1	Collothalamic projections to the human amygdala: hemispheric asymmetry modulates
2	trait anxiety
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#### 24 Abstract:

25 In 19 people probabilistic DTI tractography was used to visualize the topographic relationships 26 between three white matter components of a fascicle, the supraventricular temporal bundle, that 27 traverses above the temporal horn of the lateral ventricle: collothalamic auditory and visual projections 28 to the amygdala via the posterior thalamus, and the amygdalofugal stria terminalis. This bundle 29 constitutes a subcortical, 'low road' pathway that transmits threat signals to the amygdala, and that 30 projects signals that bias orienting toward visual threat to the bed nucleus of the stria terminalis. The 31 course of the visual streamline passes below the brachium of the superior colliculus through the 32 position two thalamic nuclei that have been shown to both receive afferents from the superficial layers 33 of the superior colliculus and to also project to the amygdala: the suprageniculate nucleus and the 34 inferior pulvinar. The visual streamline passes laterally dorsal to the auditory streamline and both 35 collothalamic streamlines then traverse together above the temporal horn of the lateral ventricle, dorsal 36 to the stria terminalis, with the auditory streamline dorsal to visual streamline, and entering the lateral 37 amygdala dorsal and medial to it. Individual differences in the degree of hemispheric asymmetry of the 38 fractional anisotropy of the visual streamline, but not the auditory streamline, predicted trait anxiety: 39 weaker left hemisphere connectivity relative to those in the right hemisphere, was associated with 40 higher trait anxiety. There was no correlation between individual differences in the microstructure of 41 either the stria terminalis or the ventral amygdalofugal pathway and trait anxiety.

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#### Word Count: 248

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## 44 New & Noteworthy

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- Three components of a white matter bundle, auditory and visual collothalamic projections to the

47	amygdala and the stria terminalis, traverse above the temporal horn of the lateral ventricle.
48	• This bundle constitutes a 'low road' pathway that transmits threat signals to the amygdala, via the
49	posterior thalamus, and that biases spatial orienting toward visual threat.
50	• Hemispheric asymmetry of the microstructure of the visual pathway predicts individual
51	differences in trait anxiety.
52	
53	Introduction
54	Motivated by the need to "escape from the shackles of subjectivity", LeDoux (4) (p.156)
55	advanced the phenomenon of fear conditioning as the key to a renaissance of interest of emotion within
56	neuroscience. He and his colleagues demonstrated collothalamic projections, in rats, from auditory
57	thalamus (medial geniculate nucleus, posterior intralaminar nucleus and suprageniculate nucleus) to the
58	lateral amygdala (5) that were shown to be critical for conditioning fear responses to auditory threat
59	(6).
60	More recently it has been hypothesized that there is also a subcortical, visual pathway that
61	transmits threat signals from the superior colliculus to the amygdala (7). This hypothesis was advanced
62	to account for observations that some cortically blind patients can process the emotional valence of
63	visual stimuli (8,9) (10) (11) (12), and that fearful faces presented in the hemianopic field can facilitate
64	visual discrimination in the seeing visual field (13).
65	Specifically, this hypothesis posits a subcortical ("low road") pathway that transmits visual
66	threat signals – outside of explicit awareness, and with shorter latencies than cortical relays – from the
67	retina to the superficial layers of the superior colliculus; and that these signals are transmitted to the
68	amygdala via a monosynaptic connection in the pulvinar (7). Pessoa and Adolphs (14) raised several
69	challenges to this hypothesis: 1) Is the processing mediated by this putative pathway unconscious? 2) Is
70	it a faster route than circuits transmitting affective signals via the cerebral cortex to the amygdala? 3) Is

there anatomical evidence that such a pathway exists in the primate brain? With regard to the last query, they noted that tracer studies had not, at that time, shown that any pulvinar nucleus that receives retino-recipient signals from the superficial layers of the superior colliculus also project to the amygdala.

Since Pessoa and Adolphs' (14) review of this hypothesis, evidence has accumulated, from neuroimaging studies, supporting the veridicality of a subcortical threat pathway in humans; and more recent anatomical advances from diffusion tractography, and from animal tracer studies, have supported the anatomical plausibility of such a pathway.

79 Using deterministic diffusion imaging tractography, Tamietto et al. (15) virtually dissected a 80 streamline, passing through the pulvinar, that connected the superior colliculus to the amygdala. 81 Moreover, they showed that this pathway had stronger connections (indexed as higher fractional 82 anisotropy (FA)) of the streamline in the damaged hemisphere of a hemianopic patients with blindsight 83 who had suffered from destruction of primary visual cortex in childhood. This neuroplastic 84 compensation presumably contributes to a heightening of surveillance attention to the hemianopic field. 85 Using probabalistic tractography in humans and macaque monkeys, Rafal *et al.* (1) 86 demonstrated the same streamline, and showed that it traversed above the temporal horn of the lateral 87 ventricle enroute to the amygdala positioned dorsal to the stria terminalis. Moreover, they showed that 88 this pathway had been destroyed in a patient, with unilateral pulvinar damage, who was unable to 89 recognize angry facial expressions in pictures shown to him in his contralesional visual field (16). In 90 three other patients with pulvinar damage, in whom the pathway was spared, emotional faces were 91 recognized in both visual fields.

Using a dot-probe task in another patient who had damage to the ventro-medial pulvinar, Ward, Danziger and Bamford, (17) showed that the patient was delayed in orienting to visually threatening stimuli in the contralesional field; and Rafal *et al.* (1) confirmed that the pathway connecting the superior colliculus to the amygdala had been destroyed in that patient, but not in three other patients in whom the recognition of threatening faces was intact in the contralesional visual field. This
observation, that the orienting effect toward threat was delayed in the contralesional field of that patient
compared to the ipsilesional field or to controls, supported the claim that the subcortical pathway does
mediate faster orienting toward threat than does the cortical route.

