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Manuscript

1 Abstract: Forests play a crucial role in the global carbon cycle. Extrapolating the 2 current knowledge of the relationship between tree growth and climate conditions to 3 unobserved regions or past/future periods is essential for mitigating and adapting to 4 global change. Tree growth extrapolations typically rely on either a temporal model 5 using long-term time series from a single site or a spatial model using multi-year 6 averages across climatic gradients. Both models have inherent strengths and limitations. 7 Integrating temporal-spatial variations to quantify drivers of system variations is a key issue in macrosystems ecology studies, but is rare in tree growth extrapolation models. 8 9 Based on the random forest algorithm, we used *Picea mariana* tree-ring data as a case 10 study to explore the potential of the integrating temporal-spatial (TS) model, which integrates both temporal and spatial variations. To examine the prediction skills of 11 12 models, we provided isolated validation datasets for rigorous testing. Results revealed 13 that (1) for extrapolating temporal variations, both temporal and TS models performed 14 well, while the spatial model performed extremely poorly; (2) For extrapolating spatial variations, both spatial and TS models performed well, while the temporal model 15 16 performed extremely poorly; and (3) for extrapolating TS variations, only the TS model performed acceptably, while both temporal and spatial models performed extremely 17 18 poorly. In contrast, the TS model showed significantly higher predictive skill. In 19 summary, this study provides a rare empirical evaluation, demonstrating that the TS 20 model exhibits notably higher predictive skill compared to the temporal and spatial 21 models. Furthermore, our evaluation studies emphasize the necessity of testing the 22 extrapolation capacity of models using independent external validation data, which has 1 / 32

Keywords: climate change, forest, growth extrapolations, random forest, temporalspatial model, tree-ring

28 1 Introduction

29 Forests play a crucial role in the global carbon cycle by absorbing substantial atmospheric CO₂ from human activities(Friedlingstein et al., 2020) and storing it in 30 31 woody biomass for decades to centuries(Körner, 2017). Understanding and simulating 32 forest dynamics are essential for guiding environmental policies and management strategies aimed at mitigating and adapting to global change(Chausson et al., 2020; 33 34 Beaulne et al., 2021). Tree-ring observations provide long-term, annual records of 35 individual tree growth with unparalleled spatiotemporal coverage and resolution, 36 making them valuable for evaluating and simulating forest dynamics(Babst et al., 2017; 37 Zhao et al., 2019). Extrapolating the current knowledge of the relationship between tree 38 growth and climate conditions to unobserved regions or past/future periods is a key priority for tree-ring research. Ideally, effective modeling requires dense coverage of 39 40 tree-ring observations across climatic gradients(Babst et al., 2018), yet logistical, financial and technical constraints often limit data collection(Miller et al., 2004). 41 42 Consequently, scientists face the challenge of making reliable extrapolations across 43 both spatial and temporal domains using available data.

44

Temporal model, fitted using long-term time series to describe how growth varies 2/32

45 over time experiencing different climatic gradients, is one approach for tree growth 46 extrapolation. Due to the limited overlapping period of tree-ring and climate 47 observations, typically spanning only a few decades, it captures the fast processes 48 operating on interannual time-scales while disregarding the slower processes(Adler *et* 49 *al.*, 2020; Rodríguez-Morata *et al.*, 2020). The temporal model usually densely covers 50 a limited climatic gradient, as the climate at a given location typically exhibits relatively 51 small-amplitude oscillatory variations(Blois *et al.*, 2013).

52 As well as temporal variation, tree growth also exhibits spatial variation(Wu et al., 2022). Thus, spatial model, fitted using multi-year averages to describe how growth 53 54 varies across sites under different climate conditions, represents a complementary approach for simulating tree growth. It captures the interactions between fast and slow 55 56 processes over long-term periods but provides no information about the transition speed between different growth states(Adler et al., 2020; Bradter et al., 2022). Spatial model 57 58 usually sparsely covers a broad climatic gradient, as existing tree-ring observations, primarily used for dendroclimatology, focus on marginal growth conditions(Babst et al., 59 60 2018). However, some studies have reported that the spatial and temporal model yield very different extrapolations(Oedekoven et al., 2017; Adler et al., 2020). 61

Integrating temporal-spatial variations to quantify drivers of system variations is a key issue in macrosystems ecology studies(Levy *et al.*, 2014). Several studies have shown that a deeper understanding of the mechanisms driving community assembly and biodiversity dynamics can be achieved by integrating temporal-spatial variations(White *et al.*, 2010; Rull, 2014; Engels *et al.*, 2020). However, research using 3 / 32

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integrated temporal-spatial variations in tree growth extrapolation model (i.e., TS model) is even rarer than studies focusing solely on spatial variation. 68

