

# Investigations of the warm and cold water route ocean gateways on glacial-interglacial and millennial timescales

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Thesis submitted for the degree of Doctor of Philosophy

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## Summary

The warm and cold water route ocean gateways are important oceanographic locations with respect to global climate. By the advection of salinity anomalies to the North Atlantic, changes at these ocean gateways are suggested to affect the strength and geometry of the Atlantic Meridional Overturning Circulation (AMOC). Adjustments of the AMOC can play a crucial role in the Earth's climate, and are suggested to be related to climate changes of the Late Pleistocene.

However, little is known about the role of the warm and cold water route gateways on past glacial-interglacial and millennial time scales. This thesis documents a study which utilises Earth system modelling, combined with analyses of climate proxy data, to investigate the behaviour of these ocean gateways during changing climates of the past.

The development of an adapted Earth System Model shows that it is possible to improve the simulation of climatological mean transport rates through the Indian-Atlantic Ocean Gateway (I-AOG, warm water route gateway) and Drake Passage (cold water route gateway). These ocean gateway transports are often overestimated in contemporary state-of-the-art climate models. The adapted model therefore provides a solid platform for the application of palaeo boundary conditions and the investigation of these ocean gateways during the past.

Comparing pre-industrial and Last Glacial Maximum (LGM) climate simulations reveals that the I-AOG transport was only moderately weaker during the LGM, contrasting against the general hypothesis inferred from proxy data. A new hypothesis is developed which can consolidate these results. Supported by proxy data, the modelled Drake Passage throughflow is substantially weaker during the LGM. This might have potential implications for the geometry of the relatively shallow glacial AMOC.

In response to freshwater perturbation - mimicking Heinrich events - an increase in Drake Passage throughflow is simulated, simultaneous with only weak changes of the I-AOG transport. The response at both locations is supported by proxy data, and suggests that the Drake Passage might be more important than previously considered with respect to the mechanisms involved in the abrupt resumption of the AMOC to interstadial conditions.

## Author's Note

Extracts from **Chapters 3 and 5** are under review at the journal *Paleoceanography*, as:

Purcell, C., Knorr, G., Hall, I.R., Lohmann, G., Stepanek, C., Warm and cold water route gateway transport changes during abrupt climate shifts.

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**Chapter 5** also builds on collaborative work published in the journal *Paleoceanography* as:

Marino, G., Zahn, R., Ziegler, M., Purcell, C., Knorr, G., Hall, I. R., ... & Elderfield, H. (2013). Agulhas salt-leakage oscillations during abrupt climate changes of the Late Pleistocene. *Paleoceanography*, 28(3), 599-606.

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## Preface

*“It is not the strongest or the most intelligent who will survive, but those who can best manage change.”*

— Charles Darwin

In the late 15<sup>th</sup> and early 16<sup>th</sup> centuries the first European explorers sailed around the Cape of Good Hope and Cape Horn, leaving the known world of the Atlantic behind and entering the Indian and Pacific Oceans for the first time since their distant ancestors many tens of thousands of years before. They left their homelands in search of new territories, wealth, knowledge, and fame, often mercilessly slaughtering innocent indigenous peoples along the way. As these voyagers sailed through the ocean gateways, south of Africa and the Americas, they knew well the reality of their dangerous undertakings and the storms they faced. Yet little did they know of the precious sediment and dead organic matter which continued to slowly accumulate on the ocean floors deep beneath their boots and boats – sedimentary dirt which would four hundred years later become the investigated matter used to unravel the mysteries of Earth’s history. For it is these very ocean sediments, together with other planetary sources, which today allow breakthroughs in our understanding of the changing climates of the geological past. Throughout the 20<sup>th</sup> century scientific thinkers began to build complex computational machines which today permit the simulation of past climates, and show good comparison with the stratigraphic details revealed in the dirt and dead organic matter at the ocean floors. Today, the investigation of Earth’s past, which helps us prepare the planet for all posterity, necessarily includes a host of rigorous disciplines, and represents yet another spectacular achievement in the sciences, involving international cooperation, ambition and communal discovery. This PhD thesis documents a 21<sup>st</sup> century investigation of the oceanographic and climatological changes which occurred at the Indian-Atlantic Ocean Gateway south of the Cape of Good Hope, and the Drake Passage south of Cape Horn, over glacial-interglacial and millennial timescales of the past - long before Vasco da Gama and Ferdinand Magellan ever sailed through.

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Firstly I would like to thank my supervisors Gregor Knorr and Ian Hall for providing me the opportunity to explore the climates of the past. I am very thankful to Martin Ziegler who guided me throughout the first years of my PhD and inspired me to think differently - outside the box. Additionally, Gerrit Lohmann for having me as a long-term guest researcher at his Paleoclimate Dynamics group at the Alfred Wegener Institute (AWI) in Bremerhaven, Germany, where I spent over 3 years. My colleagues at Cardiff University for the warm and friendly working environment created there. Thank you all.

I am grateful to everyone at the Paleoclimate Dynamics group at the AWI, particularly my office colleagues whom I had the pleasure of knowing over the past few years. Christian, Xu, Michael, Paul, Xun, Igor - I will never forget the memories shared together in that cluttered office in that small, industrial, north German city. Christian, Michael, Paul – I owe you for your patience in my determination to learn the German language. In particular I am indebted to Christian Stepanek for his professionalism and help with many aspects of this PhD project, not to mention his great friendship. Dr. Martin Butzin, of the MARUM, Bremen, is thanked for his technical expertise.

I consider myself to have been extremely privileged to have participated as a researcher on the Marie Curie funded GATEWAYS project, where I was provided the opportunity to move abroad, travel the world, and meet some incredible people who shared my enthusiasm for life. Margit, Kristina, Paolo, Jonathan, Jeroen, Emma, Ben, Juliane, Sebastian, Marlen, Kerstin, Kristin, Caroline, Martin, Frank, and Lukas (sorry for the snoring). Thank you all for the fun times and shared memories in Austria, Spain, Germany, Wales, South Africa, Israel and Palestine.

The achievement of being awarded a doctoral degree is rarely the accomplishment of a single person, rather reflecting the support of family and friends. My mother and father, brothers and sister, my nephews and nieces - I could not have done it without your support and encouragement. In particular I thank my father for fuelling my curiosity and enthusiasm during my early years. Additionally, my friends who encouraged me through always being open to stimulating conversation and generating creativity - JP, Niall, Rachel, Rory, Mur, Cian, amongst many more - you know who you are. Many thanks. JP O'Malley is thanked for a final proof read and edit of this thesis.

## SYMBOLS AND ABBREVIATIONS

AABW Antarctic Bottom Water  
AAIW Antarctic Intermediate Water  
ACC Antarctic Circumpolar Current  
ALF Agulhas Leakage Fauna  
AMOC Atlantic Meridional Overturning Circulation  
ARC Agulhas Return Current  
BP Before Present  
CBR Cape Basin Record  
CHC Cape Horn Current  
CWR Cold Water Route  
D/O Dansgaard-Oeschger Oscillation  
DP Drake Passage  
EPICA European Project for Ice Coring in Antarctica  
ESM Earth System Model  
GIN Greenland-Iceland-Norwegian  
I-AOG Indian-Atlantic Ocean Gateway  
IRD Ice-rafted detritus  
ITCZ Intertropical Convergence Zone  
ka Thousand years before present , (kilo annum)  
kyr Thousand years (duration)  
LGM Last Glacial Maximum  
MIS Marine Isotope Stage  
MOC Meridional Overturning Circulation  
NADW North Atlantic Deep Water  
NHCS Northern Hemisphere Cold Stadial  
PPB Parts Per Billion  
PPM Parts Per Million  
PF Polar Front  
SAF Subantarctic Front  
SAFZ Subantarctic Frontal Zone  
SCW Southern Component Water  
SPC South Pacific Current

SSS Sea Surface Salinity  
SST Sea Surface Temperature  
STF Subtropical Front  
Sverdrup 1 Sv =  $1 \times 10^6 \text{ m}^3/\text{s}$   
THC Thermohaline Circulation  
TI, TII, ... Termination I, Termination II, etc....  
WBC Western Boundary Current  
WWR Warm Water Route  
 $\delta\text{D}$  Stable Hydrogen Isotope

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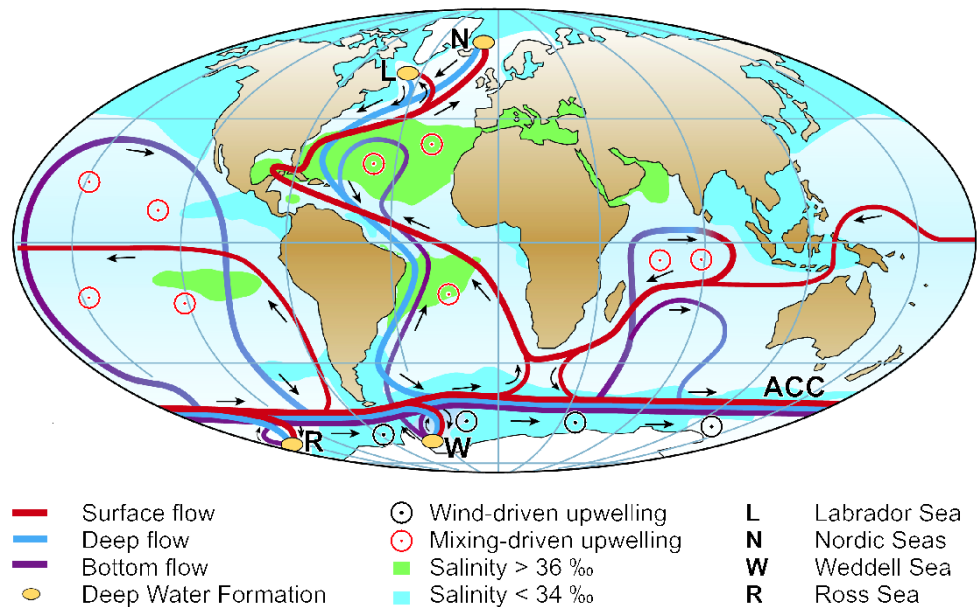
# 1. Introduction

Interest in palaeoclimate research has accelerated in recent years owing largely to the requirement for understanding past environmental changes in order to better predict future climate change under anthropogenic forcing throughout the 21<sup>st</sup> century and beyond, in what is termed the Anthropocene. Over the past 20 years governments have increasingly provided funding for the investigation of past climate changes which are potentially analogous to those expected in both the near and distant future. The study of past climates is largely conducted by the analyses of climate proxy data and Earth system modelling, which are performed with the objective of reconstructing the past and simulating paleoclimate environments. This chapter provides an introduction to the climate system and climate changes which are known to have occurred in the past, leading on to a discussion of the importance of the warm and cold water route gateways which are suggested to play key roles in global climate change, and constitute the major component of this PhD thesis.

