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- 1 Role of epigenetic mechanisms in the pathogenesis of chronic respiratory diseases
- 2 and response to inhaled exposures: from basic concepts to clinical applications.
- 3
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#### 9 Abstract

Epigenetic modifications are chemical groups in our DNA (and chromatin) that determine which genes are active and which are shut off. Importantly, they integrate environmental signals to direct cellular function. Upon chronic environmental exposures, the epigenetic signature of lung cells gets altered, triggering aberrant gene expression programs that can lead to the development of chronic lung diseases. In addition to driving disease, epigenetic marks can serve as attractive lung disease biomarkers, due to early onset, disease specificity, and stability, warranting the need for more epigenetic research in the lung field.

17 Despite substantial progress in mapping epigenetic alterations (mostly DNA methylation) in 18 chronic lung diseases, the molecular mechanisms leading to their establishment are largely unknown. This review is meant as a guide for clinicians and lung researchers interested in 19 epigenetic regulation with a focus on DNA methylation. It provides a short introduction to the 20 21 main epigenetic mechanisms (DNA methylation, histone modifications and non-coding RNA) 22 and the machinery responsible for their establishment and removal. It presents examples of 23 epigenetic dysregulation across a spectrum of chronic lung diseases and discusses the current 24 state of epigenetic therapies. Finally, it introduces the concept of epigenetic editing, an exciting novel approach to dissecting the functional role of epigenetic modifications. The 25 promise of this emerging technology for the functional study of epigenetic mechanisms in 26 27 cells and its potential future use in the clinic is further discussed.

28

Keywords: epigenetic modifications, chronic lung diseases, DNA methylation, epigenetic
biomarkers, epigenetic therapies, epigenetic editing

#### 31 List of abbreviations:

- 32 AHRR aryl hydrocarbon receptor repressor
- 33 AM alveolar macrophages
- 34 ATAC-seq assay for transposase-accessible chromatin with sequencing
- 35 BAL bronchoalveolar lavage
- 36 dCas9 catalytically inactive / dead Cas 9 nuclease (from the CRISPR system)
- 37 ChIP-seq chromatin immunoprecipitation with sequencing
- 38 COPD chronic obstructive pulmonary disease
- 39 CRISPR Clustered Regularly Interspaced Short Palindromic Repeats
- 40 CpG site cytosine guanine dinucleotide separated by a phosphate
- 41 DNMT DNA methyltransferase
- 42 DNMTi DNA methyltransferase inhibitor
- 43 EWAS epigenome-wide association studies
- 44 FFPE formalin-fixed paraffin-embedded
- 45 HDAC histone deacetylase
- 46 IPF idiopathic pulmonary disease
- 47 IncRNAs long non-coding RNAs
- 48 miRNA micro-RNA
- 49 ncRNAs non-coding RNAs

- 50 MBD methyl binding domain
- 51 MTase methyltransferase
- 52 NGS next-generation sequencing
- 53 PTM posttranslational modification
- 54 RRBS reduced-representation bisulfite sequencing
- 55 SAM S-adenosyl-L-methionine
- 56 scRNA-seq- single-cell RNA sequencing
- 57 TET proteins Ten-Eleven-Translocation proteins
- 58 TDG Thymine DNA glycosylase
- 59 TF transcription factor
- 60 UHRF1 ubiquitin-like, containing PHD and RING finger domains 1
- 61 WGBS whole genome bisulfite sequencing
- 62 5mC 5-methylcytosine
- 63 5hmC 5-hydroxymethylcytosine
- 64 5fC 5-formylcytosine
- 65 5caC 5-carboxylcytosine

### 67 Table of Contents

68	Table	e of Contents	5
69	1.	Epigenetics – an ingenious mechanism for regulating genetic information	6
70	2.	DNA methylation – a small group with a big biological impact.	8
71	2.1.	Molecular machinery setting and erasing DNA methylation.	11
72	2.2.	Domain structure of human methyltransferase and demethylases	12
73	3.	Histone posttranslational modifications – sophisticated code for gene regulation	14
74	4.	Non-coding RNA.	16
75	5.	Reader domains confer biological functions of epigenetic modifications	17
76	6.	Methods for genome-wide mapping of DNA methylation.	17
77	6.1.	DNA Methylation arrays	19
78	6.2.	Genome-wide approaches.	20
79	7.	Epigenetic dysregulation in chronic lung diseases.	21
80	7.1.	Cigarette smoking profoundly remodels the epigenome of cells.	21
81	7.1.1	1. The curious case of the AHRR gene.	23
82	7.2.	Remodelling of DNA methylation in chronic obstructive pulmonary disease (COPD)	26
83	7.3.	Remodelling of DNA methylation patterns in pulmonary fibrosis.	29
84	7.4.	Alterations of DNA methylation patterns in asthma	32
85	8.	Occupational exposures modify DNA methylation and the risk of diseases	34
86	9.	Evolving concepts in DNA methylation	36
87	10.	Mechanism of epigenetic changes in chronic lung diseases	39
88	10.1	. How are DNA methyltransferases and TET recruited?	40
89	10.2	. How do altered DNA methylation patterns translate into biological effects?	42
90	11.	Epigenetic mechanisms as potential therapeutic targets – promises and challenges	43
91	11.1	Targeting DNA methyltransferases	44
92	11.2	. Targeting histone-modifying enzymes	45
93	11.3	. Targeting epigenetic readers	46
94	11.4	. Epigenetic editing	47
95	12.	Future directions	51
96			

#### 98 **1.** Epigenetics – an ingenious mechanism for regulating genetic information.

The advent of the next-generation sequencing (NGS) technologies revolutionised biomedical 99 100 research. We deciphered the sequence of the human genome (Lander, et al., 2001; Nurk, et al., 2022; Venter, et al., 2001) and identified genetic drivers of numerous human diseases 101 102 (including lung diseases), bringing biomedicine into the genomic era (Ashley, 2016). The development of single-cell transcriptomic technologies offered further unprecedented 103 104 insights into the complexity and cellular identity of the human and mouse lungs (Negretti, et 105 al., 2021; Travaglini, et al., 2020). It led to the identification of novel cell types (Montoro, et al., 2018; Plasschaert, et al., 2018) and differentiation states (Ruiz Garcia, et al., 2019). It 106 107 revealed unique aspects of disease processes in the human lung, opening doors to the 108 functional dissection of cellular pathology, and moving the research into the single-cell transcriptomic era (Adams, et al., 2023; Van de Sande, et al., 2023). However, our 109 110 understanding of the molecular processes orchestrating gene expression and cellular 111 differentiation programs in the human lung is still very limited. Similarly, the regulatory 112 mechanisms driving lung cell dysfunction in response to environmental stimuli are not well 113 understood. To provide the missing link between the environment, our genes, and their regulation in disease we need to move lung research into the epigenetic era. For further 114 progress and translation of epigenetic research to the clinics, there is an urgent need for 115 116 functional studies on how epigenetic mechanisms in lung cells are impacted by environmental 117 insults and how their dysregulation drives the development of chronic lung disease.

We begin as a single cell, the zygote, and yet there are more than 400 cell types in the adult human body (Hatton, et al., 2023). How can a single genetic sequence give rise to so many different cells, each with unique morphology, gene expression programs and function? We know now that lineage-specific gene expression programmes are initiated by transcription factors and modulated by epigenetic mechanisms that regulate how the genetic information is read and interpreted by the cellular machinery. The development of epigenetic systems was proposed as a fundamental step in the evolution of multicellular organisms because of their need to maintain stable cellular differentiation (Jeltsch, 2013). Epigenetic mechanisms are defined as "heritable changes in gene function that cannot be explained by changes in the DNA sequence" (Riggs, 1996).

To fit in the cell nucleus, our DNA is tightly packaged into chromatin. The basic building unit 128 129 of the chromatin, the nucleosome, consists of DNA wrapped around an octamer of histone proteins (two of each of the four core histones H2A, H2B, H3 and H4). Both the DNA and the 130 histone proteins (especially their tails that protrude from the nucleosome surface) are 131 decorated with a variety of chemical groups, called epigenetic modifications. This epigenetic 132 133 code determines which genes are active and which are silenced. The regulatory function of epigenetic modifications is mediated by controlling the accessibility of the DNA to the cellular 134 135 machinery and regulating the binding of transcriptional activators and repressors (Allis & 2016). The main epigenetic mechanisms include DNA methylation, 136 Jenuwein, posttranslational modification (PTM) of histones, chromatin remodelling as well as non-coding 137 RNA (ncRNA) (Figure 1). 138

In this review, I will briefly introduce the main epigenetic mechanisms and examine their dysregulation in a variety of chronic lung diseases, with a focus on DNA methylation. I will present the main technologies used for mapping and functional validation of DNA methylation alterations and discuss the promise of epigenetic editing and epigenetic therapies.

#### **2.** DNA methylation – a small group with a big biological impact.

DNA methylation is the best-described example of an epigenetic mechanism and a key 144 epigenetic signal used for the direct control of gene expression [for general reviews on DNA 145 methylation, please refer to (Greenberg & Bourc'his, 2019; Jurkowska, Jurkowski, et al., 2011; 146 147 Schubeler, 2015; Smith, et al., 2024; Smith & Meissner, 2013)]. Owing to this vital regulatory function, DNA methylation plays important biological roles in regulating genome stability 148 (through silencing repetitive elements), genomic imprinting, X-chromosome inactivation and 149 150 regulation of gene expression in development and response to environmental and occupational cues. The importance of DNA methylation is demonstrated by the lethal 151 phenotypes of the genetic knockouts of any of the active DNA methyltransferase enzymes in 152 153 mice (Li, et al., 1992; Okano, et al., 1999) and by the ever-growing number of diseases associated with altered DNA methylation signatures, including pulmonary and non-pulmonary 154 155 disease conditions (Bergman & Cedar, 2013; R. Liu, et al., 2023; Michalak, et al., 2019; Zhao, 156 et al., 2021).

The methylation of human and mammalian DNA was identified in the early 80s [reviewed in (Jurkowska & Jeltsch, 2022b)]. It arises through the covalent addition of the methyl group (CH3) to the cytosine residues in the DNA, generating 5-methylcytosines (5mC) (**Figure 2**). In humans, it occurs predominantly in the context of CpG sites, where a cytosine is followed by a guanine residue. Non-CpG DNA methylation (arising on CA, CC or CT sites) occurs at much lower levels in differentiated tissues and is mostly present in embryonic stem cells and the brain (Schultz, et al., 2015).

Depending on the cell type, roughly 60-80% of all CpG sites in the DNA are methylated, corresponding to 3-8% of all cytosines in the human genome (Greenberg & Bourc'his, 2019).

Importantly, only selected CpG sites are methylated, resulting in the generation of a tissue and 166 167 cell-type-specific pattern consisting of methylated and non-methylated sites. Hence, the DNA methylation pattern confers the epigenetic cell identity (Loyfer, et al., 2023). This has 168 important consequences for studying epigenetic modifications in a complex organ or tissue 169 170 (like for example lung tissue, epithelium or blood), as different cell types will carry unique patterns of DNA methylation (and other epigenetic modifications). Consequently, the DNA 171 methylation profile (the methylome) from bulk tissues represents an average of different cell 172 173 types, limiting our understanding of cell-type-specific contributions to disease development. Thus, studies of purified lung cell populations or single-cell approaches are urgently needed 174 175 to investigate the role of DNA methylation (and other epigenetic modifications) in driving 176 cellular function in healthy and diseased lungs.