The performance of tree growth extrapolation models is evaluated during 69 validation by examining the correlation between predictions and observations. This 70 71 often relies on a re-substitution process, where data used for model training are also 72 used for testing, potentially leading to an overestimation of model performance(Araujo 73 et al., 2005). Some studies warn that models optimized to handle data noise may 74 introduce bias in estimates of prediction errors, potentially losing generality outside the original data(Olden & Jackson, 2000; Olden et al., 2002). To address this, methods such 75 76 as cross-validation and jack-knifing have been proposed. Among these, cross-validation is frequently employed as it can reduce the need for additional observations(Đorđević 77 78 et al., 2019; Bodesheim et al., 2022). Nonetheless, training and validation data are often 79 tested under identical climate conditions, which is basically unrealistic for extrapolating 80 tree growth. In contrast, independent external validation, which comprises data not used during extrapolation model developing, serves as a more rigorous test of model 81 82 robustness and generalizability(Kothari et al., 2023). However, external validation of tree growth extrapolation model under climate change remains poorly explored. 83

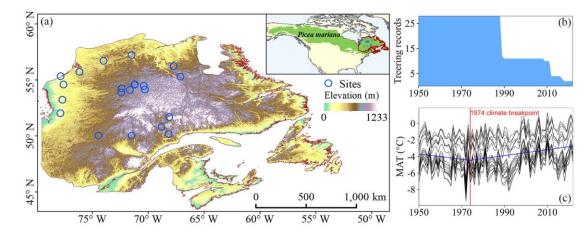
84 Here, we used *Picea mariana* in the Québec, Canada as a case study for exploring the application potential of temporal, spatial and TS growth extrapolation models. To 85 capture the complex nonlinear relationship between growth and climate conditions, we 86 87 apply the random forest algorithm to build extrapolation models. We also evaluate the 88 robustness and generalizability of models using independent external validation data.

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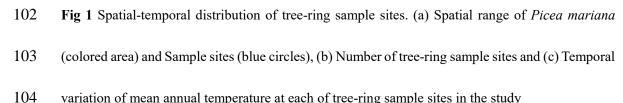
89 2 Data materials and methods

90 **2.1 Study area**

91 We use the Picea mariana (Mill.) B.S.P in the northern temperate and boreal forest zones of Québec, Canada as a case study. The climate spans from humid continental in 92 93 the south, characterized by hot humid summers and long cold winters, to subarctic in 94 the north with cooler summers and extended colder winters. The elevation of the study 95 area increases from about 0 m a.s.l. in the boundary to about 1233 m a.s.l. in the central area (Fig 1b). The study area experienced relative climatic stability for most of the 20th 96 century followed by a consistent warming began in the early 1990s (Fig 1c). Picea 97 98 mariana is commonly selected for dendrochronology studies due to its distinctly 99 marked annual growth rings, climatic sensitivity and abundance throughout the North 100 American boreal forest(Beaudoin et al., 2014; D'orangeville et al., 2016).



101



105 2.2 Tree-ring data

106 Tree-ring width data were retrieved from the International Tree-Ring Data Bank (https://www.ncei.noaa.gov/pub/data/paleo/treering/measurements/), a public database 107 108 known for its utility in investigating long-term tree growth patterns(Zhao et al., 2019; 109 Pearl et al., 2020). First, we downloaded all available tree-ring width data of Picea 110 mariana for the study area. We then thoroughly cleaned and filtered the tree-ring width data to ensure that all cores met the following criteria: the radius was greater than 5 cm 111 112 and less than 100 cm, and the average ring width and 95% of annual ring widths were less than 1 cm. We further subset the tree-ring width to include only measurements after 113 114 1950, as the significant improvement in the quality of climate data after 1949(Harris et 115 al., 2020). Finally, we retained 38,170 ring width measurements from 733 cores across 116 28 sites. The number of sites available for each year peaks before 1988 and declines 117 dramatically thereafter: at 57% of sites the last measurements was before 1988, at 86% 118 of sites before 2011, and only two sites have measurements in 2022 (Fig 1c).

