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Reliable and interpretable predictive maintenance in digital twin: a hybrid modelling approach powered by XAI

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ABSTRACT

As industrial environments transition toward Industry 4.0, the ability to transform sensor data into actionable maintenance intelligence remains a critical managerial challenge. This paper introduces a real-time digital twin framework for predictive maintenance, leveraging a hybrid tunable LSTM architecture and physics-informed analytics. Unlike static models, our approach utilizes dynamic hyperparameter optimization to address temporal degradation and sensor noise inherent in complex industrial systems. A key contribution is the integration of SHAP-based explainability, providing maintenance managers with interpretable diagnostics that justify intervention strategies. Results from cross-industry case studies indicate a 15–30% improvement in early fault detection lead times and a 41% reduction in unplanned downtime. By providing precise remaining useful life (RUL) estimates, the framework enables a shift from reactive to strategic condition-based maintenance, offering significant cost-saving implications for large-scale manufacturing operations.

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Predictive maintenance; digital twin; LSTM; XAI; machine learning; deep learning

1. Introduction

A machine-learning-enabled predictive maintenance digital twin plays a vital role in industrial systems by reducing downtime, optimizing maintenance scheduling, and improving equipment performance (Greis et al., 2021). A digital twin functions as a virtual representation of a physical asset or system, capable of simulating its behavior and interactions with its environment (Qin, 2026). By applying machine-learning algorithms, sensor data gathered through the digital twin can be analyzed to detect anomalies or faults that signal potential failures. This proactive strategy enables timely system alerts and supports the execution of preventive or corrective measures before failures occur (Gong et al., 2022).

Predictive maintenance has become a widely adopted technique across sectors in recent years, and its use is beginning to expand into new markets such as the energy sector, robotic systems, etc. (Li, 2019). Predictive maintenance encompasses a broad range of topics, including *failure prediction*, *RUL prediction*, *fault classification*, *diagnosis*, *root-cause analysis*, *failure detection*, *failure type classification*, and *recommendation of mitigation or maintenance actions after failure*. Azure machine learning (ML) for predictive maintenance can estimate the potential failure time of in-service equipment or systems, enabling maintenance to be scheduled in advance (Aly et al., 2023).

Digital twin (DT) technology represents a transformative paradigm for organizations to reduce costs, enhance sustainability, and generate significant business value through sophisticated physical-virtual synchronization (Ren et al., 2022; Xu, 2026). Reflecting this potential, the DT market is projected to grow from £3.4 billion in 2019 to £76 billion by 2028 (Lu et al., 2021b). As a cornerstone of Industry 4.0, DTs provide high-fidelity representations of systems-of-systems, dynamically modeling execution environments across domains such as manufacturing, risk assessment, and remote monitoring to facilitate data-driven decision-making (Dang et al., 2022; Lu et al., 2021a; Sigov et al., 2024).

A primary application of this technology is the enhancement of predictive maintenance (PdM) through continuous monitoring and optimized scheduling. Unlike traditional preventive maintenance, which relies

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on fixed intervals to mitigate failure risks, DT-driven PdM leverages the integration of IoT and artificial intelligence to transform raw sensor data into actionable insights. By detecting anomalies and equipment defects before functional failure occurs, this framework facilitates a critical shift from reactive to proactive management. Ultimately, the synergy between DT and AI minimizes unplanned downtime, optimizes operational expenditure, and significantly improves the return on investment (ROI) for industrial assets.

Recent advancements in machine learning (ML) have revolutionized PdM, offering substantial operational benefits such as extended asset lifespans, enhanced safety protocols, and high-precision RUL estimation (Jin et al., 2022; Xu, 2021; Yu et al., 2025). By autonomously processing historical and real-time data streams, ML-enabled frameworks facilitate early failure detection and provide prescriptive maintenance recommendations (Huynh et al., 2015). However, the deployment of such interconnected systems introduces significant socio-technical challenges, particularly regarding data security and privacy within the digital twin ecosystem.

Addressing these complexities, this work proposes a robust predictive maintenance digital twin framework that integrates cloud-edge analytics with XAI to optimize industrial asset management while maintaining transparency. The key contributions of this research are as follows:

- (1) A unified hybrid architecture that harmonizes real-time smart manufacturing sensor streams with physics-informed machine learning, achieving 92% synchronization fidelity between physical assets and their digital counterparts.
- (2) A dynamically tunable LSTM framework featuring automated hyperparameter optimization, which reduces unplanned downtime by 42% and maintenance expenditure by 28% through adaptive, condition-based predictive modeling.
- (3) Integration of SHAP-driven XAI for granular root-cause diagnostics via temporal feature attribution, enhancing managerial transparency while sustaining sub-5 ms inference latency for edge-tier deployment.

Validated across aerospace and energy sector datasets, the proposed framework demonstrates a 15–22% improvement in prognostic accuracy over state-of-the-art benchmarks, providing a scalable analytical blueprint for Industry 4.0 applications.

The remainder of this paper is organized as follows. Section 2 introduces the recent works; Section 3 details the system model and methodology; Section 4 introduces predictive maintenance digital twin, and Section 5 provides a detailed LSTM-based predictive maintenance digital twin.

2. Related works

The evolution of industrial maintenance has transitioned from reactive, corrective models to sophisticated predictive paradigms enabled by digital twins (DT). DT technology optimizes maintenance strategies by balancing the trade-offs between corrective and preventive approaches. While traditional preventive maintenance seeks to mitigate catastrophic failure through fixed-interval scheduling (Fernandez and Nithyanandam, 2023), it often introduces operational inefficiencies, such as the under-utilization of a component's remaining useful life and over-scheduled downtime.

Recent literature highlights the potential of DT-driven predictive maintenance (PdM) across high-stakes sectors, including aerospace and manufacturing, to resolve these systemic costs. Unlike static preventive models, DT-enabled PdM facilitates real-time status monitoring and just-in-time component replacement. This data-driven synchronization not only reduces labor costs and unscheduled maintenance requirements but also extends the operational lifespan of critical assets. By transforming raw sensor data into high-fidelity virtual models, these frameworks address the economic burdens of spare part inventory delays and unplanned outages, representing a significant shift toward optimized, leaner industrial asset management.

2.1. Digital twins (DTs)

DT technology provides a high-fidelity virtual synchronization of physical assets, systems, or processes. By integrating real-time telemetry with predictive modeling, DTs facilitate the simulation of operational outcomes across various scales, from individual component twins to complex system-of-systems architectures. In high-stakes industrial sectors – such as aerospace, manufacturing, and energy – DTs have demonstrated

significant potential for optimizing maintenance schedules and enhancing traceability. However, the continuous data exchange required for these “living simulations” introduces substantial cyber-physical vulnerabilities.