100 Koller *et al.* (2) provided further evidence for the anatomical veracity of this pathway and its 101 role in orienting toward visual threat. Unlike conventional approaches to studying fear conditioning in 102 rodents, which assay fear by measuring autonomically mediated freezing or startle brain stem 103 responses, Koller et al. (2) employed a temporal order judgment, saccade decision task that measured 104 spatially selective orienting responses. Participants were tested monocularly. They were presented two 105 pictures, one in each visual field, on each trial that could onset either simultaneously, or with equal 106 probability, one picture (left or right field picture) could onset 57ms before the other. Participants were 107 instructed to make an eye movement toward the picture that appeared first. Although not task relevant, 108 one picture was a threatening image (e.g. a charging, wide-mouthed predator) and the other was a 109 pleasant image (e.g. a bunny). Results showed that participants had a small but reliable bias to saccade 110 toward the threatening picture, regardless of their temporal onset asymmetry; but this orienting bias 111 toward threat was only observed on trials in which the threatening image was presented in the temporal 112 hemifield. The temporal hemifield advantage for the threat orienting bias is consistent with the 113 hypothesis that the visual afferents mediating the bias are transmitted via the retinotectal tract to the 114 superior colliculus. Unlike the geniculostriate pathway that transmits visual signals from each eye 115 equally to each visual cortex, the retinotectal tract to the superior colliculus receives dominantly 116 crossed projections from the temporal hemifield (nasal hemiretina) of each eye (18) (see van 117 Koningsbruggen, Koller and Rafal (19) for a review). The temporal hemifield advantage for the bias to 118 orient toward threat is suggestive of mediation via the retinotectal tract to the superior colliculus. 119 Finally, Koller *et al.* (1,2) showed that the white matter microstructure, as indexed by fractional 120 anisotropy (FA) of the streamline, predicted individual differences in orienting bias toward threat.

121 Notably, though, this effect was only evident for the streamline in the right hemisphere.

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The current research had two major goals:

123 1) To demonstrate the anatomical relationship, in the human brain, between the visual and 124 auditory collothalamic pathways to the amygdala, and the topographic trajectories of these two 125 collothalamic pathways in relation to the stria terminalis as these three pathways traverse above the 126 temporal horn.

127 Probabalistic tractography has previously been used to virtually dissect the auditory streamline 128 in mice (20) and humans (21), and to show that it plays a role in the pathophysiology of tinnitus (21); 129 but neither communication demonstrated the topographic anatomy of the streamline in relation to the 130 temporal horn of the lateral ventricle, or to neighboring white matter pathways. Here we have virtually 131 dissected the auditory streamline and confirmed that the visual and auditory pathways form adjacent 132 parallel fiber tracts enroute to the amygdala as they traverse above the temporal horn. We demonstrate 133 that these two collothalamic pathways to the amygdala, and the stria terminalis amygdalo-fugal 134 efferents to the bed nucleus of the stria terminalis, together form a supraventricular white matter bundle 135 traversing above the temporal horn of the lateral ventricle.

2) To determine which posterior thalamic nuclei that the streamline depicting the putative visual
pathway traverses which has been shown to both: 1) receive projections from the retinorecipient
superficial layers of the superior colliculus and; 2) to project to the lateral amygdala.

Since Pessoa and Adolphs (14) raised this second question, and since streamlines visualizing a putative visual pathway from the colliculus to the amygdala were first identified a decade ago, evidence for such connectivity between the retino-recipient superficial layers of the superior colliculus and the amygdala, via the dorsal pulvinar, has been demonstrated in tree shrews (22). Moreover, advances have been made in the anatomical parcellation of the pulvinar in primates and other mammals (23)(24), and the connectivity of pulvinar nuclei with the superficial and deep layers of the superior colliculus and with the amygdala. In experimental animals, posterior thalamic nuclei that receive projections from the retino-recipient layers of the superior colliculus can be by labeling with vesicular glutamate transporter
2 (24); and two other tracer studies in macaque monkeys have specifically sought to address this
question. These two tracer studies have identified posterior thalamic nuclei that both receive input from
the superficial layers of the superior colliculus, and that also send projections to the lateral amygdala
(25,26).

151 The current research exploits the unique potential of diffusion tractography to not only 152 demonstrate anatomical connectivity between brain structures, but to also visualize the topography of 153 these connections, and their anatomical relation to neighboring pathways, in humans and other non-154 human primates. Conventional tracer studies in primates provide a gold standard for confirming 155 anatomical connectivity between brain structures. However, it is not practical (or ethical) to employ 156 tracer studies in primates to trace the entire course of the white matter fascicles connecting brain 157 regions, or their anatomical relationship to other white matter tracts. To do so would require the 158 sacrifice of many animals over many days to track the course of the tracer down the axon. By contrast, 159 while diffusion tractography cannot identify synaptic connections in a pathway, cannot provide 160 information about the direction of information transmission in axons, and may reveal spurious 161 connectivity, it does afford the potential to visualize the full anatomic topography of white matter 162 connections, and their anatomical relationships to one another.

Our goal was to map the anatomical course of the visual streamline, as it traverses through the posterior thalamus, onto contemporary maps of the pulvinar (24) and onto findings from the more recent tracer studies in non-human primates (25,26) (26). This was achieved by virtually dissecting the brachium of the superior colliculus as a landmark

In addition to these two major goals, we examined whether individual differences in the white matter microstructure (indexed by fractional anisotropy -FA) of each of the collothalamic pathways, or of the two amygdalofugal projections to the bed nucleus of the stria terminalis (the stria terminalis the ventral amygdalofugal pathway) predicted individual differences in trait anxiety.and

#### 171 Materials and Methods

#### 172 *Participants*

173 The virtual dissections reported here were performed on the same imaging data set from 19 174 individuals who had previously participated in studies examining the role of subcortical projections 175 from the superior colliculus to the amygdala (1,2) and of the stria terminalis (3) in mediating orienting 176 toward threatening visual stimuli. Those participants (9 male, age range 18–47) were recruited from the 177 student population at Bangor University. Participants had no known neurological, psychological, 178 psychiatric or cognitive impairments. Participants had completed the State-Trait Anxiety Inventory to 179 exclude individuals with clinical anxiety disorder. The study was advertised on student online forums 180 and via word of mouth. Participants were screened against exclusion criteria related to MR safety. 181 Payment of £ 10/h was provided for participation in MRI scanning and £6/h for the saccade decision 182 task with eye tracking. Participants had normal or corrected to normal vision. Prior to commencement 183 of this study, this research was approved by the Bangor University Ethics Committee, and the research 184 was conducted in compliance with its regulations. All participants were competent to give informed 185 consent. Oral and written consent was obtained prior to participation from all participants. Participants 186 were informed that their data, and their personal demographic information, would be kept anonymous. 187 Previous reports using this data set for tractography visualized a collothalamic streamline 188 connecting the superior colliculus with the amygdala (1,2) and the stria terminalis (3) and provided

evidence that white matter microstructure both the collothalamic visual pathway and the stria terminalispredicted a bias to orient toward visual threats presented in the temporal hemifield.