119 We transformed the tree-ring width to annual basal area increments (BAI, mm^2/yr),

120 which are closely related to tree productivity(Mirabel *et al.*, 2023) using the formula:

121 $BAI = \pi (R_t^2 - R_{t-1}^2)$

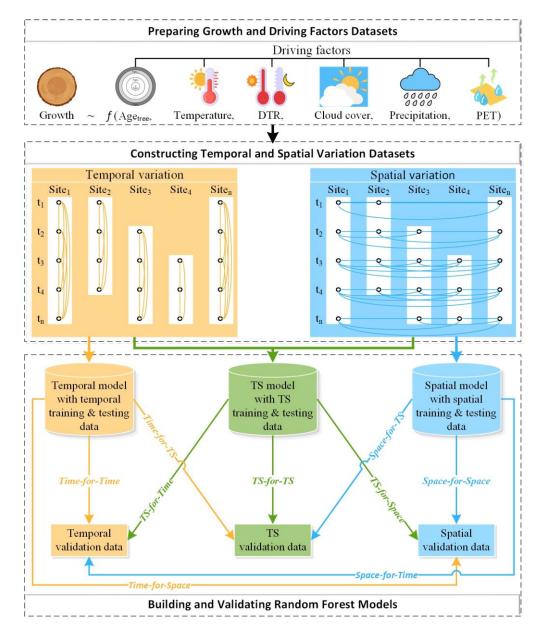
122 where, *R* represents the tree radius and *t* represents the year of tree-ring formation. To 123 estimate tree radius, we assumed that the ring width was uniform in a circular cross-124 section of the tree, and the oldest record corresponded to the pith (i.e., radius at any 125 given year is the sum of all previous ring widths). Tree age was estimated as the sum 126 of recorded rings. Single BAI and age series for the same site were averaged by Tukey's 127 6 / 32

127 Biweight Robust Mean method into a site BAI and age chronology. All these procedures were performed using the dplR package(Bunn et al., 2021) in R software(Team, 2018). 128 2.3 Climatic data 129 130 As driving tree growth variables, we used monthly temperature (°C), precipitation 131 (mm), cloud cover (%), potential evapotranspiration (PET, mm/d), and diurnal 132 temperature range (DTR, °C) spanning 1949-2022 with a spatial resolution of 0.5° from the Climate Research Unit Time Series (CRU TS) V 4.07 dataset(Harris et al., 2020). 133 134 To obtain these variables for the individual tree-ring sample sites, values were extracted from the gridded dataset and the corresponding grid pixels. Considering the influence 135 136 of both previous and current year on tree growth for a single year, we incorporated climatic variables from May of previous year to October of current year. 137

138 2.4 Experimental design

In this study, three major steps were taken to evaluate the potential of models in simulating tree growth variations (Fig 2). First, we established the foundational database. Second, we constructed the temporal and spatial variation datasets for tree growth and their driving factors. Finally, we fitted and evaluated three tree growth variation extrapolation models.

144



145 Fig 2 The experimental design and workflow to evaluate the performance of extrapolation models146 in tree growth variation. PET and DTR represent the potential evapotranspiration and diurnal

147 temperature range, respectively. TS, integrating temporal-spatial.

148 2.4.1 Constructing the temporal and spatial variations

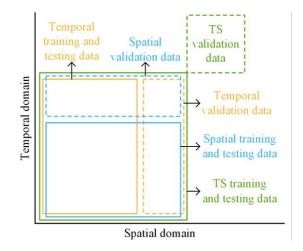
149 For temporal variation data, the dissimilarities of BAI, age and climate conditions

150 between all sample pairs at a single tree-ring site over time were calculated then

151 combined with temporal comparisons obtained from all other sites. For example, within

site1, the temporal dissimilarities in tree growth were calculated by a subtraction expression (e.g., growth in 2000 minus growth in 2001). For spatial variation data, the dissimilarities between all site pairs for a single year across space were calculated and then combined with spatial comparisons obtained from all other years. For example, in the year 2000, the spatial dissimilarities in tree growth were calculated by a subtraction expression (e.g., growth at site1 minus growth at site2).

158 To build and evaluate extrapolation models, we split the temporal and spatial dissimilarities data into training, testing (i.e., internal validation) and validation (i.e., 159 160 external validation) partitions (Fig 3). We randomly selected 70% of sites, and then (1) 161 assigned 70% of their temporal dissimilarities to construct temporal training and testing data (16,984 pairwise dissimilarities) and the remaining 30% to construct temporal 162 validation data (7,279 pairwise); (2) assigned 70% of their spatial dissimilarities to 163 construct spatial training and testing data (5,116 pairwise) and the remaining 30% to 164 165 construct spatial validation data (2,192 pairwise); (3) pooled their temporal and spatial dissimilarities together to construct TS training and testing data (22,100 pairwise). For 166 167 the remaining 30% of sites, we pooled their temporal and spatial dissimilarities together to construct TS validation data (12,655 pairwise). Through the above processes, we 168 169 combined tree growth and climate data across space and time and thus integrates shifting niches, no-analog climatic conditions, and other factors to capture diverse 170 171 manifestations of growth-climate relationships(Nogués-Bravo, 2009; Blois et al., 2013). 172 Moreover, the integration created large datasets, enhancing analytical power.



