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1                   Lifetime incidence and healthcare  
2                   disparities in alopecia areata: A UK  
3                   population–based cohort study  
4  
5  
6

7   **Runing head:** Incidence and healthcare disparities in alopecia areata  
8

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28

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46

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48 AT has no competing interest to declare. CT is a principal and (national) chief

49 investigator on the Pfizer-funded ALLEGRO clinical trials in alopecia areata. CT provides

50 consulting services to Pfizer; and has received speaker fees from Leo Pharma.

51 JN, RR and MC are employees and shareholders of Pfizer Ltd.

52 AM provides consultancy for Pfizer and for Manentia.

53

#### 54 **Data availability statement**

55 Data sharing statement: The RCGP RSC dataset is held securely at Oxford University and

56 can be accessed by bone fide researchers. Approval is on a project-by-project basis

57 ([www.rcgp.org.uk/rsc](http://www.rcgp.org.uk/rsc)). Ethical approval by an NHS Research Ethics Committee may be

58 needed before any data release/other appropriate approval. Researchers wishing to

59 directly analyse the patient-level pseudonymised data will be required to complete

60 information governance training and work on the data from university secure servers.

61 Patient-level data cannot be taken out of the secure network.

62

#### 63 **Ethics statement**

64 Ethics statement: "Study approval was granted by the Royal College of General

65 Practitioners Research and Surveillance Centre research committee. The study did not

66 meet the requirements for formal ethics board review as defined using the National

67 Health Service Health Research Authority research decision tool (<http://www.hra> -

68 [decisiontools.org.uk/research](http://decisiontools.org.uk/research)).

69

#### 70 **What is already known about this topic?**

71 • Alopecia areata is an immune mediated form of hair loss, which can occur at any  
72 age.

73 • Alopecia areata can be a distressing condition and is associated with a high  
74 burden of mental health comorbidity.

75 • Although it is known that incidence of alopecia areata peaks in young adults, how  
76 lifetime incidence and impact of alopecia areata varies by sex, ethnicity, and  
77 socio-economic status has not previously been described.

78

79

80 **What does this study add?**

81 • Overall lifetime incidence of alopecia areata in the UK is 2.1%, with marked  
82 variation across ethnic groups, with people of Asian ethnicity having the greatest  
83 risk (5.9%).

84 • Lifetime risk of alopecia areata is also higher for people from more deprived and  
85 urban areas.

86 • The impact of alopecia areata differs by ethnic group, with people of black  
87 ethnicity experiencing the greatest burden of anxiety and time off work.

88

89 **Plain Language Summary**

90

91 **The risk and impact of alopecia areata varies across ethnic groups**

92

93 Alopecia areata is a form of hair loss that involves the immune system. It can occur at  
94 any age but most often occurs in young adults. Alopecia areata can be an unpredictable  
95 and distressing condition. People with alopecia areata have a higher risk of mental  
96 health conditions. We wanted to investigate how the impacts of alopecia areata may  
97 vary between groups.

98

99 We used electronic medical records from general practices in the UK from 2009 to  
100 2018. We investigated how many people developed alopecia areata and looked at what  
101 age they were when diagnosed. We compared the risk of alopecia areata between  
102 females and males. We also compared the risk between different ethnic groups, and  
103 between areas in which people lived.

104

105 We found around 2 in every 100 people will develop alopecia areata across their  
106 lifetime. The risk of alopecia was different across ethnic groups. Those from the Asian  
107 ethnic group had the greatest risk with nearly 6 cases per 100 people. This compared to  
108 less than 2 cases per 100 people for the white ethnic group. Those people living in more  
109 deprived or urban areas were also at higher risk of alopecia areata.

110

111 The impact of having alopecia areata also differed with ethnic groups. We compared  
112 people with and without alopecia areata from each ethnic group. People from the black  
113 ethnic group with alopecia areata were most likely to have anxiety. They were also most  
114 likely to have time off work certificates issued by their doctor.

115

116 Both the risk and impact of alopecia areata varies across the population of the UK. This  
117 information should help clinicians target support towards those with the greatest  
118 burden.

119

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121 **Study title:** Lifetime incidence and healthcare disparities in alopecia areata: A UK  
122 population-based cohort study  
123 Authors: Andrew R. Thompson, Christos Tziotzios, John Nesnas, Rowena Randall, Maciej  
124 Czachorowski, Andrew Messenger

125  
126 **Keywords:** Incidence, Alopecia Areata, mental health, psychological conditions,  
127 anxiety, depression, primary care, healthcare utilisation, work, employment.

128  
129 The study protocol for this retrospective observational study was registered with  
130 ClinicalTrials.gov (Identifier: NCT05727306).

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133 statistical support was provided by Momentum Data, UK, and was funded by Pfizer. This  
134 summary is related to an approved indication of a Pfizer product and reports the results  
135 of a single study. The results of this study may differ from those of other studies. Health  
136 professionals should make treatment decisions based on all available evidence, not on  
137 the results of a single study.