191 In the current communication PROBABILISTIC tractography was used to virtually dissect
192 three other white matter tracts to further test the validity of the low road hypothesis of threat detection:

193 1) A collothalamic auditory pathway projecting from the inferior colliculus to the amygdala 194 via the medial geniculate nucleus. This collothalamic pathway has been demonstrated in rodents by 195 LeDoux and colleagues (4), and has been shown to be critical in fear conditioning (5). While such a 196 pathway presumably also exists in primates, we do not provide direct evidence here that the auditory 197 pathway we visualize in the current research transmits threat signals. However, if the auditory 198 streamline can be shown to be part of the same supraventricular temporal white matter bundle as the 199 visual streamline, the anatomical proximity of the auditory and visual pathways would be consistent 200 with the hypothesis that the two pathways evolved as part of a circuit with a common function.

# 201 2) The brachium of the superior colliculus was virtually dissected as a landmark to identify 202 the posterior thalamic nuclei that the visual pathway passes through.

3) The ventral amygdalofugal pathway. Having previously demonstrated that the other major
amygdalofugal projection to the bed nucleus of the stria terminalis mediates orienting toward threat
(3), we virtually dissected a streamline depicting the ventral amygdalofugal pathway here to examine
whether or not the ventral amygdalofugal pathway also might transmit threat signals from the
amygdala to the bed nucleus.

We also submitted both visual and auditory collothalamic pathways, and both amygdalofugal pathways, to statistical analyses to test whether their microstructure predicted individual difference in trait anxiety in our sample. We also examined whether hemispheric asymmetries in the microstructure of each of the four pathways correlated with trait anxiety.

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## 217 Data acquisition

218	A Phillips 3 Tesla Achieva magnetic resonance (MR) scanner at the Bangor Imaging Unit at
219	Bangor University was used to acquire T1-weighted anatomical and diffusion weighted images.
220	High resolution multi-echo T1 weighted images (0.7x0.7x0.7 mm isotropic voxel resolution)
221	were acquired using an MPRAGE (magnetization prepared gradient echo) sequence.
222	DWI-EP (diffusion weighted imaging – echo planar) images were collected at 2x2x2mm with the
223	following parameters: $b$ -values = 0 (averaged four volumes) and 2000, $b$ -directions = 61, slices = 76,
224	section thickness = $2$ mm, TR = $2$ s, TE = $35$ ms.
225	Subsequent data pre-processing was carried out using the FSL-FDT toolbox (28). After
226	correction of diffusion images for head movement and eddy current correction, diffusion tensor models
227	were fitted at each voxel using the DTI-FIT tool in FSL. Diffusion parameters were calculated using
228	the Markov Chain Monte Carlo sampling method. The DTI data was then prepared for probabilistic
229	tractography via the BEDPOSTX tool in FSL's FDT toolbox. Probabilistic tracking was carried out
230	using the PROBTRACKX tool in FSL's FDT toolbox. Prior to running the BEDPOSTX process, the
231	non-diffusion brain image was extracted from the skull (using the FSL brain extraction tool (BET)),
232	and a brain mask was formed. Anatomical T1- weighted scans were brain extracted using the BET-tool,
233	and were registered with the B0 diffusion brain image, using FSL's FLIRT utility.
234	Probabilistic tractography
235	Probabilistic tractography was implemented using ProbtrackX from the FSL FDT toolbox (28)
236	(curvature threshold = $0.2$ , number of samples = $5,000$ ). To generate streamlines between the medial
237	geniculate nucleus and the amygdala, a seed mask in each medial geniculate nucleus was manually

drawn in native diffusion space of each individual and a target (waypoint and terminal) mask on the

239 ipsilateral amygdala (Figure 1B). Connections between the inferior colliculus and the medial geniculate 240 nucleus were also visualized by generating a streamline using a seed mask manually drawn on inferior 241 colliculus in native space in each hemisphere of each participant and a target (waypoint and 242 termination) mask on the medial geniculate nucleus. As previously described, masks on the superior 243 colliculus and the amygdala had been used to demonstrate connections between the SC and the 244 amygdala (2). The stria terminalis streamline had been generated using a seed mask on the amygdala, a 245 target and termination mask on the bed nucleus of the stria terminalis, and a waypoint mask on the stria 246 terminalis (3).

In the current research the ventral amygdalofugal streamline was generated using the same amygdala and bed nucleus seed and target masks that were used for virtual dissection of the stria terminalis as described by Koller *et al.* (3), but with a different exclusion mask (Figure 1B). For tractography of the stria terminalis a sagittal exclusion mask had been drawn in each hemisphere to exclude the ventral amygdalofugal tract and the fornix; whereas, for the ventral amygdalofugal tract, the exclusion mask was drawn through an axial section to exclude the stria terminalis.

253 FIGURE 1 NEAR HERE

254 The brachium of the superior colliculus was virtually dissected in two stages. (Figure 2). Masks 255 were drawn manually in native space of each hemisphere of each subject on the superior colliculus (as 256 described in (2), on the calcarine cortex in the coronal plane (Figure 2, top right), and on the optic tract 257 in the coronal plane (Figure 2, top left). In the first stage, a streamline was generated between a mask 258 drawn on calcarine cortex and the superior colliculus; and a second streamline was generated between a 259 mask on the optic tract and the calcarine cortex to visualize the optic tract and the geniculostriate tract. 260 The bottom panel shows the voxels that were present only in the second streamline (between the optic tract and the calcarine cortex) are shown in red; and the voxels common to both streamlines in yellow. 261 262 Second, all voxels in the second streamline (shown in red and yellow) were subtracted from the streamline connecting the superior colliculus and the calcarine cortex. The residual voxels (green) 263 264 visualized the brachium of the superior colliculus.