173

Fig 3 Data composition used for model building and evaluation. TS, integrating temporal-spatial
2.4.2 Building and evaluating extrapolation models

176 We used the random forest (RF) algorithm as a multivariate non-parametric 177 regression method(Bhuyan et al., 2017) to explore the effects of age and climate on tree growth variations. The RF algorithm, an ensemble learning method developed by 178 179 Breiman (2001), constructs several random decision trees during training phase, with 180 the ultimate output being the average of all decision trees results (Liaw & Wiener, 2002). 181 It is widely applied in extrapolations and well-suited for high-dimensional non-linear modeling of tree growth(Mirabel et al., 2023; Jevšenak et al., 2024). Incorporating 182 183 Tobler' First Law of Geography(Tobler, 1970), which emphasizes the importance of spatial proximity, we incorporate space distance as an explanatory variable. 184 185 Recognizing the influence of adaptive plasticity on growth response to climate, i.e., the 186 effects of rapid and slow climate changes may vary(DeSoto et al., 2014; Wilmking et 187 al., 2020), we also incorporate time distance as an explanatory variable. Ecologically, the relationship between growth and climate often reflects non-linearities, resembling 188 189 reaction norms in evolutionary biology, and featuring physiological optima and either

190	threshold or saturation effects(Wilmking et al., 2020). Identical climate variations
191	under various climate conditions may result in diverse impacts on growth, potentially
192	even exhibiting opposite signs. Thus, we further estimate interactions of climate
193	conditions between sample pairs at a single site over time, or between site pairs for a
194	single year across space. Finally, the fitting function has the form:

195
$$\Delta BAI \sim f\left(\Delta age, \frac{\Delta climate_{i,k}}{(1+dist_T)*(1+dist_S)}, climate_{onset,i,k}*climate_{end,i,k}\right)$$

196 where, ΔBAI , Δage and $\Delta climate$ represent the dissimilarities of BAI, age and climate between sample pairs at a single site over time, or between site pairs for a single year 197 across space, respectively. The index *i* specifies five climate variables: temperature, 198 199 precipitation, cloud cover, potential evapotranspiration and diurnal temperature range. 200 The index k specifies six 2-month seasons, starting from the previous year's July and 201 August, September and October, ..., until the current year's September and October (noting that the winter season spans from previous November to current April). The 202 203 index onset and end specify the onset and end climate condition for tree growth variations. The terms $dist_T$ and $dist_S$ represent the time and space distance between 204 205 sample pairs at a single site over time, or between site pairs for a single year across space. To prevent division by zero, a bias term of 1 is added to both $dist_T$ and $dist_S$. The 206 207 RF model was fitted with the 'sklearn' package from Python(Pedregosa et al., 2011). We then built three extrapolation models: (1) the temporal model fitted using 208 209 temporal training and testing data, (2) the spatial model fitted using spatial training and

210 testing data, and (3) the TS model fitted using TS training and testing data. To mitigate

211 overfitting, we used a 10-fold cross-validation approach to search for the best $\frac{11}{32}$

212 parameters(Hastie et al., 2009). In this approach, the input data was randomly split each 213 time by assigning 90% train the model and the remaining 10% to test the model (i.e., the internal validation). The determination coefficients (R^2) were used to evaluate 214 215 model accuracy, with a higher value closer to 1 indicating a more accurate model(Alavi et al., 2010). The mean absolute error (MAE) and root mean square error (RMSE) were 216 217 adopted to depict the average magnitude of errors. MAE equal to 0 indicates predictions completely coincides with observations, and the model is deemed ideal. Although 218 219 RMSE is sensitive to outliers, it avoids using absolute values and is deemed more suitable than MAE when model errors conform to a normal distribution(Chai & Draxler, 220 2014). The value of \mathbb{R}^2 , MAE and RMSE are in the ranges $[0, 1], [0, +\infty]$ and $[0, +\infty]$, 221 respectively, and were calculated using the following formulas: 222

223
$$R^{2} = 1 - \frac{\sum_{i=1}^{N} (Y_{i}^{model} - Y_{i})^{2}}{\sum_{i=1}^{N} (Y_{i} - Y_{mean})^{2}}$$

224
$$MAE = \frac{\sum_{i=1}^{N} |Y_i^{model} - Y_i|}{N}$$

225
$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^{N} (Y_i^{model} - Y_i)^2}{N}}$$

where, N is the number of samples, Y_i^{model} is model predictions, Y_i is observations, Y_{mean} is the mean of observations.