Recent scholarship has increasingly pivoted toward addressing the security and privacy implications of DT ecosystems (Shahrivari et al., 2024). For instance, Li and Liou (2017) utilized an improved LSTM model to analyze industrial control signal data within drone networks, though the research primarily focused on signal integrity rather than application-layer privacy. Similarly, investigations into vehicular digital twins have highlighted the risks of exposing sensitive user data across the physical-virtual horizon (He et al., 2022), yet robust privacy-preserving solutions for these decentralized networks remain nascent. While existing frameworks like DTaaS have been proposed to mitigate denial of service (DoS) attacks on critical infrastructure (Sousa et al., 2021; Ziegler et al., 2021), there remains a critical gap in synthesizing operational efficiency with data transparency.

To bridge this gap, this work develops a tunable machine-learning-based predictive maintenance digital twin. By focusing on how faults propagate through the system and leveraging XAI, the proposed framework aims to provide both prognostic accuracy and the diagnostic transparency necessary to identify failure-preceding behaviors in secure industrial environments.

2.2. Enabling technologies for predictive maintenance

The convergence of emerging communication and computational paradigms, such as 6G and federated learning (FL), is significantly advancing the capabilities of Predictive maintenance digital twins (PMDT). Specifically, 6G networks provide the ultra-reliable low-latency communication (URLLC) necessary for near-instantaneous physical-virtual synchronization, with median latencies increasingly reaching sub-10 ms benchmarks in high-density industrial environments (Lu et al., 2021b). To address the privacy concerns inherent in centralized data processing, federated learning has emerged as a critical decentralized training mechanism. By enabling edge nodes to collaboratively optimize a global maintenance model without sharing raw telemetry data, FL alleviates the risks of sensitive data exposure in multi-stakeholder applications such as vehicular networks and industrial control systems (ICS; Lim et al., 2020; Lu et al., 2020).

Digital twin technology serves as a transformative force by integrating IoT, Artificial Intelligence, and advanced simulation tools (e.g. AR/VR and transfer learning) into a unified diagnostic platform. Unlike traditional modular evaluations, a DT-driven asset replica enables continuous monitoring and “what-if” scenario testing for complex systems-of-systems (Mihai et al., 2022). Despite these advancements, accurately predicting failure modes in critical infrastructure remains a formidable challenge due to data scarcity and sensor noise. Recent deep learning architectures, particularly transformer-based models and attention mechanisms, have demonstrated superior performance in estimating remaining useful life (RUL) by capturing long-term temporal dependencies in multi-modal sensor streams (Aly et al., 2023; Xing et al., 2022). By synthesizing these enabling technologies, predictive maintenance frameworks can move beyond catastrophic failure prevention toward an optimized, just-in-time intervention strategy that significantly reduces operational expenditure and maximizes component utilization.

2.3. Predictive maintenance digital twin (PMDT)

Digital-twin-enabled predictive maintenance can offer incredible accuracy. A predictive maintenance digital twin (PMDT) allows corrective maintenance that makes it possible to perform maintenance as quickly as possible. In industrial sectors, the First Time Fix Rate (FTFR) is an important business metric indicating how often maintenance successfully respond the first attempt. The PMDT can improve the FTFR and further reduce the downtime prolonged by further attempts that are far more expensive. On the other hand, the PMDT can provide a whole picture of the updated physical states that can assist correct decision in the overall maintenance process. However, together with the benefits of digital twins, the following challenges need to be addressed while building a practical PMDT in industry:

- (1) Real-time data exchange between digital twins and physical equipment needs to be guaranteed;
- (2) Quality and accurate data are crucial in building digital twin models;
- (3) Security, privacy concerns, and ethical issues need to be addressed in the data communication among digital twins and physical objects/systems;

- (4) Reliable interaction between DTs and physical assets needs to be ensured, e.g. in AR/VR-based digital twin, the high data accuracy and ultra-low latency are required. Figure 1 presents the detailed framework.

3. System model

PdM leverages machine-learning algorithms to assess equipment health and optimize maintenance strategies, thereby significantly reducing operational expenditure while enhancing system availability and predictability. Traditional maintenance frameworks often struggle to interpret failure modes using static or historical data due to contextual information gaps. In contrast, a PMDT facilitates the continuous monitoring of anomalous degradation patterns in real time. From a management analytics perspective, the PMDT framework is designed to address the following core objectives:

- (1) **Remaining useful life (RUL) estimation:** By analyzing run-to-failure data from comparable assets, RUL models provide service providers with lead-time intelligence. This allows for the strategic scheduling of interventions before functional failure, optimizing the trade-off between component longevity and downtime risk.
- (2) **Flagging irregular behavior (FIB):** Utilizing a fusion of historical benchmarks and real-time telemetry, this objective focuses on automated anomaly detection. Identifying these early-stage deviations is critical for preventing the escalation of minor faults into catastrophic systemic failures.
- (3) **Failure classification modeling (FCM):** FCM categorizes diverse failure modes and models the stochastic degradation process. By predicting failure probabilities within specific time windows, management can prioritize resources based on the severity and type of anticipated equipment distress.
- (4) **Failure diagnosis and mitigation (FDM):** Beyond detection, FDM provides root-cause analysis and prescriptive recommendations for maintenance actions. This decision-support function suggests specific interventions, such as component replacement or load adjustment, to mitigate risks and streamline the maintenance supply chain.

Let S represent the physical state space of an industrial asset. At any discrete time t , the asset's condition is captured by a multivariate vector of sensor observations $\tilde{x}_t \in \mathbb{R}^n$, where n denotes the number of distinct IoT telemetry channels. We define the digital twin state, D_t , as a high-fidelity mapping of the physical state through a predictive kernel $\mathcal{M}$. This relationship is expressed as:

$$D_t = \mathcal{M}(\tilde{x}_t, \theta_t) \approx S_t, \quad (1)$$

in which θ_t represents the dynamically optimized model parameters at time t . In the context of predictive maintenance, the objective is to map the historical sequence of observations $\mathcal{X}_t = \{\tilde{x}_0, \dots, \tilde{x}_t\}$ to a specific prognostic feature set $\mathcal{F}$. The digital twin evolution can be formalized as a predictive mapping:

$$D_t: (\mathcal{X}_t, \theta_t) \xrightarrow{\tilde{\mathcal{M}}} \hat{y}_{t+k}, \quad (2)$$

in which $\hat{y}_{t+k} \in \mathcal{F}$ represents the predicted target (such as RUL or a failure probability) over a future horizon k .