## **1.1 The climate system**

The Earth's climate system includes the ocean, atmosphere, cryosphere and biosphere components, amongst others. Each of these sub-systems is inextricably linked through complex arrangements which are yet to be completely understood. The system is sensitive to both internal and external forcing mechanisms which have governed climate change in the past, and will do so again in the future. Although the climate is regulated predominantly by external forcing in the form of variations in orbital forcing (Milankovitch, 1930; Milankovitch, M., 1941, Hays et al., 1976; Berger, 1978), internal feedbacks from other system processes such as greenhouse gas concentrations (Luthi et al., 2008) are known to have modulated the changing climates of the past. Various feedback mechanisms exist which act to regulate climate by distributing heat both globally, and on regional scales.

One key internal component of the global climate system which acts to regulate our climate is the global thermohaline circulation (THC), a major driver of change via heat distribution in the climate system (Figure 1.1). The THC transports huge volumes of water, salt and heat around the planet (Broecker, 1991). The THC is characterised by deep water convection (sinking) in the North Atlantic and large scale upwelling in the equatorial regions and throughout the Southern Hemisphere, resupplying the Atlantic Basin via the ocean's warm and cold water surface return routes (Gordon, 1986). Specifically, downward processes, such as convection, take place in the Labrador and Nordic Seas, and upward processes, including mixing (as a result of internal (tide) wave interactions with topography), allow for waters to rise again across the equatorial regions. Downward convection also occurs in the Weddel Sea (Southern Ocean), permitting the formation of Antarctic Bottom Water (AABW). Changes to the Atlantic component of that circulation, the Atlantic Meridional Overturning Circulation (AMOC), have been suggested to have been involved in climate changes of the past (Rahmstorf, 1996; Broecker, 1998), and are a realistic possibility in the future with respect to anthropogenic climate change (Jungclauss et al., 2006b; Zickfeld et al., 2007; Stocker et al., 2013). Since the AMOC is also driven by wind at the surface, changes to the wind field systems are also important for variations in the circulation.





**Figure 1.1** Strongly simplified sketch of the global overturning circulation system, from Kuhlbrodt et al. (2007), after Rahmstorf (2002). In the Atlantic, warm and saline waters flow northward all the way from the Southern Ocean into the Labrador and Nordic Seas. By contrast, there is no deepwater formation in the North Pacific, and its surface waters are fresher. Deep waters formed in the Southern Ocean become denser and thus spread in deeper levels than those from the North Atlantic. Note the small, localized deepwater formation areas in comparison with the widespread zones of mixing-driven upwelling. Wind-driven upwelling occurs along the Antarctic Circumpolar Current (ACC).

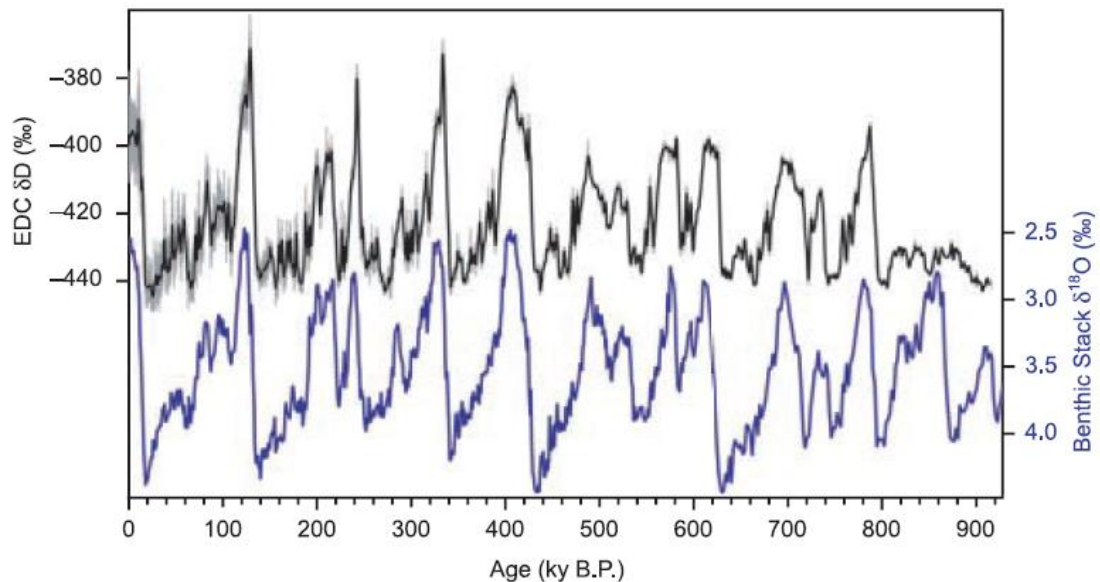
## 1.2 The changing climates of the past

Early evidence from palaeoclimatic proxies (Emiliani, 1955; Broecker et al., 1968; Broecker and van Donk, 1970; Johnsen et al., 1972; Shackleton and Opdyke, 1976; Dansgaard et al., 1993), and various model simulations (Rahmstorf et al., 1996; Ganapolski and Rahmstorf, 2001; Ganapolski et al., 2010; Knorr and Lohmann, 2014; Zhang et al., 2014) indicate that the oceans and atmosphere of the past experienced significant change over both short millennial ( $10^3$  years) and longer glacial-interglacial ( $10^3$  to  $10^5$  years) to tectonic time-scales ( $10^6$  –  $10^9$  years). Internal forcing mechanisms which are suggested to control these climate changes include tectonic shifts (not always considered an internal forcing) (Keigwin, 1982; Raymo and Ruddiman, 1992; Zachos et al., 2001; Zhisheng et al., 2001; Lagabrielle et al., 2009) and variability in ocean circulation (Rahmstorf, 1996; Broecker, 1998) and  $p\text{CO}_2$  content (Luthi et al., 2008). External forcing mechanisms involve factors such as solar activity (Barriopedro et al., 2008; Woollings et al., 2010; Moffa-Sánchez et al., 2014) and the Earth's orbital configuration (Milankovitch, 1941; Hays et al., 1976; Berger, 1978).

Ocean sediment cores have indicated that during the Cenozoic Era (the past 66 million years) and beyond, the Earth's climate has been in a state of constant change, characterised by long periods of warming with ice-free polar regions as well as periods of glaciation with large continental ice sheets forming in the high latitudes (Zachos et al., 2001). Palaeoclimatic proxy data and modelling simulations are used to investigate the nature of such climate variability, with great advancements achieved in recent years (Stocker, 1998; Lynch-Stieglitz et al., 2007; Barker et al., 2011; Knorr and Lohmann, 2014; Zhang et al., 2014). The presence of regular glacial-interglacial climate change appeared during the past 2 million years of the Quaternary Period.

### 1.2.1 Glacial-interglacial climate change

The existence of glacial-interglacial climate variability (Figure 1.2) during the Pleistocene geological epoch is known from the analysis of climate proxy data (Broecker et al., 1968; Broecker and van Donk, 1970; Johnsen et al., 1972; Shackleton and Opdyke, 1976), which extend back in the record over 22 glacial-interglacial cycles. The periodicity of these cycles changed approximately 1000 ka, from a 40 kyr to 100 kyr frequency, during the mid-Pleistocene transition (Maasch, 1988; Mudelsee and Schulz, 1997; Raymo et al., 2006; Hönlisch et al., 2009 Elderfield et al., 2012). These cycles are suggested to be predominantly controlled by variations in orbital forcing (Milankovitch, 1930; Milankovitch, 1941, Hays et al., 1976; Berger, 1978; Berger and Loutre, 1991) and are modulated by changing greenhouse gas concentrations (Luthi et al., 2008). The orbital parameters which govern glacial-interglacial climate variability are obliquity, eccentricity and precession.



**Figure 1.2** Comparison of the dD Dome C record on the EDC3 time scale (with all data points in light grey and a smoothed curve in black) with the benthic oxygen-18 record (blue) on its own time scale (Lisiecki and Raymo, 2005), from Jouzel et al., 2007. The 3259.7-m dD record, which includes published results down to 788m (Jouzel et al., 2001), benefits from an improved accuracy (1s of  $\pm 0.5\text{‰}$ ) and a much more detailed resolution of 55 cm all along the core, whereas the previously published record was based on 3.85-m samples (EPICA Community Members, 2006). The agreement between the two time

series back to ~800 ky B.P. justifies the use of oceanic sediment nomenclature (MIS) for describing the ice core record.