177 Regions of the genome characterised by a high density of CpG sites are called CpG islands. They are found in the promoters (or the first exons) of around two-thirds of human genes, and 178 179 less commonly in gene bodies. They constitute regulatory units for DNA methylation, as their 180 methylation correlates with the transcriptional activity of their neighbouring genes (Jones, 181 2012). In healthy somatic tissues, CpG islands in gene promotors are usually unmethylated, whereas CpG islands located in gene bodies tend to become methylated during development 182 depending on the transcriptional activity of their genes (Jeziorska, et al., 2017). The aberrant 183 DNA methylation of CpG islands in the promoters of tumour suppressor genes, leading to their 184 185 inactivation, is a key hallmark of epigenetic dysregulation in cancer cells (Bergman & Cedar, 2013). 186

How can a small methyl group exert a biological effect? The methyl group of the methylatedcytosine is positioned in the major groove of the DNA, where it can be recognised by proteins

189 interacting with DNA, for example, transcription factors. DNA methylation can regulate their 190 binding to the DNA and thereby modulate gene expression (Yin, et al., 2017). In addition, DNA methylation can recruit specific methyl reader proteins (Figure 1) (e.g., so-called methyl-191 binding domain (MBD) proteins) or additional epigenetic modifiers (e.g. histone-modifying 192 193 enzymes or chromatin remodelling enzymes), leading to changes in the accessibility of chromatin to the transcriptional machinery. The exact mechanism by which DNA methylation 194 195 affects chromatin structure is not fully understood, but it is known that methylated DNA is 196 closely associated with a closed, relatively inactive chromatin structure (Keshet, et al., 1986). As DNA methylation adds additional information to the genetic code that is not encoded in 197 198 the DNA sequence itself and is heritable through cell divisions, the 5mC is sometimes referred to as the "5<sup>th</sup> letter of the genetic alphabet". In addition to the 5-methylcytosine, 5-199 200 hydroxymethylcytosine (5hmC), 5-formylcytosine (5fC) and 5-carboxylcytosine (5caC) (Figure 201 2), which arise in the process of active DNA demethylation, are present in the human DNA, 202 further extending the (epi)genetic alphabet.

203 In the early 1980s, global loss of DNA methylation (DNA hypomethylation) was reported in 204 cancer cells (Feinberg & Vogelstein, 1983; Gama-Sosa, et al., 1983). This finding was followed by the identification of the aberrant gain of methylation (DNA hypermethylation) in the 205 206 promoters of tumour suppressor genes, leading to their inactivation in cancer (Baylin, et al., 1986; Greger, et al., 1989). These seminal discoveries exemplified DNA methylation changes 207 208 characteristic of most cancer cells and demonstrated the fundamental role of DNA 209 methylation in disease development and progression (Bergman & Cedar, 2013). Since these initial discoveries, the number of diseases associated with altered DNA methylation signatures 210 in different organs has been constantly growing (Bergman & Cedar, 2013; R. Liu, et al., 2023; 211 212 Michalak, et al., 2019; Zhao, et al., 2021).

#### 213 **2.1.** Molecular machinery setting and erasing DNA methylation.

The methyl groups are added to the DNA by specific enzymes called DNA methyltransferases 214 (MTases or DNMTs) and can be removed by the Ten-Eleven-Translocation (TET) DNA 215 demethylases (Jurkowska & Jeltsch, 2022a; Jurkowska & Jurkowski, 2019; Ravichandran, et al., 216 217 2018). Three active MTases (DNMT1, DNMT3A and DNMT3B) and three TET enzymes (TET1, TET2 and TET3) exist in humans (and other mammals). DNMT3A and DNMT3B proteins (with 218 219 the help of a stimulatory factor DNMT3L) introduce DNA methylation patterns during early 220 embryonic development and gametogenesis (Figure 3). They are highly expressed in undifferentiated cells and germ cells, and present at much lower levels in somatic 221 222 differentiated cells. After establishment, patterns of DNA methylation are mostly preserved, 223 with only small tissue-specific changes. However, they can get significantly altered in response to environmental exposures and in diseases (as discussed below). 224

225 DNA methylation information consisting of methylated and unmethylated sites is preserved 226 over rounds of cell divisions by a well-designed maintenance mechanism, which enables the 227 function of DNA methylation as a key epigenetic mechanism mediating long-term gene repression. As CpG sites are symmetric and usually methylated in both strands of DNA, the 228 229 methylation signal is present in two DNA strands. The process of DNA replication generates a 230 strand of unmethylated DNA, converting fully methylated CpGs into hemimethylated sites (with one strand unmethylated and one methylated) (Figure 3). These sites are then re-231 232 methylated by a maintenance methyltransferase enzyme (DNMT1), a molecular copy 233 machine, which is highly expressed in proliferating cells, localizes to the replication forks and has a high preference towards hemimethylated DNA (Jeltsch & Jurkowska, 2014; Petryk, et al., 234 2021). The recruitment of DNMT1 to hemimethylated DNA during replication is facilitated by 235 236 a chromatin factor, UHRF1 (ubiquitin-like, containing PHD and RING finger domains 1), a key component of the DNA maintenance machinery and regulator of the DNMT1 enzyme (Bostick,et al., 2007).

239 DNA methylation was once believed to be an irreversible epigenetic signal. Despite the existence of a specific maintenance mechanism, DNA methylation can be lost by either a 240 241 passive mechanism, when the maintenance MTase activity is absent over consecutive cycles 242 of cell divisions or via an active DNA demethylation process (Figure 3). Active DNA demethylation involves stepwise oxidation of 5mC to 5hmC, 5fC and 5caC by TET enzymes 243 244 (Ito, et al., 2011) (Figure 2). The oxidised bases are then removed by the Thymine DNA glycosylase (TDG) and the base excision repair (BER) mechanism, restoring DNA to the 245 unmethylated state (Jurkowska & Jurkowski, 2019; Ravichandran, et al., 2018; X. Wu & Zhang, 246 2017). TET1 and TET2 are expressed in multiple tissues, including embryonic stem cells, but 247 248 are generally downregulated during differentiation, whereas TET3 is mostly present in 249 oocytes, the zygote, and neurons [reviewed in (Jurkowska & Jurkowski, 2019; X. Wu & Zhang, 250 2017)].

Hence, the patterns of DNA methylation in living cells are shaped by the combined action of
 DNA methyltransferases and TET demethylases and their targeting, localization and activity
 need to be precisely controlled.

#### 254 **2.2.** Domain structure of human methyltransferase and demethylases

Human DNA methyltransferases and demethylases are all large, multi-domain proteins. They contain a large regulatory N-terminal part and a smaller C-terminal part involved in catalysis [reviewed in (Jurkowska & Jeltsch, 2022a)]. The N-terminal part contains several domains that mediate the localization of the enzymes to the cell nucleus and regulate their interaction with other proteins, chromatin and DNA. The C-terminal domain of DNMTs is required for the

binding of the methylation cofactor (S-adenosyl-L-methionine, SAM), recognition of the DNA
and catalysis. The catalytic core of TET enzymes contains a conserved double-stranded β-helix
(DSBH) domain, a cysteine-rich domain, and binding sites for the substrate DNA, as well as
iron (Fe 2+) and 2-oxoglutarate (2-OG), two key cofactors required for catalysis (Ravichandran,
et al., 2018).

Notably, the spatial arrangement of the various domains in DNMTs plays a crucial role in the regulation of their function, providing an elegant mechanism for direct control of the enzymes' activity and function in cells [reviewed in (Jeltsch & Jurkowska, 2016)]. Interaction with protein partners (e.g. stimulatory factor DNMT3L), other chromatin modifications (e.g. specific PTMs on histone tails) or modifications of the linkers between various domains can lead to rearrangements of the DNMTs domains leading to their allosteric activation or inhibition (Bashtrykov, et al., 2014; Rajavelu, et al., 2018).

272 Unlike most transcription factors, DNMTs and TET enzymes do not display strict sequence 273 specificity beyond the preference for the CpG sites and can modify CpG sites in a variety of 274 sequence contexts. However, they are sensitive to the sequences flanking their target sites 275 (Adam, et al., 2022; Handa & Jeltsch, 2005; Jurkowska, Siddique, et al., 2011) and display up 276 to 250-fold preference for the most favorable sites (Ravichandran, et al., 2018). In addition, 277 DNMT3 MTases form heterotetrameric complexes with a stimulatory factor DNMT3L (Jia, et 278 al., 2007). The arrangement in the complex positions the active sites at e specific distance, 279 allowing simultaneous methylation of CpGs separated by 8–10 bps (Jurkowska, Rajavelu, et 280 al., 2011). These intrinsic biochemical properties of DNMTs and TETs may contribute to DNA 281 target selection and the establishment of DNA methylation patterns in development but also

in disease. Indeed, the imprints of these properties have been observed in genome-wide DNA
 methylation studies (Jeltsch & Jurkowska, 2013; Ravichandran, et al., 2022).

Despite significant progress in understanding the biochemical properties and the mechanism of the human DNA methyltransferases and demethylases, their genomic targeting as well as their regulation in cells is not well understood. Even less is known about the expression and regulation of DNMTs and TET enzymes in healthy and diseased lungs. This is a significant research gap that should be addressed, as the number lung diseases with altered methylation signatures is constantly growing and epigenetic enzymes and pathways constitute attractive druggable targets for clinical applications (as described below).

#### **3.** Histone posttranslational modifications – sophisticated code for gene regulation.

In addition to the DNA, all four core histone proteins in the nucleosomes (H2A, H2B, H3 and 292 293 H4) and the linker histone H1 can be subject to posttranslational modifications (PTMs), 294 including acetylation, phosphorylation, methylation, ubiquitination and sumoylation, among others. Less-studied examples of histone PTMs include ADP ribosylation, deimination, proline 295 296 isomerization, crotonylation, propionylation, butyrylation, formylation, hydroxylation and O-297 GlcNAcylation [for a recent comprehensive review of different PTMs, please refer to (Millán-Zambrano, et al., 2022)]. These modifications occur on specific amino acid residues of the 298 299 histones, mostly on their N-terminal flexible tails that protrude from the nucleosome core (Figure 4). Histone PTMs are introduced and removed by specific classes of enzymes (writers 300 and erasers, respectively), which often reside in large protein complexes carrying multiple 301 enzymatic activities. The pattern of histone PTMs constitutes a sophisticated molecular code 302 303 that can be specifically recognised and interpreted by a variety of proteins (so-called epigenetic readers) that influence chromatin structure and thus gene expression (Turner, 304

1993). In addition to recruiting protein readers, PTMs can also directly modify the physical
 properties of the histone tails and thereby directly impact chromatin accessibility. Histone
 acetylation and phosphorylation are examples of PTMs that can directly influence nucleosome
 packaging through the modification of charges.

Specific patterns of histone modifications have been suggested to provide activating ("ON") 309 310 or repressing ("OFF") signatures for gene expression. For example, histone acetylation often correlates with transcriptionally active, open chromatin, which is devoid of DNA methylation 311 312 whereas deacetylated histones are found in repressed, condensed regions, which also contain 313 DNA methylation (Figure 5)(Cosgrove, et al., 2004). However, the same type of modification (e.g. methylation of lysines) may have opposite effects on gene expression depending on the 314 context, localisation and the exact residue that is modified. For example, trimethylation of 315 316 lysine 9 of histone H3 (H3K9me3) is associated with repressed chromatin, trimethylation of lysine 4 on H3 (H3K4me3) marks active promoters, while monomethylation of lysine 4 on H3 317 318 (H3K4me1) is associated with enhancer elements. The complexity of the histone code is 319 further increased by the crosstalk between different adjacent modifications or even between 320 different histone tails (Millán-Zambrano, et al., 2022).

Thanks to the multi-institutional efforts of international epigenomic initiatives (like for example, NIH Roadmap Epigenomics (Bernstein, et al., 2010), Encyclopaedia of DNA Elements (ENCODE) project (Consortium, 2004), Blueprint project (Martens & Stunnenberg, 2013) or the International Human Epigenome Consortium (IHEC) (Stunnenberg, et al., 2016)), various histone modifications have been mapped at high resolution across numerous human tissues and cells, providing reference epigenomic maps. These efforts led to the identification of chromatin states characterised by specific types of histones PTMs and provided key evidence

of the association of chromatin modifications with the regulation of gene expression. They can be used to define different functional elements of the human genome (e.g. promoters, proximal and distal enhancers, repressed regions etc) and enable the integration of multiple omics data.