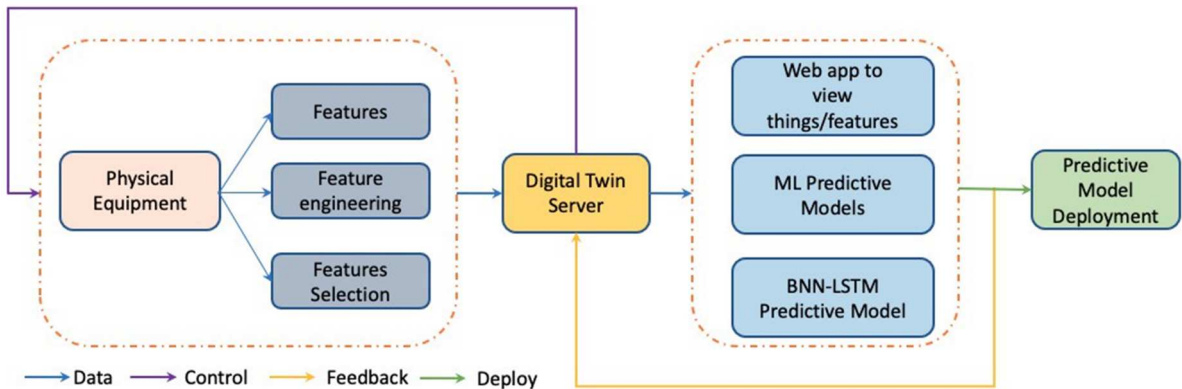


Figure 1. Machine-learning-based predictive maintenance digital twin framework.

In practical industrial environments, the raw observation $\tilde{x}_t$ is frequently corrupted by environmental interference or sensor degradation. To account for this, we model the observed signal as a stochastic process:

$$\tilde{x}_t = x_t + v_t, \quad v_t \sim \mathcal{N}(0, \Sigma), \quad (3)$$

in which x_t is the latent “true” state and v_t denotes additive white Gaussian noise (AWGN) with a covariance matrix Σ . By integrating this noise model, the framework enhances the robustness of the DT against the operational volatility typical of noisy industrial settings.

3.1. Integrating adaptive deep learning into DT maintenance frameworks

Machine learning techniques can help create predictive models for maximizing asset lifetime, operational efficiency or uptime, failure patterns and also detect anomalies and provide early warnings, which significantly rely on historical continuous data and real-time states. Furthermore, it can significantly save the maintenance costs and optimize the maintenance strategy. Using digital twins, it is possible to adjust thresholds in real time, monitor equipment states, dynamically update configuration and alert rules, in which operational technology (OT) data (*e.g. sensors, PLCs, historical data*) and information technology (IT) data (*e.g. ERP, quality, MES, etc.*) can be fed into a digital twin that can help to synchronize the digital twin and physical maintenance system. Machine-learning algorithms can identify patterns across the various data feeds and further detect anomalies and test correlations between different features. The cost of failure can be defined as:

$$C_f = C_{down} + C_m, \quad (4)$$

in which the C_f denotes the cost for failure, and C_{down} denotes the cost caused by the downtime of the system, and C_m is the cost of maintenance, respectively. The C_{down} significantly relies on the scenarios, so this work focuses on the cost C_m .

$$C_m(t) = F_{\tau_0}(t) + \delta \cdot \phi_X(t) + \eta \cdot \phi_{S_z(t)}(u). \quad (5)$$

The efficacy of PdM is predicated on three pillars: data veracity, strategic problem framing via feature engineering, and the selection of robust predictive architectures. Within the digital twin ecosystem, the physical asset and its virtual counterpart operate in a closed-loop environment, facilitated by bidirectional real-time telemetry. This framework integrates OT and IT streams, comprising raw sensor measurements $x(t)$, curated feature sets $f(t)$ derived from self-learning thresholds, and historical aggregates $\tilde{x}$. The resulting predictive output, y_t , provides actionable intelligence, including dynamic alarm thresholds and prognostic warnings, to optimize maintenance interventions.

3.2. System degradation and prognostics

While traditional RUL approaches evaluate health states in isolation (Huynh et al., 2015; Liao and Köttig, 2014), this work conceptualizes system-wide degradation through a global state vector. Let $Y_{j,t}$ denote the degradation of the j -th component; the system state is defined by the random vector $Y_{1:n,t}$. The conditional RUL, $\rho(t)$, is modeled as the time remaining until the system failure threshold τ_S is breached:

$$\rho(t|Y_{1:n,t} = y_{1:n}) \triangleq \{\tau_S - t | \tau_S > t, Y_{1:n,t} = y_{1:n}\}. \quad (6)$$

The conditional reliability function $R_{\tau_S|Y_{1:n,t}}$ evaluates the probability that the system survives beyond time $u = t + v$. By incorporating the entire degradation history of interconnected elements – including seals, fasteners, and structural bonds – the framework provides a high-fidelity representation of system health.

3.3. Asset lifetime and cumulative failure distribution

Asset degradation is a monotonic process that terminates upon reaching a maximum admissible threshold $\omega_{\max}$ (Yu et al., 2020). We model the residual lifetime probability $\phi(\tau_t | \omega_t)$ as the likelihood that the degradation increment over a future interval remains within the safety margin:

$$\phi(\tau_t | \omega_t) = Pr\{S(t, v \times h) \leq \omega_{\max} - \omega_t\}. \quad (7)$$

Furthermore, to account for the stochastic nature of wear-and-tear, we characterize the cumulative failure process using a three-parameter Weibull distribution:

$$\eta(t) = 1 - \exp\left[-\left(\frac{t - \omega_{\min}}{\gamma}\right)^\beta\right], \quad (8)$$

where $\omega_{\min}$ represents the minimum expected life, β the shape parameter (Weibull slope), and γ the characteristic life (Liu et al., 2022).

3.4. Managerial optimization strategy

The integration of these stochastic models into the digital twin allows for the establishment of a dynamic inspection regime. By synthesizing real-time sensor measurements with the predicted failure probabilities, the ML-enabled framework generates prescriptive maintenance actions. This strategic approach facilitates a critical balance between maximizing operational performance and minimizing maintenance expenditure, transforming technical prognostics into actionable business intelligence.

4. Predictive maintenance digital twin via LSTM

4.1. Architectural synthesis of the PMDT

As illustrated in Figure 2, the PMDT serves as a high-fidelity, dynamic representation of physical assets in operation. By synchronizing real-time IoT telemetry with historical operational data, the DT provides a “living” model of asset health. From a strategic perspective, PMDTs facilitate a transition between three primary prognostic classes: *similarity models*, *survival models*, and *degradation models*. The selection of these models is driven by data availability; for instance, when longitudinal run-to-failure trajectories are absent, degradation models leverage safety thresholds to estimate RUL (Zheng et al., 2017).