Obliquity is the parameter which describes the variation of the Earth's angle of tilt on its axis of rotation. The parameter operates on a 41 kyr cycle as the Earth's angle of rotation (tilt) varies between 21.8° and 24.4° (Denton and Hughes, 1983). Obliquity controls the distribution of heat over the planet, with particularly significant responses at the high latitudes (Ruddiman and McIntyre, 1981). The effect of variations in the Earth's obliquity is to modulate the strength of seasons (seasonality) at the high latitudes, with only minor variations in the tropics.

Eccentricity is the parameter which describes the variation in the Earth's orbit around the sun. This variation ranges from elliptic to circular configurations over geological time, having the effect of modulating the solar insolation received by the Earth (Berger, 1989), dependent on the distance between our planet and the Sun (Denton and Hughes, 1983). Unlike obliquity which controls the distribution of heat on Earth, Eccentricity uniformly varies the solar insolation received by Earth equally over all latitudes. Eccentricity operates over a timescale of ~100 kyr (Milankovitch, 1930; Milankovitch, 1941), but is also suggested to act with a period of ~400 kyr (Rial, 1999).

The third parameter, precession, refers to the conical movement of the rotational axis of the Earth. The Earth's precession of the equinoxes controls the position of aphelion and perihelion - the positions in the Earth's orbit furthest and closest to the sun, respectively (Hays et al., 1976; Berger, 1977). Precession operates over periods of 19 kyr and 23 kyr, and affects the distribution of heat on the planet (Imbrie, 1985; Berger, 1992). The effect of this is a modulation of the strength of summers in the Northern and Southern Hemispheres. Precession is also known to be modulated by eccentricity (Milankovitch, 1930).

The overall effect of these phenomena and their interaction is to regulate the magnitude and distribution of incoming solar irradiation on Earth. This has resulted in the existence of a wide variation in the range of climate changes of the past, and has recently (the past two million years) culminated in the appearance of glacial-interglacial

cycles in the records. However, climate change also operates on shorter, sub-orbital, centennial and millennial timescales.

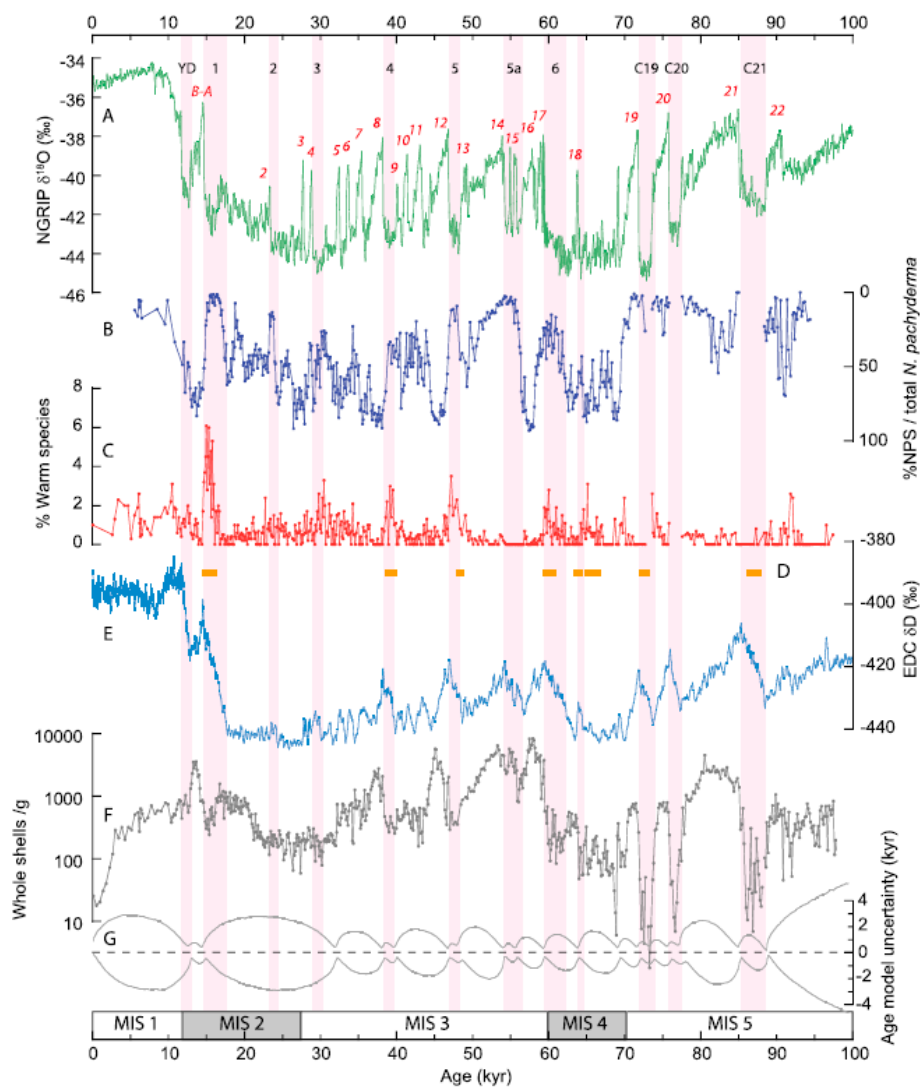
### **1.2.2 Millennial scale climate change**

The Earth's climate is known to have shifted abruptly a number of times during glacial periods of the Late Pleistocene. Details revealed in Greenland ice cores show rapid temperature shifts in Arctic climate, characterised by abrupt decadal warming events which are followed by slower centennial scale recoveries towards glacial conditions (Dansgaard et al., 1993; NGRIP members, 2004) (Figure 1.3). These millennial scale events have become known as Dansgaard-Oeschger (DO) oscillations, and have since been observed in numerous proxy data records across the planet (Bond et al., 1997; Blunier and Brook, 2001; Völker, 2002; Wang et al., 2008; Barker et al., 2009; Caniupán et al., 2011; Marino et al., 2013; Ziegler et al., 2013).

The abrupt temperature shifts in Greenland, which have a cyclicity of  $1470 \pm 532$  years (Bond et al., 1997), are out of phase with more gradual changes in Antarctica, that precede D/O events in Greenland by up to 3 kyr (Blunier and Brook, 2001) (Figure 1.3). Additionally, it appears that some DO events coincide with anomalously large abundances of Ice Rafted Detritus (IRD) data in North Atlantic records. These episodes have come to be known as Heinrich events (Heinrich, 1988). Heinrich events appear to involve surging of the Laurentide Ice Sheet, possibly through the Hudson Strait, apparently associated with the DO cooling (Bond et al., 1993).

Many studies have been dedicated to the investigation of the potential causes of DO events during glacial periods (Ganopolski and Rahmstorf, 2001; Clark et al., 2002; Rahmstorf, 2002; Wunsch, 2006; Knorr and Lohmann, 2007; Dima and Lohmann, 2008; Barker et al., 2009; Kwasniok and Lohmann, 2009; Arzel et al., 2010; Li et al., 2010; Banderas et al., 2012; Dokken et al., 2013; Marino et al., 2013; Petersen et al., 2013). Changes to the AMOC involving the bipolar seesaw process have been suggested as a link which can potentially explain the anti-phased millennial scale variability observed in the Northern and Southern Hemispheres (Broecker, 1998). Possible mechanisms which might force such millennial scale AMOC variability include the

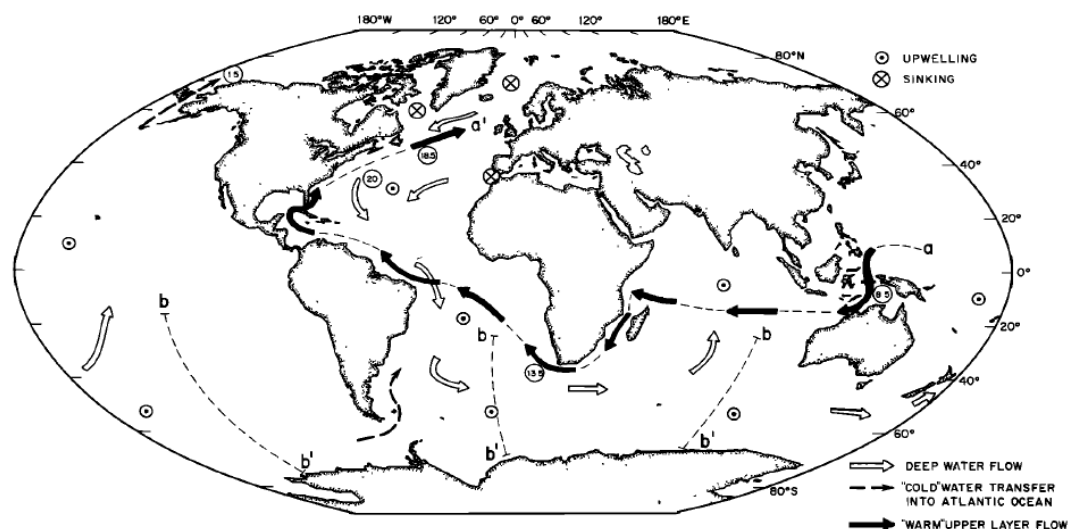
introduction of large scale freshwater anomalies in the North Atlantic as a consequence of massive ice berg rafting (Heinrich, 1988; Bond, 1993; Rahmstorf, 1996; Rahmstorf, 2002), changes in the height of the glacial ice sheets (Wunsch, 2006, Zhang et al., 2014), or a Southern Hemisphere origin originating in the Agulhas Current, the western boundary current (WBC) of the African continent (Marino et al., 2013). However, as of yet, there is no clear consensus as to the root cause of this variability observed in the records.