#### 332 4. Non-coding RNA.

Even though a large fraction of our genetic information is transcribed into RNA, only a low 333 percent of these transcripts encodes actual proteins. The majority of all RNAs are non-coding 334 335 RNA (ncRNA) and their function remains an area of intense research. Non-coding RNAs 336 contribute another key component to epigenetic regulation in living cells [for recent reviews, please refer to (Mattick, et al., 2023; Nemeth, et al., 2024)]. They are classified by size into 337 338 long ncRNA (IncRNAs, >200 bps) and short ncRNAs (<200 bps), and play prominent roles in the regulation of transcription, silencing of transposons, and RNA modification, among others. 339 340 RNA research is evolving very fast and new classes of ncRNAs, e.g. enhancer RNAs or circular RNAs have been recently identified (Uszczynska-Ratajczak, et al., 2018). Long non-coding RNAs 341 342 play a prominent role in the recruitment of various chromatin factors to their target sites, leading to the establishment of silenced or active chromatin domains (Figure 1). The most 343 remarkable example, where a single lncRNA can trigger epigenetic silencing of an entire 344 chromosome is the X inactive-specific transcript (XIST) RNA, which is critical for the 345 inactivation of X-chromosome and dosage compensation in females (Loda & Heard, 2019). 346 The best-studied examples of small ncRNAs are micro RNAs (miRNAs), which are endogenous 347 small (between 19 and 22 nucleotides in length) ncRNAs. They play important roles in the 348 349 regulation of gene expression by controlling mRNA translation (Nemeth, et al., 2024).

#### **5.** Reader domains confer biological functions of epigenetic modifications.

351 One of the interesting questions that has been keeping the epigenetic field busy is how the message encoded in the pattern of modifications of DNA and histones is read by the cellular 352 machinery to exert biological function. This interest led to the discovery of so-called epigenetic 353 354 readers, protein domains that can specifically recognise and bind to defined epigenetic 355 modifications and trigger downstream signalling (Figure 1). Bromodomains, which recognise 356 acetylated lysines and chromodomains, which bind to methylated lysines are two best-known 357 examples of such reading domains in humans (Franklin, et al., 2022). In addition, the methylated cytosines on DNA can also be recognised by methyl-binding domain (MBDs) 358 359 proteins that transmit the downstream signalling generally associated with transcriptional repression (Figure 1). In addition to the epigenetic enzymes that set the epigenetic mark 360 (writers) and remove it (erasers), epigenetic readers are also an attractive class of druggable 361 362 targets for clinical applications (as discussed below).

#### 363 6. Methods for genome-wide mapping of DNA methylation.

364 Because epigenetic mechanisms, like DNA methylation, provide an interface between environmental factors and the genome, the methylation pattern of a cell or tissue can also be 365 considered a cellular memory of past exposures. This is particularly relevant to the human 366 lung, which is constantly exposed to environmental insults. Hence, mapping DNA methylation 367 (and other epigenetic modification) changes across the course of a disease may lead to the 368 369 identification of molecular pathways driving its onset and progression. In addition to driving disease development, DNA methylation can serve as attractive disease biomarkers, due to 370 their early onset, disease specificity, and stability (Costa-Pinheiro, et al., 2015) and can be used 371 372 to develop DNA methylation-based predictors of health and disease (Yousefi, et al., 2022).

With the growing recognition of the importance of DNA methylation in regulating human health, arose a need for the development of robust methods for the detection of DNA methylation in a genome-wide manner.

The most popular use of DNA methylation in epidemiology is in epigenome-wide association studies (EWAS), which are population-based studies that analyse the association between DNA methylation levels (or any other epigenetic modification) and a specific exposure (for example, cigarette smoking or air pollution), disease or phenotype (Birney, et al., 2016).

380 Several methods can be employed to measure DNA methylation at thousands or millions of 381 CpG sites simultaneously [for a general review on methods of DNA methylation, refer to (Laird, 382 2010)]. Genome-wide interrogation of DNA methylation at single CpG sites can be performed via array technologies or by harnessing the power of next-generation sequencing (NGS) 383 (Figure 6). The gold standard in the field relies on the chemical treatment of the DNA with 384 sodium bisulfite which enables the differentiation of unmethylated from methylated 385 386 cytosines. This is possible because, upon bisulfite treatment, unmethylated cytosines are 387 converted to uracil, whereas methylated cytosines are protected (Figure 6). The bisulfitebased methods offer single-nucleotide resolution and require low input of DNA, making them 388 389 applicable to small amounts of human material. Of note, classical bisulfite sequencing cannot distinguish between 5mC and 5hmC, therefore, data reported as DNA methylation will likely 390 391 be a mix of DNA methylation and hydroxymethylation. This is important, as emerging research 392 indicates that 5hmC is not just intermediate in DNA demethylation, but a novel epigenetic 393 modification with regulatory functions on its own (Bachman, et al., 2014). Several new techniques have now been developed to differentiate between the two epigenetic states and 394 allow reliable mapping of all four modified cytosine bases (5mC, 5hmC, 5fC and 5caC) in the 395

human DNA (Booth, et al., 2023; Y. Liu, et al., 2021; Y. Liu, et al., 2019; Schutsky, et al., 2018;
Vaisvila, et al., 2021; M. Yu, et al., 2012).

398 6.1. DNA Methylation arrays.

Due to their robust performance, cost-effectiveness, scalability and user-friendly data analysis, 399 Illumina DNA methylation arrays have been widely used to investigate DNA methylation 400 401 patterns in large cohorts in disease research and are a method of choice for EWAS in epidemiology. Different arrays have been developed in the past 15 years, the most well-known 402 403 are the human BeadChip arrays which are based on Illumina's Infinium technology. They enable the hybridization of DNA to specific probes immobilised on beads (hence the name 404 BeadChip) that distinguish methylated and unmethylated sequenced based on their 405 406 differential sequence after bisulfite treatment. They have evolved over several generations, 407 starting from 27K (Bibikova, et al., 2009), 450K (Bibikova, et al., 2011), EPIC (Pidsley, et al., 408 2016), to the most recent EPIC version 2 (EPICv2) (D. Kaur, et al., 2023). Each generation 409 extended the array's coverage of the human genome and showed improved probe design.

410 The first one, the 27K BeadChip array (Bibikova, et al., 2009) included around 27,000 probes covering CpG sites located within promoter regions, focusing on genes implicated in cancer. 411 412 Infinium HumanMethylation450 Beadchip (also known as the 450K array) which measures over 450,000 CpG sites, included representation of gene bodies (Bibikova, et al., 2011), 413 414 offering greatly improved genomic coverage. The EPIC array (also known as the 850K or 415 EPICv1), released in 2015, expanded the coverage of cis-regulatory elements identified by the 416 ENCODE (Consortium, 2012) and FANTOM5 (Lizio, et al., 2015) projects, enabling the analysis 417 of numerous enhancers (Pidsley, et al., 2016). The latest addition, the Infinium BeadChip

418 EPICv2, with further expanded genomic coverage (>935,000 CpG sites), was recently launched
419 by Illumina.

420 The versality of the DNA methylation arrays is demonstrated by their compatibility with formalin-fixed paraffin-embedded (FFPE) samples (Moran, et al., 2014), enabling analysis of 421 422 DNA methylation patterns from archived collections. It is further enhanced by the ability to 423 examine other cytosine modifications, like the recently identified 5-hydroxymethylcytosine mark (Stewart, et al., 2015). However, despite increased overall coverage, due to the cell type 424 425 specificity of the distal regulatory elements (like enhancers), their coverage for any cell type on the arrays remains limited. Recently, some concerns have been raised regarding low 426 concordance across 450K and EPIC platforms in different tissues (Olstad, et al., 2022; Sugden, 427 et al., 2020; Zhuang, et al., 2024), making comparing data and replication challenging and 428 429 illustrating a need for better cross-platform translatability. Measuring other epigenetic marks, such as histone modifications in hundreds of clinical samples, with a high degree of accuracy 430 431 is not yet possible and necessitates further technological developments.

432 6.2. Genome-wide approaches.

While cost-effective and thus applicable to larger cohorts, DNA methylation arrays only allow 433 interrogation of the selected parts of the genome, covering less than <1 million out of the 28 434 million of the CpG sites present in the human genome. Whole genome bisulfite sequencing 435 436 (WGBS) offers the most comprehensive coverage. It harnesses the power of next-generation 437 sequencing, leveraging single-nucleotide resolution with genome-wide coverage (Singer, 438 2019) (Figure 6). Its higher sequencing cost makes it more suitable for smaller-scale discovery research, complementing array-based larger-cohort initiatives. Alternatively, reduced-439 representation bisulfite sequencing (RRBS), which includes enrichment of regulatory regions, 440

combines very good coverage (around 2 million CpG sites) with lower sequencing costs
(Meissner, et al., 2005). It uses the digestion of genomic DNA with restriction enzymes
followed by size selection of the DNA to focus the analysis on the genomic regions containing
CpG dinucleotides, which are the main targets of DNA methylation.

Sequencing-based approaches have an additional advantage over arrays, as they provide a 445 446 binary read-out of the methylation state of individual CpG sites (which can be either methylated or unmethylated) that is easier to interpret than probe signal intensities of the 447 448 DNA methylation arrays. With the lowering cost of sequencing, they are predicted to replace array technologies in the future (Yousefi, et al., 2022). The development of reliable methods 449 for quantification of DNA methylation on a genome-wide scale has enabled fine mapping of 450 451 DNA methylation dysregulation across various lung diseases, empowering investigation of epigenetic mechanisms and future biomarker development (Figure 6). 452

#### 453 **7. Epigenetic dysregulation in chronic lung diseases.**

As chronic lung conditions are largely caused by environmental exposures (in genetically susceptible individuals), which are known to remodel the epigenetic landscape of cells; it is not surprising that genome-wide aberrant DNA methylation changes have been identified in response to smoking, air pollution and across a spectrum of chronic lung diseases.

#### 458 **7.1.** Cigarette smoking profoundly remodels the epigenome of cells.

Cigarette smoking is the best-described example of an environmental exposure with a wellestablished massive impact on the epigenetic landscape in humans. Selected examples are discussed below, but the reader is encouraged to refer to (Gao, et al., 2015; G. Kaur, et al., 2019; Silva & Kamens, 2021; Zong, et al., 2019) for recent systematic reviews on the association of smoking exposure with epigenetic changes.

Smoking is a major cause of premature death worldwide (Ezzati & Lopez, 2003) and a risk 464 factor for the development of several human diseases, including chronic obstructive 465 pulmonary disease (COPD), Idiopathic pulmonary disease (IPF), several cancers (including lung 466 cancer), cardiovascular disease, osteoporosis, and others (Prevention, 2014; Wiklund, et al., 467 468 2019). Notably, even after smoking cessation, the risk for some diseases persists, indicating 469 the existence of a cellular memory of smoking exposure. Due to its biochemical stability and 470 heritability through cellular divisions, DNA methylation emerged as one of the mechanisms 471 that may confer this long-term risk. Correspondingly, several studies indicated that DNA methylation changes from prenatal exposure to maternal smoking may persist into adulthood 472 473 (Hoang, et al., 2024; Richmond, et al., 2015) and confer future risk of disease (Wiklund, et al., 474 2019).