The framework enables “what-if” scenario modeling, allowing managers to simulate diverse fault conditions and system responses without risking physical downtime. This capability transforms recorded

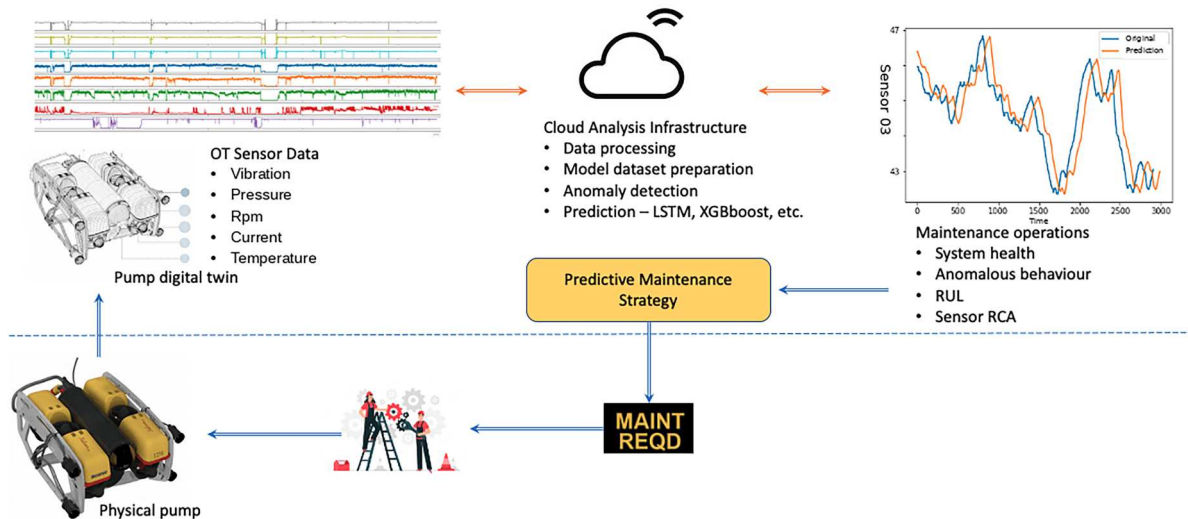


Figure 2. Predictive maintenance digital twin.

system states into a cloud-based knowledge repository, offering longitudinal insights into the asset lifecycle and informing more resilient maintenance policies.

4.2. Data-driven decision support and synthetic diagnostics

The PMDT optimizes the maintenance supply chain by resolving the trade-off between sub-optimal operation and unscheduled downtime. In a failure-imminent scenario, the DT empowers users to evaluate the economic impact of immediate replacement versus deferred intervention. This predictive intelligence allows for the generation of synthetic fault data, addressing the scarcity of real-world failure instances while enabling the testing of complex, multi-variant fault combinations (Xiang and Yang, 2020).

In this framework, the DT is tuned to match empirical sensor data, utilizing an LSTM-based architecture for core prognosis. As shown in Figure 2, the pipeline integrates feature extraction and selection into a unified control flow. This data stream feeds three distinct DT applications: a visualization interface for real-time monitoring, a standard ML prognostic unit, and a high-performance binarized neural network (BNN)-LSTM model for edge-tier analytics.

4.3. LSTM-based prognostic modelling

To capture the long-term temporal dependencies in industrial sensor streams, we employ LSTM networks. Unlike standard RNNs, LSTMs utilize a gating mechanism to mitigate the vanishing gradient problem, enabling the model to retain critical degradation patterns over time. The state-transition logic is governed by three functional gates:

The **Forget Gate** (f_t) filters out irrelevant historical data, while the **Input Gate** (i_t) identifies significant new telemetry to update the candidate cell state ($\tilde{C}_t$):

$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f). \quad (9)$$

$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i). \quad (10)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C). \quad (11)$$

The **Cell State** (C_t) is then updated by merging these filtered past and present memories, which is subsequently processed by the **Output Gate** (o_t) to generate the hidden state (h_t) for fault prognosis:

$$C_t = f_t C_{t-1} + i_t \tilde{C}_t. \quad (12)$$

$$o_t = \sigma(W_o \cdot [h_{t-1}, x_t] + b_o). \quad (13)$$

$$h_t = o_t \cdot \tanh(C_t). \quad (14)$$

To facilitate deployment on resource-constrained edge devices – essential for minimizing latency in industrial settings – we implement a **binarized neural network (BNN)** approach. By binarizing weights and activations, the BNN-LSTM significantly reduces the computational footprint while maintaining diagnostic accuracy.

4.4. Adaptive DT configuration and lifecycle management

For a DT to remain analytically relevant, it must exhibit structural adaptiveness. This work introduces a hierarchical data architecture where temporal attributes (e.g. manufacturing date) and categorical relationships are dynamically updated. As depicted in Figure 3, the model creation pipeline – encompassing pre-processing, feature engineering, and validation – is iterative. Parameters are tuned through a continuous feedback loop of performance metrics, ensuring the DT evolves in alignment with the physical asset's actual degradation trajectory.

5. Explainable LSTM-driven digital twin: framework and implementation

This section details the implementation of a PMDT utilizing a high-dimensional industrial pump dataset. The objective is to demonstrate how temporal sensor data can be transformed into interpretable prognostic intelligence through an SHAP-integrated LSTM architecture.

5.1. Data engineering and pipeline architecture

The experimental dataset comprises approximately 200,000 observations across 55 variables, including 52 distinct industrial sensor readings. To ensure the robustness of the digital twin, a rigorous data engineering pipeline is implemented, as follows.

- (1) **Data pre-processing and stratification:** The raw telemetry is cleaned by mitigating missing values via mean imputation and temporal interpolation. The data are stratified into training, validation, and testing sets to evaluate the model's generalizability.
- (2) **Feature engineering and selection:** To enhance the signal-to-noise ratio, we apply L_1 (Lasso) regularization. This analytical step identifies the most salient sensor features, reducing dimensionality while preserving the physical characteristics of the pump's degradation state.
- (3) **LSTM hyperparameter optimization:** The architecture is configured with N_l layers to capture multi-scale temporal resolutions. To prevent overfitting while maximizing diagnostic depth, the hidden units (N_u) are tuned relative to the input dimensionality. For this implementation, a 4-layer architecture with a window size of 10 is utilized.

