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Investigating endothelial cell transduction and hexon:PF4 binding of ChAdOx1
in the context of VITT
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Professor Alan Parker FLSW Division of Cancer and Genetics Cardiff University Heath Park Cardiff CF14 4XN Telephone: +44 2922 510 231 Email: ParkerAL@cardiff.ac.uk Word count Abstract: 250 Main text: 1999 Figure legends: 591 Figure count: 3

29 Abstract:

30 Background

Vaccines against SARS-CoV2 have been essential in controlling COVID-19 related mortality and have saved millions of lives. Adenoviral (Ad) based vaccines been integral part in this vaccine campaign, with licensed vaccines based on the simian Y25 isolate (Vaxzevria, Astrazeneca) and human Ad type 26 (Jcovden, Janssen) widely adopted. As part of the largest global vaccination programme ever undertaken, cases of vaccine-induced thrombotic thrombocytopenia (VITT) have been described in approximately 1:200,000 vaccinees administered with Ad based SARS-CoV2 vaccines.

37 Objectives

38 The mechanism underpinning these adverse events remain to be completely delineated, but is

39 characterised by elevated autoantibodies against PF4 which, in complex with PF4, cluster, bind FcyRIIa

40 on platelets and induce thrombus formation. Here we investigated the ability of ChAdOx1 to transduce

41 and activate endothelial cells (EC).

42 Methods

43 Using protein sequence alignment tools and *in vitro* transduction assays, the ability of ChAdOx1 to

44 infect EC was assessed. Furthermore, the ability of ChAdOx1 infection to activate EC was determined.

45 Finally, using surface plasmon resonance we assessed the electrostatic interactions between the

46 ChAdOx1 hexon and PF4.

47 Results and Conclusions

Despite lacking the primary cell entry receptor, Coxsackie and Adenovirus Receptor (CAR), ChAdOx1 efficiently transduced EC in a CAR-independent manner. This transduction did not result in EC activation. Purified hexon protein from ChAdOx1 preps did, however, bind PF4 with a similar affinity to that previously reported for the whole ChAdOx1 capsid. These data confirm the need to develop non-PF4 binding adenoviral capsids and assess their potential to mitigate adverse events associated with VITT.

54

55 Keywords:

56 Adenovirus; vaccine; Endothelial Cell; PF4; VITT.

57

58 Introduction

59 The ChAdOx1 nCoV-19 vaccine (Vaxzevria/AstraZeneca), derived from chimpanzee adenovirus Y25[1] 60 is credited with saving millions of lives during the COVID pandemic. However, vaccine-induced 61 thrombotic thrombocytopenia (VITT) was identified as an ultrarare but serious adverse effect of 62 adenoviral vector-based COVID-19 vaccines[2]. VITT resembles heparin-induced thrombocytopenia 63 (HIT), a severe complication caused by the formation of antibodies against platelet factor 4 (PF4). 64 Patients present with severe thrombocytopenia and thrombosis at uncommon sites, including the 65 cerebral venous sinus, 5-24 days post-vaccination[3]. Existing evidence suggests PF4 forms an 66 electrostatic interaction with the negatively charged ChAdOx1 viral capsid within the inter-hexon 67 space, inducing autoimmune anti-PF4 responses and production of anti-PF4 antibodies[4]. These 68 antibodies may then form PF4 immune complexes which bind Fc receptors on platelets and 69 neutrophils, resulting in activation and thrombus formation[5].

70

71 VITT patients present with signs of endothelial activation, yet a role for the endothelium in VITT has 72 not been described[6]. Investigations of ChAdOx1 vector-host interactions are critical to improving 73 understanding of how these rare adverse events occur; therefore, we assessed the direct effects of 74 ChAdOx1 infection of endothelial cells (EC). We demonstrate that ChAdOx1 transduces EC despite the 75 absence of their primary entry receptor coxsackie and adenovirus receptor (CAR), but that this does 76 not induce EC activation, suggesting that direct infection of EC by ChAdOx1 does not contribute to 77 endothelial cell activation in VITT patients. 78 Using surface plasmon resonance (SPR) we confirm PF4 binding to purified ChAdOx1 hexon protein,

further supporting findings that VITT pathogenesis may result from electrostatic interactions betweenPF4 and ChAdOx1.