138

## 139 Abstract

140

### 141 **Background:**

142 Alopecia areata (AA) is an immune-mediated form of hair loss that can occur at any age,  
143 often with a significant mental health burden.

### 144 **Objectives:**

145 We aimed to provide estimates of the lifetime incidence of AA, and the impacts on  
146 mental health, healthcare utilisation and work-related outcomes, assessing variation  
147 across major sociodemographic subgroups.

### 148 **Methods:**

149 AA cases were identified in primary care from the UK population-based Oxford-Royal  
150 College of General Practitioners Research and Surveillance Centre database (2009-  
151 2018). Lifetime incidence of AA was estimated at age 80 using modified time-to-event  
152 models with age as the timescale, overall and stratified by sex, ethnicity, deprivation,  
153 and geography. Mental health, healthcare utilisation and work-related outcomes were  
154 assessed in the two years after AA diagnosis compared to matched unaffected controls,  
155 and stratified by the same sociodemographic subgroups .

### 156 **Results:**

157 6,961 people developed AA during the study period. Overall lifetime incidence of AA  
158 was 2.11% (95% Confidence Interval [CI] 2.06, 2.16%). Females had a higher lifetime  
159 incidence 2.35% (95%CI 2.28, 2.43%) than males 1.88% (95%CI 1.81, 1.94%). Lifetime  
160 incidence was higher in those of Asian ethnicity 5.87% (95%CI 5.51, 6.24), other 4.47%  
161 (95%CI 3.63, 5.31), mixed 4.44% (95%CI 3.50, 5.37) and black 3.03% (95%CI 2.63,  
162 3.42) ethnicity, compared to white ethnicity 1.74% (95%CI 1.68, 1.80). Lifetime



163 incidence was highest in those with the greatest deprivation; most-deprived quintile  
164 2.92% (95%CI 2.77, 3.07%) compared to least-deprived 1.68% (95%CI 1.59, 1.78%).  
165 Across sociodemographic subgroups, people with AA of black ethnicity were most likely  
166 to have anxiety (adjusted Odds Ratio versus matched controls 2.92, 95%CI 1.71, 4.91),  
167 and had the greatest risk of time off work (adjusted Hazard Ratio versus matched  
168 controls 2.54, 95%CI 1.80, 3.56).

169 **Conclusions:**

170 AA affects around 1 in 50 people over their lifetime. Incidence and the impact of AA on  
171 mental health and work outcomes, is highest in ethnic groups other than white.

172 Clinicians should be aware of the marked heterogeneity in the incidence and impact of  
173 AA, and support targeted healthcare to groups at the highest risk of alopecia and its  
174 consequences.

175

176 The study protocol for this retrospective observational study was registered with  
177 ClinicalTrials.gov (Identifier: NCT05727306).

178

179 **Words:** 350 (Max 350)

## 180 Introduction

181 Alopecia areata (AA) is a non-scarring, immune-mediated form of hair loss. AA can  
182 occur at any age, although incidence peaks in young adults.<sup>1, 2</sup> UK data suggests a point  
183 prevalence of 0.58% in adults, and an annual incidence of 0.26 per 1,000 person years.<sup>1</sup>  
184 The lifetime incidence of developing AA has been estimated at 2.1%,<sup>3</sup> although this was  
185 based on one relatively small (n=530) population. Variation in AA lifetime incidence by  
186 sex, ethnicity, and deprivation has not been previously described and may be important  
187 given the recently observed differences in prevalence of AA across ethnic groups.<sup>4</sup>

188

189 AA can be a distressing condition, especially as prognosis is unpredictable and relapse is  
190 common.<sup>5</sup> People with AA experience a high burden of mental health conditions,<sup>6-10</sup>  
191 including a 33% greater risk for new-onset anxiety and 38% greater risk for  
192 depression.<sup>11</sup> Furthermore, nearly 80% of people with AA report impacts on their  
193 quality of life<sup>8</sup> across a range of different measures,<sup>12-15</sup> and those with AA are 56%  
194 more likely to have time off work and 82% more likely to be unemployed.<sup>11</sup> Although  
195 people with AA from lower socioeconomic groups are more likely to attend primary  
196 care, they are less likely to be referred to specialist dermatology services.<sup>1</sup> To our  
197 knowledge no previous study has provided a comprehensive evaluation of variation in  
198 the impact of AA by age and major sociodemographic factors.

199

200 In a large-scale UK population-based study, we aimed to provide contemporary  
201 estimates of lifetime incidence of AA. We also sought to identify any sociodemographic  
202 disparities in lifetime incidence, and the wider impacts and healthcare burden of AA.