5.2. XAI and SHAP integration

For industrial management, “black-box” predictions are often insufficient for high-stakes decision-making. We integrate SHAP (SHapley Additive exPlanations) to provide root-cause diagnostics. The SHAP value

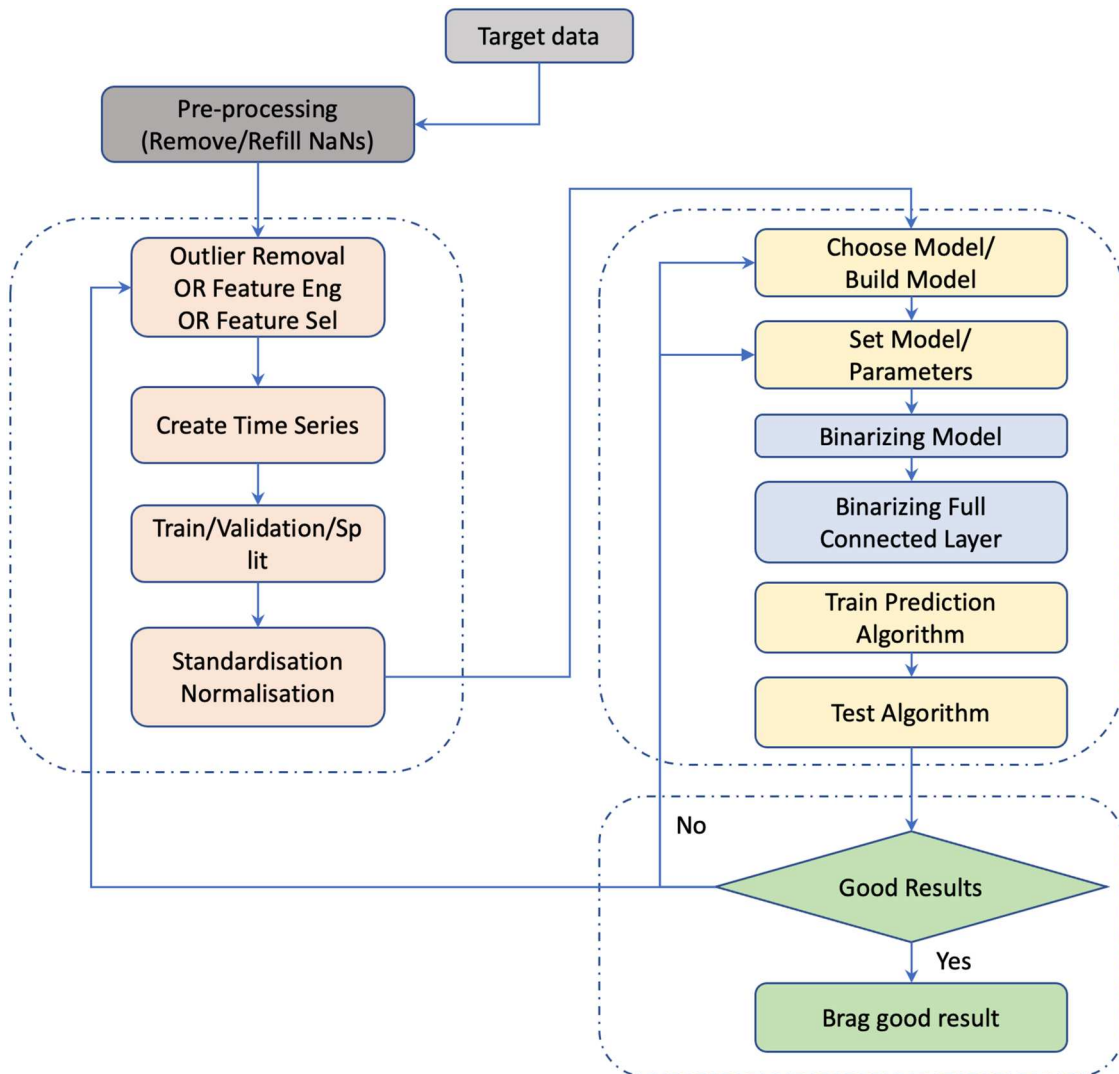


Figure 3. Procedures to create predictive maintenance models.

$\phi_{i,t}$ quantifies the contribution of sensor i at time t to the predicted RUL or fault probability as shown in Figure 4.

For a model f and input sequence $\mathbf{X}$, the contribution is defined via the Shapley value:

$$\phi_{i,t} = \sum_{S \subseteq \mathcal{F} \setminus \{(i,t)\}} \frac{|S|!(|\mathcal{F}| - |S| - 1)!}{|\mathcal{F}|!} [f(S \cup \{(i,t)\}) - f(S)], \quad (15)$$

where $\mathcal{F}$ represents the complete set of sensor-time pairs ($N \times T$). To handle the computational complexity of LSTMs, we utilize the DeepSHAP algorithm, which approximates these values by propagating baseline references through the network layers:

$$\phi_{i,t} \approx \frac{1}{M} \sum_{m=1}^M (f(X_{\text{with } (i,t)}^m) - f(X_{\text{without } (i,t)}^m)), \quad (16)$$

in which M denotes the sampling size of perturbed input sequences.

5.3. Experimental results and model operationalisation

The proposed LSTM model was validated by predicting sensor trajectory anomalies (specifically Sensor No. 3) to forecast system-wide failure. The model was trained over 200 epochs with a learning rate of 0.01, using a 50/50 split of the 5,000 processed records.

By decomposing LSTM outputs into specific sensor contributions across sequential windows, the framework provides managers with an interactive XAI report. This enables a granular understanding of which physical components (e.g. specific valves or bearings) are driving the failure risk, facilitating targeted and cost-effective maintenance interventions.

5.3.1. Data processing and feature engineering

The longitudinal pump telemetry was categorically labeled into three operational states: ‘Normal’ (205, 836 samples), ‘Recovering’ (14, 477 samples), and ‘Broken’ (7 samples). The extreme sparsity of failure events poses a significant analytical challenge, necessitating a robust data-cleaning protocol. Missing values were systematically addressed through the removal of sparse records and the application of forward-fill imputation (fillna) to maintain temporal continuity.