Multiple studies consistently demonstrated genome-wide alterations in DNA methylation in 475 smokers (Table 1), indicating a broad remodelling of the epigenome in response to cigarette 476 477 smoke exposure in the blood (Chen, et al., 2013; Shenker, et al., 2013b; Zeilinger, et al., 2013), 478 lung tissue (Bosse, et al., 2012; Seiler, et al., 2020), sputum (Belinsky, et al., 2002), buccal 479 mucosa (Wan, et al., 2015) and airway epithelium (Buro-Auriemma, et al., 2013; F. Liu, et al., 2010). In addition, statistically robust CpG sites associated with various smoking-related 480 481 parameters, comprising smoking status (current, never, or former) (Joehanes, et al., 2016), 482 time since smoking cessation (Zeilinger, et al., 2013), as well as cumulative exposures (Guida, 483 et al., 2015; McCartney, Stevenson, et al., 2018) were identified. These studies led to the 484 development of DNA methylation-based predictors for smoking behaviours (Bollepalli, et al., 2019; Maas, et al., 2019; McCartney, Hillary, et al., 2018; McCartney, Stevenson, et al., 2018), 485 which may prove an attractive alternative to self-reported smoking or current smoking 486

487 biomarkers (e.g., serum cotinine measurements) in clinical practice (Bojesen, et al., 2017).
488 These studies exemplify the usefulness of DNA methylation as a biomarker of exposure.

489 One of the largest EWAS in adults on cigarette smoking to date included 15 907 participants from 16 different cohorts. Using Illumina 450K BeadChip array, the authors identified 490 differential DNA methylation between current and non-smokers at more than 2500 CpG sites 491 492 mapped to 1405 genes (Joehanes, et al., 2016), highlighting the profound impact of smoking on the epigenetic landscape of blood cells. Notably, genes with smoke-induced DNA 493 494 methylation changes were also enriched for genes associated with smoking-related diseases, like COPD or cardiovascular disease in genome-wide association studies (GWAS). Enrichment 495 of sites with altered DNA methylation in gene regulatory regions, like island shores, gene 496 497 bodies, and enhancers suggested that smoking-associated changes may impact gene 498 expression. However, this association was not functionally investigated (Joehanes, et al., 2016). 499

A very recent large (5 cohorts, 15,014 participants) meta-analysis of smoking using a more comprehensive Illumina EPIC array replicated many of the previously reported associations and identified an additional 1405 genes with methylation changes in CpG sites not covered by the 450K array (Hoang, et al., 2024).

#### 504 **7.1.1.** The curious case of the AHRR gene.

The most prominent and best-characterised example of smoking-associated DNA methylation alterations occurs in the human AHRR (aryl hydrocarbon receptor (AHR) repressor) gene. AHRR is a transcription factor repressing the aryl hydrocarbon receptor-dependent gene expression. The AHR signalling cascade, which mediates dioxin toxicity, is involved in the detoxification of compounds from tobacco smoke (like polyaromatic hydrocarbons) and is

involved in the regulation of cell growth, apoptosis and differentiation and the modulation ofthe immune system (Vogel & Haarmann-Stemmann, 2017).

512 In the AHRR gene, more than 100 differentially methylated sites associated with various smoking-related parameters were identified (Silva & Kamens, 2021; Zeilinger, et al., 2013). 513 Several were robustly replicated in multiple studies (Bojesen, et al., 2017; R. Philibert, et al., 514 515 2012), making AHRR the most popular biomarker of smoking habits (Maas, et al., 2019). Among the most studied sites, the CpG cg05575921 (annotation on the 450K array) located in 516 517 an intronic enhancer of the gene displayed the highest methylation change (Joehanes, et al., 2016; Zeilinger, et al., 2013). Decrease in methylation (hypomethylation) of this site was 518 associated with higher levels of smoking in several studies across European, African and Asian 519 520 ancestries, both sexes and a range of ages (Dogan, et al., 2014; R. A. Philibert, et al., 2013; 521 Shenker, et al., 2013a; Zeilinger, et al., 2013), as well as maternal smoking during pregnancy (Joubert, et al., 2012). It was suggested that AHRR (cg05575921) hypomethylation may also 522 523 provide a clinically relevant prediction of future smoking-related morbidity and mortality 524 (Bojesen, et al., 2017). The exact mechanism of how changes in AHRR DNA methylation regulate its expression is not known, but the decreased DNA methylation may mediate 525 upregulation of the gene, reported in current smokers compared to non-smokers 526 (Chatziioannou, et al., 2017; Parker, et al., 2017). 527

528 One of the most fascinating aspects of smoking-induced DNA methylation changes is their 529 varied reversibility upon smoking cessation. While the great majority of DNA methylation 530 signatures in the blood seem to revert to levels observed in non-smokers within 5 years of 531 smoking cessation, some changes persist for more than 30 years (Guida, et al., 2015; Hoang, 532 et al., 2024; Joehanes, et al., 2016). For example, hypomethylation of the AHRR (cg05575921)

reverts slowly after smoking cessation, with 22 years needed to reach the non-smoking levels 533 534 (Ambatipudi, et al., 2016). Across different EWAS studies, 4267 unique CpGs were significantly associated with smoking cessation [see (Fang, et al., 2023) for a recent systematic review of 535 epigenetic biomarkers of smoking cessation]. Examples of genes linked to CpG sites with 536 537 persisting smoke-related changes include AHRR, TIAM2, PRRT1, F2RL3, GNG12, LRRN3 and APBA2 (Fang, et al., 2023; Hoang, et al., 2024; Joehanes, et al., 2016). The mechanisms behind 538 539 the persistence of methylation changes are not fully clear, smoking-induced alterations in 540 haematopoietic stem cells of the bone marrow were suggested as a potential explanation 541 (Guida, et al., 2015).

Of note, it is not fully clear whether the "reversibility" of specific sites is caused by the loss of 542 an acquired DNA methylation change or depletion of a specific cell type (and its methylation 543 544 signature) from the investigated tissue or both. As most of the smoking EWAS were performed in mixed material (blood or tissue), there are two possible explanations for the observed 545 546 results: 1) the smoke-related DNA methylation change is a true epigenetic remodelling event, where exposure to cigarette smoke leads to an acquired change in the DNA methylation 547 pattern in the exposed cells or 2) the detected DNA methylation change is a reflection of the 548 changed cellular composition of the studied tissue upon cigarette smoke exposure (e.g., 549 550 reflecting the influx of inflammatory cells). Consequently, the reversibility of DNA methylation may be a true loss of an acquired methylation change or may reflect the depletion of a cellular 551 552 component with characteristic methylation at a given locus (e.g., less inflammatory cells 553 present in blood or lung tissue upon smoking cessation). Hence, adjusting for changes in celltype composition in complex tissues is critical when analysing and interpreting findings from 554 EWAS, as DNA methylation change in bulk tissues often manifests as an inflated signal due to 555

556 a shift in cell-type proportions between cases and controls (Houseman, et al., 2015; 557 Teschendorff & Zheng, 2017).

Analysis of primary bronchial epithelial cells from never, current, and former smokers revealed that most tobacco smoke-driven gene expression changes were rapidly reversible (Beane, et al., 2007). Interestingly, a subset of genes displayed only slow or even persistent changes, mirroring the varied reversibility patterns in DNA methylation observed in earlier population studies. *In vitro* models of cigarette smoke exposure may help provide mechanistic insights into the kinetics and mechanisms of the reversibility of smoke-related epigenetic and transcriptional changes.

565 The key limitation of the EWAS studies is that they provide only correlative data, and do not allow the establishment of the causal link between smoke exposure, altered DNA methylation 566 and changed gene expression. For this, functional studies are needed. In addition, longitudinal 567 data quantitatively measuring DNA methylation after smoking cessation over time are still 568 569 very limited, as most studies performed to date are cross-cohort studies associating DNA 570 methylation with time since quitting. Carefully designed longitudinal studies are needed to validate these results and uncover the details of the different reversal kinetics among 571 smoking-associated sites. 572

#### 573 **7.2.** Remodelling of DNA methylation in chronic obstructive pulmonary disease (COPD).

574 Chronic obstructive pulmonary disease (COPD) is a prevalent chronic lung disease, affecting 575 more than 250 million people worldwide, caused by exposure to cigarette smoke and other 576 toxic gases. It is characterised by a progressive airflow obstruction caused by the remodelling 577 of small airways and destruction of the lung parenchyma, known as emphysema (Barnes, et 578 al., 2015; GOLD, 2023). Numerous genetic loci have been associated with COPD and lung

function (Cho, et al., 2014; Hancock, et al., 2010; Heinbockel, et al., 2018; B. D. Hobbs, et al., 579 580 2017; Sakornsakolpat, et al., 2019; Wain, et al., 2015; Wyss, et al., 2018), however, they explain only a small fraction of COPD risk. Current studies estimate that the genetic component of 581 COPD is <3% (for monogenic risk) and combined polygenic risk scores may explain up to 38% 582 583 of COPD susceptibility (Ragland, et al., 2019). Notably, the environmental cause of COPD 584 (cigarette smoke) and the disease phenotypes, including aberrant cell differentiation (e.g., Goblet cell metaplasia) indicate the involvement of epigenetic mechanisms in COPD 585 586 development. Indeed, numerous studies provided strong evidence for the association of dysregulated DNA methylation and COPD (Table 2) in the blood (Bermingham, et al., 2019; 587 588 Busch, et al., 2016; Carmona, et al., 2018; Qiu, et al., 2012), sputum (Sood, et al., 2010), oral 589 mucosa (Wan, et al., 2015), lung tissue (Morrow, et al., 2016; Sood, et al., 2010; Sundar, et al., 2017; Yoo, et al., 2015), bronchial brushings (Vucic, et al., 2014) and isolated lung fibroblasts 590 591 (Clifford, et al., 2018; Schwartz, et al., 2023). Importantly, DNA methylation changes were 592 associated with altered expression of genes and pathways important to COPD pathology, 593 indicating their potential role in driving aberrant gene expression programs in COPD cells 594 (Prada, et al., 2023; Schwartz, et al., 2023). In addition, a recent study suggested that DNA methylation changes may originate in early life (Kachroo, et al., 2020), further emphasising 595 596 the potential role of DNA methylation in conferring long-term risk for chronic lung disease development. 597

598 Most studies profiled DNA methylation using complex material with mixed cell populations 599 and thus could not identify the cellular origin contributing to the observed DNA methylation 600 and expression changes in COPD. Hence, the precise epigenetic changes in COPD driving cell 601 populations and their contribution to altered transcriptional patterns in COPD are still not well 602 understood. Only three studies to date investigated DNA methylation changes in COPD 603 patients in isolated lung cells (Clifford, et al., 2018; Prada, et al., 2023; Schwartz, et al., 2023). 604 Using Illumina 450K BeadChip Array, Clifford et al. identified 887 and 44 differentially methylated regions in parenchymal and airway fibroblasts of COPD patients, respectively 605 606 (Clifford, et al., 2018). Our group has pioneered the use of high-resolution profiling to examine 607 the DNA methylation landscape in COPD in purified cells. Using whole genome bisulfite sequencing, a genome-wide approach, we identified widespread DNA methylation changes in 608 609 primary lung fibroblasts (Schwartz, et al., 2023) and alveolar type 2 cells (Prada, et al., 2023) 610 isolated from a small cohort of COPD patients. DNA methylation changes were associated with dysregulated expression of key pathways involved in COPD pathology, like proliferation, 611 612 inflammation, transforming growth factor  $\beta$  (TGF $\beta$ ) and Wnt signalling, indicating that they 613 may contribute to disease development. Using epigenetic editing, we provided the first evidence of the functional role of aberrant DNA methylation in driving interferon signalling in 614 615 COPD (Prada, et al., 2023), highlighting the potential of cell-type resolved epigenetic profiling 616 in identifying epigenetic drivers of disease phenotypes.