- 81
- 82

83	Materials and Methods
84	
85	Chinese hamster ovary (CHO)-CAB and CHO-BC1 cells expressing CAB and CD46 respectively and
86	immortalised human vascular endothelial cells (HUVECs) were maintained in DMEM-E12 medium
87	(Gibco) supplemented with 10% foetal bovine serum 1% L-Glutamine and 2% penicillin and
88	strentomycin
89	
90	Viruses
91	ChAdOx1-GFP, ChAdOx1-S, HAdV-C5, HAdV-C5-26K and HAdV-C5-35K were propagated and purified
92	using the CsCl gradient method [4].
93	
94	Cell receptor staining
95	Cells were stained for receptors using primary CAR RmcB (Millipore, 05-644; 1:500), CD46 (GeneTex,
96	MEM-258; 1:100) and CD31 (Biolegend, 303101; 1:100) antibodies and Alexa-Fluor647-conjugated
97	secondary antibody (ThermoFisher, A21237; 1:1000), then fixed in 4% paraformaldehyde with staining
98	detected using a BD Accuri C6 cytometer. Analysis was performed in FlowJo.
99	
100	Fiber knob protein alignment
101	Sequences for HAdV fiber knob proteins were obtained from NCBI and aligned using Clustal Omega
102	[7].
103	
104	Generation of recombinant fiber knob proteins
105	Recombinant fiber knob proteins were produced as previously described [4].
106	
107	Competitive inhibition assays with recombinant fiber knob proteins
108	Competitive inhibition assays with recombinant fiber knob proteins were performed in CHO-CAR
109	cells and EC as previously described[4].
110	Viral transduction assay
111	2.5x10 ⁴ cells/ well were transduced with viruses in serum-free medium for 3h. Virus was removed and
112	replaced with complete medium for 45h. For GFP transduction, cells were washed, fixed and analysed
113	as described[4]. Luciferase transduction was analysed using Luciferase Assay System kit (Promega),
114	normalised to protein. Luciferase was quantified using a BioTek Cytation 4 plate reader.
115	

116 Neuraminidase assay

- 117 Neuraminidase transduction assays were performed as above, with seeded cells treated with 50
 118 mU/mL Neuraminidase A for 1h at 37°C before transduction for 1h on ice.
- 119

120 Thrombosis assay

EC were transduced as above. Supernatants were collected 6h, 12h, 24h and 48h post-infection, centrifuged to remove debris and EC production of factor IX, interleukin-6 (IL-6), IL-8, plasminogen activator inhibitor-1 (PAI-1), P-selectin, P-selectin glycoprotein ligand (PSGL), sCD40L and tissue factor (TF) was quantified using the LEGENDplex human thrombosis panel (Biolegend) per manufacturer's instructions.

126

127 Hexon purification

128 Adenovirus hexons were propagated and purified using the CsCl gradient method [4] with the 129 modification of extracting the top band, containing the empty capsid, rather than the lower band 130 containing complete virions. Anion exchange was performed using an ÄKTA FPLC (Cytvia) using 5 ml 131 HiTrap Q FF columns (Cytvia). Using a 5 ml loop, the extracted band was loaded onto the column and 132 4 column volumes (CV) of Buffer A (20 mM Hepes; pH 7.4) were run across the column. Hexon was 133 eluted using a linear gradient over ~10 CV of Buffer B (20 mM Hepes; pH 7.4; 1 M NaCl) . Fractions 134 were collected and those corresponding to hexon sized peaks were run on a gel and stained with 135 Coomassie blue (ThermoFisher) to identify the fractions containing hexon protein. Fractions containing 136 hexon were then concentrated with a 50 kDa MWCO (Amicon) centrifugal filter to a final volume of 1 137 ml and size exclusion chromatography (SEC) performed with a Superose increase 10/300 GL SEC 138 column (Cytvia) on an AKTA FPLC (Cytvia). The column was equilibrated with GF buffer (20 mM Hepes; 139 pH 7.4; 150 mM NaCl) and the concentrated fractions were loaded using a 1ml loop. Buffer was then 140 run at 0.5 ml/min and samples collected from 10 ml to 25 ml, corresponding to the hexon peak.