The Time-for-Time, Time-for-Space and Time-for-TS methods were applied to evaluate the predictive skill of temporal model on the temporal, spatial, and integrating temporal-spatial (TS) dissimilarities, respectively. Similarly, the Space-for-Time, Space-for-Space and Space-for-TS were applied to evaluate the predictive skill of spatial model on the temporal, spatial, and TS dissimilarities, respectively. Finally, the 12 / 32

233 TS-for-Time, TS-for-Space and TS-for-TS were applied to evaluate the predictive	skill s
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of TS model on the temporal, spatial, and TS dissimilarities, respectively.

235 3 Results

The error metrices calculated for the training and testing data of three extrapolation 236 models are shown in the Table 1. R² values of all models exceed 0.95 for the training 237 238 data, indicating that more than 95% of the variance in the training data can be explained by the explanatory variables. Additionally, the low MAE and RMSE values 239 demonstrate that all extrapolation models can effectively fit the training data. Compared 240 to the training phase, the relatively low R^2 values and high MAE and RMSE values 241 242 during testing phase are understandable, given the greater challenge of predicting unseen data during fitting process. Although overfitting might be present, all models 243 exhibit an R² greater than 0.71 for the testing data, along with low MAE and RMSE 244 values, indicating their good generalization ability for the testing data. 245

246

 Table 1 The statistical evaluation of three extrapolation models

Model	Training		Testing			
Widdei	R ²	MAE	RMSE	\mathbb{R}^2	MAE	RMSE
Temporal	0.952	0.07	0.12	0.710	0.19	0.29
Spatial	0.973	0.16	0.24	0.865	0.42	0.57
TS	0.965	0.09	0.16	0.798	0.23	0.38

Certain differences in the relative importance of explanatory variables were observed among three extrapolation models (Fig 4). For the temporal model, diurnal temperature range factors (26.57%) were identified as the most important climatic variables in explaining tree growth variations, followed by the potential evapotranspiration factors (19.34%) and age (16.14%). Diurnal temperature range

252 factors were also the most important climatic variables for both spatial (32.55%) and TS model (31.65%), while the order of importance thereafter changes to cloud cover 253 254 factors (28.83% and 17.08%) and temperature factors (10.38% and 15.40%). Among the 61 predictor variables (Table S1), Age dif (16.14%), Dtr* Winter (13.06%) and 255 256 Pet* Summer (3.83%) were the top three important variables for the temporal model. For the spatial model, Cld* Winter (15.61%), Age dif (9.03%) and Cld dif Winter 257 (8.17%) were the top three important variables. In contrast, for the TS model, Age dif 258 (10.38%) was the most important variable, with Cld* Autumn (7.80%) and 259 Dtr* spring (6.41%) being the second and third most important. In general, Age dif 260 261 was a very important variable in explaining tree growth variations, consistently ranking 262 within the top two in all extrapolation models.

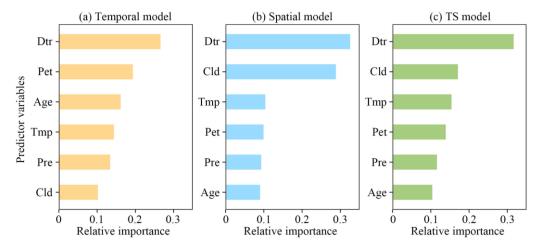




Fig 4 The relative importance of 6 types explanatory variables contributing to tree growth variations
as determined from the (a) Temporal, (b) Spatial and (c) TS models. Cld, cloud cover; Dtr, diurnal
temperature range; Pet, potential evapotranspiration; Pre, precipitation; Tmp, temperature.

Aside from the internal validation (e.g., temporal, spatial and TS testing data), we also evaluated the model performance under less ideal scenarios using independent external validation data (e.g., three validation data). Performance of the temporal model

270	experienced a slight decrease, dropping from $R^2 = 0.71$ (internal, see testing R^2 in the
271	Table 1) to 0.69 (external, Fig 5a), representing a 3% decrease. This was followed by
272	the spatial model, which experienced a 6% decrease from 0.86 to 0.81 (Fig 5e). In
273	contrast, the TS model's performance experienced the most significant decrease,
274	dropping substantially by 59% from 0.8 to 0.21 (Fig 5i). This might be attributed to the
275	greater differences between the TS validation data and the TS training and testing data,
276	compared to the other two approaches, as the validation data is comprised of entirely
277	independent tree-ring sites. These findings indicate that all extrapolation models
278	exhibited declines in performance from internal to independent external validation.