**Figure 1.3** Millennially resolved proxy data, from Barker and Diz, 2014. Records of %NPS/total *N. pachyderma* (B) and warm species (C) from South Atlantic core TNO57-21 compared with Greenland (NGRIP\_members, 2004) (A) and Antarctic (Jouzel et al., 2007) (E) ice core temperature records and the speleothem growth interval record from Brazil (Wang et al., 2004) (D). The Antarctic record has been placed on the same timescale following the procedure described by Barker et al. (2011).

### 1.3 The warm and cold surface water routes of the ocean

The Southern Ocean is a key region of the THC, receiving upwelled North Atlantic sourced deep waters, distributing them around the globe, and eventually resupplying the Atlantic Basin via the warm and cold water surface return routes (Gordon, 1986; England and Garcon, 1994) (Figure 1.4). These routes provide water, heat and salt along the surface branch of the THC, compensating for North Atlantic Deep Water (NADW) export (Broecker, 1991). The warm water route comprises waters advected through the Indonesian Throughflow and the Australian-Antarctic gateway, comprising the Tassie Leakage, which cross the Indian Ocean and eventually resupply the Atlantic Basin via Agulhas leakage (Speich et al., 2001) (Figure 1.5), a predominantly wind driven process. On the other hand, the cold water route transports sub-Antarctic waters from the Pacific to the Atlantic Ocean via the Drake Passage, and has been presumed to be of secondary importance to the warm water route, contributing just 25% of the latter's volume flux (Gordon, 1986). Past and future changes to these water routes are potentially of significance to global climate because of the impact which positive density anomalies may have on NADW formation and AMOC strength (Weijer et al., 2002) (Figure 1.6). Such a control on AMOC strength by these remote sources could ultimately modulate the distribution of heat on the planet via the bi-polar see-saw process ([Broecker, 1998](#); [Stocker and Johnsen, 2003](#)). Effectively, it is the combined and relative roles of these two water routes supplying the upper branch of the AMOC which is important. A change in the characteristics of one route could act to enhance or compensate for changes to the other.



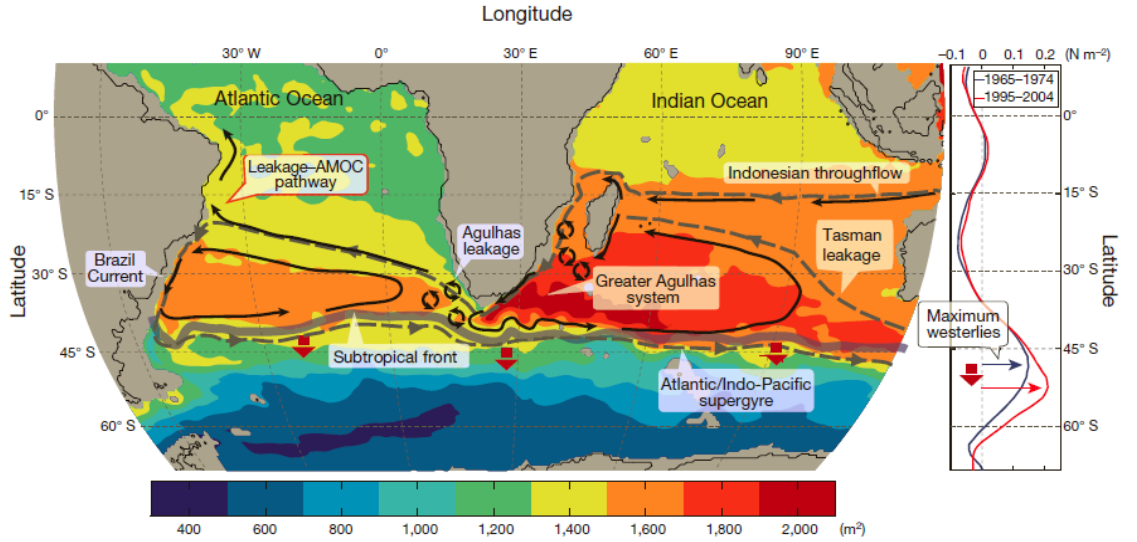
**Figure 1.4** Global structure of the thermohaline circulation cell associated with NADW production, from Gordon, (1986). The warm water route, shown by the solid arrows, marks the proposed path for return of upper layer water to the northern North Atlantic as is required to maintain continuity with the formation and export of NADW. The circled values are volume flux in Sv which are expected for uniform upwelling of NADW with a production rate of 20 Sv. These values assume that the return within the cold water route, via the Drake Passage, is of minor significance.

### 1.3.1 The warm water route

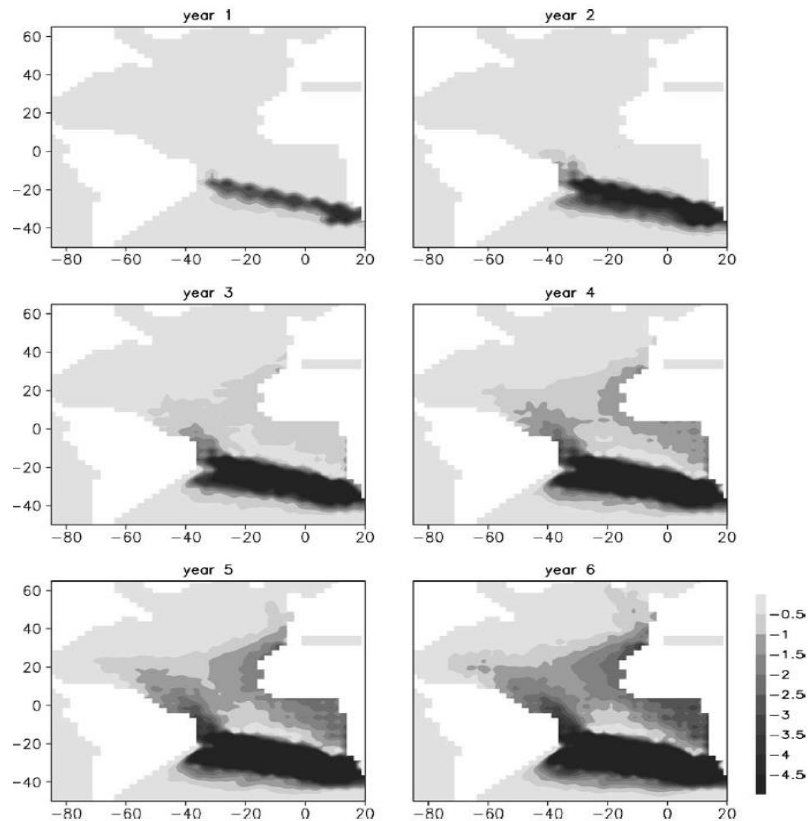
The ocean's warm water surface return route is the flow of warm upwelled thermocline water masses through the Pacific, Indian and Atlantic oceans, which, along with the cold water route, act to compensate for global deep water formation (Broecker, 1991). Specifically, the warm water route includes the Indonesian throughflow transport, Tassie leakage and Agulhas leakage. The existence of the Indonesian throughflow and Tassie leakage is a consequence of a separation in the Pacific Ocean subtropical supergyre into two branches; to the north via Indonesia and south via Tasmania, respectively (Gordon et al., 2010). After passing through the array of complex passages in the Indonesian region (Gordon, 2005), these water masses cross the Indian Ocean via the South Equatorial Current in the 10° – 15° latitude belt (Figure 1.4). As this water is advected across the Indian Ocean it becomes both more saline due to net evaporation, and more energetic due to net insolation in these tropical and subtropical regions. At these latitudes Indian Ocean water is 5°C warmer than South Atlantic thermocline water at similar latitudes (Gordon, 1985). After crossing the Indian Ocean, these waters are transported into the Agulhas Current via southward flows in the Mozambique Channel and the East Mozambique Current. The Agulhas Current is the strongest western boundary current in the world, transporting approximately 70-78 Sv of tropical and subtropical Indian Ocean waters southwards towards the bugle of Africa (Lutjeharms, 2006). South of Africa, the Agulhas Current contributes to a large scale retroflection (the Agulhas Retroflection) process whereby a significant majority of its water mass is transferred back into the Indian Ocean. During the retroflection process an Agulhas leakage occurs which acts as the warm water route connection between the Indian and Atlantic oceans. Some of these warm and saline thermocline water travel northwards within the Atlantic subtropical gyre and are advected to the North Atlantic where cooling is experienced, and NADW is formed at either of the two convection sites; the Greenland-Iceland-Norwegian (GIN), and Labrador seas.

Agulhas leakage, which passes through the Indian-Atlantic Ocean Gateway (I-AOG) is by far the most studied and well understood component of the warm water route (De Ruijter et al., 1999). Due to a wind stress curl of zero to the south of the African continent, an interocean exchange between the two subtropical gyres of the Indian and Atlantic oceans is permitted (Biastoch and Böning, 2009). The exchange takes the form of turbulent filament eddy structures, and is estimated to transfer ~15 Sv of warm, salty water into the Atlantic Basin (Richardson, 2007). Other studies estimate Agulhas leakage in the range 12 – 20 Sv (Van Sebille et al., 2010). Modelling studies have suggested that inter-ocean salt transfer from the Indian to Atlantic Ocean influences the AMOC (Weijer et al., 2002) (Figure 1.6) and may have significantly affected AMOC stability in recent decades (Biastoch and Böning, 2013; Srokosz et al., 2012). Knorr and Lohmann (2003), using a general circulation ocean model to simulate the climate change of the last deglaciation, demonstrated that a resumption of the AMOC including an overshoot could be triggered by Southern Hemisphere warming, via increased water transport through both the I-AOG and Drake Passage. Analysis of proxy data from marine sediment cores has shown that shifts in Agulhas leakage may have occurred in the past on glacial-interglacial timescales, with implied increases in Agulhas leakage during glacial terminations leading to the suggestion that these events importantly acted as a trigger for the resumption of the AMOC, from a weak glacial to a strong interglacial mode (Peeters et al., 2004; Martinez-Mendez et al., 2010; Caley et al., 2012) (Figure 1.7). It has even been suggested that exceptional Agulhas leakage prolonged interglacial warmth during Marine Isotope Stage (MIS) 11c in Europe (Koutsodendris et al., 2014). An analogous conceptual framework has been developed for millennial scale climate change, where it has been suggested that Agulhas leakage may have been significant in the processes involved in the abrupt resumption of the AMOC to interstadial conditions (Marino et al., 2013). These increases in Agulhas leakage are suggested to occur in response to latitudinal shifts of the subtropical front and associated changes in the position of the zero windstress curl, although this hypothesis has recently been questioned (De Boer et al., 2013).