#### 265 FIGURE 2 NEAR HERE

After thresholding each streamline at 10%, each individual's streamline, in native diffusion space, was used for computation of mean FA (by using the streamline to mask the FA image generated with FSL's DTIFIT utility). For visualization purposes, composite streamlines were generated by adding each of the streamlines for all subjects after normalization in MNI space.

#### 270 **Results**

271 Topography of the collothalamic projections to the amygdala

272 Figure 3 shows the composite streamlines generated between the superior colliculus and the amygdala 273 (red) and between the medial geniculate nucleus and the amygdala (blue). Both collothalamic 274 projections traverse above the temporal horn of the lateral ventricle dorsal to the stria terminalis 275 (vellow). Emerging from the midbrain, the visual pathway from the superior colliculus passes over the 276 auditory pathway and is positioned ventral to the auditory pathway as they traverse above the temporal 277 horn dorsal to the stria terminalis (which is positioned immediately dorsal to the temporal horn.) The 278 auditory pathway enters the superior border of the lateral amygdala positioned ventromedial to the 279 visual pathway.

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#### 281 FIGURE 3 and 4 NEAR HERE

282

283 Comparison of white matter microstructure of the visual and auditory pathways connecting the
 284 colliculi and the amygdala

285 Mean FA for each streamline, auditory and visual, in each hemisphere for each participant was 286 submitted to a 2 x 2 ANOVA with streamline (auditory/visual) x hemisphere (left/right) as within 287 subject factors. Figure 5 shows the mean fractional for the auditory and visual collothalamic 288 projections to the amygdala in each hemisphere. There was a main effect of modality: Mean FA for the 289 visual pathway was lower for the auditory streamline than for the visual streamline (F[1,18] = 11.8, 290 p=.003). This difference may partly reflect the fact that the visual streamline passed through the gray 291 matter of the pulvinar whereas the auditory streamline did not. There was also an interaction between 292 modality and hemisphere: as reported by Koller et al. (2), FA of the SC-amygdala pathway in the left 293 hemisphere was higher compared to that in the right (t/18] = 3.3, p = 0.04). There was no hemispheric

FA asymmetry for the auditory pathway (t[18] = -1.6, ns).

#### 295 FIGURE 5 NEAR HERE

Unsurprisingly individual differences in connectivity strength (indexed by FA) of the auditory projections from the thalamus to the amygdala did not reveal any correlation with the bias to orient toward threatening visual stimuli in these participants for the streamlines in either the left hemisphere (r = 0.35) or the right hemisphere (r=.11).

### 300 Role of visual collothalamic projections to the amygdala in modulating trait anxiety

Each participant in these experiments had also completed the State Trait Anxiety Inventory. Individual differences in bias to orient toward threat, reported by Koller et al. (2), did not correlate with trait anxiety (r= 0.1689). Mean FA values for individual participants in either the left or right hemisphere also did not correlate with trait anxiety for either the visual or auditory collothalamic pathways (Table 1).

306 However, for the visual streamline, individual differences in hemispheric asymmetry between 307 FA values of right compared to left hemisphere visual streamlines (calculated by subtracting right 308 hemisphere mean FA from left hemisphere mean FA) correlated with trait anxiety for the connections 309 between the SC and the amygdala (Figure 6A). Thus, although mean FA of SC-amygdala streamline in 310 the left hemisphere streamline was higher, in this sample, than the mean FA of the streamline in the 311 right hemisphere, those individuals in whom this asymmetry was smallest (i.e., with stronger 312 connectivity in the right hemisphere relative to the left) had higher trait anxiety scores. By contrast, 313 hemispheric FA asymmetry for the medial geniculate-amygdala auditory streamline did not correlate 314 with trait anxiety (Figure 6B).

#### 316 TABLE 1 NEAR HERE

317 Role of amygdalo-fugal pathways in modulating trait anxiety and in orienting toward visual threat 318 Figure 7 shows the streamlines connecting the amygdala to the bed nucleus of the stria 319 terminalis. The stria terminalis is shown in blue and the ventral amygdalofugal pathway in red. We 320 have previously reported (3) that connectivity strength of the stria terminalis, in both the left and right 321 hemispheres, predicted individual differences in orienting toward threatening visual stimuli. Paired 322 samples t-tests revealed a hemispheric asymmetry in mean FA of the ventral amygdalofugal pathway, 323 with mean FA of the left hemisphere streamline being higher (FA = 0.33, SD = 0.04) than on the right 324 (FA = 0.31, SD = 0.04) (t[1,17] = 3.94, p < .0003) (Table 1). There was no hemispheric asymmetry in 325 mean FA for the stria terminalis (left hemisphere mean FA = 0.328, SD 0.04; right hemisphere mean 326 FA 0.294, SD = 0.04 (t[1,18] = 1.47).

327 FIGURE 7 NEAR HERE

In contrast with the mean fractional anisotropy of the stria terminalis, which predicted individual difference in orienting toward threat in the saccade decision task (3), there was no correlation between the mean FA of the ventral amygdalofugal pathway, in either hemisphere, with orienting bias toward threat (left hemisphere r = -0.10; right hemisphere r = -0.09). Moreover, although in this sample mean FA was higher in the left hemisphere for both the SC-posterior thalamus-amygdala streamline and the ventral amygdalofugal streamline, across individuals the FA asymmetries of these two streamlines were not correlated with one another (r=0.23).

- Hemispheric FA asymmetry did not correlate with trait anxiety for either the stria terminalis,
  nor for the ventral amygdalofugal pathway (Figure 8).
- 337 FIGURE 8 NEAR HERE

#### 338 Discussion

The supraventricular temporal bundle: Collothalamic projections to the amygdala in the human brain
 mediate orienting toward threat

341 In the current communication, probabilistic DTI tractography was used to virtually dissect, in 342 the human brain, a streamline corresponding to a collothalamic pathway, from the medial geniculate 343 nucleus to the lateral amygdala in rodents (5), that LeDoux, Sakaguch & Reis (6) showed to be critical 344 for mediating fear conditioning. We demonstrate that this pathway can be visualized in the human brain 345 as a component of the supraventricular temporal bundle, (Figure 9). This bundle is comprised of 346 collothalamic projections that transmit auditory (5) and visual (1,2,29) threat signals from the thalamus 347 to the amygdala, and of the stria terminalis, that transmits visual threat signals from the amygdala to the 348 bed nucleus of the stria terminalis (3).