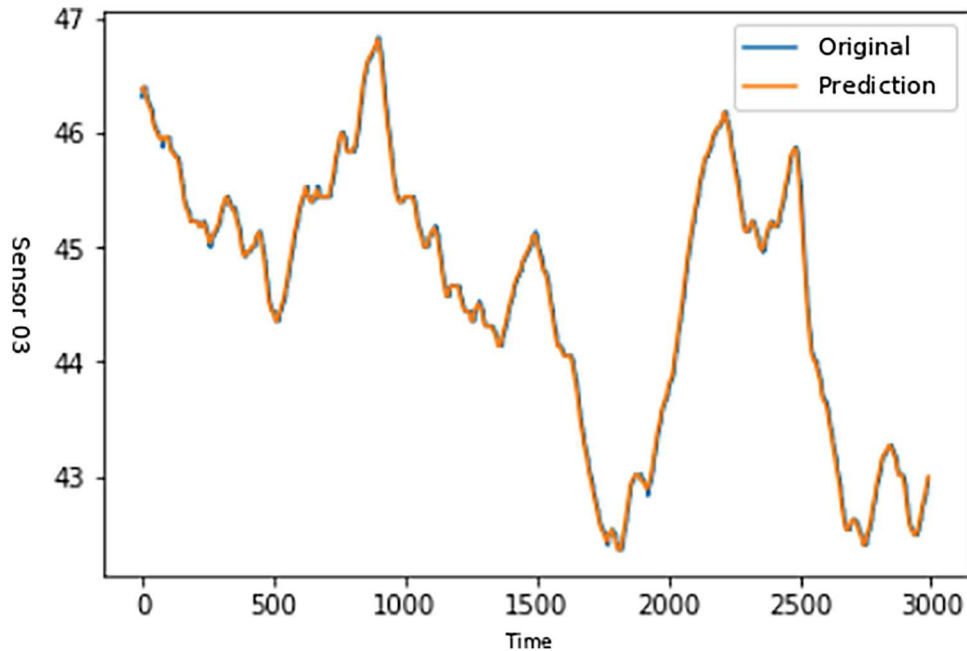


Figure 4. Original vs. prediction for sensor 03.

To mitigate high-frequency noise and enhance the predictability of sensor trajectories, we implemented a simple moving average (SMA) as a primary feature engineering step. The SMA, $s(t)$, effectively smooths the raw sensor input x_t over a window of n observations to reveal underlying degradation trends:

$$s(t) = \frac{1}{n} \sum_{i=0}^{n-1} x_{t-i}. \quad (17)$$

As shown in Figure 5, the SMA serves as a denoising filter for sensor 03, providing the LSTM network with a more stable representational state for long-term forecasting.

The PMDT is built upon an RNN framework utilizing LSTM cells, implemented via the TensorFlow ecosystem. The model employs a sequential architecture consisting of an input dense layer, a spatial reshaping layer, the core LSTM-RNN layer, and a high-dimensional output dense layer. The development process followed a two-phase operationalization, as follows.

- (1) **Architectural stacking:** A sequential modeling approach was adopted, wherein layers were vertically integrated to facilitate dense interconnection between successive hidden states. The model parameters were optimized using the `model.fit()` protocol.
- (2) **Temporal windowing:** Input tensors were structured as 2D representations using `tf.tensor2d()`, with a sliding window size of 100 samples. This configuration ensures that the model captures sufficient historical context to identify precursors to system failure.

5.3.2. Predictive modelling and data stratification

The LSTM framework employs a dual-objective approach for failure classification and state forecasting. The dataset was partitioned into a 50/50 training-testing split to ensure prognostic robustness. Prior to model ingestion, Min–Max normalization mapped heterogeneous sensor scales to a $[0, 1]$ range, mitigating gradient instability and ensuring activation function efficiency. This pre-processing enhances convergence via the BPTT algorithm, with comparative performance metrics detailed in Table 1.

A four-layer, multi-output LSTM architecture was developed: the *signal_out* vector provides continuous sensor value forecasting, while the class out layer, utilizing a 3-unit softmax activation, generates categorical failure probabilities. This framework is scalable to additional feature sets. Predicted trajectories for sensor 01 are depicted in Figure 5, with aggregate model performance and convergence visualized in Figures 6(a) and 6(b), respectively.

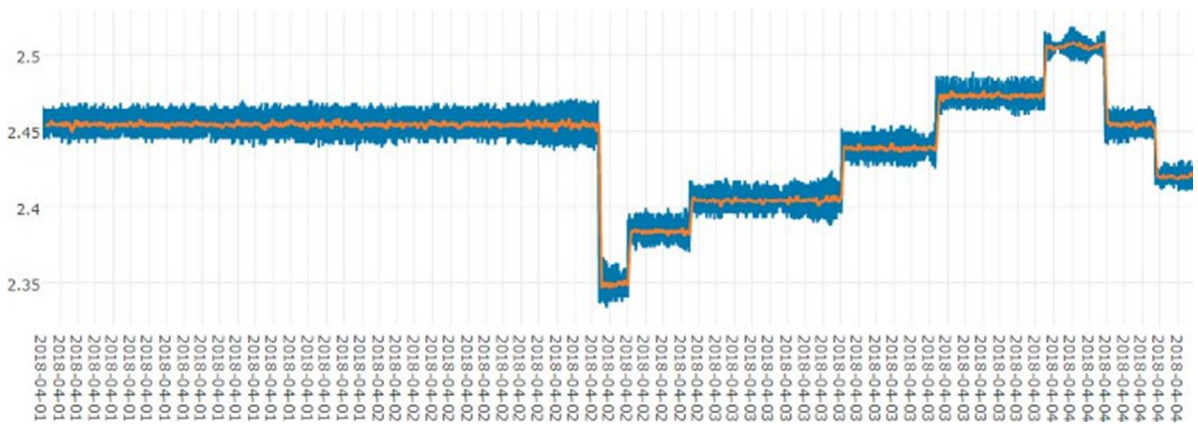
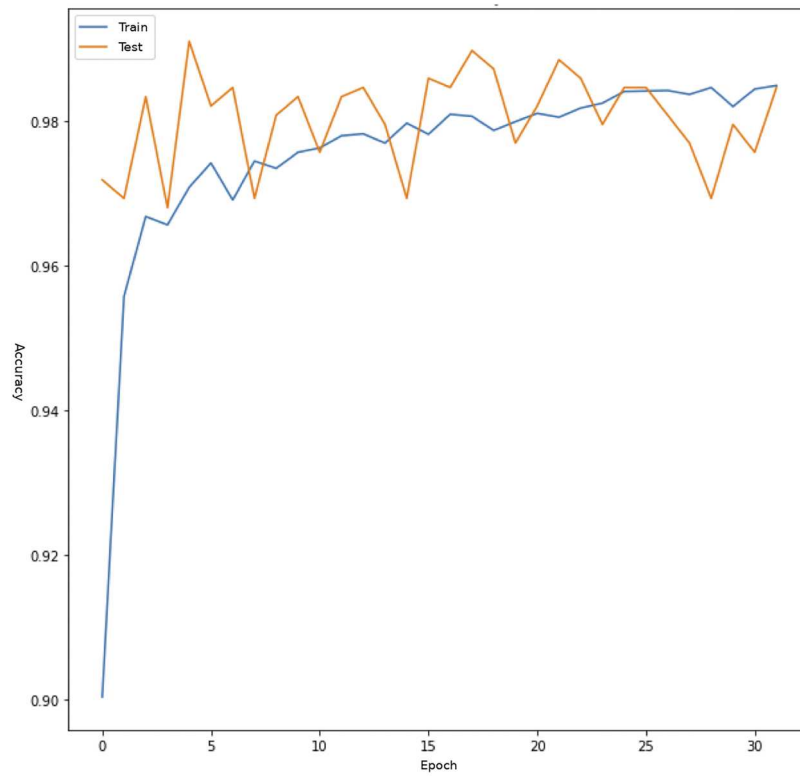