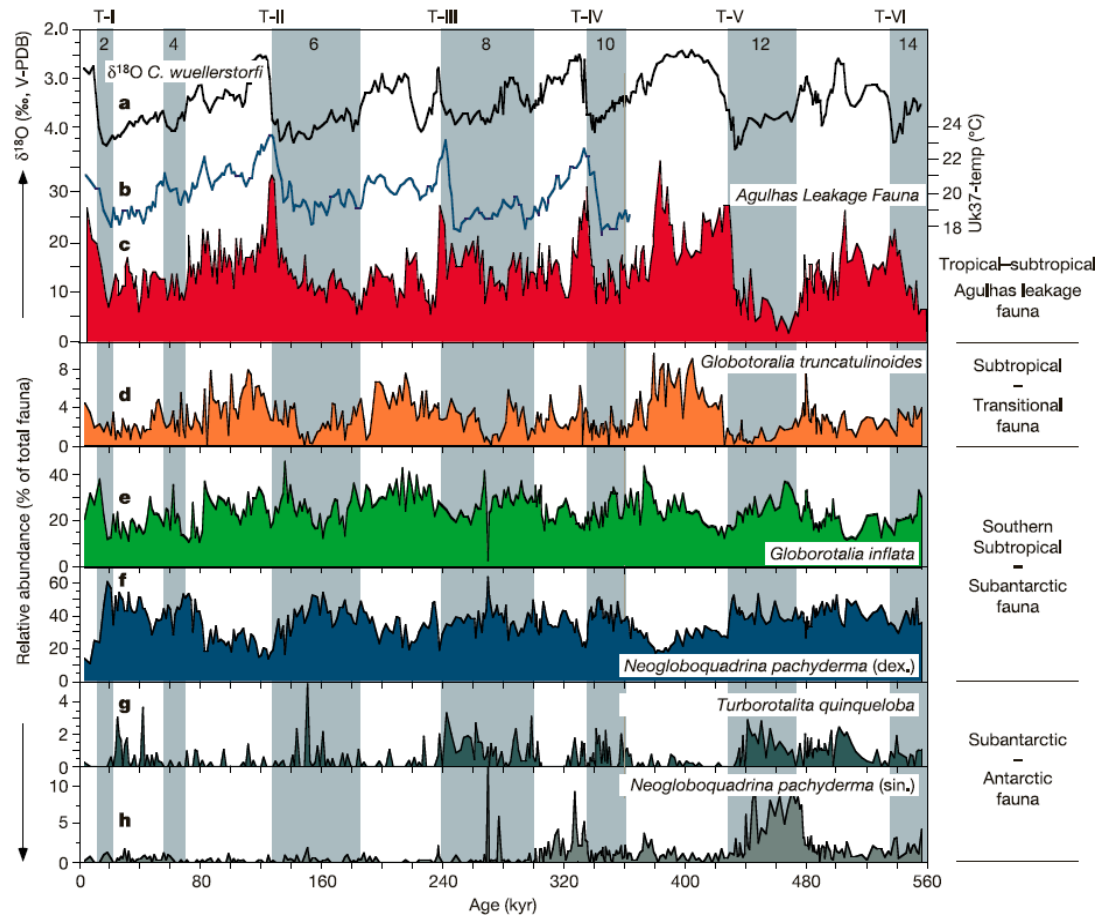




**Figure 1.5** Agulhas leakage affected by westerly winds and position of subtropical front, from Beal et al. (2011). Schematic of the greater Agulhas system embedded in the Southern Hemisphere supergyre. Background colours show the mean subtropical gyre circulation, depicted by climatological dynamic height integrated between the surface and 2,000 dbar, from the CARS database. Black arrows and labels illustrate significant features of the flow. An outline of the Southern Hemisphere supergyre is given by the grey dashed line. The plot on the right shows the southward expansion of the Southern Hemisphere westerlies over a 30-yr period, from the CORE2 wind stress averaged between longitudes 20°E and 110°E (Indian Ocean sector). The expected corresponding southward shift of the subtropical front (STF) is illustrated by red dashed arrows and would affect Agulhas leakage (shown as eddies) and the pathway between leakage and the AMOC, which is highlighted with a red box.



**Figure 1.6.** Six snapshots of the annually averaged salinity anomaly ( $\Delta S = \Delta \text{source} - \Delta \text{main}$  in psu) at 250 m depth in a freshwater source experiment, from Weijer et al. (2002). The freshwater source at the Indian-Atlantic Ocean Gateway, turned on in year 1, creates a negative salinity anomaly that is slowly advected northward along the east coast of the American continents. It reaches the northern North Atlantic within 30 years.



**Figure 1.7.** Late Pleistocene proxy records of the Cape Basin record, from Peeters et al. (2004). a, The oxygen isotope composition of the benthic foraminifer *Cibicides wuellerstorfi*, largely indicating global ice volume (V-PDB, Vienna Pee-Dee Belemnite standard). Even numbers at top refer to glacial Marine Isotopic Stages, T-I to T-VI refer to the major glacial terminations. b, The UK37 SST proxy for the upper part of the CBR (core GeoB-3603-2). c, The relative abundance of the Agulhas leakage fauna as a measure of Indian Ocean advection into the Atlantic. d, The relative abundance of *Globorotalia truncatulinoides*, indicative of subtropical transitional waters. e, The relative abundance of *Globorotalia inflata* and f, *Neogloboquadrina pachyderma* (dex.) indicative of southern subtropical to Subantarctic waters. g, The relative abundance of *Turborotalita quinqueloba*, reflecting Subantarctic waters. h, The relative abundance of *Neogloboquadrina pachyderma* (sin.), indicative of Antarctic waters.

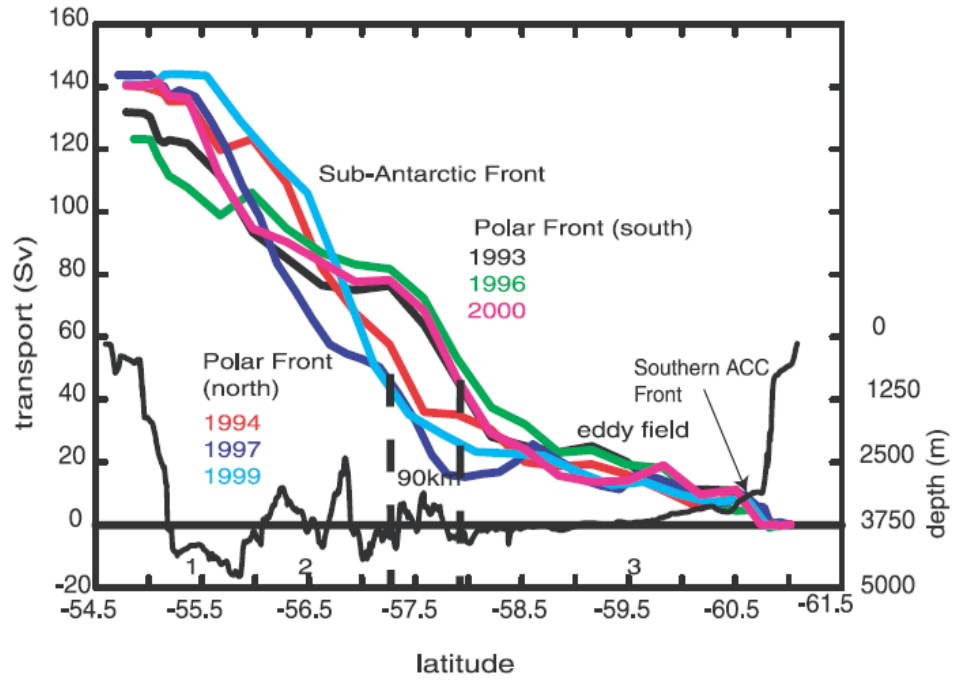
### 1.3.2 The Cold Water Route

The predominantly wind driven Antarctic Circumpolar Current (ACC) is the Earth's primary distributor of cold deep waters, having a major impact on global climate. ACC transport rates have been estimated at 130 Sv (Whitworth and Peterson, 1985) and  $134 \pm 11$  Sv (Cunningham et al., 2003) (Figure 1.8), showing good agreement between observations. These waters are forced by the Southern Hemisphere westerlies and transported eastwardly around the Antarctic continent in what is the ocean's most voluminous transport regime. Through the Drake Passage, the ACC additionally constitutes a flow of Antarctic Intermediate Water (AAIW) which enters the Atlantic Ocean from the Pacific in what is termed the cold water route. Thermocline flow through the Drake Passage and the Southern Ocean is advected northwards and enters both the South Atlantic and South Indian Ocean subtropical gyre systems (Speich et al., 2001) (Figure 1.9). Some of these thermocline water masses are eventually transported to the North Atlantic, contributing to the formation of NADW. Lagrangian analysis indicates that, in the modern ocean,  $\sim 2.3$  Sv of the total flow through the Drake Passage is advected directly to the North Atlantic (direct cold water route, via subduction in the Malvinas front, and circulation in the South Atlantic subtropical gyre system), while an additional  $\sim 4.2$  Sv is transported through the Indian Ocean subtropical gyre (indirect cold water route) and, thereafter makes its way to the North Atlantic via the Agulhas leakage and Benguela Current (Speich et al., 2001) (Figure 1.9). This amounts to a total of  $\sim 6.5$  Sv of Drake Passage sourced waters supplying the North Atlantic, comprising just  $\sim 5\%$  of the transport of the ACC through the Drake Passage ( $134 \pm 11$  Sv; (Cunningham et al., 2003)).