617 Little is known about the correlation of DNA methylation with disease severity, trajectories, or progression. DNA methylation changes in 13 genes have been identified in the lung tissue of 618 COPD GOLD I and II patients compared to non-smoker controls (Casas-Recasens, et al., 2021). 619 620 WGBS data from our group revealed that genome-wide DNA methylation changes are present in lung fibroblasts from COPD GOLD I patients (mild COPD) compared to controls with matched 621 622 smoking status and history (Schwartz, et al., 2023), demonstrating that epigenetic changes 623 occur already in mild disease. Some of these changes "progressed" with disease severity. However, another study found distinct DNA methylation signatures between mild and severe 624 COPD patients, indicating a possible non-linear relation between DNA methylation and 625 626 disease development (Casas-Recasens, et al., 2021).

627 These initial studies demonstrate that DNA methylation may provide a sensitive biomarker for COPD detection and patient stratification. Carefully designed longitudinal studies in larger 628 cohorts are needed to validate these results obtained with the small number of samples and 629 uncover the details of the epigenetic changes associated with different COPD subtypes and 630 disease trajectories. The combination of epigenetic profiling with other NGS-based omics 631 approaches (e.g. proteomics, metabolomics, transcriptomics, radiomics) holds promise for 632 the Identification of COPD subtypes driven by common pathological mechanisms (endotypes) 633 634 that are key to the development of curative therapies for COPD (Brian D. Hobbs, et al., 2023; Olvera, et al., 2024; Polverino & Kalhan, 2023). 635

#### 636 **7.3.** Remodelling of DNA methylation patterns in pulmonary fibrosis.

Lung fibrosis is caused by an aberrant lung tissue repair process characterised by excessive 637 638 deposition of extracellular matrix and proliferation of fibroblasts (Lederer & Martinez, 2018). Among fibrotic lung disease, Idiopathic pulmonary disease (IPF) is an incurable lung disease, 639 640 affecting 5 million people worldwide, with increasing prevalence and healthcare burden (Martinez, et al., 2017). It is a devastating disease leading to irreversible scarring of the lung, 641 progressing breathing difficulties, and ultimately death 3-5 years after diagnosis (Lederer & 642 Martinez, 2018; Raghu, et al., 2018). Despite the discovery of genes predisposing to IPF (Allen, 643 et al., 2017), the molecular mechanisms involved in the initiation, development, and 644 progression of IPF are unknown. Considering that most known risk factors for IPF, including 645 646 age, cigarette smoke exposure, and male sex are associated with DNA methylation changes, 647 DNA methylation is likely to play a role in IPF development. Changes in DNA methylation have been identified in lung tissue (Rabinovich, et al., 2012; Sanders, et al., 2012; Yang, et al., 2014) 648 and fibroblasts (Huang, et al., 2014; Lee, et al., 2019) from IPF patients, providing the first 649

evidence of dysregulated epigenetic signalling in IPF. Yet, comprehensive profiling of epigenetic dysregulation in IPF has not been carried out.

Previous gene-specific studies using cellular models or lung tissues of IPF patients identified altered DNA methylation and expression of several genes important to IPF pathology. These include fibrogenic or anti-fibrotic genes, like Thy-1 antigen (THY1), Prostaglandin E receptor 2 (PTGER2), Caveolin 1 (CAV1),  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA), Phosphatase and tensin homolog (PTEN), chemokine IP-10 and cyclin-dependent kinase 4 inhibitor B (CDKN2B), where a change of DNA methylation was associated with altered gene expression (Huang, et al., 2010; Sanders, et al., 2017; Sanders, et al., 2008; Scruggs, et al., 2018).

659 Several studies investigated DNA methylation changes using more comprehensive approaches (Table 3). Using low-coverage arrays (focusing on promoters), three pioneering studies 660 provided evidence of widespread dysregulation of DNA methylation in IPF lung tissue and its 661 association with gene expression changes (Rabinovich, et al., 2012; Sanders, et al., 2012; Yang, 662 663 et al., 2014). The most comprehensive of the three examined 4.6 million CpG sites and 664 identified 2,130 significant DMRs, 870 of which were associated with differentially expressed genes. (Yang, et al., 2014). DNA methylation changes were predominantly located in gene 665 666 bodies and CpG island shores, with only 10% located in gene promoters (and outside CpG islands). IPF methylomes also did not exhibit hypomethylation of retrotransposons 667 668 (Rabinovich, et al., 2012), suggesting that methylome features in IPF may differ from typical 669 changes characteristic of cancer cells.

These studies profiled whole lung tissue and hence did not account for epigenetic differences
in individual cell types. This was addressed by subsequent studies that identified alterations
in DNA methylation in IPF using purified lung cells, including parenchymal fibroblasts (Huang,

673 et al., 2014; Lee, et al., 2019) and alveolar macrophages (AM) (McErlean, et al., 2021). The 674 most recent one profiled AM isolated from bronchioalveolar lavage (BAL) of IPF patients and controls using Illumina EPIC array (McErlean, et al., 2021). The authors identified epigenetic 675 heterogeneity as a key feature of AM in IPF, mirroring transcriptional heterogeneity of AM 676 677 identified in single-cell RNA sequencing studies and confirming earlier results from IPF fibroblasts (Huang, et al., 2014). One challenge of DNA methylation studies in complex 678 diseases like IPF is their heterogeneous nature, as different stages of the disease might have 679 680 different epigenetic landscapes and even regions with active disease may differ from the areas with established fibrosis within the same lung. Indeed, hypermethylation of the THY1 681 promoter was observed in fibroblasts within active fibroblastic foci, but not in dense, fibrotic 682 683 areas, indicating the temporal and spatial regulation of DNA methylation in IPF (Sanders, et al., 2008). In addition, rejected donor lungs are often used as controls for IPF studies as 684 685 obtaining an age-matched normal cohort is challenging. As these tend to be younger; some 686 of the identified changes may be due to ageing as the DNA methylation landscape changes with age and the chronological age can be quantified by DNA methylation patterns (Horvath 687 688 & Raj, 2018).

Enrichment of DNA methylation changes outside promoters and CpG islands makes inferring their functional relevance more difficult, as complex interactions exist between epigenetic mechanisms, chromatin structure, nuclear architecture, and gene expression. Functional studies are urgently needed to evaluate the role of identified alterations in disease pathology and to understand the interaction between genetic predisposition and epigenetic regulation in IPF.

695 Overall, DNA methylation profiling studies provide strong evidence that widespread 696 alterations in DNA methylation are associated with the dysregulation of genes important in 697 the pathogenesis of IPF in the lung.

#### 698 **7.4.** Alterations of DNA methylation patterns in asthma.

Asthma is the most common chronic lung disease, affecting around 300 million people 699 700 worldwide (Porsbjerg, et al., 2023). It is characterised by variable respiratory symptoms and 701 reversible airway obstruction. In contrast to COPD and IPF, for which age is a significant risk 702 factor, asthma can affect people of all ages. There is strong evidence indicating that the 703 aetiology of asthma, as well as its clinical course results from complex interactions between 704 host genotype and environmental exposures (Bonnelykke & Ober, 2016; Melen, et al., 2022). 705 Early-life exposures, including intrauterine exposure to cigarette smoke, viral infections or 706 maternal diet are known risk factors, highlighting the important role of environmental 707 exposures in asthma pathology. Among chronic lung diseases, asthma is the one with the 708 best-established association with epigenetic dysregulation, as altered DNA methylation 709 patterns were associated with allergy, and atopy in both children and adults.

A few selected examples illustrating the contribution of dysregulated DNA methylation to asthma are discussed below (**Table 4**), but the reader is encouraged to refer to (Edris, et al., 2019; Legaki, et al., 2022; Sheikhpour, et al., 2021) for recent systematic reviews of asthmaassociated DNA methylation changes in a variety of relevant clinical samples. Several genes and CpGs with altered DNA methylation have been suggested as potential asthma biomarkers. Among the most stably replicated were: interleukin 5 receptor subunit alpha (IL5RA), eosinophil peroxidase (EPX), SMAD3 family member 3 (SMAD3) and RUNX family transcription

factor 3 (RUNX3) (Cardenas, et al., 2019), key genes involved in T cell maturation, Th2
immunity and asthma pathology.

719 Two large-scale meta-analyses investigated the association of DNA methylation changes in 720 blood to childhood asthma (Reese, et al., 2019; C. J. Xu, et al., 2018). Using data from more 721 than 5000 children from six European cohorts, Xu and colleagues (2018) reported reduced 722 DNA methylation at 14 specific CpG sites, strongly associated with childhood asthma (C. J. Xu, 723 et al., 2018). The effects were strongly driven by lower DNA methylation within eosinophils, 724 demonstrating the key role of this cell population as an epigenetic contributor to childhood asthma. In turn, Rheese et al. (2019) identified 9 CpGs and 35 regions differentially methylated 725 in newborn blood that may be potential biomarkers of risk for asthma development (Reese, 726 727 et al., 2019).

DNA methylation profiling of airway epithelial cells in adult asthmatics was used to identify 728 epigenetic signatures of distinct disease endotypes, reflecting key components of asthma 729 730 pathogenesis: airway remodelling, eosinophilia and nitride oxide (NO) response (Nicodemus-731 Johnson, et al., 2016). The authors concluded that DNA methylation profiles constitute a more 732 stable disease biomarker than transcriptional signatures (Nicodemus-Johnson, et al., 2016). A 733 recent study in whole blood characterised the complete genome-wide DNA-methylation patterns associated with childhood asthma using WGBS (Thurmann, et al., 2023). It reported 734 735 a prominent loss of DNA methylation (hypomethylation) affecting predominantly enhancer 736 elements, associated with key immune genes involved in asthma pathology, demonstrating 737 the value of unbiased, high-resolution DNA methylation profiling.

The nasal epithelium is a promising tissue for studying DNA methylation changes related to asthma, as demonstrated in several genome-wide studies (**Table 4**) (Cardenas, et al., 2019;

Forno, et al., 2019; X. Zhang, et al., 2018). Interestingly, many asthma-associated CpG sites 740 741 discovered in whole blood replicated in nasal epithelial cells, probably reflecting common inflammatory processes (Cardenas, et al., 2019). While genes associated with DNA 742 methylation changes in blood samples were involved inflammatory mediators, these 743 744 identified in nasal cells also included many proteins of extracellular matrix and membrane proteins. Nasal epithelia are easier to access than blood samples and might be a better proxy 745 for pathological changes in airway cells [reviewed in (Solazzo, et al., 2020)]. However, the nasal 746 747 epithelium is still a complex material containing several cell types, hence some of the obtained profiles may reflect differences in cell type composition of diseased and not diseased samples. 748 Indeed, after cell-type adjustment, many effects were markedly reduced (Cardenas, et al., 749 2019). 750

#### 751 8. Occupational exposures modify DNA methylation and the risk of diseases

752 The association of DNA methylation changes with adverse health effects caused by exposure to environmental and occupational toxicants (e.g., particulate matter, cigarette smoke, diesel 753 754 exhaust fumes, ozone, silica, coal dust, asbestos and a variety of other toxic chemicals and metals, among others) is well documented in experimental and epidemiological studies [see 755 (Martin & Fry, 2018; Nwanaji-Enwerem & Colicino, 2020) for comprehensive general reviews]. 756 757 Animal models provide emerging evidence that occupational exposures may affect not only 758 the lungs but also other organs, including the brain and are associated with epigenetic 759 dysregulation and neurodegeneration (Shoeb, et al., 2020). Notably, some environmentally induced epigenetic changes may even be heritable across generations (Anway, et al., 2005; 760 Greger, et al., 1989), potentially contributing to familial aggregation of chronic diseases. 761 762 Hence, it is not surprising that occupational exposures not only increase the risk of developing

chronic lung diseases, including COPD, IPF and asthma but also lead to disease exacerbations,
as documented in population association studies as well as animal models [see (Dao &
Bernstein, 2018; Gandhi, et al., 2024; Murgia & Gambelunghe, 2022; Walters, 2020) for
reviews].