141

142 Surface plasmon resonance

All SPR experiments were performed at 25°C in 10 mM phosphate buffer, pH 7.4, 140 mM NaCl, and
0.27 mM KCl running buffer. Data was collected with a Biacore T-200 instrument at a rate of 1 Hz.
Hexons were coupled to the CM5 sensor chip by amine coupling reactions for an immobilization
density of ~1000 resonance units (RU). PF4 was serially diluted in running buffer (0.125 mM, 0.25 mM,
0.5 mM, 1 mM and 2mM) and then injected over the surfaces. Blank samples contained only running
buffer. After each cycle, the biosensor surface was regenerated with a 60s pulse of 10 mM Tris-glycine
(pH 1.5) at a flow rate of 30 µL/min.

150

151 Statistics

- 152 Results are presented as mean ± standard deviation; IC₅₀ curves were calculated and fitted by non-
- 153 linear regression. All statistics and graphs were generated using GraphPad Prism.

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154 Results and Discussion

155 Determining the mechanism by which ChAdOx1 infects CAR-negative EC

The primary virus-cell interaction during infection is mediated by the adenovirus fiber knob, where CAR is a high affinity ChAdOx1 receptor[4]. Alignment of ChAdOx1 fiber knob with other CAR-binding adenoviruses[8]·[9]·[10] identified shared contacts (Figure 1a_i). The use of CAR as a high affinity ChAdOx1 fiber knob receptor was confirmed with competitive inhibition assays with HAdV-C5 and ChAdOx1 demonstrating IC₅₀ =0.0457 and 0.0757µg/10⁵ cells respectively (Figure 1a_{ii}). Cross-species utilisation of CAR has been reported previously for canine adenoviruses[11].

162

163 CAR regulates mechanotransduction, including maintenance and modulation of vascular function in 164 EC[12]. CAR expression was undetected on EC (Figure 1aiii) and HAdV-C5 could not transduce these 165 cells, even at 10 000 vp/cell (Figure 1aiv). However, transduction assays with ChAdOx1.GFP 166 demonstrated efficient transduction, suggesting use of an unidentified cell entry receptor (Figure 1aiv). 167 Competitive inhibition using HAdV-C5 fiber knob demonstrated HAdV-C5 fiber knob protein does not 168 effectively block ChAdOx1 infection (Figure 1a_v). EC downregulate CAR expression in the presence of 169 inflammatory cytokines, suggesting a mechanism by which EC can decrease adenoviral infection at 170 sites of inflammation[13]. Therefore, the ability of ChAdOx1 to infect EC in the absence of CAR suggests 171 that direct infection of EC could contribute to endothelial cell activation in VITT.

172

173 CD46 is a primary attachment receptor for species B adenoviruses. EC CD46 expression was high
174 (98.6% positive cells; MFI 6534) (Figure 1b_i); however, ChAdOx1 fiber knob does not share CD46
175 binding residues for species B adenoviruses[10,14,15] (Figure 1b_{ii}). Competitive inhibition assays in EC
176 using HAdV-C5 adenovirus pseudotyped with the fiber knob from HAdV-B35 (HAdV-C5-35K), and
177 ChAdOx1 demonstrated HAdV-B35K inhibits EC transduction of HAdV-C5-35K (IC₅₀ 4.007µg/10⁵ cells)
178 but not ChAdOx1 (Figure 1b_{iii};1b_{iv}), suggesting ChAdOx1 does not engage CD46 to transduce EC (Figure
179 1b_v).