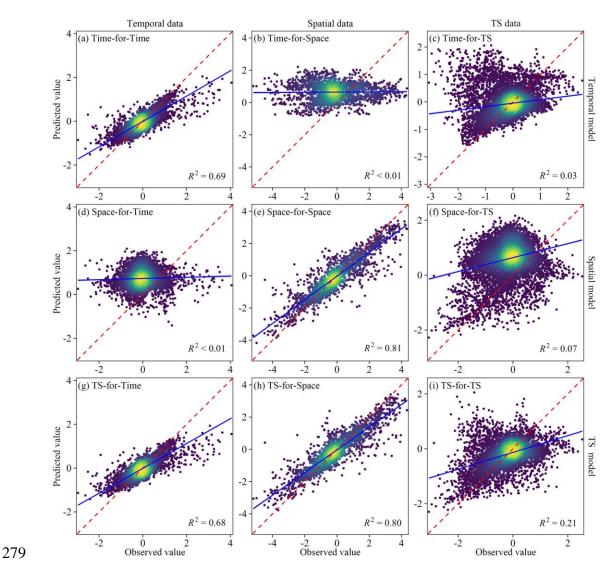


Fig 5 Performance of temporal model (a-c), spatial model (d-f) and TS model (g-i) for simulating the temporal dissimilarities (a, d, g), spatial dissimilarities (b, e, h), and TS dissimilarities (c, f, i) of tree growth. In all cases, color represents point density, with yellow indicating higher density. The blue lines indicate best-fit lines from ordinary least squares regression, and red dashed lines indicate the 1:1 line. R2 denotes the goodness of fit.

The Space-for-Time substitution method exhibited extremely poor performance (Fig 5d). The relationship between temporal dissimilarities predicted by spatial model and observed temporal dissimilarities was notably weak ($R^2 < 0.01$), and the predictive skill using Space-for-Time substitution relative to Time-for-Time substitution dropped 16 / 32

to almost zero (0.22%, Table 2). In contrast, predicted temporal dissimilarities were comparable between the TS-for-Time substitution and Time-for-Time substitution (Fig 5g). The association between temporal dissimilarities predicted by TS model and observed temporal dissimilarities was fairly strong ($R^2 = 0.68$), with the TS-for-Time substitution exhibiting 99.82% of the predictive skill using Time-for-Time substitution, resulting in a slight loss of predictive skill (Table 2).

295 Table 2 Results of ordinary least-squares regression between observed and predicted dissimilarities

Dataset	Method	β	α	R ²	Skill: %
	Time-for-Time	-0.009	0.578	0.6851	100
Temporal dissimilarities	Space-for-Time	0.731	0.027	0.0015	0.22
dissimilarities	TS-for-Time	-0.011	0.567	0.6839	99.82
	Space-for-Space	-0.027	0.733	0.8055	100
Spatial dissimilarities	Time-for-Space	0.645	0.006	0.0003	0.03
dissimilarities	TS-for-Space	-0.032	0.702	0.8037	99.78
	TS-for-TS	-0.133	0.306	0.2094	100
TS dissimilarities	Time-for-TS	-0.046	0.128	0.0312	14.90
dissimilarities	Space-for-TS	0.639	0.255	0.0689	32.90

296 to explain the predictive performance of each substitution method

297 Note: β , α , and R^2 represent the slope, intercept, and goodness of fit of the ordinary least-298 squares regression, respectively. Predictive skill for temporal dissimilarities was calculated by 299 dividing the R² of each substitution method by the R² of Time-for-Time substitution (i.e., R²_{Time-for-} 300 Time/R²Time-for-Time, R²Space-for-Time/R²Time-for-Time, R²TS-for-Time/R²Time-for-Time). Predictive skill for spatial 301 dissimilarities was calculated by dividing the R² of each substitution method by the R² of Space-302 for-Space substitution (i.e., R²_{Space-for-Space}/R²_{Space-for-Space}, R²_{Time-for-Space}/R²_{Space-for-Space}, R²_{TS-for-Space}/R²_{Space-for-Space</sup>/R²_{Space-for-Space}/R²_{Space-for-Space</sup>/R²_{Space-for-Space}/R²_{Space-for-Space</sup>/R²_{Space-for-Space}/R²_{Space-for-Space</sup>/R²_{Space-for-Space}/R²_{Space-for-Space</sup>/R²_{Space-for-Space</sup>/R²_{Space-for-S}}}}}}} 303 Space/R²Space-for-Space). Similarly, predictive skill for TS (integrating temporal-spatial) dissimilarities 304 was calculated by dividing the R² of each substitution method by the R² of TS-for-TS substitution (i.e., $R^2_{TS-for-TS}/R^2_{TS-for-TS}$, $R^2_{Time-for-TS}/R^2_{TS-for-TS}$, $R^2_{Space-for-TS}/R^2_{TS-for-TS}$). 305