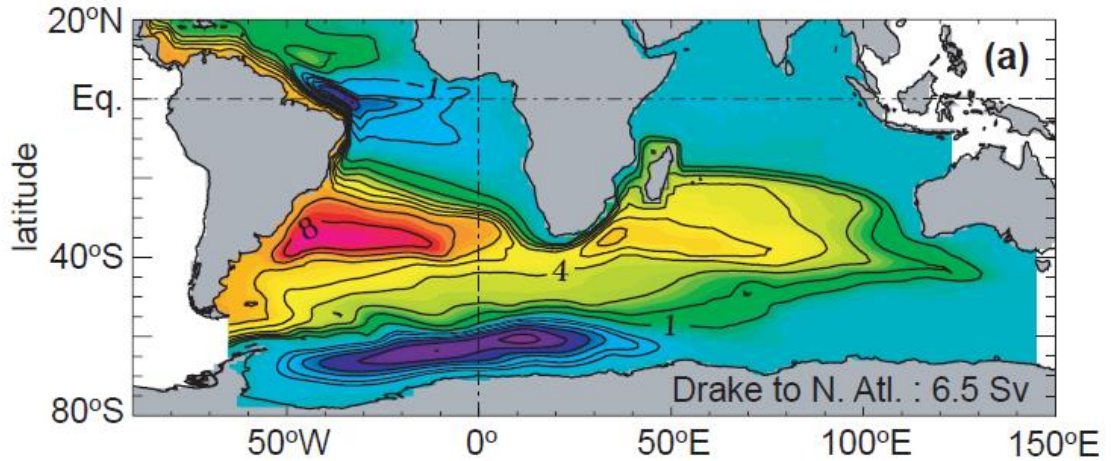
The Drake Passage acts as a key choke point for the cold water route, with changes in the regional hydrography having the potential to adjust the behaviour of the entire pathway itself. The ACC flow rate and transport through the Drake Passage are strongly coupled to Southern Hemisphere wind stress forcing across the entire circumpolar belt (Allison et al., 2010; Meredith et al., 2011). Momentum imparted to the ocean by these winds is hypothesised to be modulated by sea ice cover (McCave et al., 2013). Due to relatively low temperature and salinity characteristics of the Drake Passage - the warmest water in the Drake Passage is approximately  $8^\circ\text{C}$  (Gordon and Molinelli,

1982) - this interocean exchange is generally accepted to be of secondary importance to the Agulhas leakage, a key component of the warm water route. Gordon (1986) suggested that the volumetric capacity of the cold water route is just 25% that of the warm water route. However, results from Lagrangian analysis suggest that 6.1 Sv of Agulhas leakage (Rühs et al., 2013), and ~6.5 Sv of Drake Passage throughflow (Speich et al., 2001) enter the North Atlantic. Although these data are available from two different ocean models, the results suggest that the cold water route contributes a similar portion of the water volume to the North Atlantic as the warm water route. This is an important point, and appears to be absent in the literature, whereas Agulhas leakage and the warm water route are well highlighted (Beal et al., 2011). These considerations suggest that volumetric changes at the Drake Passage are likely to be of equal importance to the Agulhas leakage in contributing to changing global ocean circulation, and warrant further investigation.

Although the importance of the opening of the Drake Passage is known to have been a significant driver of past climate change on tectonic timescales (Barker and Burrell, 1977; Sijp and England, 2004; Lagabriele et al., 2009), little is known about changes at the Drake Passage on glacial-interglacial and millennial timescales. McCave et al. (2013) recently documented results from proxy data across a transect of core locations in the Scotia Sea, downstream of the Drake Passage. This study indicated that the overall ACC transports during the LGM and the Holocene were similar, with only the southern component of the transport potentially reduced by modulation of sea-ice expansion during the glacial period. Furthermore, no millennial scale proxy data exists at the Drake Passage itself, meaning our past knowledge of this oceanic region is surprisingly weak for such a prominent ocean gateway.



**Figure 1.8.** Section-integrated (south to north) baroclinic transport relative to the deepest common level (DCL) for the six hydrographic sections along SR1b between 1993 and 2000: the average transport is  $136.7 \pm 7.8$  Sv, from Cunningham et al, (2003). The locations and names of fronts (following Orsi et al. (1995)) are shown. Bathymetry from the ship's echo sounder is also shown by the scale on the right-hand side. The position of the Polar Front is given a position either north or south based on where the section-integrated transport rises through 50 Sv.



**Figure 1.9.** Horizontal stream function related to the vertically integrated transport of the northward-transmitted warm waters to the North Atlantic (0-1200m) with origins in the Drake, from Speich et al., (2001).

## 1.4 Research scope and outline

The main objective of this thesis is to investigate the palaeoceanography of the warm (I-AOG) and cold (Drake Passage) water route ocean gateways. Motivation for this goal is provided by the suggestion inferred from the analysis of proxy data and ocean general circulation models that the Agulhas leakage may have played a significant role in the termination of glacial cycles (Knorr and Lohmann, 2003; Peeters et al., 2004; Bard and Rickaby, 2009), and the resumption of the AMOC to interstadial conditions within the context of millennial scale climate change (Marino et al., 2013). The thesis is also motivated by the lack of knowledge about changes to Drake Passage throughflow over these timescales (McCave et al., 2013). In particular, the hypotheses surrounding the importance of Agulhas leakage have not yet been tested or confirmed by fully coupled Earth System Models (ESMs), likely because ESMs tend to highly overestimate the I-AOG transport of warm Indian Ocean waters into the Atlantic (Beal et al., 2011; Weijer et al. 2012; Völker and Köhler, 2013; Weijer and van Sebille, 2014). Climate models also show a wide range of Drake Passage transports - only some comparable with observational data (Russell et al., 2006) - meaning that knowledge of past climates in the Drake Passage region is weak both in terms of proxy data and climate modelling. By documenting the simulation of pre-industrial, LGM and millennial scale climate changes utilising a state-of-the art ESM (The Community Earth System Model - COSMOS), this thesis aims to advance our understanding of the I-AOG and the Drake Passage on those timescales.

In order to do achieve these goals, the thesis will show how it was necessary to generate a new adapted ocean grid configuration (MPIOM-AFRICA), with the intention of simulating a reasonable I-AOG transport for the modern ocean, and thereby laying good foundation for the simulation of past changes at the I-AOG. It becomes apparent that the adapted model configuration also performs well in terms of simulating an improved cold water route gateway (Drake Passage) transport, despite coarse model resolution in that region. This provides the additional advantage of investigating the roles of both the warm and cold water route gateways during past glacial-interglacial and millennial scale climate changes. Particular emphasis is placed on the question of the potential active-passive roles of the I-AOG transport (Agulhas leakage) and Drake Passage throughflow

in relation to past climate changes. Might the Agulhas leakage and Drake Passage transports have been important active players in climate changes of the past? Or did these oceanic regions simply respond passively through remote Earth system teleconnections, from the polar regions for example? By modelling glacial-interglacial and millennial scale changes at the I-AOG and Drake Passage, and comparing results with proxy data, this thesis provides a new understanding of whether these ocean gateway transports may have played active or passive roles in the changing climates of the past.

The specific scientific objectives of this work can be summarised with three key questions:

**1. Can an adapted fully-coupled ESM be used to simulate an improved transfer of Indian Ocean water into the Atlantic Basin?**

A fundamental problem currently underlining state-of-the-art ESMs is their failure to reproduce a realistic rate of water transport through the I-AOG via Agulhas leakage (Beal et al., 2011; Weijer et al. 2012; Völker and Köhler, 2013; Weijer and van Sebille, 2014). Not only does the current set of ESMs fail to simulate the turbulent eddy scale structures that dominate the region, they also overestimate the I-AOG transport threefold. Additionally, ocean-only models, which successfully simulate the eddy-scale dynamics of the region do not include the ocean-atmosphere processes that have a large impact on the evolution of the climate system (Bjastoch et al., 2008; Bjastoch et al., 2009; Durgadoo et al., 2013; Rühls et al., 2013). These feedbacks are essential for the integration of climate models which simulate the climates of the past, without the requirement of forcing from contemporary wind data. These issues have been pointed out by a number of studies which illustrate the requirement for improved simulation of the I-AOG in fully-coupled climate models (Caley et al., 2011, Beal et al., 2011), and represent a key bottleneck with regards to consolidating the claims made by studies suggesting the potential importance of Agulhas leakage on glacial-interglacial (Peeters et al., 2004; Caley et al., 2012) and millennial timescales (Marino et al., 2013).

In order to deliver on this shortcoming inherent to contemporary state-of-the-art ESMs, **Chapter 3** describes the development and behaviour of an adapted ESM, where the ocean model's south pole has been located over South Africa, with the intention of improving the regional dynamics and ultimately the rate of water and salt transport between the Indian and Atlantic oceans through the I-AOG, in what is termed Agulhas leakage. The control configuration of the ESM (with the south pole positioned over Antarctica) is documented in **Chapter 2** (Models, materials and methodology), which also includes a description of the adapted ocean model configuration and setup (MPIOM-AFRICA). **Chapter 3** also includes a description of the performance of the new model configuration with regards to the simulation of Drake Passage transport, and shows that the adapted ESM setup can be used to simulate improved I-AOG and Drake Passage climatological mean transport rates.

