$$
(4)

By swapping  $K^{SR}$  with  $K^{HR}$ , the resulting attention maps calculate the pixel-level similarity between the current branch features and the features from the other branch. In this way, the attention maps directly deepen the visual attention on subtle differing pixels (i.e., distortions). Taking into account that the Identity Shortcut [27] has been proven to effectively alleviate model overfitting issues, we use the Shortcut as the main architecture. After passing through two BABs, the feature maps of both branches are flattened and concatenated through fully connected layers to enhance information interactions, ultimately obtaining perceptual quality predictions.

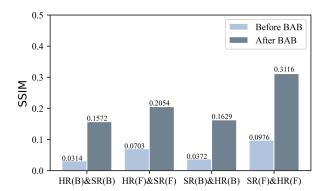


Fig. 3. Similarity of " $X_1 \& X_2$ ", where  $X_1$  is initial HR reference or SR image,  $X_2$  is the feature map before or after BAB. (*B*) and (*F*) denote "Brick" and "Flower", respectively. The higher the SSIM, the more similar the two images are.

To intuitively demonstrate that the proposed method effectively enhances the visual attention to distortions, we visualize the intermediate feature maps of the two branches separately, as illustrated in Fig. 2. It can be observed that the distortions between HR references and SR images are concentrated in the appearances of bricks in "Brick SR image" and flowers in "Flower SR image". Compared to the representations of distortions in feature maps before BAB, the distorted patterns after BAB are noticeably clearer. Moreover, the details of distortions are significantly increased, indicating that our proposed bi-directional attention can effectively enhance the visual attention to distortions.

It is interesting to further illustrate the effectiveness of BAB. As mentioned earlier, the two branches simulate the processes of generating and evaluating distortions in SR images respectively. Therefore, in an ideal scenario, by continuous information interactions between branches, the feature maps of HR references can gradually approximate SR images, and vice versa. During the iterative learning, if the feature maps of SR images and HR references show significant improvement regarding similarity computation, the network can effectively simulate the processes of distortion generation and quality assessment, enabling a more comprehensive assessment of distortion level. Here, we calculate the similarity (e.g., SSIM) between the feature maps of the two branches before and after BAB, as shown in Fig. 3. The SSIM is used to reflect the level of approximation. It can be seen that the feature maps of the SR image are significantly improved in SSIM with the HR reference after getting visual attention from the BAB. Additionally, the feature maps of the HR reference also exhibit a significant SSIM improvement with the SR image after the BAB. This indicates our method dynamically pays visual attention to distortions as the "HR" and "SR" transform into each other.

# III. VALIDATION

# A. Experimental Protocols

We conduct experiments on QADS [12] and CVIU [28] databases. The QADS database contains 20 original HR references and 980 SR images created by 21 SR algorithms, including 4 interpolation-based, 11 dictionary-based, and 6 DNN-based SR models, with upsampling factors equaling 2, 3, and 4. Each SR image is associated with the mean opinion score (MOS) of 100 subjects. In the CVIU database, 1620 SR images are produced by 9 SR approaches from 30 HR references. Six pairs of scaling factors and kernel widths are adopted, where a larger subsampling factor corresponds to a larger blur kernel width. Each image is rated by 50 subjects, and the mean of the median 40 scores is calculated for each image as the MOS.

The QADS and CVIU databases are randomly divided into non-overlapping 80% and 20% sets, with 80% of the data used for training and the remaining 20% for testing. We train on QADS and CVIU training sets for 500 epochs and 300 epochs, respectively. These epochs were chosen based on experimental experience to ensure sufficient convergence of the network while avoiding overfitting caused by excessive training. We use L1-loss to measure the difference between predicted scores and MOSs. The optimizer used is stochastic gradient descent (SGD), with an initial learning rate of 0.01, momentum of 0.9, and weight decay setting to  $10^{-6}$ .

We adopt four commonly used evaluation criteria to compare performance, including Spearman rank-order correlation coefficient (SRCC), Kendall rank-order correlation coefficient (KRCC), Pearson linear correlation coefficient (PLCC), and root mean square error (RMSE). SRCC and PLCC/RMSE are employed to assess the monotonicity and accuracy of predictions, respectively. KRCC is used to measure the ordinal association between two measured quantities. An ideal quality metric would have SRCC, KRCC, and PLCC values close to one, and RMSE close to zero. It should be noted that a five-parameter nonlinear fitting process [14] is applied to map the predicted qualities into a standardized scale of subjective quality labels before calculating PLCC and RMSE.

#### B. Performance Comparisons

To validate the proposed method, we compare it with state-of-the-art FR-IQA, NR-IQA, and SR IQA methods. FR-IQA methods include PSNR, SSIM [7], MS-SSIM [8], CW-SSIM [9], GMSD [10], WaDIQaM [21], and LPIPS [22]. NR-IQA methods consist of the NIQE [29], LPSI [30], MetaIQA [31], and HyperIQA [32]. Among them, WaDIQaM, LPIPS, MetaIQA, and HyperIQA are deep learning based models. SR IQA methods contain SIS [12], SFSN [13], SRIF [14], DeepSRQ [15], HLSRIQA [16], EK-SR-IQA [17], JCSAN [25], and TADSRNet [26]. Besides, DeepSRQ, HLSRIQA, EK-SR-IQA, JCSAN and TADSRNet are deep learning based methods.

The comparison results are shown in Table I. In general, deep learning based methods have better performance, and

 TABLE I

 Performance comparisons on QADS [12] and CVIU [28] quality databases, where the best performance values of FR and NR are in red and blue, respectively.