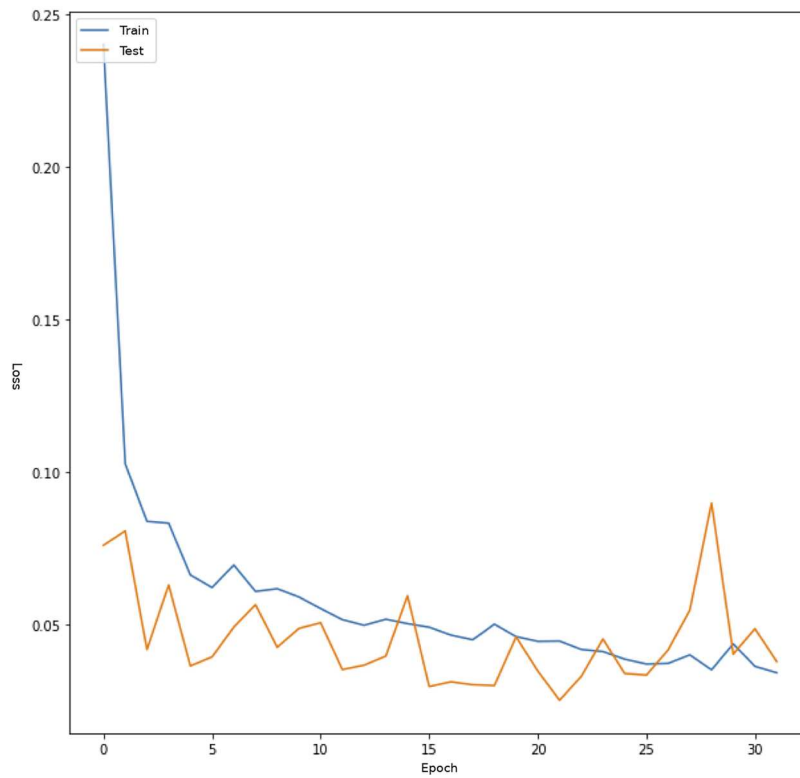
Figure 5. Prediction results at sensor_01.

Table 1. Performance metrics comparison for pump failure forecasting.

Model architecture	RMSE	MAE	Accuracy (%)	F1-score
Random Forest (baseline)	0.142	0.095	88.4	0.82
Standard RNN	0.115	0.078	91.2	0.87
Proposed LSTM	0.082	0.054	96.8	0.94
BNN-LSTM (edge)	0.089	0.059	95.2	0.92