## **2. Did the ocean transport through the warm and cold water route gateways change on glacial-interglacial timescales, potentially playing active roles in global climate change?**

Agulhas leakage, via its passage through the I-AOG warm water route gateway, is suggested to have behaved as an important active climate system component with respect to glacial-interglacial transitions of the Late Pleistocene (Peeters et al., 2004; Caley et al., 2012). However, recent studies have questioned the validity of the use of the important planktic foraminifer *Globorotalia menardii* as a proxy for Agulhas leakage (Sexton and Norris, 2011; Broecker and Pena, 2014). On the other hand, except for McCave et al. (2013), who showed little difference between LGM and Holocene rates of Drake Passage throughflow, little is known about the glacial-interglacial behaviour of the cold water route gateway. Due to the lack of a sophisticated ESM capable of simulating the I-AOG (Beal et al., 2011; Weijer et al. 2012; Völker and Köhler, 2013; Weijer and van Sebille, 2014), and the tendency for climate models to simulate a wide range of Drake Passage transports (30 – 330 Sv) for the modern ocean (Russell et al., 2006), these palaeo-hypotheses have yet to be tested by climate modelling, and remain open for investigation. Furthermore, since both the warm and cold water routes supply the return branch of the AMOC, a hypothesis involving the



combined and relative roles of the I-AOG and Drake Passage ocean gateways on glacial-interglacial timescales has not yet been proposed.

The above question is addressed in **Chapter 4** where the adapted ESM configuration presented in **Chapters 2 and 3** is used to calculate the climatological mean transport rates through the I-AOG and Drake Passage during modelled pre-industrial and LGM climate states. The validity of the model results is addressed by performing a comparison with both kinematic and SST proxy data at both locations. Based on these model-data comparisons a new hypothesis for the palaeo Agulhas leakage is developed, with potential implications for scientific leaders and policy makers alike.

**3. Could either or both of the transports through the warm and cold water route ocean gateways have actively contributed to the resumption of the AMOC to interstadial conditions during millennial scale climate change events of the Late Pleistocene?**

Recent evidence suggests that the Agulhas leakage of warm and saline Indian Ocean waters may have actively contributed or even controlled the resumption of the AMOC to interstadial conditions during millennial scale climate change of the Late Pleistocene (Marino et al., 2013). As of yet no millennial scale oceanographic data at the Drake Passage exists to form a hypothesis over the role of the cold water route gateway over these millennial timescales. Since both the warm and cold ocean routes supply the upper branch of the Atlantic circulation, of particular interest to this study is the combined and relative changes at these ocean gateways. As is the case for glacial-interglacial climate change, these concepts have not yet been investigated by state-of-the-art fully-coupled Earth system modelling.

In answering this question, **Chapter 5** addresses the issue of the potential roles of changing warm and cold water route gateway transports on the resumption of the AMOC during millennial climate change by using the adapted ESM presented in **Chapter 3**. North Atlantic freshwater perturbations, regularly used to mimic DO events associated with Heinrich stadials (Ganopolski and Rahmstorf, 2001; Knorr and

Lohmann, 2007), are performed on both pre-industrial and LGM climate states. It is considered necessary to conduct the transient freshwater experiments on both climate states so to assess whether the background climate configuration can modulate the system response at the I-AOG and Drake Passage. The transient response at the ocean gateways during the processes of freshwater introduction and removal is investigated and the results are compared with both existing and new proxy data, with some surprising conclusions.

A summary of the main results and conclusions of this thesis is provided in **Chapter 6**, alongside a new hypothesis for the palaeo Agulhas leakage. Additionally in that chapter some persisting problems in our understanding of the warm and cold water routes and the roles which they may have played during past climate changes are discussed in a section dedicated to future work.

## **2. Models, materials, and methodology**

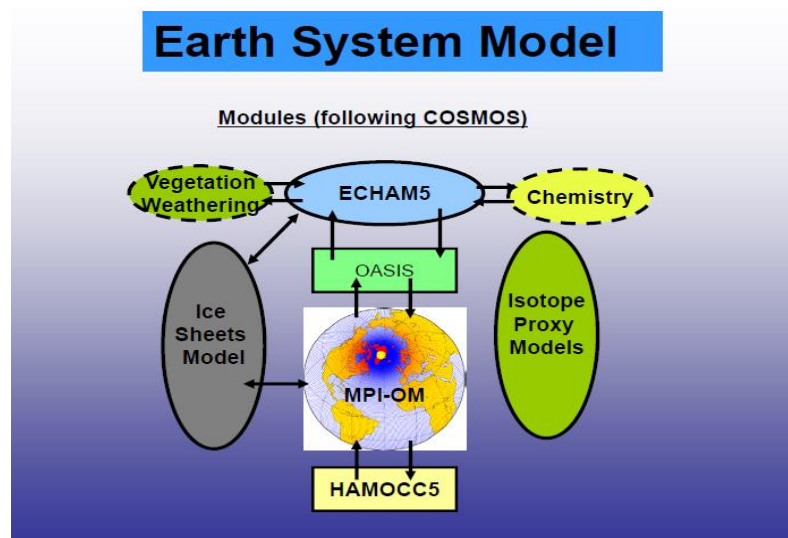
The aim of this chapter is to describe the climate models, sediment core proxy data, and methodology utilised throughout the PhD project. The Community Earth System Model (COSMOS), and its ocean (MPIOM), atmosphere (ECHAM5) and land surface (JSBACH) components are described in detail. An adapted ocean model configuration (MPIOM-AFRICA), used throughout the PhD project, is also presented. The regional and sedimentary setting of a marine sediment core used to acquire new palaeo proxy data at the entrance to the Strait of Magellan, at the Drake Passage, is also described. Additionally, the chapter provides a general overview of the computer facilities, software and techniques used throughout the project.

### **2.1 Models**

#### **2.1.1 The Community Earth System Model (COSMOS)**

The research conducted and documented in this thesis was largely carried out using the Community Earth System Model, abbreviated by the acronym COSMOS (Jungclaus et al., 2006a, Stepanek and Lohmann, 2012). COSMOS was chiefly developed by the Max Planck Institute for Meteorology (MPI) in Hamburg, Germany. This Earth System Model (ESM) includes the MPIOM ocean model, the ECHAM5 atmospheric model, and the JSBACH land surface and vegetation model (Figure 2.1). The atmosphere and vegetation components are coupled to the ocean model via the OASIS3 model coupler, which passes information (an exchange of fluxes of energy, momentum and mass) between the atmosphere and the ocean, once per model day. Details of the coupling are provided by Jungclaus et al. (2006a). The coupled control model configuration (MPIOM-ECHAM5-JSBACH) is well established, having previously been utilised to simulate pre-industrial (Wei et al., 2012), Holocene (Wei and Lohmann, 2012), LGM (Zhang et al., 2013) and deep-time Palaeo-climate scenarios (Stepanek and Lohmann, 2012; Knorr et al., 2011).

Two versions of COSMOS were used to conduct the research presented in this thesis: (i) the well-established pre-existing version which uses the control configuration of the ocean component (hereafter MPIOM-CTRL), and (ii) a new version which utilises an adapted configuration of the MPIOM ocean component (hereafter MPIOM-AFRICA - see below). MPIOM-AFRICA differs from MPIOM-CTRL in that the model's south pole is located over South Africa, aiming to improve the water transport rate through the Indian-Atlantic Ocean Gateway (I-AOG) (see Chapter 3 for results).



**Figure 2.1** Schematic of COSMOS demonstrating the model's fully-coupled capabilities. The MPIOM (ocean), ECHAM5 (atmosphere), JSBACH (vegetation-weathering above) model components were used in conjunction with the OASIS coupler in order to conduct the research which is documented in this thesis. Two versions of the MPIOM ocean model were used: MPIOM-CTRL and MPIOM-AFRICA. Ice-sheets were prescribed rather than dynamic.

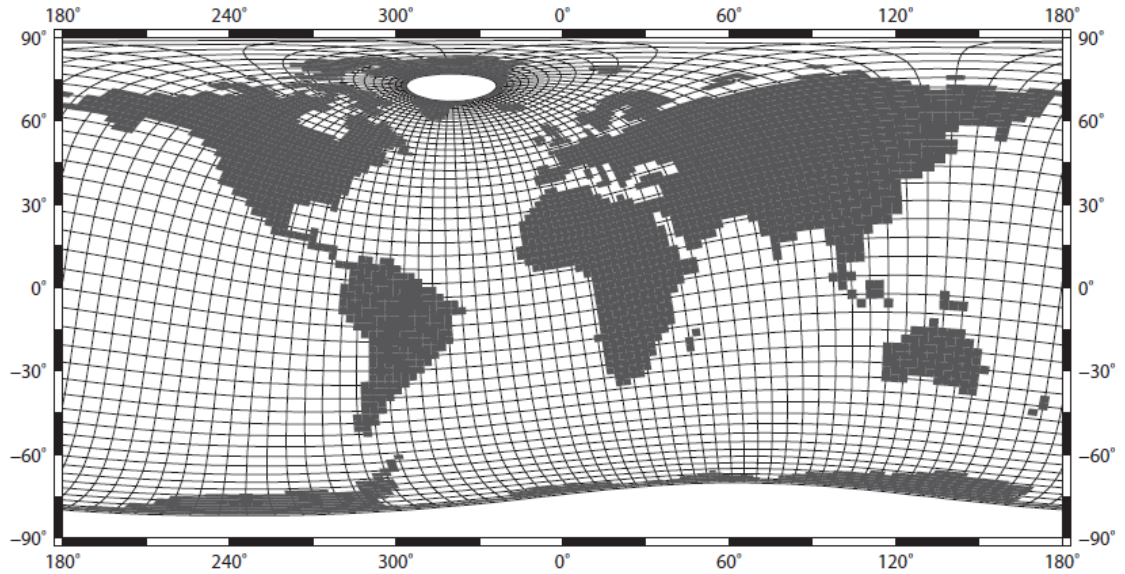
### 2.1.2 The Max Plank Institute Ocean Model (MPIOM)

The MPIOM is a hydrostatic, free surface, Boussinesq, primitive equation ocean and sea ice model (Marsland et al., 2003; Jungclauss et al., 2006a; Stepanek and Lohmann, 2012). The control version of MPIOM (MPIOM-CTRL) is structured on a bipolar, orthogonal, curvilinear grid with poles located over Greenland and Antarctica (Figure 2.2). The advantage of this configuration is to position the locations of maximum model resolution at the deep water formation sites located in the North Atlantic and around Antarctica. Locations of higher model resolution typically provide a better simulation of

the physical processes which describe ocean dynamics. The model dynamics are solved on an Arakawa C-grid (Arakawa and Lamb, 1977).