Due to the strong association between epigenetic changes and exposures, DNA methylation has the potential to serve as a measurable biomarker of exposure to occupational or toxic agents and a possible mediator of exposure effects, leading to the development of the concept of "toxicomethylomics" (Szyf, 2011) [or "toxicoepigenetics" in general]. However, several limitations need to be considered before alterations in DNA methylation can be used as biomarkers for environmental or occupational exposures (Svoboda, et al., 2022).

773 One of the main challenges in environmental epigenetic toxicology is the complex interaction 774 between various environmental factors, diet and hormone signalling, which are all associated 775 with DNA methylation changes. For example, a high-fat diet may change the susceptibility of 776 the lung response in individuals exposed to specific occupational exposures, as documented 777 in animal models (Antonini, et al., 2019). Another important consideration is the time and 778 duration of exposure. Because changes in DNA methylation can persist for years, as 779 highlighted above for cigarette smoke, it is difficult to establish a causal relationship between 780 the initial exposure and the development of a given disease, as there could be a substantial 781 lag between the two. In addition, due to the unavailability of the disease-relevant tissue, most 782 methylation-based biomarkers of environmental/occupational exposures were derived using 783 blood, often with limited representation of different ethnicities in the studied populations. 784 Therefore, there may be limited translatability of blood biomarkers to other populations or to 785 target organs.

The establishment of prospective and ethnically diverse cohorts, with longitudinal analysis of 786 787 different sample types is required to advance epigenetic toxicology research. There is an urgent need for the inclusion of detailed nutritional and environmental assessments in the 788 studies to better characterise the samples and account for complex exposures (the exposome) 789 790 and interactions. Sex is a well-known factor in the development of chronic lung diseases, hence sex-based differences in DNA methylation should also be investigated because they may 791 influence the response to environmental exposure (Huen, et al., 2014) and mediate disease 792 793 risk.

794 A key research priority is to determine whether environmentally induced epigenetic 795 alterations may have a causative effect on disease development. For this, the functional 796 implication of the identified changes needs to be investigated in experimental models using 797 innovative technologies, like epigenetic editing described above. Mechanistic understanding of how environmental/occupational exposures impact the epigenome to cause/exacerbate 798 799 chronic lung diseases may enable the development of better screening assays and inform 800 policies for the safety assessment of agents and occupational hazards, as well as open new 801 avenues for non-invasive biomonitoring and prevention strategies.

#### 802 9. Evolving concepts in DNA methylation

Genome-wide DNA profiling studies provided strong evidence of dysregulated DNA methylation in response to smoke exposure and chronic lung diseases, including COPD, IPF, asthma and others. Interestingly, they found that most differentially methylated regions/sites are located outside gene promoters and are not enriched in CpG islands, as previously reported in cancer (Jones, 2012). Instead, an enrichment in gene bodies, CpG islands shores, intergenic regions and distal enhancers have been observed (Hoang, et al., 2024; Prada, et al.,

2023; Rabinovich, et al., 2012; Schwartz, et al., 2023; Thurmann, et al., 2023).
Hypomethylation of enhancer regions emerges as a key feature in COPD (Prada, et al., 2023;
Schwartz, et al., 2023) and asthma (Thurmann, et al., 2023), indicating that DNA methylation
at enhancers may play a pivotal role in the pathogenesis of chronic lung diseases.

DNA methylomes from chronic lung diseases also did not exhibit hypomethylation of retrotransposons typically observed in cancer cells (Rabinovich, et al., 2012). These observations highlight the differences in the DNA methylation landscape between cancer and chronic lung diseases, indicating disease specificity despite shared risk factors. They also evidence that genome-wide methods (like WGBS) with more comprehensive coverage are urgently needed to characterise the DNA methylation landscape of chronic lung diseases.

Another important observation came from the investigations of the correlation between DNA 819 methylation and gene expression. As DNA methylation is a repressive epigenetic signal, 820 methylation changes are often expected to be inversely correlated with gene expression 821 822 (hypomethylation with increased expression and hypermethylation with repression, 823 respectively). Hence, a positive correlation between gene expression and DNA methylation was previously considered contradictory. With the recent high-resolution mapping of DNA 824 825 methylation and gene expression across multiple tissues and cells, it has become increasingly clear that DNA methylation has complex and context-dependent roles. The canonical negative 826 827 correlation is mostly observed in gene promoters (Weber, et al., 2007), although examples of 828 positive correlation were also observed (Prada, et al., 2023; Spainhour, et al., 2019). The 829 mechanism can be explained by the recruitment and binding of transcriptional repressors that show higher affinity to methylated DNA (so-called methyl Plus TF) or by DNA methylation 830 inhibiting the binding of activating complexes [reviewed in (Greenberg & Bourc'his, 2019)]. In 831

turn, DNA methylation in gene bodies is associated with high gene expression (Lister, et al.,
2009; Varley, et al., 2013). There, it prevents spurious transcription activation from alternative
promoters, contributing to higher gene expression efficiency. The varied role of DNA
methylation across different genomic regions has important implications for understanding
the role of altered DNA methylation in disease, as its effects will be context and location
dependent.

Finally, with the availability of genome-wide methylome across tissues and disease states, it 838 839 became clear that methylome studies from complex tissues need to be interpreted cautiously, 840 as cellular heterogeneity is an important confounder in DNA methylation (also in gene expression studies) (Houseman, et al., 2015; Teschendorff & Zheng, 2017). For example, whole 841 blood comprises at least 7–8 main cell types, while human lung tissue contains 58 different 842 843 cell types (Adams, et al., 2023), each with a unique DNA methylation pattern. Hence, cellular composition variations between tested sample groups (e.g., due to disease process) can 844 845 confound DNA methylation analyses. Indeed, it has been demonstrated that the observed 846 DNA methylation effects (e.g. positive associations in EWAS) can often result from an inflated signal due to a shift in cell type proportions between the cases and controls (Jaffe & Irizarry, 847 2014). Similarly, a recent study provided strong evidence that differences in the proportion of 848 849 naïve and differentiated subsets of CD4+ and CD8+ T cells is a key factor contributing to DNA methylation variation in the blood that may mediate associations between DNA methylation 850 851 and environmental exposures (Bergstedt, et al., 2022). Therefore, validation in purified cell 852 populations or deconvolution of DNA methylation data to adjust for cell type proportions should be a prerequisite for epigenetic association analysis. A variety of deconvolution 853 strategies and statistical methods have been developed and systematically evaluated (Jeong, 854 855 et al., 2022; Song & Kuan, 2022) [refer to (Teschendorff & Zheng, 2017; Titus, et al., 2017) for

a critical review of available approaches]. In general, deconvolution can be performed 856 857 reference-free, using reference methylomes from the cell types of interest (Teschendorff & Zheng, 2017) or more recently using single-cell RNA seq data (Teschendorff, et al., 2020; Zhu, 858 et al., 2022). To enable further progress, we need to generate high-resolution genome-wide 859 860 reference epigenetic profiles of healthy and diseased lung cells isolated from well-clinically characterised biomaterials. The recent development of novel workflows based on tissue 861 cryopreservation could enable the biobanking of viable lung tissue for future cell isolation and 862 863 profiling (Llamazares-Prada, et al., 2021; Pohl, et al., 2023). In addition, several novel technologies have recently been developed to map DNA methylation and other epigenetic 864 modifications with single-cell and even spatial resolution (Ahn, et al., 2021; Baysoy, et al., 865 2023). Although not mature yet and applied to selected contexts only, further development 866 of such technologies will enable the investigation of epigenetic modifications with single-cell 867 868 and spatial resolution, as is already the case for transcriptomic research.

#### **10. Mechanism of epigenetic changes in chronic lung diseases.**

Despite substantial progress in mapping epigenetic alterations in chronic lung diseases, the molecular mechanisms leading to their establishment are largely unknown. Due to the vital role of DNMTs and TET enzymes, their recruitment and activity in cells need to be tightly controlled. Several models have been proposed to explain how specific DNA methylation patterns are established and edited in cells [reviewed in (Jurkowska & Jurkowski, 2019)]. They highlight the key role of protein partners and chromatin modifications as well as the contribution of the inherent properties of the enzymes themselves.

877 Changes in DNA methylation at specific genomic regions can result from the altered 878 expression, localisation or activity of the enzymes that set (DNA methyltransferases) or

remove (TET enzymes) the methylation marks. In addition, DNA methylation cross-talks to other epigenetic layers, therefore, changes in histone modifications and chromatin structure will affect DNA methylation and vice versa. Numerous examples of all these mechanisms have been documented across different tissues and disease states, but little is known about them in the context of chronic lung diseases.

884 Overexpression of DNA methyltransferases (or their splicing isoforms) has been observed in multiple cancers, including lung cancer. Altered expression of DNMT1, DNMT3A, DNMT3B 885 886 and MeCP2 (methylated DNA reader) were reported in IPF lung tissue and fibrotic lungs of 887 bleomycin-treated mice (Sanders, et al., 2012; Wei, et al., 2022) and in response to cigarette smoke condensate in cells (F. Liu, et al., 2010). These selected examples indicate that 888 transcriptional dysregulation of the writers, readers and erasers of DNA methylation occurs 889 890 also in chronic lung diseases, providing a first mechanistic link for the observed global alterations in the DNA methylation landscape reported in profiling studies. However, the 891 892 molecular mechanism of how altered expression of epigenetic factors is established by 893 environmental exposures remains unknown.

#### 894 **10.1.** How are DNA methyltransferases and TET recruited?

In addition, DNMTs and TETs get recruited via interactions with specific chromatin modifications, transcription factors or other protein partners [reviewed in (Jurkowska & Jeltsch, 2022a)].

Chromatin modifications emerged as one of the key mechanisms for recruiting DNA methyltransferases to specific genomic regions. All human MTases possess specific reading domains in their N-terminal domains that can directly recognise and bind specific histone modifications, bringing the MTase activity to specific genomic regions. For example, DNMT3A

and DNMT3B methyltransferases interact via their ATRX-DNMT3-DNMT3L (ADD) domains 902 903 with histone H3 tails unmodified at Lysine 4 (Otani, et al., 2009; Y. Zhang, et al., 2010). Notably, H3 binding allosterically activates the enzyme, stimulating methylation of the neighbouring 904 DNA (Guo, et al., 2015). This is a beautiful example of the cooperation between different 905 906 epigenetic signals, whereby one epigenetic modification can lead to the establishment of another mark in the same region. Conversely, H3K4me4, which marks active gene promoters, 907 blocks the binding of DNMTs, preventing DNA methylation of these active regions and keeping 908 909 them open to transcriptional machinery. These are just two selected examples illustrating the important role of chromatin modifications in the recruitment and regulation of DNA 910 methyltransferase and demethylase machinery, for more details about the chromatin 911 912 interaction of DNMTs, the reader is referred to a recent review (Jurkowska & Jeltsch, 2022a).

913 Numerous protein partners that can recruit epigenetic enzymes to specific genomic regions and directly modulate the activity have been identified (Jurkowska & Jeltsch, 2022a). For 914 915 example, MeCP2, a methyl-binding protein that can recognise methylated CpG sites, directly interacts with and inhibits the activity of DNMT3A methyltransferase via an allosteric 916 mechanism (Rajavelu, et al., 2018). Similarly, UHRF1, which is required for efficient 917 maintenance of DNA methylation during DNA replication, was shown to both recruit DNMT1 918 maintenance MTase to the replicating chromatin and at the same time to stimulate the activity 919 of the enzyme (Bashtrykov, et al., 2014), providing another example of the multifaceted 920 921 regulatory mechanism controlling the activity and localisation of these important enzymes.