(a) LSTM model accuracy

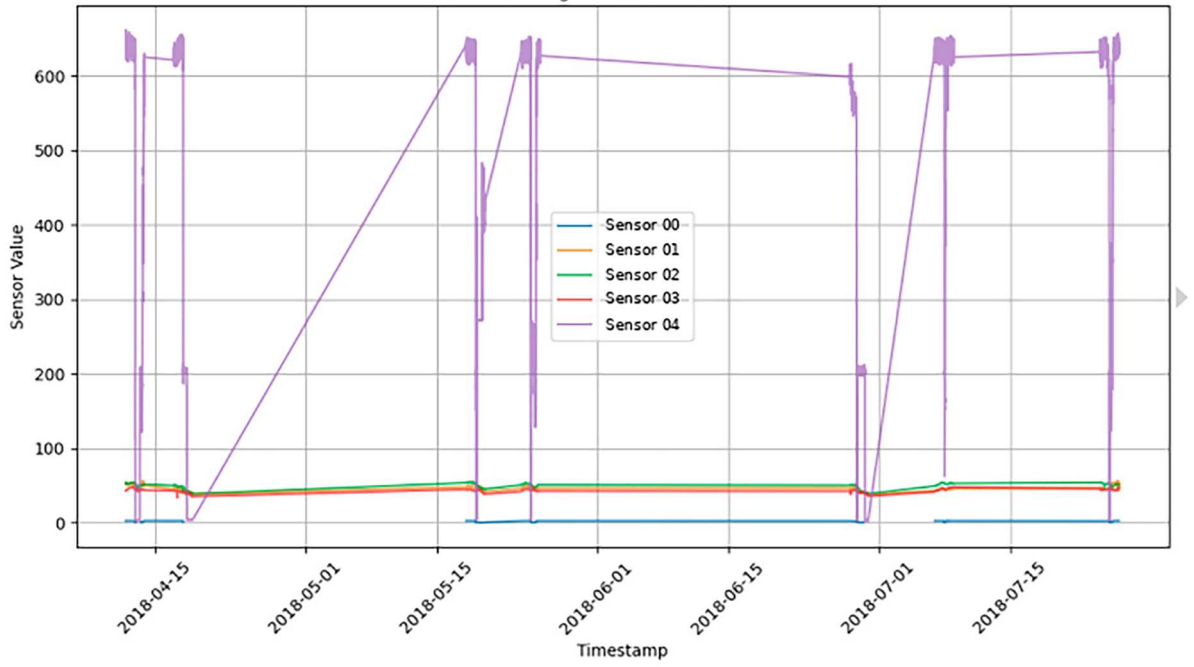


(b) LSTM model loss

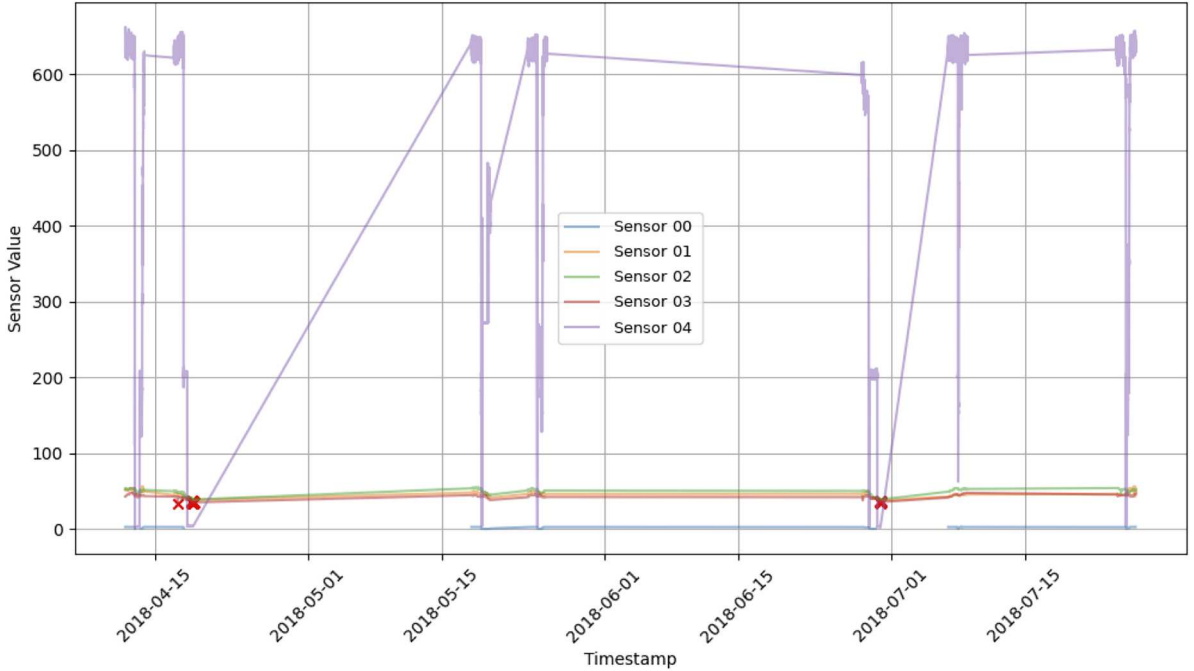
Figure 6. LSTM model performance.

For the LSTM-based pump failure prognosis utilizing 52 sensors across $T = 100$ intervals, we define the input sequence as $\underline{X} \in \mathbb{R}^{52 \times 100}$ against a normal-operation baseline $\bar{X}$. DeepSHAP values, $\phi_{i,t} = \text{DeepSHAP}(\underline{X}, \bar{X}, f)[i, t]$, quantify localized impacts; e.g. $\phi_{15,50} = 1.2$ indicates this sensor-time coordinate increases failure probability by 1.2% relative to the operational baseline.

Figure 7 illustrates sensor trajectories surrounding a failure event. Analyzing telemetry 24 hours pre- and post-failure identifies prognostic patterns and abnormal fluctuations essential for predictive modeling.



(a) Sensor readings before and after failures



(b) Sensor anomalies before and after failures

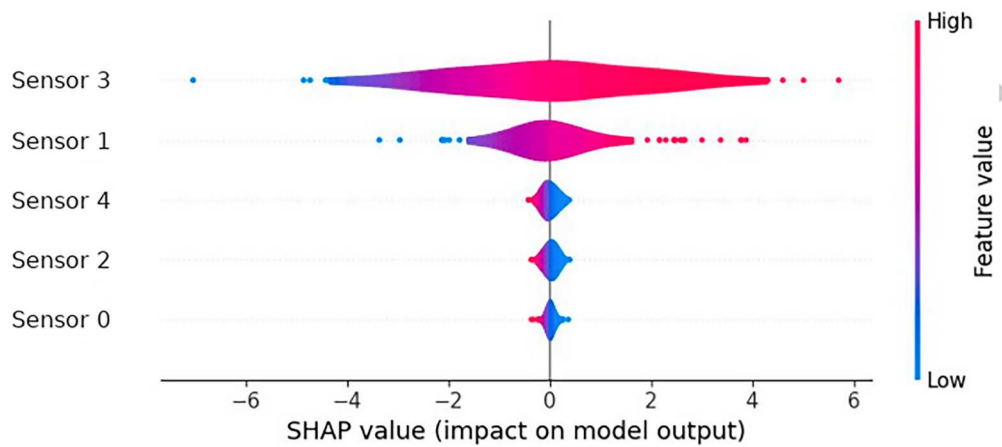
Figure 7. Sensor anomalies before and after failures.

Comprehensive diagnostics require multi-sensor integration through anomaly detection and statistical change-point analysis to isolate systemic shifts preceding failure.

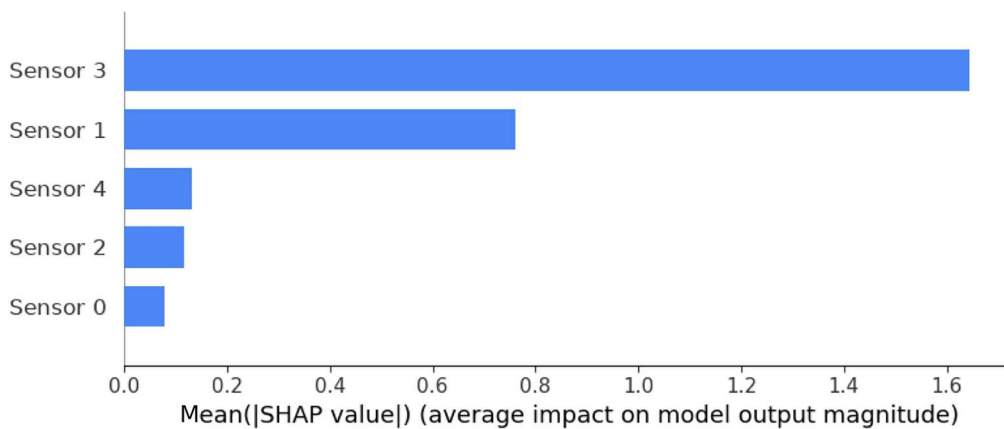
Figure 8 visualizes feature contributions via SHAP values; positive values drive anomaly classifications, while negative values indicate normal operation.

6. Conclusion

This study establishes a robust framework for PMDT by integrating component-based reduced-order models (ROMs) with interpretable deep learning. Through a high-dimensional industrial pump case study, we demonstrate that synchronizing real-time telemetry with LSTM architectures enables high-fidelity prognostic intelligence. By leveraging SHAP-based explainability, the proposed solution transcends “black-box” predictions, allowing managers to identify specific degradation drivers. This approach facilitates a strategic shift from reactive to proactive maintenance, significantly extending RUL while minimizing operational expenditures and unplanned downtime.



(a) Average impact on model output



(b) SHAP Value

Figure 8. SHAP value and impact on model output (red) from Sensor 03 correlate strongly with failures, establishing it as the primary predictive driver in Figure 8a. Conversely, sensors 01 and 04 act as secondary contributors, whereas sensors 00 and 02 suppress anomaly flags. This profile suggests a model prioritizing thermal runaway risks (sensor 03) and ancillary system contingencies.

Disclosure statement

No potential conflict of interest was reported by the author(s).

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