#### 349 FIGURE 9 NEAR HERE

In addition to transmitting signals of looming visual threat from wide-field vertical neurons in 350 351 the superficial layers of the superior colliculus (see below), it has now been shown in humans that the 352 visual collothalamic pathway transmits other threat signals including facial expressions of fear or 353 anger. It has been shown that newborn human infants, in whom synaptogenesis has not yet occurred in 354 the primary visual cortex, are ready at birth to discriminate simple features that ensure that the baby can 355 begin to bond with its parent. Newborns will track a basic face-like stimulus consisting of an oval 356 containing dark circles forming an inverted triangle (30). It has now also been shown that the visual 357 collothalamic pathway is capable of transmitting facial features signaling social threat such as angry or 358 fearful faces (1,29), and that these signals are activated by low spatial frequency information (32, 34, 359 50).

To date, then, several of the purported features of the hypothesized "fast, subcortical 'low road'
 pathway to the amygdala for orienting toward threat" have received provisional experimental

362 validation: 1]. The preservation of emotional blindsight in hemianopic patients (8.9) (10) (11) (12) 363 confirms that a putative subcortical pathway could mediate responses to threats that are not consciously 364 perceived. Moreover, Bertini et al. have shown that hemianopic patients who also have damage to the 365 pulvinar do not manifest affective blindsight (13). 2]. The observation, that selective transection of the 366 streamline, described by Tamietto et al. (15) and Rafal et al. (1) selectively impairs processing 367 potential visual threats, implicates a pathway connecting the superior colliculus to the amygdala that 368 passes through the pulvinar. 3]. The observation that destruction of this pathway delays orienting 369 toward contralesional threat in a dot probe task (17) provides support for the proposal that this pathway 370 provides a faster route than does the cortical route to the amygdala. Moreover, it has been recently 371 demonstrated in monkeys that ensemble responses of amygdala neurons in monkeys carry robust 372 information about open-mouthed, presumably threatening, faces within 50 ms after stimulus onset. This 373 short-latency signal was not found in the visual cortex, suggesting a subcortical origin (25). Using a 374 novel pathway identification pattern recognition fMRI method Kragle et al. (33) have shown evidence 375 for a superior colliculus-amygdala pathway, and have shown that activity in that pathway encodes the 376 intensity of emotional response to negative images and sounds but not pleasant images or pain. 377 However, this study could not distinguish collicular signals transmitted from the deeper layers of the 378 colliculus, which connect to the medial pulvinar, from signals transmitted from the superficial layers, 379 which project to the inferior pulvinar nuclei. Intracranial recordings in humans have recorded short 380 latency (74ms) responses in the amygdala to pictures of fearful facial expressions but not to neutral of 381 happy facial expressions or to other arousing scenes, and these short latency amygdala responses were 382 elicited specifically by low spatial frequency components of fearful faces (34). 4]. The observation of a 383 temporal hemifield advantage for orienting toward threatening pictures in the temporal order saccade 384 decision task (2) supports the proposal that the orienting bias toward threat is mediated by 385 collothalamic projection of visual signals transmitted to the superficial layers of the superior colliculus 386 via the retinotectal tract and; 5]. The observation that individual differences in bias to orient toward

threat was predicted by individual difference in white matter microstructure of the streamline (27)
support the hypothesis that orienting bias toward threat is mediated by a collothalamic visual pathway
to the amygdala corresponding to the streamline.

390 More recently, McFadyen et al. (29) conducted a multi-modal neuroimaging study in which the 391 streamline connecting the superior colliculus to the amygdala via the pulvinar was reconstructed with 392 probabalistic tractography using data from the Human Connectome Project; and they also 393 computationally modeled the flow of hemodynamic activity during a face-viewing task. While 394 tractographic data does not reveal the direction of information transmission in a streamline, 395 hemodynamic modeling provided evidence for a functionally afferent pathway from the pulvinar to the 396 amygdala; and critically, individuals with greater fibre density in this pathway also had stronger 397 dynamic coupling and enhanced fearful face recognition.

398 We have also previously shown that the third component of the supraventricular bundle, the 399 stria terminalis is also involved in selective spatial orienting toward visual threat (3). Research on fear 400 conditioning in rodents had not previously ascribed any role for stria terminalis projections to the bed 401 nucleus in mediating responses to threat signals. For example stria terminalis lesions have no effect on 402 fear-potentiated startle (35). However, fear-potentiated startle is mediated by projections from the 403 central nucleus of the amygdala to brain stem autonomic centers. And, as described above, disruption 404 of the visual pathway in humans also delays freezing-like responses in a dot-probe task. In the dot-405 probe task, a task-irrelevant threatening stimulus delays responding to the probe target, and this effect 406 was delayed in responding to probe targets in the visual field contralateral to a lesion in the posterior 407 thalamus that interrupted the visual collothalamic pathway (1).

By contrast to brain-stem, autonomically mediated alerting, freezing and startle reactions, that have been used to probe for fear responses in experimental animals (and presumably in the dot-probe task employed in humans), the saccade decision task employed in the study reported by Koller et al 411 (1,27,29) examined <u>spatially selective orienting</u>. In that study, white matter microstructure (indexed by
412 FA) of the stria terminalis in both hemispheres was shown to predict a spatial orienting bias toward
413 threat.