Finally, environmental exposures may influence the activity of epigenetic enzymes by regulating the availability of their required cofactors. For example, TET demethylases and the histone lysine demethylating (Jumanji) proteins are oxygen-dependent enzymes. Thus, oxygen

levels can directly influence their catalytic activity, which is particularly relevant in chronic lung
diseases often associated with hypoxia. Reduced TET activity due to hypoxia led to DNA
hypermethylation of gene promoters in cancer (Thienpont, et al., 2016). Hence, hypoxia
emerges as one of the regulators of DNA methylation patterns in disease. Similarly, levels of
methyl cofactors for DNA and histone methylation (SAM) can be regulated by the availability
of folate, coupling metabolism and diet to epigenetic regulation.

#### 931 10.2. How do altered DNA methylation patterns translate into biological effects?

932 How altered DNA methylation patterns translate into biological effects in lung cells is currently unclear. DNA methylation in regulatory regions (e.g. promoters, enhancers, insulators) can 933 modulate the binding of transcriptional activators and repressors to DNA (Stadler, et al., 2011). 934 935 Indeed, DNA methylation within the binding sequence of a transcription factor (TF) can 936 directly prevent their binding (these are so-called Methyl minus TF), leading to the loss of their function at the methylated regions. Conversely, methylation of DNA may enhance the binding 937 938 of selected TFs (Methyl plus TF), providing a potential gain of function mechanism for downstream signalling (Yin, et al., 2017). In addition, DNA methylation (together with 939 940 repressing histone modifications) usually leads to chromatin compaction, making DNA inaccessible to transcriptional machinery. An elegant example of the prominent role of DNA 941 methylation in contributing to lung disease risk was recently provided by Helling and 942 colleagues (Helling, et al., 2017), who investigated the molecular mechanism behind the 943 944 mucin 5B (MUC5B) promoter variant (rs35705950), the largest genetic risk factor for IPF 945 development. They revealed that the variant is associated with regional changes in DNA methylation of an enhancer element and MUC5B expression, which may be mediated by the 946 transcription factor FOXA2 (Helling, et al., 2017). 947

Of note, whole-genome DNA methylation or chromatin accessibility profiling (e.g. by assay for 948 949 transposase-accessible chromatin with sequencing (ATAC-seq)) allows for inferring transcriptional regulators potentially mediating (or responding to) the epigenetic alterations 950 in regulatory regions (Stadler, et al., 2011). Our recent WGBS methylation study in fibroblasts 951 952 reported a significant enrichment of binding sites for TCF21 and FOSL2/FRA2 transcription 953 factors in the differentially methylated regions overlapping with strong enhancers in COPD lung fibroblasts, identifying them as potential disease regulators and mediators of epigenetic 954 955 changes in cells (Schwartz, et al., 2023). However, follow-up studies directly footprinting the binding of TF in healthy and diseased cells (e.g. by chromatin immunoprecipitation with 956 sequencing (ChIP-seq)) combined with their genetic manipulation (e.g knockdown or 957 958 overexpression) are needed to unravel the mechanisms of epigenetic regulation in response to DNA methylation alteration. 959

#### 960 **11. Epigenetic mechanisms as potential therapeutic targets – promises and challenges.**

Unlike disease-associated genetic mutations, epigenetic alterations are reversible and hence 961 962 constitute attractive intervention targets. Epigenetic-based therapies aim to restore the normal epigenetic state and reverse aberrantly activated or silenced genes. The main promise 963 of this approach is that it targets the epigenetic regulatory layer itself, hence correcting gene 964 965 expression dysregulation at its source without making changes to the underlying genetic sequence. With the fine mapping of specific epigenetic alterations in human diseases and the 966 967 advancement in mechanistic understanding of epigenetic enzymes, came the idea that epigenetic factors may provide useful targets for clinical applications. All three types of 968 epigenetic proteins—writers, readers, and erasers—are in principle druggable and can be 969 970 targeted through small-molecule inhibitors.

Cancer is the best-known example of a disease with a demonstrated benefit of epigenetic 971 972 modulation as a therapeutic approach. Several small-molecule inhibitors targeting histoneand DNA-modifying enzymes (e.g. DNA methyltransferases, histone deacetylases and histone 973 methyltransferases) have been developed. Eight have been approved by the Food and Drug 974 975 Administration (FDA). Seven are currently used in clinics, five for the treatment of hematologic 976 malignancies and two for solid tumors (Feehley, et al., 2023) (Table 5). Many others are widespread in clinical trials for malignancies and other diseases (Feehley, et al., 2023; 977 978 Ganesan, et al., 2019; X. Yu, et al., 2024).

#### 979 11.1. Targeting DNA methyltransferases

980 DNA methyltransferases can be inhibited by targeting different parts of the catalytic pocket 981 (e.g. DNA binding site, SAM cofactor binding sites or both) or by targeting the allosteric 982 regulatory regions outside the enzymatic domain. The field of epigenetic-based therapy was pioneered by Peter Jones with the development of 5-azacytidine and 5-aza-deoxycytidine 983 984 (decitabine) (Jones & Taylor, 1980), the first two DNA methyltransferase inhibitors (DNMTi) 985 approved for the treatment of haematological cancers. 5-azacytidine (and its analogues) get 986 incorporated into the DNA and form an irreversible covalent complex with DNA methyltransferases, often referred to as a suicidal complex. This leads to the degradation of 987 DNMTs in cells, loss of DNA methylation and re-expression of tumour suppressor genes 988 previously silenced by DNA methylation. However, despite their efficacy, both drugs have poor 989 990 stability, low bioavailability, lack selectivity and are associated with relatively high toxicity, limiting their clinical utility (Ganesan, et al., 2019; Ma & Ge, 2021). Later, other modalities, 991 for example, the methyl donor cofactor (SAM) analogues were also introduced to inhibit DNA 992 methyltransferases without inducing DNA damage. Today, many derivatives of these initial 993 994 compounds with improved stability and pharmacokinetics have been developed (e.g.

Guadecitabine, SGI-110) and are tested in clinical trials but are not yet in clinical practice
(Feehley, et al., 2023; Ganesan, et al., 2019; X. Yu, et al., 2024). Further development led to
the idea of combination treatments, where DNMT inhibitors could be combined with
additional epigenetic or antitumor drugs, resulting in higher efficacy (Hu, et al., 2021).

999 **11.2.** Targeting histone-modifying enzymes

1000 Another class of epigenetic drugs used in oncology targets histone-modifying enzymes, with 1001 the most prominent example of histone deacetylase inhibitors (HDACis). HDACi block histone 1002 deacetylases (HDAC), enzymes that remove acetyl groups from lysine residues on histones, leading to chromatin compaction, and gene silencing. HDACis counteract the abnormal 1003 1004 acetylation exhibited by cancer cells, leading to gene reactivation (Falkenberg & Johnstone, 1005 2014). One of the mechanisms of HDACis is the activation of apoptosis pathways that impede 1006 the growth and survival of tumour cells (Matthews, et al., 2012). To date, several generations of HDAC inhibitors with various chemistries have been developed, most of which act by 1007 1008 binding the zinc cation in the HDAC active site. Five have been approved by the FDA 1009 (vorinostat, belinostat, romidepsin, tucidinostat and panobinostat) for the treatment of 1010 various indications, mostly haematological neoplasms (Bondarev, et al., 2021). Among them, 1011 vorinostat and romidepsin were the first approved epigenetic drugs that targeted modifications of histones (Ganesan, et al., 2019). 1012

1013 Interestingly, the utility of epigenetic therapy is also actively investigated in the context of viral 1014 infections aiming to reactivate epigenetically silenced latent viruses (e.g., human 1015 immunodeficiency virus (HIV1) or human cytomegalovirus (HCMV)) to enable their 1016 eradication by immune cells or antiviral therapy. Several Phase I/II clinical trials have been

1017 conducted to evaluate the effect of HDACi (and other epigenetic drugs) in the reactivation of
1018 HIV1 viral latency (Nehme, et al., 2019).

Like DNMTi, the pharmacokinetic profile of HDACi is not optimal, and they display off-target effects due to non-selective metal binding, hence the search for more specific next-generation HDACi continues. As epigenetic processes are interconnected, combining several epi-drugs might be a promising approach to epigenetic therapy. A novel strategy addressing the low selectivity and efficacy of epigenetic inhibitors is the use of bifunctional compounds. This approach involves the design of inhibitors with dual specificity which can be achieved by linking two (or more) active moieties in a single drug.

#### 1026 **11.3.** Targeting epigenetic readers

1027 Epigenetic writers and erasers are not the only classes of druggable epigenetic factors. The third group of potential targets contains the epigenetic reading domains, which bind 1028 1029 epigenetic modifications and translate them into biological signals. Unlike inhibiting the 1030 catalytic activity of epigenetic enzymes, inhibitors of epigenetic readers (e.g. histone binding 1031 modules) typically disrupt protein-protein interactions. Two seminal studies in 2010, provided 1032 an elegant proof of concept for this approach with the development of bromodomain 1033 inhibitors JQ1 (Filippakopoulos, et al., 2010) and the synthetic compound I-BET (Nicodeme, et al., 2010). Bromodomains are widespread epigenetic reading modules present in more than 1034 1035 40 human chromatin proteins that specifically recognise acetylated lysines in histones (and 1036 other proteins). I-BET showed potent anti-inflammatory effects by inhibiting the binding of 1037 bromodomain-containing Bromodomain and Extra Terminal domain (BET) proteins to acetylated histones, thereby disrupting chromatin complexes essential for the expression of 1038 key inflammatory genes (Nicodeme, et al., 2010). 1039

1040 In addition, epigenetic drugs have been successfully tested in combination with 1041 chemotherapy, radiotherapy, hormone therapy, anti-angiogenic therapy, or immunotherapy 1042 [reviewed in (Morel, et al., 2020)], indicating that beyond their potential as monotherapies, 1043 they could have significant synergistic roles with other anticancer therapies.

1044 In the context of lung diseases, lung cancer is not the only therapeutic area that could benefit 1045 from such epigenetic interventions, as many chronic lung diseases, including COPD, asthma, 1046 and lung fibrosis, have been associated with epigenetic and transcriptional dysregulation (as 1047 described above). Several studies provided initial evidence supporting the potential benefit of 1048 targeting epigenetic processes in lung diseases. A few examples are discussed below.

Treatment with a combination of DNA methyltransferase inhibitor and trichostatin A, an HDAC
inhibitor, reduced the mortality rate, lung inflammation and lung injury in a lipopolysaccharide
(LPS)-induced mouse model of acute lung injury (ALI) (Thangavel, et al., 2014). Targeting
DNMT1/DNMT3a and the peroxisome proliferator-activated receptor-γ (PPAR-γ) axis with 5aza led to demethylation of the PPAR-γ promoter, restored PPAR-γ expression, and alleviated
lung fibrosis (Wei, et al., 2022).

1055 Although epigenetic therapy is a promising strategy for the treatment of cancers and other 1056 lung diseases in the future, important challenges remain to be solved. Despite their use in 1057 clinics, epigenetic drugs demonstrate poor pharmacokinetics and low tolerability, mainly due 1058 to their lack of genomic and cell-type specificity. Hence, novel approaches with increased 1059 precision are needed to advance the epigenetic therapy field.

#### 1060 **11.4.** Epigenetic editing

1061 Multiple EWAS studies identified DNA methylation alterations associated with lung function, 1062 smoke exposure or chronic lung diseases, suggesting that epigenetic signalling may play a

1063 pathogenic role in lung disease development. However, the key limitation of the EWAS studies 1064 (and most current epigenetic studies in basic research) is that they provide only correlative data, and do not allow the establishment of the causal link between environmental exposure 1065 (e.g. smoking), altered DNA methylation and the observed phenotype. Thus, currently, we do 1066 1067 not know which epigenetic alterations are the cause and which are the consequence of the disease process. Therefore, it remains unclear whether epigenetic mechanisms can be targets 1068 for novel therapeutic approaches for lung regeneration. With the advent of designer 1069 1070 epigenetic editing technologies, we may finally be able to address that challenge and provide direct evidence for the pathogenic role of DNA methylation in disease development. 1071

1072 Epigenetic editing is an exciting new technology to revert epigenetic modifications at a genomic region of interest [reviewed in (Jeltsch, et al., 2007; Jurkowski, et al., 2015; 1073 1074 Nakamura, et al., 2021)]. It employs a programable DNA targeting domain fused to an epigenetic effector domain, which can be specifically targeted to a desired gene to change its 1075 1076 epigenetic state, and consequently its expression (Figure 7). Upon delivery into target cells, 1077 the epigenetic programmer is recruited to the target region (e.g. gene promoter or enhancer) 1078 via sequence-specific interaction of the DNA-binding domain, enabling the co-delivery of the fused epigenetic domain (e.g., DNA methyltransferase, demethylase or transcriptional 1079 regulator). The epigenetic effector domain will then exert its enzymatic (or transcriptional) 1080 1081 activity, leading to gene activation or silencing.

