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1 **EDITORIAL**

2 **PROTOCOL FOR THE DEVELOPMENT OF A NEW COMPREHENSIVE CLASSIFICATION FOR**
3 **IATROGENIC TOOTH PERFORATIONS**

4

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45 **EDITORIAL**

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47 **PROTOCOL FOR THE DEVELOPMENT OF A NEW COMPREHENSIVE CLASSIFICATION**

48 **FOR IATROGENIC TOOTH PERFORATIONS**

49

50 **INTRODUCTION**

51

52 In endodontics, perforation of a tooth has been defined as “the mechanical or pathologic

53 communication between the root canal system and the external surface of the tooth”

54 (AAE Glossary of Endodontic Terms, 2020). Pathological perforations may occur due to

55 tooth resorption or caries (Saed et al., 2016) whilst perforations that occur due to

56 mishaps during operative procedures, such as root canal treatment or post-space

57 preparation, are referred to as iatrogenic perforations ([Fuss & Trope, 1996](#); [Tsesis &](#)

58 [Fuss, 2006](#); [Estrela et al. 2017](#)

59

60 The occurrence of all forms of perforations has been documented to range from

61 3% to 10% ([Eleftheriadis & Lambrianidis, 2005](#); [Seltzer et al. 1967](#); [Seltzer et al., 1970](#);

62 [Tsesis et al. 2010](#)); with 73% reported to involve maxillary teeth and 27% mandibular

63 teeth. In maxillary anterior teeth, iatrogenic perforations occur predominantly on the

64 labial aspect of the tooth, primarily due to access preparation misalignment in relation to

65 root inclination. In posterior teeth, perforation of pulp chamber floors may occur during

66 access cavity preparation when locating canal orifices ([Kvinnslund et al., 1989](#)), or along

67 the root following excessive tooth tissue removal during inappropriate canal

68 instrumentation. Reports in the literature have identified that one of the significant

69 contributing factors influencing the outcome of the root canal retreatment was the

70 existence of a perforation ([Farzaneh et al., 2004](#)).

71

72 If located sub-gingivally, there is an intense inflammatory reaction at the site of a

73 perforation due to microbial contamination and/or the injury created by the instruments

74 that had created the mishap. This [chronic](#) inflammation can result in gradual breakdown

75 of the periodontium if not managed appropriately. Ultimately, the inflammatory cascade

76 is likely to result in the development of a periodontal pocket, fistula and bone resorption

77 (Clauder & Shin, 2006; Saed et al., 2016; Tsesis & Fuss, 2006) as well as a range of
78 symptoms.

79

80 If a perforation is not recognised and an infection is established, the prognosis of
81 the repair will be uncertain, and the possible complications can lead to tooth loss (Tsesis
82 & Fuss, 2006). In theory, a supragingival tooth perforation may not necessarily progress
83 towards irreversible inflammation or treatment failure, as long as the site does not
84 become infected and it is managed appropriately (Fuss & Trope, 1996). Even if a
85 perforation is repaired, the inappropriate selection and/or manipulation of the repair
86 material and a lack of effective microbial control may compound the problem so that
87 healing is less likely to occur (Fuss & Trope, 1996). **The presence of perforative or**
88 **resorptive defects of root, and canal obliteration plays a crucial role in predicting**
89 **treatment failure in teeth diagnosed with pulpal disease.** Perforations at coronal-level
90 may further increase the risk of microbial leakage and poor structural integrity leading
91 to tooth loss or fracture. Literature evidence reveals an increase in tooth structure loss
92 by three-fold with the presence of pre- or intra-operative perforations ([Ng et al. 2011](#);
93 [Sjogren et al. 1990](#)). In summary, iatrogenic tooth perforations are serious complications
94 of endodontic treatment and if not recognised, diagnosed and managed, they will
95 inevitably lead to destruction of the periodontium and/or persistence of apical
96 periodontitis. Factors such as the timing of diagnosis, presence/absence and degree of
97 microbial contamination, proximity of the perforation to the crestal bone and epithelial
98 attachment, as well as the clinician's approach to management, are all crucial to prognosis
99 (Estrela et al., 2018).

100

101 A systematic review by Siew et al., (2015) concluded that non-surgical repair of
102 perforations led to an overall favourable outcome of approximately 73%. **Orthodontic**
103 **forced eruption is a non-surgical alternative approach when the crestal perforation can be**
104 **elevated above the attached gingiva, provided the tooth has adequate root length (Tsesis**
105 **& Fuss, 2006).** Various preoperative considerations may contribute to the likelihood of
106 healing following perforation repair. Teeth in the maxillary arch, absence of a
107 radiolucency at the perforation site, and use of a hydraulic calcium silicate cement are
108 considered as positive contributory factors for tooth survival after perforation repair
109 ([Siew et al., 2015](#)). **Various materials have been employed in the repair of perforation**

110 defects. Along with the aspect of providing efficient seal, the ideal root perforation
111 material should also offer biocompatibility, be non-toxic, and should promote
112 regenerative potential of periradicular tissues. Materials like MTA, Biodentine,
113 Endosequence, and Bioaggregate are recently studied for its effectiveness as root repair
114 material. Despite several perforation repair materials, MTA is known to offer excellent
115 biocompatibility and reduced microleakege against *Fusobacterium nucleatum* at the
116 furcal region (Main et al., 2004, Gorni et al., 2016, Kakani et al., 2015). Given the relatively
117 favourable clinical outcome, non-surgical repair is considered the preferred management
118 for root perforations. However, there are many factors that may affect the outcome and
119 all these need to be considered.