180

Sialic acids (SA) are primary receptors for HAdV-Ds[16]. ChAdOx1 fiber knob sequences were aligned with clustal omega (Figure 1c_i). Y381 (ChAdOx1), conserved across all sequences, is a key interacting residue for SA binding across HAdV-Ds, in addition T386 was shared with HAdV-D26. However, a key lysine residue of the SA binding pocket shared by HAdV-Ds is glycine (G420) in ChAdOx1. To investigate ChAdOx1 ability to engage SA, neuraminidase was used to remove cellular SA[16]. In EC, neuraminidase treatment did not decrease ChAdOx1 transduction at either viral concentration tested, suggesting ChAdOx1 does not use SA to enter cells (Figure 1c_{ii}). 188

189 The ability of ChAdOx1 to transduce EC suggests a dual tropism where ChAdOx1 can transduce cells in 190 the absence of CAR. Dual tropisms have been demonstrated in other HAdVs, such as the use of both 191 CAR and sialic acid by HAdV-Ds[16,17]. Although this mechanism is still unclear, ChAdOx1 may utilise 192 integrins to infect CAR-negative EC. Following attachment of HAdVs to cellular receptors, $\alpha\nu\beta$ 3 and 193 αvβ5 integrins facilitate viral uptake through interaction of the penton base RGD motif. αvβ3 integrin 194 is necessary for efficient infection of epithelial cells by HAdV-D26[18], suggesting ChAdOx1 infection 195 Alternatively, ChAdOx1 could engage heparan sulfate may similarly be integrin-mediated. 196 proteoglycans (HSPGs); HAdV-C2, HAdV-C5, HAdV-B3 and HAdV-B35 all demonstrate low affinity 197 interactions with HSPGs, functioning as alternative receptors for infection[19,20]. Furthermore, other 198 direct mechanisms of interaction exist between the ChAdOx1 capsid and the cell surface, such as the 199 hexon-CD46 interaction demonstrated in HAdV-D56[21]. Investigation into the mechanism utilised by 200 ChAdOx1 to transduce EC is required, and whether this interaction would be sufficient for productive 201 infection of EC in vivo.

202

203 ChAdOx1 does not induce endothelial cell activation

204 During endothelial dysfunction, EC become activated, releasing pro-coagulants that contribute to 205 thrombosis generation. EC activation has been demonstrated in VITT patients and addition of VITT 206 patient serum to EC increased P-selectin expression and platelet adhesion, suggesting EC activation 207 contributes to VITT pathogenesis[22]. Furthermore, viral infection induces endothelial cell 208 activation[23]. The ability of ChAdOx1 to induce EC activation was quantified by measurement of pro-209 thrombotic factors in the serum of transduced EC (Figure 2). Transduction with ChAdOx1, HAdV-C5 and 210 HAdV-C5-B35K did not significantly increase EC production of Factor IX, interleukin-6 (IL-6), IL-8, 211 plasminogen activator inhibitor-1 (PAI-1), P-selectin, glycoprotein ligand (PSGL), sCD40L and tissue 212 factor (TF) compared to uninfected cells. Furthermore, expression of SARS-CoV-2 spike protein did not 213 increase endothelial cell activation, further supporting that the activated endothelial cell phenotype 214 in VITT patients is an indirect effect of ChAdOx1. The inability of direct ChAdOx1 infection of 215 endothelial cells to induce thromboinflammation was further demonstrated in a recent paper which 216 showed that ChAdOx1 infection of HUVECs prior to blood perfusion did not increase platelet, fibrin or 217 neutrophil accumulation[22].