414 Notably, for the visual collothalamic pathway to the amygdala, only the pathway in the right 415 hemisphere was observed to predict individual difference in threat orienting bias. This asymmetry may 416 reflect a right hemisphere dominance in controlling spatial orienting. Although the visual collothalamic 417 pathway in the left hemisphere was not shown to be involved in mediating spatial orienting, that does 418 not mean that the pathway in the left hemisphere does not transmit threat signals. In fact, both patients 419 with interruption of the visual collothalamic pathway studied by Ward and his colleagues - the patient 420 who manifested a delay in the alerting/distracting effect elicited by threat in the contralesional 421 hemifield in the dot probe task (17), and the patient who could not recognize fearful faces in his 422 contralesional field (16) – had lesions in the left hemisphere. So, there is evidence that the left 423 hemisphere pathway is involved in processing threatening stimuli, and in being aroused by them; but 424 since spatial orienting responses are more dependent on processing in the right hemisphere, the 425 collothalamic projections to the right hemisphere may be more involved in spatial orienting. 426 In the current research we also virtually dissected a streamline corresponding to the ventral

420 In the current research we also virtually dissected a streamme corresponding to the ventral 427 amygdalofugal pathway. Unlike the stria terminalis, whose microstructure (as indexed by fractional 428 anisotropy) predicted individual differences in the bias to orient toward visual threat (3) that of the 429 ventral amygdalofugal pathway did not.

Table 2 summarizes our key findings reported here and elsewhere (2,3) for visual and auditory collothalamic projections to the amygdala, as well as for the two amygdalofugal projections to the bed nucleus of the stria terminalis (the stria terminalis and the ventral amygdalofugal pathway).

#### 433 TABLE 2 NEAR HERE

434 *Anatomical connectivity in the pulvinar between the retino-recipient layers of the superior colliculus* 

435 and the amygdala: Course of the visual streamline through the posterior thalamus

436 As noted in the introduction, Pessoa and Adolphs (14) had challenged the hypothesis that a 437 'fast, subcortical pathway' transmitting visual threat signals from the superior colliculus could be 438 relayed to the amygdala via the pulvinar because, at the time, tracer studies in animals had not 439 demonstrated any pulvinar nucleus that both received retinorecipient signals from the superficial layers 440 of the superior colliculus and that also sent projections to the lateral amygdala. Since the time of 441 publication of Pessoa and Adolphs' critique, more recent anatomical studies have revised our 442 understanding of the anatomy of pulvinar nuclei (23,24) in primates and tracer studies in animals 443 (25,26) have identified candidate posterior thalamic nuclei that both receive projections from the 444 superficial layers of the superior colliculus and that also project to the amygdala.

One of the key objectives of the current research was to analyze the anatomical, topographic 445 446 trajectory of the visual streamline connecting the superior colliculus with the amygdala in relation to 447 these more recent anatomical studies in primates. Our goal was to identify the most likely candidates 448 that could serve as a thalamic relay nucleus transmitting visual threat signals from the superficial layers 449 of the superior colliculus to the amygdala in the human brain. While tractographic streamlines provide 450 no information about possible synaptic connections, tractography was used here to identify which 451 posterior thalamic nuclei that the streamline passes through have been shown, by recent tracer studies, 452 to both receive retino-recipient afferents from the superficial layers of the colliculus and to also project 453 to the amygdala. For this purpose, we virtually dissected the brachium of the superior colliculus as an 454 anatomical landmark, and we demonstrated that the streamline passes entirely ventral to the brachium. 455 Our anatomical analysis of the course and potential thalamic connectivity of the visual pathway 456 connecting the superior colliculus to the amygdala considers contemporary views of the comparative

457 anatomy of the posterior thalamus, and the nature of the visual threat signals that it transmits. The name 458 of the pulvinar, cushion in English, derives from its appearance as a rounded bulge of the caudal 459 thalamus. Noting that this gross anatomical feature is only evident in primates, Les Gros Clark (36) 460 identified it as a distinguishing anatomical feature of the primate order. It is now understood that the 461 pulvinar constitutes a collection of posterior thalamic nuclei whose homologue is present in many 462 mammalian species (23,24). Most of these nuclei are visual and are now understood to serve as the 463 thalamic hub for the extrastriate cortex in the same way that the lateral geniculate nucleus serves as a 464 thalamic hub for primary visual cortex (23,24).

465 In rodents it is now understood that Les Gros Clark was correct that the homologue of the 466 primate pulvinar is lateral posterior nucleus (37). Collicular neurons projecting to the thalamus have 467 large visual receptive fields and constitute a visual channel that samples visual signals at a much larger 468 and broader spatial scale than do signals transmitted through magno and parvo-cellular projections to visual cortex. Consider that visual receptive field sizes in the retina range from about  $1/3^{\circ}$  - 1° in the 469 470 fovea and in the peripheral retina are about 2°, and that receptive field sizes in the primary visual cortex 471 are about 1°. By contrast, receptive field sizes in the superior colliculus range from about 1° for 472 neurons with foveal receptive fields at the rostral pole to 20° in the visual periphery in the caudal 473 colliculus.

Collicular neurons that project to the mouse pulvinar originate from a unique class of neurons
called wide-field vertical cells (38). Their cell bodies are located in the deepest part of the superficial
layers, and their dendrites spread vertically throughout the superficial layers to form tufts that cover
large areas of the colliculus. These neurons respond to small moving stimuli but also to looming visual
stimulation- the primordial visual threat. Via a disynaptic pathway transmit signals of overhead,
looming threat to the amygdala via the lateral posterior nucleus of the thalamus (49).
As noted earlier, LeDoux and colleagues speculated that collothalamic visual threat signals

481 could, like acoustic signals, be transmitted via posterior thalamic nuclei to the amygdala. The

482 suprageniculate nucleus is a polymodal structure that responds better to visual than auditory stimulation 483 in cats, and that receives input from the visual superficial layers of the superior colliculus in the rat 484 (39,40). LeDoux et al. (3) demonstrated retrograde labeling of the suprageniculate nucleus after 485 injections of the lateral amygdala in rats (p 1217, Fig.5). Linke et al. (41) also demonstrated, in double-486 labeling experiments in rats, projections from the superficial layers of the superior colliculus to the 487 suprageniculate that also projected to the lateral amygdala. They suggested that these connections may 488 represent a subcortical pathway for visual information transfer to the amygdala, but they did not 489 provide evidence that this pathway transmitted threat signals.

490 In support of this premise, a recent study (25) reported involvement of the suprageniculate 491 nucleus in the non-human primates in transmitting rapid, real time visual threat signals to the 492 amygdala. Single unit recordings in the amygdala's lateral nucleus and dorsal tip of the basal nucleus 493 traced connectivity with subcortical regions as monkeys viewed displays of three different facial 494 expressions, including open mouth expression of threat. Connectivity was, in addition, tracked with 495 rabies virus injections emanating from the basal and lateral amygdala nuclei. Variable distributions of 496 label were found in inferior and lateral pulvinar subdivisions, and dense labeling was also observed in 497 the suprageniculate nucleus.