## 203 **Methods**

204

### 205 **Study design**

206 The study protocol for this retrospective observational study was registered *a priori*  
207 with ClinicalTrials.gov (Identifier: NCT05727306). Routinely collected, population-  
208 based primary care data were extracted from the UK Oxford-Royal College of General  
209 Practitioners (RCGP) Research and Surveillance Centre (RSC) database (registered  
210 population 2.6 million), which is representative of the national population.<sup>16 1, 17, 18</sup>

211

### 212 **Study population**

213 All adults and children registered in the Oxford-RCGP RCS between January 1, 2009 and  
214 December 31, 2018, with at least 1 day of follow-up, and without a diagnosis of AA prior  
215 to the study start date, were eligible for inclusion (excluding 1.8% who have opted out  
216 of record sharing).

217

### 218 **Definition of sociodemographic characteristics**

219 Sociodemographic data comprised of age, sex, ethnicity, socioeconomic status (SES),  
220 geographic area and region. Ethnicity was grouped using standard UK groups: 'white'  
221 (Irish, Gypsy or Irish Traveller, Roma, other white), 'black' (black British, black Welsh,  
222 Caribbean, African, other black), 'Asian' (Asian, Asian British, Asian Welsh, Bangladeshi,  
223 Chinese, Indian, Pakistani, other Asian), 'mixed or other' (mixed, Arab, any other ethnic  
224 group).<sup>19</sup> SES was derived from patient postcode and defined using Index of Multiple  
225 deprivation (IMD)<sup>20</sup> stratified into quintiles of deprivation. Geographic area  
226 (urban/rural) and English region (London, South East, South West, West Midlands,

227 North West, North East, Yorkshire and the Humber, East Midlands, and East of England)  
228 were defined from patient postcode.

229

### 230 AA case definition

231 Individuals newly diagnosed with AA (AA cases), either by a GP in primary care or by a  
232 dermatologist following referral, were defined by the presence of a first ever AA specific  
233 Read code and no diagnosis codes for an alopecia alternative condition (scarring  
234 alopecia, traction alopecia, congenital alopecia, androgenetic alopecia, telogen  
235 effluvium, tinea capitis, trichotillomania, or secondary syphilis of the scalp) in the  
236 subsequent 365 days.<sup>17</sup> People diagnosed with AA within 6 months of practice  
237 registration were excluded (to ensure we captured only incident AA cases). The index  
238 date of each AA case was assigned to the date of their AA diagnosis.

239

### 240 Definition of matched controls

241 A matched control population was defined to evaluate impacts of AA in those aged 12+.  
242 Each individual aged 12+ diagnosed with new onset AA during the study period was  
243 matched at their diagnosis date with up to four unaffected controls without a diagnosis  
244 of AA. Individuals without AA but with a diagnosis of a non-specific or alopecia  
245 alternative condition, or less than 12 months of follow-up available, were excluded. A  
246 rolling matched cohort design was used, defining eligible matched controls at the date  
247 of AA diagnosis for each case, and then exact matching on age category (adolescents  
248 aged 12-17, and adults aged 18-29, 30-49 and 50+), sex, ethnicity, SES, geographical  
249 area and region. To further improve matching quality, the algorithm included

250 additional nearest neighbour matching by age in years. After matching, the index date of  
251 each matched control was set to the index date of their matched AA case counterpart.

252

## 253 **Study outcomes**

### 254 **Mental health outcomes**

255 Three mental health condition outcomes were assessed; depressive episodes, recurrent  
256 major depressive disorder and anxiety disorders, defined by the International Statistical  
257 Classification of Diseases and Related Health Problems 10th Revision (ICD10)  
258 classification, and identified using algorithms validated for use in UK primary care  
259 data<sup>21</sup> (Supplementary Materials Code Lists).

260

### 261 **Healthcare utilisation outcomes**

262 Healthcare utilisation comprised of three outcomes; primary care visits, dermatology  
263 referrals and referrals for psychological therapy (including those via Improving Access  
264 to Psychological Therapies and psychiatric reviews) (Supplementary Materials Code  
265 Lists).

266

### 267 **Work-related outcomes**

268 Work-related outcomes comprised time off work, identified by issued Med 3  
269 certification from primary care for time off work, and unemployment, defined by issues  
270 of IB113 or ESA113 forms for unemployment (Supplementary Materials Code Lists).

271

## 272 **Statistical analyses**

273

### 274 **Lifetime incidence of AA**

275 Cumulative lifetime incidence of AA was estimated in the whole study population using  
276 adapted survival models with age as the timescale, fitted as Aalen-Johansen cumulative  
277 risk estimates using the *etm* package in R<sup>22</sup>, and adjusted for the competing risk of  
278 death.<sup>23, 24</sup> Individuals were followed-up from the latest of their age at study start date  
279 or their age at their date of practice registration until the earliest of their age at an AA  
280 diagnosis (if recorded) or a censoring event (death, deregistration, date aged 95, or  
281 study end date). Lifetime incidence was calculated overall and by sociodemographic  
282 subgroups at age 80 years.

283

### 284 **Disparities in the impact of AA**

285 Mental health and healthcare utilisation outcomes were compared in AA cases and  
286 matched controls. Analysis of work-related outcomes was restricted to individuals aged  
287 18-65. All outcomes were evaluated in the overall matched population, and by  
288 sociodemographic subgroups with mixed and other ethnicity groups combined into a  
289 single 'other' group.