218

219 Adenovirus hexon proteins bind PF4

Using SPR, we previously demonstrated that PF4 binds with nanomolar affinity to pure ChAdOx1 (KD
616 nM) and Vaxzevria preps (KD 514nM), as well as purified HAdV-D26, the platform of the Johnson
& Johnson SARS-CoV2 vaccine, Jcovden [4]. These similar affinities confirm the interaction between

223 the virus and PF4, rather than cell-line derived impurities in the vaccine[24]. A recent publication cast 224 doubt on these findings, indicating that low pH acid washing during regeneration cycles caused 225 increased PF4 binding, suggestive of low pH compromising adenoviral capsid stability, exposing 226 negatively charged DNA, and promoting electrostatic binding of positively charged PF4 protein to viral 227 DNA[25]. To address this concern, we generated purified preps of adenoviral hexon protein and 228 performed SPR studies to assess direct PF4: hexon protein interactions in the absence of viral DNA. 229 SPR analysis using immobilised purified hexon protein from ChAdOx1 demonstrated a greater affinity 230 (KD 296.2 nM) for PF4 than that observed for both purified ChAdOx1 and Vaxzevria (Figure 3a), and 231 higher affinity than HAdV-C5 hexon (KD 766.7 nM). The orientation of purified hexon protein exposes 232 regions which would otherwise not be surface exposed on intact virions, suggesting such interactions 233 could be non-specific charge-dependent interactions. This 2.2-fold increase in affinity is within a similar 234 range but is unlikely to be statistically different. Collectively, these data support direct electrostatic PF4 235 interactions with the ChAdOx1 viral capsid as the initiating event for VITT pathogenesis.

236

237 Conclusions

238 The ChAdOx1 nCoV-19 vaccine is safe and efficacious in protecting against symptomatic COVID-19 239 infection. Despite ultrarare occurrence of VITT, adenoviral vector-based vaccines remain vital 240 worldwide. This study demonstrates that ChAdOx1 transduces CAR-negative EC, but this is unlikely to 241 contribute to the pathogenies of VITT. Furthermore, the occurrence of VITT symptoms 5-24 days post-242 vaccination is consistent with anti-PF4 memory B cell responses. Whilst HUVECs are a common cell 243 line for endothelial cell research, we do recognise the limitations of using cells from one vascular bed 244 and further investigations with different endothelial cell types, particularly those derived from the 245 splanchnic veins and the cerebral venous sinus would be favourable. Furthermore, we determine clear 246 binding kinetics for PF4 binding purified ChAdOx1 hexon protein, confirming the interactions detected 247 between PF4 and adenoviral vaccines vectors are not the result of low pH capsid destabilisation 248 resulting in exposure of viral DNA. To develop safer adenoviral vaccines for the future, the focus should 249 be on modification of the vector to prevent PF4 binding, or identification of adenoviruses which do 250 not bind PF4.

251

252 Authorship contributions

253

254 CL designed and conducted experiments, analysed data, and wrote the manuscript; LF designed and 255 conducted experiments, analysed data, and reviewed the manuscript; EAS generated recombinant 256 fiber knob proteins, and reviewed and edited the manuscript; RMM conducted experiments and reviewed and edited the manuscript; ALP designed experiments, acquired funding, supervised thestudy and wrote the manuscript.

259

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270 Conflict of interests

- 271 ALP is founder and CSO of Trocept Therapeutics Ltd, all other authors declare no conflict of interest
- 272