120

121 Attempts have been made to classify iatrogenic perforations (Fuss & Trope, 1996)
122 based on three main prognostic factors: location (apical-coronal or crestal), size (small or
123 large), and time of occurrence (recent or old), of the perforation. Although this
124 classification is helpful, it does not fully take into account other important factors, which
125 may affect the outcome; for example, the cause of the perforation, periodontal status
126 before the perforation and subsequently, radiographic evidence of pathosis at the
127 perforation site, and presence or absence of infection are not considered in this
128 classification (Fuss & Trope 1996). Specifying the dimension, or time frame of occurrence
129 of the perforation is more likely to guide clinical decision-making and help to inform the
130 prognosis.

131 The aim of this editorial is to set out the rationale and methodology to be followed
132 in the development of a new classification system for iatrogenic tooth perforations. It is
133 anticipated that a new, structured, clinically meaningful, and comprehensive
134 classification will serve as a valuable tool for all stakeholders, including clinicians,
135 educators, and researchers.

136 **Key highlights of the proposed classification for iatrogenic perforations**

137

138 The proposed, comprehensive classification will integrate multiple relevant clinical and
139 radiographic parameters to improve the management, including diagnosis, treatment
140 planning, communication and documentation of cases with iatrogenic perforations.

141

142 The potential advantages of the proposed classification are:

- 143 • Treatment Planning: guide the choice of repair material (e.g., hydraulic calcium
144 silicate cements for furcation/complex perforations), operative approaches (non-
145 surgical vs. surgical), and estimation of the prognosis;
- 146 • Documentation: easier to integrate into patient records, facilitating consistent
147 record-keeping and effective referral communications;
- 148 • Training and Education: enhance clarity in case discussions and learning activities
149 for students and practitioners at all levels of training and education;
- 150 • Research consistency: enable standardisation in reporting, thereby improving the
151 ability to compare clinical studies, case series and experimental studies regarding
152 iatrogenic perforations.

153 **METHODS**

154 *Phase 1:* The project leaders (VN, PD) will establish a steering committee made up of a
155 group of experts who will oversee the development of the new classification.

156

157 *Phase 2:* The steering committee will develop the initial draft classification for iatrogenic
158 tooth perforations and lead the consensus building process.

159

160 *Phase 3:* The steering committee will establish a panel of experts to solicit their opinions
161 on the clarity, relevance and utility of the draft classification using an online Delphi
162 methodology using a dichotomous scale ('yes' or 'no') and a 9-point Likert scale. **Each**
163 **criterion in the new classification will be accepted if it attains a score of 7–9 from a**
164 **minimum of 70% of the participants and a score of 1–3 from fewer than 30% of members.**
165 **Each criterion will be eliminated from the classification if it obtains a score of 1–3 from**
166 **more than 70% of the participants and a score of 7–9 from fewer than 30% of**
167 **participants.** The panel will consist of academic or clinical endodontic specialists,
168 endodontic postgraduate students and general dental practitioners. The experts will be
169 chosen from across the world in order to provide a global perspective. The anonymous
170 comments provided by the members will be incorporated into each subsequent round of
171 the Delphi exercise, with the criteria and text of each category being modified from the
172 feedback received. Additionally, members will be able to propose new categories for the
173 classification.

174

175 *Phase 4:* Five endodontists with a minimum of five years of clinical or academic
176 experience will independently pilot the classification system for consistency and
177 reproducibility when evaluating anonymized clinical, radiographic, and cone beam
178 computed tomography (CBCT) images of perforated teeth sourced from various
179 universities or dental clinics. No patient will be subjected to additional imaging
180 procedures for the purpose of this project; the retrospective nature of this project means
181 it is impossible to obtain informed consent from these individuals. The images will be
182 used by each assessor to classify perforations in accordance with the proposed
183 classification. Kappa statistics and other statistical tests will be employed to evaluate the
184 inter-rater reliability of the five assessors.

185

186 *Phase 5:* The steering committee will modify and finalize the classification system for
187 iatrogenic tooth perforations in accordance with the feedback received from the Delphi
188 process and the reliability testing by the [seven to ten](#) independent endodontists. The final
189 classification will be published in appropriate journals and presented at scientific
190 meetings/congresses.

191

192

193 **Future initiatives**

194

195 To promote widespread, uniform and practical application of the new classification in
196 every day clinical and educational activities, the authors also intend to consider the
197 following strategies:

- 198 • Integrating the new classification with standard therapeutic protocols;
- 199 • Providing additional supportive aids, such as clinical photographs and illustrative
200 images and literature to enhance the reproducibility of the classification;
- 201 • Developing a rapid decision-making reference algorithm for chairside use.

202 These measures not only aim to promote the seamless incorporation of the new
203 classification into routine clinical practice but they will also improve diagnostic accuracy
204 and consistency, streamline clinical-decision making, and provide fundamental support
205 for endodontic research and education.

206

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