290

### 291 *Burden of mental health conditions*

292 The relative burden of each mental health condition recorded prior to and in the two  
293 years post index date was estimated in AA cases versus matched controls using logistic  
294 regression. Three adjustment sets were used to assess the robustness of the estimates

295 by sequentially adding measured confounders: unadjusted, sex-and-age adjusted, and a  
296 set adjusted for sociodemographic, clinical characteristics and count of 0-3+ major  
297 comorbidities (Table 1). Logistic regression models were reported using adjusted odds  
298 ratios with 95% confidence intervals [CI].

299

### 300 *Healthcare utilisation and work-related outcomes*

301 The relative incidence of dermatology referrals, referrals for psychological therapy, time  
302 off work, and unemployment were compared in AA cases versus matched controls up to  
303 two years post index date using Cox proportional hazard regression, with visual  
304 assessment of proportional hazards-assumptions, and applying the same three  
305 covariate adjustment sets as for mental health outcomes). Negative binomial regression,  
306 accounting for overdispersion, was used to compare rates of primary care visits, also  
307 using the same covariate adjustment sets.

308

### 309 **Sensitivity analysis**

310 To evaluate the magnitude of potential bias from including, as matched controls,  
311 individuals who are registered with a General Practitioner but who do not attend their  
312 practice, we repeated the analysis for mental health, healthcare utilisation and work-  
313 related outcomes restricting the matched control set to individuals with at least one  
314 primary care consultation in the year preceding their index date. Furthermore, a  
315 parallel sensitivity analysis was performed to assess the impact of missing ethnicity  
316 data, by amending missing ethnicity entries to white.

317

318 All statistical analyses were performed using R version 4.2.1. The study was conducted  
319 and reported following RECORD (Reporting of studies conducted using observational  
320 routinely collected data) guidelines.<sup>25</sup>



## 321 Results

### 322 Cumulative lifetime incidence of AA is highest in people of Asian 323 ethnicity, with greater deprivation, and in urban areas

324 6,961 of 4,052,231 individuals in the final study population (Fig S1) developed AA over  
325 the study period. The median age of those with AA was 35 years (Inter Quartile Range;  
326 26, 47). People were commonly diagnosed in childhood (8.6% aged 12-17) and early  
327 adulthood (27.5% aged 18-29). The largest proportion of AA cases of 43.1% were aged  
328 30-49 years, with 20.8% aged over 50 years (Table 1). Although alopecia can be  
329 diagnosed at any age and risk continued to accumulate into later life (Fig S2). This  
330 translated to a cumulative lifetime incidence of 2.11% (95%CI 2.06, 2.16, Fig 1A) by age  
331 80. Females had a slightly higher lifetime incidence of 2.35% (95%CI 2.28, 2.43)  
332 compared to males 1.88% (95%CI 1.81, 1.94), although no difference was evident until  
333 after age 50 years (Fig 1B). Marked differences existed between ethnic groups; those of  
334 Asian ethnicity had the greatest lifetime incidence of 5.87% (95%CI 5.51, 6.24, Fig 1C).  
335 Lifetime incidence was highest in those with the greatest deprivation 2.92% (95%CI  
336 2.77, 3.07) and lowest in those with least deprivation 1.68% (95%CI 1.59, 1.78) (Fig  
337 1D). London had a higher lifetime incidence than any other geographical area of 3.15%  
338 (95%CI 2.98, 3.31), there was no clear variation in lifetime incidence across the  
339 remaining geographical areas (Table S1). Lifetime incidence was higher in those from  
340 urban areas 2.27% (95%CI 2.21, 2.33), compared to rural areas 1.49% (95%CI 1.39,  
341 1.58) (Table S1).

342

343 **Disparities in mental health, healthcare utilisation and work-related**  
344 **impacts**

345 6,183 eligible adults and adolescents with incident AA were matched to 24,718 controls  
346 without AA (Fig S1). AA cases and controls were well matched on all sociodemographic  
347 and clinical characteristics except for smoking status (Table 1).

348

349 *Burden of mental health comorbidity was highest in those of black ethnicity*

350 AA was associated with a greater risk of depressive episodes (adjusted Odds Ratio; aOR  
351 1.35, 95%CI 1.25, 1.46, Fig 2A, Table S2), recurrent major depressive disorder (aOR  
352 1.45, 95%CI 1.32, 1.58. Fig 2B, Table S3) and anxiety disorders (aOR 1.40, 95%CI 1.30,  
353 1.51. Fig 2C, Table S4). The AA associated increase in risk was consistent across age and  
354 ethnicity groups, with the exception of the black ethnicity subgroup, which had a higher  
355 rate of AA associated risk of anxiety disorders (aOR 2.92, 95%CI 1.71, 4.91. Fig 2C, Table  
356 S4). AA associated increase in risk for depressive episodes, recurrent major depressive  
357 disorder and anxiety disorders were observed in urban but not rural areas (Tables S2,  
358 S3 and S4). There were no clear trends across English geographical regions (Tables S2,  
359 S3 and S4). These associations were consistent when evaluating only new-onset mental  
360 health diagnoses in the two years post-index date with the exception of the black  
361 ethnicity subgroup (Tables S10, S11 and S12).