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360 Figure Legends

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362 Figure 1. ChAdOx1 infects CAR-negative endothelial cells. (Ai) Clustal Omega sequence alignment of 363 the ChAdOx1 fiber knob protein with other known CAR-binding HAdV fiber knob sequences[6]. Known 364 CAR-binding residues are highlighted in yellow and demonstrate that ChAdOx1 shares many 365 interacting residues with other CAR-binding adenoviruses. HAdV-B11 does not bind CAR. (Aii) 366 Competitive inhibition studies in CHO-CAR cells, which express CAR but no other known primary HAdV 367 receptors. Increasing concentrations of recombinant HAdV-C5 knob protein inhibited infection of the 368 CHO-CAR cells by HAdV-C5-GFP and ChAdOx1-GFP, suggesting that ChAdOX1 is using CAR as a primary 369 cell entry receptor. (Aiii) Immortalised human vascular endothelial cells are positive for CD31, a marker 370 of endothelial cells, and negative for CAR. (Aiv) Transduction assays with HAdV-C5 demonstrated no 371 infection, even at 10,000vp/cell, yet ChAdOx1-GFP demonstrated infection of endothelial cells, 372 suggesting the use of an unidentified cell entry receptor in the absence of CAR. (Av) Transduction of 373 endothelial cells with ChAdOx1 was partially inhibited by HAdV-C5 fiber knob protein, suggesting that 374 the predominant mechanism by which ChAdOx1 is infecting endothelial cells is independent of HAdV-375 C5. (Bi) Endothelial cells are positive for CD46, a primary cell entry receptor for some species B and D 376 adenoviruses. (Bii) ChAdOx1 fiber knob protein sequences was aligned with other known CD46-binding 377 HAdVs using clustal omega (Madeira et al. 2022). ChAdOx1 shared no CD46 binding residues, 378 suggesting ChAdOx1 is not using CD46 as a cell entry receptor. HAdV-B14/B7 do not bind CD46. (Biii) 379 Competitive inhibition studies in CHO-BC1 cells, which express CD46 but no other known primary 380 HAdV receptors. Transduction of CHO-BC1 cells with HAdV-C5-35K-GFP (pseudotyped HAdV-C5 with 381 HAdv-B35 knob protein) was inhibited by pre-incubation of the cells with recombinant HAdV-B35 fiber 382 knob protein (IC₅₀ 0.375 μ g/10⁵ cells). (Biv) HAdV-C5-35K and ChAdOx1 transduced 100% and 46% of 383 endothelial cells respectively. (Bv) Transduction of endothelial cells with HAdV-C5-35K-GFP could be 384 inhibited by pre-incubation with recombinant HAdV-B35 fiber knob protein (IC₅₀ 1.043 μ g/10⁵ cells) 385 whereas ChAdOx1 transduction was not inhibited, suggesting ChAdOx1 does not use CD46 to enter 386 cells. (Ci) Using clustal omega sequence alignment (Madeira et al. 2022), the sequence of the ChAdOx1 387 fiber knob protein was compared with other known sialic acid-binding species D HAdVs. Sialic acid 388 interacting residues are shown in yellow boxes. ChAdOx1 shared a tyrosine residue (Y381) in the sialic 389 acid binding regions identified in HAdV-D26,D29, D30,D37 and –D53. (Cii) Pre-treatment of endothelial 390 cells with neuraminidase did not decrease ChAdOx1 transduction at 5000 and 10 000 vp/cell 391 suggesting ChAdOx1 is using another cell entry mechanism. VP, virus particles. 392

Figure 2. ChAdOx1 infection does not induce EC activation. Activated endothelial cells release procoagulants that contribute to thrombosis formation. ECs were infected with ChAdOx1, ChAdOx1spike(S), HAdV-C5, HAdV-C5-S, HAdVC5-35K and HAdVC5-35K-S and the supernatants from infected cells were collected and analysed for EC thrombosis markers using the LEGENDplex human thrombosis panel. Infection with all viruses did not significantly increase EC production of any of these markers compared to uninfected cells (Oh;grey bar) suggesting that endothelial cell activation in VITT is ChAdOx1- and spike protein –independent.

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Figure 3. Adenovirus hexon proteins bind PF4. SPR analysis using PF4 at increasing concentrations over immobilised (A) ChAdOx1 and (B) HAdV-C5 hexon proteins demonstrates that ChAdOx1 hexon has a greater affinity for PF4 than HAdV-C5, and that previously reported for pure ChAdOx1 and Vaxzervia. This further supports that electrostatic interactions between PF4 and the ChAdOx1 viral capsid contribute to VITT pathogenesis. However, the orientation of purified hexon protein may expose regions which would not be surface exposed on intact virions, suggesting such interactions could be non-specific charge-dependent interactions.

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HAdV-05 HAdV-835

= A

5000 10 000 vp/cell

Journal Pre-proof



💻 ChAdOx1 🗮 ChAdOx1-S 🔲 HAdV:C5 💻 HAdV:C5-S 📟 HAdV:C5-35K 💼 HAdV:C5-35K-S