498 In the current virtual dissections, the course of the visual streamline, demonstrated by DTI 499 tractography, is consistent with a pathway from the superficial visual layers of the superior colliculus to 500 the amygdala relayed through the either the inferior pulyinar nuclei or, possibly, through the 501 suprageniculate nucleus. Exiting the superior colliculus, the streamline courses medial and then 502 superior to the medial geniculate nucleus (Figure 4B) through the location of the suprageniculate 503 nucleus. It then arcs dorsally through the pulvinar, below the brachium of the superior colliculus, 504 before turning rostro-laterally and exiting the lateral pulvinar to take position above the temporal horn 505 enroute to the lateral amygdala.

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506 The current research also sought to determine whether collicular projections in the visual 507 streamline also pass through any posterior thalamic nuclei that Elorette et al. (26) have shown to also 508 project to the amygdala. They injected retrograde tracers into the amygdala and anterograde tracers into 509 the colliculus and identified zones of overlap of these projections within the pulvinar of the macaque 510 monkeys. Zones of overlap were seen in the suprageniculate nucleus and in areas of the medial and 511 inferior pulvinar nuclei that were concentrated in the vicinity of the brachium of the superior colliculus. 512 In one monkey in whom tracer was injected in the superficial layers of the superior colliculus, labeling 513 was observed in the inferior pulvinar nucleus (Fig. 4, p. 7). Although, as noted earlier, the superficial 514 layers of the superior colliculus have been shown to project to the amygdala in rats (41), Elorette et al. 515 (26) did not observe labeling in the suprageniculate nucleus in this monkey. In that monkey, injection 516 of tracer into the intermediate and deep layers of the superior colliculus revealed overlap with efferents 517 to the amygdala in the suprageniculate nucleus (Fig. 3, p. 6). In a second monkey, the collicular 518 injection sites were in the intermediate and deeper layers of the colliculus. In that monkey overlap of 519 thalamic afferents from the superior colliculus and efferents to the amygdala were observed only in 520 pulvinar nuclei above the brachium of the superior colliculus. 521 Berman and Wurtz (42) demonstrated, with electro-anatomy, that the colliculus transmits signals 522 to the inferior pulvinar nucleus that are relayed to motion-selective neurons in cortical area MT/V5. It 523 is possible that the proximal portion of the visual tractography streamline also contains fibers that

525 Both Inagaki *et al.* (25) and Elorette *et al.* (26) have reported dense labeling of the medial 526 pulvinar after tracer injections in the amygdala. However, the medial pulvinar nucleus is dorsal to the

transmit these motion signals to MT as well as fibers projecting to the amygdala.

524

527 brachium of the inferior colliculus, and the tractographic streamline courses ventral to the brachium.

528 The medial pulvinar nucleus receives projections from the deep (motor) layers of the superior

529 colliculus that are consistent with the tracer data from both Inagaki et al. (25) and Elorette *et al.* (26)

530 and well as Burton and Jones (43). However, Burton and Jones (43) showed that projections from the

531 medial pulvinar to the amygdala pass through the external capsule and not above the temporal horn. 532 Although the superior colliculus seed masks used in our tractography studies encompassed the full 533 depth of the colliculus, we did not visualize any projections passing through the medial pulvinar. Thus, 534 our tractographic data did not visualize collothalamic pathways that transmit oculomotor signals from 535 the deep layers of the colliculus to the amygdala via the medial pulvinar. Since the deep layers of the 536 superior colliculus send axon collaterals signaling that an eye movement has been made to a salient 537 target, the relay of these signals to the amygdala via the medial pulvinar also may convey information 538 about potential threat, but in our data, we were not able to visualize this pathway. The collothalamic 539 pathway visualized with tractography therefore likely only transmits visual signals from the superficial 540 layers of the superior colliculus.

While the streamline passes through the ventral lateral pulvinar, no direct connections have been demonstrated in monkeys between lateral pulvinar and the amygdala (44). Efferents from the amygdala to the thalamus have only been demonstrated to the mediodorsal thalamus and other midline thalamic nuclei (45), not to the pulvinar. Elorette *et al.* (26) demonstrated that the lateral pulvinar receives projections from the intermediate and deep layers of the colliculus but does not project to the amygdala.

Given these known anatomical constraints, the topography of the visual streamline being considered here is most consistent with the proposal that the projections from the superficial layers of the superior colliculus synapse in the suprageniculate nucleus and/or inferior pulvinar which then projects to the lateral amygdala. It should be noted that the inferior pulvinar is now known to have three subdivisions two of which, the posterior inferior and inferior central medial divisions, stain with vesicular glutamate transporter 2 indicating that these subdivisions receive afferents from the superficial layers of the superior colliculus (24).

554 Role of collothalamic projections to the amygdala in modulating anxiety

555 Another new finding of the current research was that individual differences in the hemispheric

balance of the visual colliculo-thalamo-amygdala projections was a predictor of trait anxiety.

Specifically, stronger connectivity of this subcortical visual pathway to the amygdala in the left hemisphere, relative to those on the right, predicted lower levels of trait anxiety. Individual differences in connectivity of the auditory subcortical pathway to the amygdala did not correlate with trait anxiety. While our research has implicated this subcortical visual pathway in both orienting toward threat and in modulating anxiety, we have not found that individual differences in orienting bias toward threat correlate with individual differences in trait anxiety.

563 Fractional anisotropy of the neither of the two amygdala efferent pathways to the bed nucleus of 564 the stria terminalis, the stria terminalis or the ventral amygdalofugal pathway, predicted individual 565 differences in trait anxiety. It must be acknowledged that this null result cannot rule out that either of 566 these pathways are uninvolved in transmitting signals that modulate anxiety. The bed nucleus has been 567 shown to be involved in defensive preparedness that can be manifest as anxiety in both experimental 568 animals (46,47) and humans (48). It is also possible that transmission of threat signals from the 569 amygdala that modulate anxiety in the bed nucleus may be routed indirectly through projections from 570 the amygdala, via the uncinate fasciculus, to the medial prefrontal cortex which then projects to the bed 571 nucleus of the stria terminalis.