362

363 *Healthcare utilisation was highest in men and those of Asian ethnicity*

364 People diagnosed with AA were more likely to attend primary care compared to  
365 matched controls without AA (aIRR 1.42, 95%CI 1.37, 1.46, Fig 3A, Table S5). This  
366 association was consistent across deprivation quintiles and between urban and rural

367 areas. Those with AA aged over 50 years were less likely to attend primary care (aIRR  
368 1.24, 95%CI 1.16, 1.33. Table S5). Males with AA were more likely to attend primary  
369 care (aIRR 1.54, 95%CI 1.46, 1.63) than females with AA (aIRR 1.35, 95%CI 1.30, 1.40).  
370 Those of Asian ethnicity with AA (aIRR 1.64, 95%CI 1.51, 1.78) were more likely to attend  
371 primary care than those of white ethnicity (aIRR 1.30, 95%CI 1.25, 1.36, Fig 3A, Table S5).

372

373 Dermatology referral rates were similar across all subgroups, with the exception of  
374 those aged over 50 years with AA who were less likely to be referred to dermatology  
375 than younger groups with AA (Table S6).

376

377 People with AA were more likely to be referred for psychological therapy (aHR 1.36,  
378 95%CI 1.17, 1.57. Table S7) compared to matched controls, although higher referral  
379 rates were not observed in all subgroups. Those subgroups with higher referral rates  
380 included females, those under 18 years or over 50 years, and those of white or Asian  
381 ethnicity (Fig 3B, Table S7).

382

### 383 *Burden of work-related impacts was highest in those of black ethnicity*

384 People with AA were more likely to have time off work (aHR 1.49, 95%CI 1.38, 1.61. Fig  
385 3C, Table S8) and were more likely to be unemployed (aHR 1.46, 95%CI 1.14, 1.87. Fig  
386 3D, Table S9.) compared with matched controls. These associations were consistent  
387 across subgroups for sex, age, and deprivation, with the exception of those of black  
388 ethnicity who had a greater risk of time off work (aHR 2.54, 95%CI 1.80, 3.56) than  
389 those of white ethnicity (aHR 1.42, 95%CI 1.28, 1.58). Associations between AA and  
390 unemployment were observed in males and females, 30-49 age group, those of white

391 ethnicity, those with the greatest deprivation and those in urban areas. Associations  
392 between AA and unemployment were only observed in the 'South West' and 'Yorkshire  
393 and The Humber' regions (Fig 3D, Table S9).

394

### 395 **Sensitivity analysis**

396 Associations remained consistent when evaluating all outcomes following exclusion of  
397 matched controls without at least one recent primary care consultation in the year  
398 preceding their index date (n=9,168 matched controls excluded) (Tables S13, S14).

399 Associations were also consistent when missing ethnicity was replaced with white  
400 ethnicity (Tables S15, S16 and S17).

## 401 Discussion

402

403 Our contemporary population-based study identified major variation in the lifetime  
404 incidence and impact of AA. Overall, AA lifetime incidence was 2.1%, but this differed  
405 markedly across ethnic groups; Asian (5.9%), other (4.5%), mixed (4.4%), black  
406 (3.0%), and white (1.7%). We identified a clear socioeconomic gradient, with a greater  
407 than 50% excess lifetime incidence in individuals from the most deprived compared to  
408 least deprived areas, and in those living in urban compared to rural locations. The  
409 impacts of AA also differed by ethnic group; people with AA of black ethnicity had the  
410 greatest burden of concomitant anxiety and were the most likely to have recorded time  
411 off work. Despite this, there were no clear differences between ethnic groups in the  
412 provision of specialist referrals to either dermatological or mental health services.

413

414 Our overall lifetime incidence estimate is concordant with, to our knowledge, the only  
415 population-based study which reported a very similar lifetime incidence of 2.1%.<sup>3</sup> By  
416 evaluating a greater than 10-fold number of AA cases, we are able to demonstrate this  
417 summary estimate masks considerable heterogeneity in AA risk and major differences  
418 by ethnicity.