306	The Time-for-Space substitution method also performed extremely poor, with the
307	fit between spatial dissimilarities predicted by temporal model and observed spatial
308	dissimilarities being notably weak ($R^2 < 0.01$, Fig 5b). Inevitably, the predictive skill
309	using Time-for-Space substitution relative to Space-for-Space substitution dropped to
310	almost zero (0.03%, Table 2). Similar to the TS-for-Time substitution, the TS-for-Space
311	substitution performed well ($R^2 = 0.80$, Fig 5h), achieving 99.78% of the predictive
312	skill using Space-for-Space substitution (Table 2).
313	For the TS dissimilarities, a fairly weak goodness of fit was observed between the
314	observations and predictions from both temporal model ($R^2 = 0.03$, Fig 5c) and spatial

315 model ($R^2 = 0.07$, Fig 5f). The predictive skill using Time-for-TS substitution and

316 Space-for-TS substitution achieved 14.9% and 32.9% of the predictive skill using TS-

- 317 for-TS substitution, respectively (Table 2).
- 318 4 Discussions

319 4.1 Generalization performance of extrapolation models

Assessments of accuracy for tree growth extrapolation models under climate 320 321 changes typically rely on the re-substitution process, where the data used for model training also serve for validation(Klesse et al., 2020; Bodesheim et al., 2022; Zuidema 322 323 et al., 2022). However, this process may lead to models overfitting the training and 324 validation data, casting doubt on whether high accuracy on internal data reflects good predictive accuracy on independent external data (i.e., data outside the original 325 326 data)(Olden & Jackson, 2000). To address this, we evaluated model accuracy by 327 applying adjusted models onto external validation data and comparing the consistency 18 / 32

between predictions and observations. Results showed that three growth extrapolation 328 models fitted well on training data ($R^2 > 0.95$), produced accurate predictions on 329 internal validation (testing data, $R^2 = 0.71-0.86$) and yield good to acceptable 330 331 predictions on external validation data ($R^2 = 0.21-0.81$). We also found that the performance of all models declined sequentially from training to internal validation and 332 333 further to external validation. This is understandable since the external validation data is primarily unseen by models during their building phase and may encompass 334 observations both within and outside the ranges of input variables(Ashraf & Dua, 2023). 335 Of course, this result supports the cautious use of accuracy measurements on internal 336 337 data as a surrogate for accuracy on external independent data(Araujo et al., 2005).

In addition, the R^2 of 0.21 seems quite low, representing only 21% of the explained 338 339 variance. However, it is important to consider that environmental factors other than climate, such as soil, biotic, human activities, and various others not incorporated into 340 341 our models, also affect tree growth and further led to its nonlinear response to climate change(Vaganov et al., 2011; Biermann & Grissino-Mayer, 2018). This makes it 342 343 impossible to account for all potential factors driving variations in tree growth (Babst et al., 2018). Moreover, with only 28 sites representing a small part of the Picea mariana 344 345 distribution, there is some epistemic uncertainty in capturing tree growth variations. 346 Errors are thus an inherent property of extrapolation models(Stewart, 2000), and it is unrealistic to expect consistently high precision in predictions on external validation 347 data. Nevertheless, the performance analysis on external validation confirmed the 348 349 adequate modeling and generalization ability of the random forest model for tree 19 / 32

350 growth variations, at least over modest time and space scales.

4.2 Opportunity for extrapolation models on tree growth

Using data from sample sites to drive temporal/spatial models for extrapolation of 352 unobserved regions or past/future periods has always been pivotal in ecological 353 inquiry(Miller et al., 2004; Dormann, 2007; Casalegno et al., 2010). The evaluation 354 355 results showed that the temporal model performed extremely poorly on the extrapolation of spatial and integrating temporal-spatial (TS) variations, while the 356 357 spatial model performed extremely poorly on the extrapolation of temporal and TS variations. This discrepancy may be attributed to three main reasons. Firstly, the rates 358 359 and magnitudes of growth variation along climatic gradients do not entirely similar across time and space, which was further confirmed by the notable discrepancy in the 360 relative importance of explanatory variables between temporal and spatial models. 361 Secondly, the extent of temporal and spatial climatic gradients often mismatch, with 362 spatial climatic variation always larger than temporal variation(Blois et al., 2013; Belle 363 et al., 2022). Finally, ecological processes driving growth variation operate on different 364 365 timescales across time (short) and space (long)(Adler et al., 2020). For example, temporal dissimilarities are mainly driven by rapid environmental changes(Lovell et al., 366 367 2023), while spatial dissimilarities may result from long-term evolutionary adaptation in long-lived sessile organisms(Clark et al., 2001). Additionally, our results 368 demonstrated the effective performance of the TS model on the extrapolation of both 369 370 temporal and spatial variations. This is unsurprising, as integrating temporal and spatial 371 variations can provide insights that are not possible to get from either alone and may 20 / 32

372 mitigate some inherent weaknesses in each type of variation.