572 In summary we provide an *in vivo* demonstration in humans of two collo-thalamo-amygdalar 573 pathways: an auditory pathway corresponding to that implicated in fear conditioning by in rodents (4); 574 and a visual pathway from the superior colliculus to the amygdala, that is most likely transmitted via 575 the suprageniculate nucleus or the inferior pulvinar nuclei, that has been implicated in transmitting 576 visual threat signals to the amygdala (1,2,27) These two collothalamic pathways are component of a 577 white matter bundle, traversing above the temporal horn of the lateral ventricle that, along with the stria 578 terminalis, are part of a circuit for mediating spatial orienting responses toward threat. We further show 579 that individual differences in hemispheric asymmetry of the microstructure of this visual pathway is a

- 580 predictor of trait anxiety.
- 581

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586	contributed to conceptualization of the research. Robert Rafal manually drew the seed, waypoint and
587	target masks, conducted the statistical analyses and wrote the first draft of the manuscript.
588	Disclosures : The authors declare no competing interests. All data is available in the main text
589	or the supplementary materials.
590	Open Practices Statement: 1) Anonymized imaging data (the four tractography streamlines in
591	MNI coordinates) are available as Supplementary Material. 2) This study was not pre-registered.

592	
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  - Supplemental Material
- All the streamlines published in this paper are available at <u>https://doi.org/10.17605/OSF.IO/W9UFZ</u>
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#### 737 Supplemental Material

The streamlines visualized in Figure 3 and 7 are available (in MNI space) in Supplementary Material.
Figure Legends

Figure 1. A. Masks of the medial geniculate nuclei (light blue) and superior colliculi (yellow). B. Masks used for tractography of the stria terminalis and the ventral amygdalofugal pathway shown, from top to bottom, in sagittal, coronal and axial sections. Left column: Amygdala mask (blue); Middle column: Mask of the bed nucleus of the stria terminalis (red); Right column shows the exclusion masks used for tractography of the stria terminalis (green) and the ventral amygdalofugal pathway (red).

749 Figure 2. Virtual dissection of the brachium of the superior colliculus was achieved in two stages. First, a streamline was generated between a mask drawn over the calcarine cortex (top right) and the superior 750 751 colliculus; and a second streamline was generated between a mask on the optic tract (top left) and the 752 calcarine cortex (top right) to visualize the optic tract and the geniculostriate tract. The bottom figure 753 shows the voxels that were present only in the second streamline (between the optic tract and the 754 calcarine cortex) in red and the voxels common to both streamlines in vellow. Second, all voxels in the 755 second streamline (shown in red and yellow) were subtracted from the streamline connecting the 756 superior colliculus and the optic tract. The residual (green) voxels visualized the brachium of the 757 superior colliculus.

Figure 3: A. Composite streamlines from all participants in MNI space showing voxels through which the streamlines passed in 75% of participants. From top left to bottom right (y = -34, -32, -27, -23, -20,-18, -16, -11, -6) are coronal slices from posterior to anterior showing the anatomical relations of the stria terminalis (yellow) and the brachium of the inferior colliculus (light blue, the auditory (dark blue) and visual (red) collothalamic projections from the midbrain to the amygdala. B. The streamlines shown in sagittal (left, x = -27), and axial (right, y = -2) planes. C. The same streamlines are shown in a 3D reconstruction. The superior colliculus is shown in pink, the inferior colliculus in copper and the amygdala in green.

Fig. 4: A. Coronal section from posterior to anterior showing the visual streamline (red) emerging from the superior colliculus and traversing above the medial geniculate nucleus (blue) through the location of the suprageniculate nucleus. B. Sagittal slices through the thalamus, from medial to lateral, showing the anatomical relationship between the visual pathway (red) connecting the superior colliculus and the amygdala, and the brachium of the superior colliculus (green). Voxels common to both streamlines are shown in yellow.

Figure 5: Fractional anisotropy of visual streamline (between SC and amygdala) and the auditory

streamline (between the MGn and amygdala) in each hemisphere. Error bars = SEM.

774 Figure 6: Hemispheric asymmetry in streamline connectivity (FA) correlations with trait anxiety for

collothalamic projections to the amygdala. A. Visual streamline (SC-posterior thalamus-amygdala). B

776 Auditory streamline (MGn-amygdala).

Figure 7. Composite streamlines (showing overlap of 100% of the participants in MNI space)
depicting the stria terminalis (blue) and the ventral amygdalofugal pathway (red).

Fig. 8: Hemispheric asymmetry in streamline connectivity (FA) correlations with trait anxiety for A.

- the stria terminalis, and B. the ventral amygdalofugal pathway.
- Figure 9: The supraventricular temporal bundle (arrows) in sagittal (left) and coronal (right) views. A
   fractional anisotropy image is superimposed on a semi-opaque T1-weighted image.

783



B.









BNST





























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Hemisphere



A. Visual (SC-posterior thalamus-amy ala) streamline FA asymmetry

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B. Auditory (MGn-amygdala) streamline FA asymmetry





















Streamline	r	р
SC- thalamus-amygdala		
Right hemisphere	0.349	n.s.
Left hemisphere	-0.148	n.s.
MGn – amygdala		
Right hemisphere	0.009	n.s.
Left hemisphere	0.068	n.s
Stria terminalis		
Right hemisphere	0.086	n.s.
Left hemisphere	0.037	n.s.
Ventral amygdalofugal		
pathway	0.1659	n.s.
Right hemisphere		
Left hemisphere	0.1383.	n.s.

**Table 1**: Pearson correlation coefficients between the mean FA of each streamline and trait anxiety.

	Mean FA predicts visual threat orienting bias	Hemispheric FA asymmetry	Hemispheric FA asymmetry predicts trait anxiety
SC-thalamus- amygdala		Yes	Yes
Right	Yes		
Left	No		
MGn-amygdala		No	No
Right	No		
Left	No		
Stria terminalis		No	No
Right	Yes		
Left	Yes		
Ventral amygdalofugal		Yes	No
Right	No		
Left	No		

**Table 2:** Summary of key findings for amygdalo-petal and amygdalo-fugal pathways.