419

420 There is a lack of research investigating rates of AA across differing ethnic groups  
421 within populations. Where research has investigated ethnic differences in AA within a  
422 population, risk of AA tends to be greater in ethnic groups other than white, although it  
423 is not always easy to draw comparisons between studies with populations of differing  
424 ethnicity profiles. A cross-sectional analysis in the US found higher odds of AA in those

425 of African-American ethnicity compared to those of white ethnicity, and conversely  
426 lower odds in those of Asian ethnicity, although non-cases in this registry are non-blood  
427 relatives or friends, research team members or were recruited at alopecia conferences  
428 and via internet advertising, and so are potentially subject to significant selection bias.<sup>26</sup>  
429 This lower risk of AA in those of Asian ethnicity contrasts with our findings where the  
430 Asian ethnic group had the highest lifetime incidence of AA, but this may at least in part  
431 be explained by differences in ethnic composition between countries. For example  
432 within the UK those who identify as Indian, Pakistani or Bangladeshi make up around  
433 74% of the Asian ethnic group with only 7.5% identifying as Chinese,<sup>27</sup> compared to the  
434 US where just under 25% identify as Indian, Pakistani or Bangladeshi, and around 20%  
435 identify as Chinese.<sup>28</sup> Cross-sectional results in nurses also found higher AA in women  
436 of black ethnicity compared to white, although this was based on self-reported  
437 diagnosis of AA.<sup>29</sup> For immune-mediated conditions analysis using US electronic  
438 medical records, a higher incidence was observed in African-Americans, Asian or Pacific  
439 Islanders, multiracial and Native American compared to the reference white  
440 population.<sup>30</sup> However, this analysis is limited by the fact that it covers only people seen  
441 in healthcare facilities during the study period and therefore comparisons are not made  
442 against a true denominator population. Taken collectively, these prior studies suggest  
443 potential important ethnicity differences, but no assessment of lifetime AA incidence  
444 across ethnicity groups with a population-representative denominator has been  
445 previously conducted.

446

447 Similarly, there is limited previous research investigating disparities in the impact of  
448 AA. While there is a growing body of evidence investigating a strong negative overall  
449 impact of AA on mental health comorbidity, in particular depression and anxiety,<sup>8, 9, 31</sup>

450 we are not aware of any previous studies investigating sociodemographic variation in  
451 mental health outcomes. In concordance with our findings, AA has previously been  
452 associated with negative impacts on self-reported work productivity,<sup>15, 32</sup> and with  
453 increased healthcare utilisation,<sup>33-35</sup> with one US study suggesting AA-associated  
454 healthcare utilisation is higher in females and older individuals, and varies with  
455 geographical area.<sup>36</sup>

456

457 It is likely that AA shares features with other immune-mediated conditions, where it has  
458 been highlighted that disparities between sociodemographic subgroups will comprise of  
459 a combination of contributing factors. For example, there are biological factors such as  
460 genes,<sup>37</sup> immunological mechanisms<sup>38</sup> and development in early life. There are also  
461 environmental determinants of health, including housing and pollution,<sup>39</sup> as well as  
462 socio-cultural factors.<sup>40</sup> All of these may influence not only disease course but may also  
463 impact on access to healthcare.<sup>41</sup> It is recognised that health disparities are linked to  
464 multiple disadvantages experienced by different groups of people, and further research  
465 is a key part in addressing these inequalities,<sup>42</sup> especially in groups who are at increased  
466 risk but are often less well represented in clinical and epidemiological research.

467

468 A considerable strength of our study is the use of a population-representative primary  
469 care database, meaning results are likely to be generalisable to the wider UK population,  
470 although generalisability may not extend to other populations especially those in  
471 different sociocultural settings. Disparities do not occur in isolation and our subgroup-  
472 stratified lifetime incidence estimates are unadjusted, and so reflect other disparities  
473 experienced by each group (such as socioeconomic differences) meaning they should  
474 not be interpreted as causal differences. However, our evaluation of the impacts of AA is

475 strengthened by our use of an extensive matching algorithm. It is a limitation that  
476 people with an existing condition, such as AA, may have more regular contact with  
477 healthcare providers and therefore increased chances of having other conditions or  
478 outcomes detected. We sought to minimise the risk of this detection bias by using a  
479 sensitivity analysis, repeating the same analysis but restricting matched controls to  
480 those with at least one primary care consultation in the year preceding their index date  
481 and found that associations remained consistent. In the case of the primary care visits  
482 outcome, we did not have details for the reason visit, so cannot draw conclusions as to  
483 whether the increased visits were for AA or other conditions. We did not include  
484 thyroid disorders and atopic dermatitis, both common comorbidities in people with  
485 AA,<sup>18</sup> in our comorbidity count when evaluating healthcare disparities, although as we  
486 show consistent effects with sex-and-age adjusted and fully adjusted models we expect  
487 this to have a minimal impact on our findings. We were also unable to investigate more  
488 detailed aspects of AA, such as the locations and extent of hair loss, or if the condition  
489 was stable or worsening, as this information is more often coded in detailed notes and is  
490 not routinely available for research. A further limitation of our analysis is the use of  
491 routine primary care data which is dependent on accurate diagnosis and coding. Within  
492 the UK most patients would initially visit their GP and typically about a quarter of AA  
493 patients are referred to secondary care within the first year.<sup>1</sup> Diagnoses made within  
494 secondary care are routinely transcribed into the primary care record, however the  
495 provenance of the diagnosis (primary or secondary care) is not recorded and it is  
496 possible capture may not be complete. Furthermore, events may not be repeatedly  
497 coded within primary care, so it can be harder to ascertain if a condition is ongoing or if  
498 it has resolved. To mitigate as far as possible against this, we used validated algorithms  
499 to identify outcomes and extensive code lists where no validated definition exists.