The almost 100% predictive skill of TS-for-Time and TS-for-Space substitutions, 373 374 coupled with good performance on the extrapolation of TS variations, collectively 375 demonstrate the TS model's effectiveness in capturing tree growth variation. Here, we constructed the temporal and spatial growth dissimilarities dataset, providing a 376 377 substantial sample size for growth dissimilarity models. Whereas, the sample size for temporal and spatial growth models is unlikely to be so large. The temporal model is 378 379 based on the relationship between tree productivity and climate factors over time at a single site, with the sample size determined by the length of time series at that site. The 380 381 spatial model is based on the relationship between multiyear averages of productivity and climate factors across space, with the sample size determined by the number of 382 sites. While the TS model incorporates all-time series, achieving a notably larger 383 sample size compared to temporal and spatial models, thus enhancing analytical power. 384 In summary, this study provides a rare empirical evaluation of whether the 385 temporal, spatial, and TS models can be effectively used for extrapolating to 386 387 unobserved regions or past and future periods. And we suggest that (1) when performing temporal extrapolation at sample sites, both temporal and TS models are 388 389 suitable, but the TS model should be prioritized unless the time series of that site is 390 extensive; (2) when performing spatial extrapolation on sample sites with partial observations, both spatial and TS models are suitable, but the TS model should be 391 392 prioritized unless there are numerous sites; and (3) when performing extrapolation on 393 completely unobserved regions, only the TS model can achieve an acceptable level. 21 / 32

Compared to the almost zero accuracy of the temporal and spatial models, the TS model
demonstrated notably higher predictive skill but had limited accuracy at 21%, still
facing numerous challenges in extrapolating tree growth.

397 4.3 Implications

Our evaluation studies emphasize the essential of testing the extrapolation capacity 398 399 of extrapolation models using independent external validation data, which has significant implications for the field of tree growth extrapolation. While three 400 401 extrapolation models in this study performed well during internal and independent external validation, we remain cautious about their predictive capacities over distant 402 403 past/future periods or spatial distances. Reaction norms may change, especially when 404 climate conditions fall outside the range experienced during the new modeling(Hodgson et al., 2011), potentially causing unobserved growth patterns to 405 deviate systematically from model projections. This phenomenon holds true even for 406 long-lived sessile organisms with heightened levels of phenotypic plasticity in growth. 407 Nevertheless, quantitative predictions based on the best available science are still 408 409 preferable to proceeding blindly(Rastetter, 1996; Miller et al., 2004), offering valuable insights for research planning and informed management decisions, especially in 410 411 regions with only a finite number of observations. Therefore, this study complements 412 studies that have focused on modeling tree growth and provides scientific guidance for selecting methods for extrapolation of tree growth under climate change. 413

414 Additionally, we acknowledge that extrapolating the TS model to unobserved 415 regions or past/future periods still faces numerous challenges. In the future, more work $\frac{22}{32}$

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416	should focus on considering more comprehensive environmental factors and improving
417	their spatiotemporal resolution to further enhance the predictive skill of TS model.
418	5 Conclusions

419 This study explored the potential of an integrated temporal-spatial (TS) model for 420 extrapolating tree growth variations using tree-ring data of Picea mariana. The 421 predictive skills of the TS model were compared with those of the temporal and spatial 422 models using independent external validation data. The results showed that: (1) for the 423 extrapolation of temporal variations, both the temporal and TS model provided good 424 results, while the spatial model was extremely poor; (2) for the extrapolation of spatial 425 variations, both the spatial and TS model provided good results, while the temporal model was extremely poor; (3) for the extrapolation of integrating temporal-spatial 426 427 variations, only the TS model provided acceptable results, while both the temporal and spatial model were extremely poor. In summary, the TS model demonstrated notably 428 429 higher predictive skill but had limited accuracy at 21%, still facing numerous challenges in extrapolating tree growth. This study provides scientific guidance for the 430 431 extrapolation of tree growth under climate change, especially in regions with limited observations. In future work, it will be important to include more comprehensive 432 433 environmental factors and improve their spatiotemporal resolution.

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438	CRediT authorship contribution statement
439	F.W: Writing - original draft, Methodology, Conceptualization. X.C: Writing -
440	review & editing, Project administration, Conceptualization. J.J: Validation,
441	Methodology. Y.J: Writing – review & editing. C.L: Writing – review & editing.
442	Data availability
443	All data used in this study from publicly accessible data sources. All tree-ring
444	measurements were obtained from https://www.ncei.noaa.gov/pub/data/paleo/treering/.
445	The CRU climate datasets were obtained from <u>http://www.cru.uea.ac.uk/</u> . R markdown
446	have been uploaded to Zenodo https://doi.org/10.5281/zenodo.12633536.

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