		QADS				CVIU			
Types	Methods	SRCC	KRCC	PLCC	RMSE	SRCC	KRCC	PLCC	RMSE
FR-IQA	PSNR SSIM [7] MS-SSIM [8] CW-SSIM [9] GMSD [10] WaDIQaM [21] LPIPS [22]	0.354 0.529 0.717 0.326 0.765 0.871 0.881	0.244 0.369 0.530 0.228 0.569 -	$\begin{array}{c} 0.390 \\ 0.533 \\ 0.724 \\ 0.379 \\ 0.775 \\ 0.887 \\ 0.873 \end{array}$	$\begin{array}{c} 0.253\\ 0.233\\ 0.190\\ 0.254\\ 0.174\\ 0.128\\ 0.129 \end{array}$	$\begin{array}{c} 0.566 \\ 0.629 \\ 0.805 \\ 0.759 \\ 0.847 \\ 0.872 \\ 0.849 \end{array}$	0.394 0.443 0.601 0.541 0.650 -	$\begin{array}{c} 0.578 \\ 0.650 \\ 0.811 \\ 0.754 \\ 0.850 \\ 0.886 \\ 0.852 \end{array}$	$\begin{array}{c} 1.962 \\ 1.828 \\ 1.405 \\ 1.579 \\ 1.267 \\ 1.304 \\ 1.313 \end{array}$
NR-IQA	NIQE [29] LPSI [30] MetaIQA [31] HyperIQA [32]	$\begin{array}{c c} 0.398 \\ 0.408 \\ 0.826 \\ 0.954 \end{array}$	0.279 0.289 0.815	$\begin{array}{c} 0.404 \\ 0.422 \\ 0.790 \\ 0.957 \end{array}$	$\begin{array}{c} 0.251 \\ 0.249 \\ 0.178 \\ 0.099 \end{array}$	0.653 0.488 0.720 0.933	0.478 0.350 0.772	$\begin{array}{c} 0.666 \\ 0.537 \\ 0.746 \\ 0.928 \end{array}$	1.794 2.027 1.718 1.017
SR NR-IQA	DeepSRQ [15] HLSRIQA [16] EK-SR-IQA [17] JCSAN [25] TADSRNet [26]	0.953 0.961 0.963 0.971 0.972	0.829 0.858 0.862	0.956 0.950 0.966 0.973 0.974	0.077 0.741 0.065 0.067	0.921 0.948 0.953 0.949 0.952	0.810 0.808 0.812	0.927 0.948 0.951 0.957 0.959	0.904 0.775 0.777 0.797
SR FR-IQA	SIS [12] SFSN [13] SRIF [14] Proposed BiAtten-Net	0.913 0.841 0.916 0.981	0.740 0.655 0.746 0.895	0.914 0.845 0.917 0.982	0.112 0.147 0.109 0.055	0.869 0.871 0.886 0.972	0.686 0.680 0.704 0.862	0.897 0.885 0.902 0.976	1.061 1.120 1.039 0.515

the performance of FR-IQA and NR-IQA methods is basically inferior to SR IQA methods, indicating that traditional IQA methods cannot cover diverse artifacts of SR images. Among SR IQA methods, FR methods (i.e., SIS, SFSN, SRIF) are limited to shallow features and cannot fully utilize the hidden information in reference images, causing significant gaps compared to those deep learning based methods. In addition, our proposed BiAtten-Net achieves greater information interactions between branches and directly pays visual attention to distortions, which effectively exploits the deep features of the reference image. Therefore, the proposed method achieves the best performance on both QADS and CVIU databases.

## C. Ablation Study

To verify the effectiveness of bidirectional information interactions in BAB, we conduct an ablation study on the interactive modes of visual attention. Specifically, we validate the following scenarios: without using BAB (i.e., separately applying the attention in Eq. 1 to SR image and HR reference branches); adding the attention information from the SR image branch to the HR reference branch while applying the attention in Eq. 1 to the SR image branch (i.e., SR to HR); and adding the attention information from the HR reference branch to the SR image branch while applying the attention in Eq. 1 to the HR reference branch (i.e., HR to SR). The experimental results find out that the model without BAB has the worst performance on both databases, and even adding one-way attention information interaction can significantly improve performance. In addition, the performance improvement brought by BAB is particularly significant in KRCC and RMSE on both databases. Ultimately, the model using BAB achieves the best performance, indicating that our proposed BAB effectively enhances the network's learning ability.

TABLE IIAblation study of bi-directional attention. HR to SR and SRto HR represent one-way attentional information interaction,where the attention maps for both branches are calculatedusing K matrix from the other branch.

		QADS		I		CVIU		
Models	SRCC	KRCC	PLCC	RMSE	SRCC	KRCC	PLCC	RMSE
w/o BAB HR to SR SR to HR with BAB	0.936 0.957 0.955 0.981	0.795 0.840 0.834 0.895	0.937 0.958 0.957 0.982	0.103 0.079 0.082 0.055	0.942 0.970 0.960 0.972	0.808 0.853 0.829 0.862	0.954 0.971 0.960 0.976	0.815 0.706 0.763 0.515

# IV. CONCLUSION

In this paper, we are the first to propose a deep learning based FR-IQA method specifically designed for SR images. Inspired by the characteristics of the HVS, we introduce bidirectional attention tailored for SR images. This pioneers a new model for learning distortions in SR images through the interactions of bi-directional information between SR images and the corresponding HR references. Experimental results demonstrate that our proposed BiAtten-Net effectively provides visual attention to SR distortions and surpasses existing state-of-the-art quality assessment methods. The codes are publicly available at https://github.com/Lighting-YXLI/BiAtten-Net.

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