Several different DNA binding platforms have been engineered to enable locus-specific targeting of epigenetic domains. These include the modular zinc-finger (ZF) transcription factors (Wolfe, et al., 2000), transcription activator-like effectors (TALEs) (Boch, et al., 2009) and more recently the catalytically inactive Cas9 (dCas9) nuclease (Qi, et al., 2013) from the RNA-directed clustered regulatory interspaced palindromic repeats (CRISPR) system [reviewed in (Jurkowski, et al., 2015)]. dCas9 is the most exciting addition to the epigenetic
targeting toolbox due to the simplicity of its target design, as directing Cas9 to a specific
genomic location only requires designing a guide RNA (gRNA) specific for the desired target
(Hsu, et al., 2014).

1091 A variety of epigenetic effector domains for efficient engineering of transcriptional states in living cells or organisms have been designed and validated [reviewed in (Lau & Suh, 2018; 1092 Nakamura, et al., 2021)]. These range from transcriptional activators (e.g. VP64 (Perez-Pinera, 1093 1094 et al., 2013) or tripartite VPR (Chavez, et al., 2015)) or repressors (e.g. KRAB), through epigenetic enzymes or their isolated domains (e.g. DNA methyltransferases, demethylases or 1095 histone modifying enzymes) or even more complex fusions consisting of multiple functional 1096 1097 domains joined by a linker (e.g. engineered Dnmt3a-Dnmt3L (Stepper, et al., 2017) or Dnmt3a-1098 KRAB fusion proteins).

1099 Targeting DNA methyltransferases (or demethylases) to specific genomic loci provides unique 1100 tools to investigate the causal role of DNA methylation of gene expression and explore the potential role of epigenetic editing for epigenetic therapy. The first proof of concept for this 1101 approach was provided by a pioneering study by Xu and Bestor in 1997 (G. L. Xu & Bestor, 1102 1103 1997). Since then, epigenetic editing has been successfully used to study program epigenetic 1104 states in different cells (Chavez, et al., 2015; X. S. Liu, et al., 2016; Saunderson, et al., 2017; Song, et al., 2017) and pre-clinical mouse models (Horii, et al., 2020; Liao, et al., 2017; 1105 Matharu, et al., 2019; Zhou, et al., 2018), offering exciting prospects for future therapeutic 1106 1107 interventions, including lung diseases (D.-D. Wu, et al., 2018).

1108 Epigenetic editing can also further our understanding of the interplay between genetic and 1109 epigenetic disease contributions. Most of the identified disease-associated genetic variations

lie outside protein-coding regions, therefore, they likely affect gene regulation by interplayingwith epigenetic mechanisms.

While currently used mostly as a research tool to investigate the functional relevance of 1112 epigenetic alterations on gene expression and disease phenotypes, precision epigenetic 1113 1114 editing approaches may not be that far from clinical application. Following the historic approval of the first CRISPR-based therapeutics for gene therapy, epigenetic editing is gaining 1115 momentum as well. Several epigenomic modulators are currently in preclinical development, 1116 1117 with the first one already in clinical trials (Table 6). The appealing aspect of epigenetic regulation is that once the altered epigenetic signal is corrected, cellular epigenetic 1118 mechanisms will maintain the new state of the locus, such that it can be inherited through cell 1119 1120 divisions. Hence, theoretically, epigenetic editing could be used as a single, "hit-and-run" 1121 intervention.

1122 The FDA has recently granted an orphan drug designation to OTX-2002, a first-in-class 1123 "epigenomic controller", for the treatment of hepatocellular carcinoma (HCC) (Table 6). OTX-2002 is a messenger RNA (mRNA) molecule encoding ZF-DNMT and ZF-KRAB proteins, 1124 encapsulated in a lipid nanoparticle. It aims to epigenetically inactivate the expression of MYC 1125 1126 oncogene, a master transcription factor regulating cell proliferation, differentiation, and 1127 apoptosis of cancer cells. OTX-2002 is currently in Phase I/II clinical trial (NCT05497453) that 1128 evaluates the safety, tolerability, and preliminary antitumor activity in patients with HCC (ClinicalTrials.gov, 2022; Rodriguez-Rivera, et al., 2023). Although the trial is ongoing and the 1129 results have not been published yet, Omega Therapeutics reported that treatment with OTX-1130 2002 in the first eight patients resulted in intended epigenetic state change and c-MYC 1131 1132 downregulation.

Another precision epigenomic programmer EPI-321, based on catalytically inactive dCas9, is 1133 1134 being developed by Epic Bio. It recently obtained the FDA orphan drug designation as a 1135 treatment for facioscapulohumeral muscular dystrophy (FSHD), the most common form of adult muscular dystrophy. The company plans to start a Phase 1/2 clinical trial of EPI-321 to 1136 1137 assess its safety, activity, and preliminary efficacy in people with FSHD in 2024. Several additional programs at Epic Bio are in the pipeline (https://epic-bio.com/). Excitingly for the 1138 lung field, one seeks to address alpha-1 antitrypsin deficiency, a genetic cause of 1139 1140 COPD/emphysema. An epigenetic programmer (EPIC-341) is being developed to epigenetically suppress the endogenous mutated gene and replace it with an exogenous 1141 functional version of the gene. 1142

#### 1143 **12. Future directions**

1144 Despite the growing recognition of the contribution of DNA methylation (and other epigenetic 1145 mechanisms) to the pathology of lung diseases and substantial progress in mapping DNA 1146 methylation changes across a spectrum of lung conditions, many basic questions still await 1147 answers and future challenges emerge from our current knowledge. How is the aberrant DNA methylation (and other epigenetic modifications) established in response to environmental 1148 insults? How are DNA MTases and TET enzymes targeted and regulated in lung diseases? 1149 1150 Which biological functions play the oxidized forms of 5-methylcytosine (5hmeC, 5fC and 5caC) 1151 in the pathology of lung diseases? Can we use epigenetic editing to repair disease-causing 1152 epigenetic states and target chronic lung diseases? These questions cannot be answered now as lung epigenetic research is still in its infancy, but they will inspire new generations of 1153 scientists to further explore epigenetic regulation in the context of chronic lung disease. 1154

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#### 1159 **Conflict of Interest Statement**

1160 The author has no conflicts of interest related to this publication.

#### 1162 **Figure legend**

#### 1163 Figure 1 Different layers of epigenetic modifications regulating chromatin structure.

1164 Schematic diagram of the main epigenetic mechanisms, including DNA methylation (displayed

as a pattern of methylated – red lollipops and unmethylated – white lollipops CpG sites),

posttranslational modification (PTMs) of the histone tails, long non-coding RNA (IncRNA) and

1167 chromatin remodelling. Different epigenetic modifications recruit specific reader proteins that

elicit biological responses. Methylated DNA (5mC) reader protein and histone PTM reader are

shown as two examples.

1170

#### 1171 Figure 2 Cytosine modifications present in human DNA

Schematic representation of the unmethylated cytosine (C), methylated cytosine (5mC), as well as its oxidised forms 5-hydroxymethylcytosine (5hmC), 5-formylcytosine (5fC) and 5carboxylcytosine (5caC), generated by the TET enzymes. Parts of the figure were adapted from (Ravichandran, et al., 2018) with permission from the Royal Society of Chemistry.

1176

1177 Figure 3 DNA methylation cycle: establishment, maintenance and removal of DNA 1178 methylation

DNA methylation pattern is generated on unmethylated cytosines by DNMT3A and DNMT3B with the help of the stimulatory factor DNMT3L during the development and establishment of germ cells (blue). It is maintained during DNA replication by a maintenance enzyme DNMT1 (orange), which recognises hemimethylated DNA, consisting of a methylated parental strand (red lollipops) and an unmethylated daughter strand (white lollipops) and returns it to its original pattern. DNA methylation can be lost by a passive mechanism when DNMT1 activity
is absent. It can also be actively removed by subsequent oxidation of 5mC to 5hmC, 5fC and
5caC by TET enzymes, followed by the removal of 5fC and 5caC by TDG and base excision
repair (BER) enzymes, leading to the restoration of unmethylated DNA.

1188

#### 1189 Figure 4 The histone code

Selected examples of the most common posttranslational modifications of the N-terminal tails of core histones are displayed as green (acetylation), red (methylation) and yellow (phosphorylation) circles. Numerous additional modifications have been described, refer to the text for details.

1194

#### 1195 Figure 5 Basic principles of epigenetic regulation of gene expression.

Active chromatin is open, decorated with activating epigenetic marks like acetylated histones, is usually devoid of DNA methylation and is permissive for binding of transcriptional activators that enable active gene expression ("ON"). Silenced chromatin is characterised by deacetylated histones, enriched with repressive histone modifications and DNA methylation, which lead to binding of repressor complexes and chromatin compaction. Compacted chromatin prevents the binding of transcriptional activators to regulatory elements and contributes to gene repression ("OFF").

1203

#### 1204 Figure 6 DNA methylation profiling using bisulfite-based methods.

Bisulfite conversion of the isolated DNA from biomaterials enables the analysis of DNA 1205 1206 methylation in each CpG site, as it allows differentiation of methylated and unmethylated cytosines based on their differential modification upon treatment. Unmethylated cytosines 1207 are deaminated to uracils, which get substituted with thymines in the subsequent PCR step. 1208 1209 Methylated cytosines are protected from deamination and remain as cytosines. Converted DNA can then be hybridised to a set of probes on an array (e..g on Illumina Epic array) or used 1210 for next-generation sequencing library preparation, followed by sequencing (RRBS, WGBS). As 1211 1212 a result, differentially methylated probes (DMPs) or differentially methylated regions (DMRs) can be identified and used in biomarker development or further characterised in mechanistic 1213 studies. 1214

1215

Figure 7 Targeted DNA methylation and demethylation using CRISPR-based epigenetic
 editing.

1218 Principle of epigenetic editing for gene activation (top) and gene repression (bottom). A programable DNA targeting domain consisting of the catalytically dead CRISPR/Cas9 (dCas9) 1219 1220 is fused to an epigenetic effector domain, like TET demethylase or DNA methyltransferase 1221 (DNMT). The fusion domain can be targeted by specific guide RNAs (gRNAs) to a desired genomic region (e.g. gene promoter) via recognition of the target sequence. Once targeted, 1222 1223 the epigenetic effector domain can remove (top) or introduce (bottom) DNA methylation 1224 modification, leading to subsequent gene silencing (a) or activation (b). gRNA sequences can be designed to target any desired gene/region and a combination of different epigenetic 1225 1226 effector domains can be used to target different layers of epigenetic regulation.

1227

#### 1228 Tables

- **Table 1** Examples of genome-wide methylation studies associated with cigarette smoking
- **Table 2** Examples of genome-wide methylation studies associated with COPD
- **Table 3** Examples of genome-wide methylation studies associated with lung fibrosis
- **Table 4** Examples of genome-wide methylation studies associated with asthma
- **Table 5** FDA-approved epigenetic compounds
- **Table 6** Examples of epigenetic modulators in preclinical or clinical development

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