500 Patients were excluded if an alternative differential diagnosis was recorded. Our  
501 analysis is however likely to under capture the true burden of AA and its impacts as not  
502 all individuals will seek health care.

503

504 Given the disparities in risk of developing AA, there is a need for better targeting of  
505 support to those groups most affected, especially those from ethnic groups other than  
506 white who experience the greatest AA burden. Although our study did not identify  
507 consistent disparities in healthcare provision, we found no evidence to suggest that  
508 healthcare provision is being effectively targeted to those with greatest burden.

509

## 510 **Conclusions**

511 AA affects around 1 in 50 people over their lifetime. There is, however, considerable  
512 variation in lifetime incidence, which in the UK, is highest in those of Asian ethnicity,  
513 those experiencing greater socioeconomic deprivation, and those living in urban areas.

514 The striking differences in risk of AA across ethnic groups warrants further research to  
515 identify the underlying mechanisms involved, and ultimately to develop precision  
516 treatment.

517

518 The impacts of AA also vary markedly across the population, in particular the mental  
519 health and work-related impact of AA may be highest in ethnic groups other than white.

520 Clinicians should be aware of the marked heterogeneity in both the risk and impact of  
521 AA. There is a need for psychological assessment and targeted support to be included in  
522 the dermatology service for patients with AA. Future research is needed to understand

- 523 the experiences of minority ethnic groups living with AA and to inform strategies to
- 524 optimise clinical care for those most at risk of AA and its consequences.

525 **Declarations**

526

527 **Study registration:**

528 The study protocol for this retrospective observational study was registered *a priori*  
529 with ClinicalTrials.gov (Identifier: NCT05727306).

530

531 **Author contributions:** The study concept and design were conceived and developed by  
532 AT, CT, JN, RR, MC, and AM. The study was performed and written under the direction of  
533 AT, CT, JN, RR, MC, and AM. All authors approved the final submitted version. AM attests  
534 that all listed authors meet authorship criteria and that no others meeting the criteria  
535 have been omitted.

536

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- 669

670 **Table 1. Sociodemographic and clinical characteristics of AA cases and matched**  
671 **controls.**

	AA cases	Matched controls	SMD†
n	6,183	24,718	
Follow up duration years (median [IQR])	3.5 [1.7, 6.0]	3.1 [1.5, 5.8]	0.061
Sex			
Female	3,342 (54.1)	13,366 (54.1)	<0.001
Male	2,841 (45.9)	11,352 (45.9)	
Age years (median [IQR])	35.0 [26.0, 47.0]	35.0 [25.0, 47.0]	0.003
Age (years) group (%)			0.001
12-17	529 ( 8.6)	2,111 ( 8.5)	
18-29	1,699 (27.5)	6,792 (27.5)	
30-49	2,666 (43.1)	10,659 (43.1)	
50+	1,289 (20.8)	5,156 (20.9)	
Ethnicity (%)			0.002
White	3,205 (66.2)	12,820 (66.3)	
Black	241 (5.0)	960 (5.0)	
Asian	1,129 (23.3)	4,515 (23.3)	
Other	264 (5.5)	1,047 (5.4)	
IMD quintile (%)‡			0.001
1 (most deprived)	1,339 (22.1)	5,356 (22.1)	
2	1,277 (21.1)	5,108 (21.1)	
3	1,091 (18.0)	4,356 (18.0)	
4	1,144 (18.9)	4,573 (18.9)	
5 (least deprived)	1,205 (19.9)	4,818 (19.9)	
Geographic area (%)			<0.001
Urban	5,150 (84.9)	20,590 (84.9)	
Rural	913 (15.1)	3,648 (15.1)	
Region (%)Σ			0.001
London	1,488 (24.6)	5,952 (24.6)	
East Midlands	227 (3.7)	904 (3.7)	
East of England	253 (4.2)	1,009 (4.2)	
North East	209 (3.5)	833 (3.4)	
North West	1,160 (19.2)	4,640 (19.2)	
South East	1,053 (17.4)	4,212 (17.4)	
South West	635 (10.5)	2,540 (10.5)	
West Midlands	417 (6.9)	1,665 (6.9)	
Yorkshire and The Humber	614 (10.1)	2,456 (10.1)	
BMI category (%)β			0.112
<18.5 Underweight	315 (6.0)	1,303 (6.5)	
18.5-25 Normal weight	2,277 (43.1)	8,842 (43.9)	
25-30 Overweight	1,644 (31.1)	5,901 (29.3)	

	AA cases	Matched controls	SMD†
30-35 Class I obesity	665 (12.6)	2,664 (13.2)	
35-40 Class II obesity	245 (4.6)	941 (4.7)	
40+ Class III obesity	132 (2.5)	496 (2.5)	
Smoking status (%) $\mu$			0.222
Non-smoker	2,538 (42.8)	11,676 (50.8)	
Active smoker	1,868 (31.5)	5,561 (24.2)	
Ex-smoker	1,529 (25.8)	5,741 (25.0)	
Alcohol status (%) $\Omega$			0.096
Non-drinker	1,272 (26.4)	4,628 (25.1)	
Safe use	2,750 (57.0)	10,927 (59.2)	
Hazardous use	686 (14.2)	2,596 (14.1)	
Alcoholism	117 (2.4)	314 (1.7)	
Comorbidities (%)			
Type 2 diabetes	173 ( 2.8)	822 ( 3.3)	0.031
Hypertension	521 ( 8.4)	2,098 ( 8.5)	0.002
Atrial Fibrillation	37 ( 0.6)	140 ( 0.6)	0.004
Angina	50 ( 0.8)	183 ( 0.7)	0.008
Myocardial infarction	32 ( 0.5)	143 ( 0.6)	0.008
Stroke	31 ( 0.5)	120 ( 0.5)	0.002
Heart failure	21 ( 0.3)	84 ( 0.3)	<0.001
Chronic liver disease	40 ( 0.6)	104 ( 0.4)	0.031
Dementia	65 ( 1.1)	224 ( 0.9)	0.015
Rheumatoid arthritis	31 ( 0.5)	91 ( 0.4)	0.020
Asthma	1,230 (19.9)	3,913 (15.8)	0.106
Chronic obstructive pulmonary disease	86 ( 1.4)	301 ( 1.2)	0.015
Malignancy	105 ( 1.7)	452 ( 1.8)	0.010
Irritable bowel disease	56 ( 0.9)	248 ( 1.0)	0.010
Chronic kidney disease	98 ( 1.6)	370 ( 1.5)	0.007

672 IQR=Interquartile Range.†Standard Mean Difference (SMD) total AA cases compared  
673 with matched controls. SMD> 0.1 indicates meaningful imbalance between AA cohort  
674 and matched control cohort.

675 ‡Index of Multiple Deprivation (IMD) missing for n = 127 AA cases and n = 507 matched  
676 controls.

677 ¶Ethnicity missing for n = 1,344 AA cases and n = 5,376 matched controls.

678 ¥Region missing for n = 127 AA cases and n = 507 matched controls.

679 ∑ Urban/Rural classification missing for n = 120 AA cases and n = 480 matched  
680 controls.

681  $\beta$ Body Mass Index (BMI) category missing for n = 905 AA cases and n = 4,571 matched  
682 controls.

683  $\mu$ Smoking status category missing for n = 248 AA cases and n = 1,740 matched controls.

684  $\Omega$ Alcohol status category missing for n = 1,358 AA cases and n = 6,253 matched  
685 controls.



686 **Figure 1.** The cumulative lifetime incidence of AA (95% Confidence Interval),  
687 A) overall and stratified by B) sex, C) ethnicity, and D) index of multiple deprivation quintile.  
688

689 **Figure 2.** The adjusted odds ratios for depressive episode (A), recurrent depressive disorder  
690 (B) and anxiety disorder (C) in AA cases compared with matched controls in the overall cohort  
691 and sociodemographic subgroups.  
692

693 IMD=Index of Multiple Deprivation.

694 Outcomes with fewer than 10 events in total were not examined as specified *a priori* due to lack  
695 of power in statistical models. Adjusted odds ratio adjusted for age (3 knot spline), sex,  
696 socioeconomic status, ethnicity, region, urban/rural classification, BMI category, smoking status,  
697 alcohol status and count of major comorbidities (0-3+); type 2 diabetes, hypertension, atrial  
698 fibrillation, angina, acute myocardial infarction, stroke, heart failure, chronic liver disease,  
699 dementia, rheumatoid arthritis, asthma, chronic obstructive pulmonary disease, chronic kidney  
700 disease, malignancy and inflammatory bowel disease.  
701

702

703

704 **Figure 3.** Adjusted incident rate ratios for primary care visits (A), and Adjusted hazard ratios  
705 for psychology referrals (B), time off work (C) and unemployment (D) in AA cases compared  
706 with matched controls in the overall cohort and sociodemographic subgroups.  
707

708 IMD=Index of Multiple Deprivation.

709 Outcomes with fewer than 10 events in total were not examined as specified *a priori* due to lack  
710 of power in statistical models. Adjusted hazard ratio and adjusted incident ratio adjusted for age  
711 (3 knot spline), sex, socioeconomic status, ethnicity, region, urban/rural classification, BMI  
712 category, smoking status, alcohol status and count of major comorbidities (0-3+); type 2 diabetes,  
713 hypertension, atrial fibrillation, angina, acute myocardial infarction, stroke, heart failure,  
714 chronic liver disease, dementia, rheumatoid arthritis, asthma, chronic obstructive pulmonary  
715 disease, chronic kidney disease, malignancy and inflammatory bowel disease.

715