MPIOM has a horizontal resolution of  $3.0^{\circ} \times 1.8^{\circ}$ , with the vertical dimension split into forty unevenly spaced z-coordinate model levels. The purpose of the unevenly spaced layers is to ensure that the upper levels are better resolved than lower abyssal levels. Ocean bathymetry is resolved on partial grid cells (Marsland et al., 2003) and defined by a global dataset of ocean depth. Flow near the bottom boundary is parameterised by a bottom boundary layer scheme in a similar way as that described by Beckmann and Döscher (1997), Lohmann (1998), and Legutke and Maier-Reimer (2002).

Eddy-induced mixing is parameterized in accordance with Gent et al. (1995). Additionally, an isopycnal diffusion scheme for sub-grid scale mixing is utilised (Marsland et al., 2003). Ocean convective overturning is implemented by increasing the rate of vertical diffusion (Jungclaus et al., 2006a). MPIOM also includes a dynamic-thermodynamic sea ice model, based on the work by Hibler (1979), which simulates the distribution and thickness of sea ice, responding dynamically to ocean temperature feedbacks. Ocean and atmosphere are coupled via the Ocean-Atmosphere-Sea Ice-Soil OASIS3 coupler (Valcke et al., 2003). Once per model day, OASIS3 performs an exchange of fluxes of energy, momentum and mass between the atmosphere and ocean model Jungclaus et al. (2006a). The model is run at a time step of 8640 s.



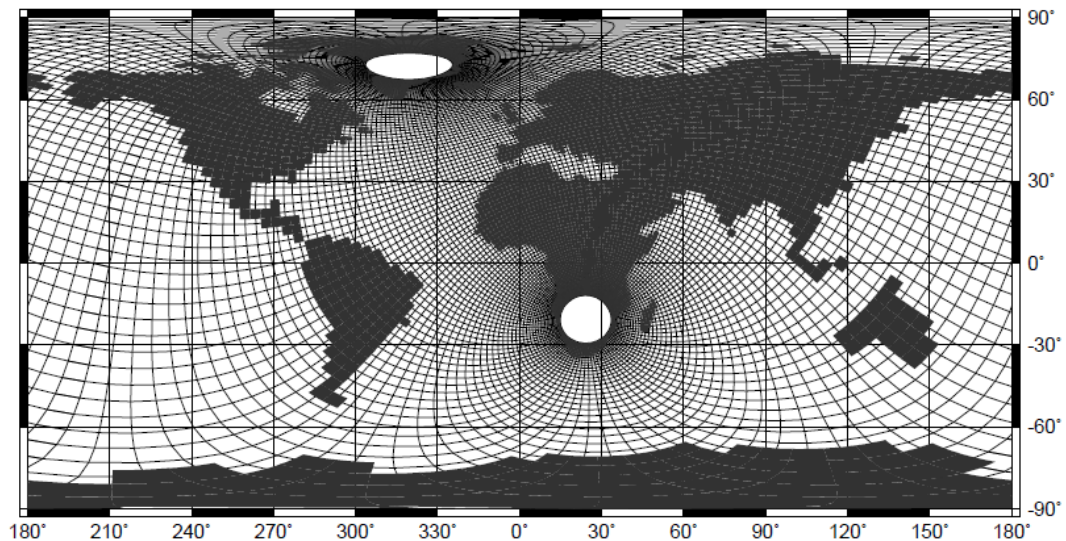
**Figure 2.2** Land-sea distribution of the ocean model grid for MPIOM-CTRL pre-industrial configuration. There are two grid poles (white areas) that are located over Greenland and Antarctica. The nominal grid resolution of  $3.0^\circ \times 1.8^\circ$  of the  $122 \times 101$  grid varies - high in polar regions and highest around Greenland.

### 2.1.3 The MPIOM-AFRICA configuration

The adapted ocean model configuration which is documented throughout this thesis is called MPIOM-AFRICA. The model is run with pre-industrial and Last Glacial Maximum (LGM) boundary conditions. MPIOM-AFRICA is characterised by the same criteria as MPIOM-CTRL, with the exception of one key difference: MPIOM-AFRICA's poles are positioned over Greenland and South Africa, rather than Greenland and Antarctica (Figure 2.3). The model is adapted in this way so to increase resolution in the Agulhas region and the South Atlantic. Grid cell size in this region is reduced from  $\sim 200\text{km}$  in the standard MPIOM-CTRL grid configuration to  $\sim 40\text{ km}$  in the MPIOM-AFRICA grid setup.

The intention of the MPIOM-AFRICA grid configuration is to improve the simulated transport rate of water from the Indian to Atlantic oceans (through the I-AOG). The reasoning for this ocean model adaptation is based on the recent realisation that the current generation of global climate models does not sufficiently resolve the dynamics of the Agulhas region. These ESMs usually simulate an overly viscous Agulhas Current

and viscous boundary layer transport of Agulhas leakage into the Atlantic Ocean (Dijkstra and de Ruijter, 2001), which can be up to three times overestimated (Weijer et al. 2011; Völker and Köhler, 2013). Resolution in the region of the Drake Passage using the MPIOM-AFRICA configuration corresponds to a grid cell size of  $\sim 250$  km. MPIOM-AFRICA is utilised within the COSMOS framework, becoming a component of the fully-coupled ocean-atmosphere-land surface ESM. It should be noted that the atmospheric ECHAM5 and land-surface JSBACH components associated with coupling to MPIOM are identical in the model setups MPIOM-CTRL and MPIOM-AFRICA. A detailed discussion of the performance of MPIOM-AFRICA is included in Chapter 3.



**Figure 2.3.** Initial land-sea distribution for the ocean model grid for the MPIOM-AFRICA pre-industrial configuration. There are two grid poles (white areas) which are located over Greenland and Antarctica. The nominal grid resolution of  $3.0^\circ \times 1.8^\circ$  of the  $122 \times 101$  grid varies with highest model resolution around Greenland and the Agulhas system south of Africa. Based on this initial grid generation continents were modified to incorporate important features; the Red Sea and the Antarctic Peninsula for example.

#### 2.1.4 The ECHAM5 Atmospheric model

The ECHAM5 atmospheric model has been adapted as a climate model component from the weather forecasting model of the European Centre for Medium-Range Weather Forecasts (ECMWF), and is described in detail by Roeckner et al. (2003). The model is based on a spectral dynamical core and simulates the troposphere and the lower

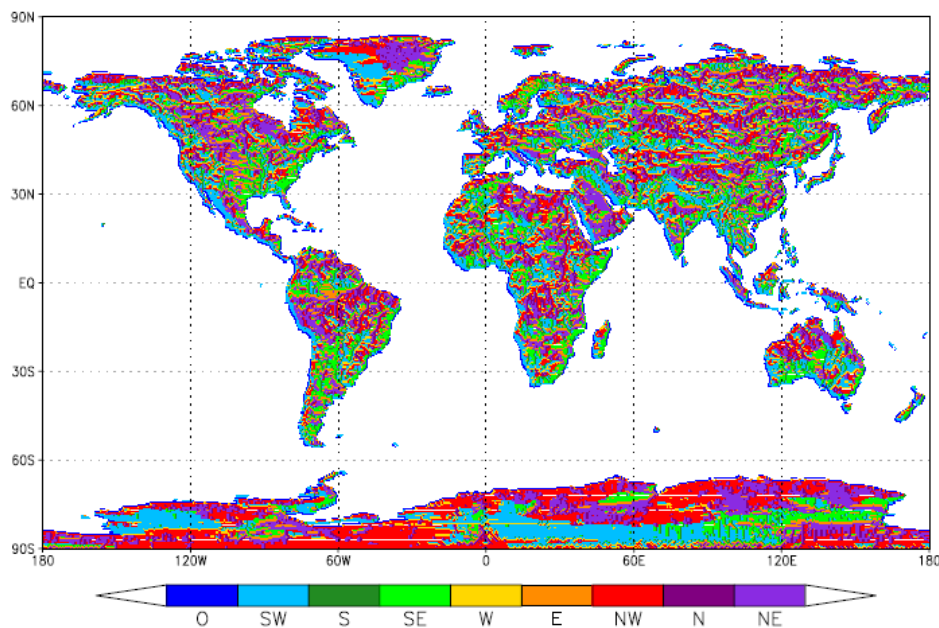
stratosphere up to a pressure level of  $10^2$  Pa. The results in this thesis are based on simulations using ECHAM5 in T31/L19 resolution, meaning there are 19 levels, and triangular truncation of the series of spherical harmonics operates at wave number 31. The vertical dimension is organised on a hybrid sigma-pressure-level system. The horizontal resolution is  $\sim 3.75^\circ \times 3.75^\circ$  and the time step of the atmosphere simulation is 2400 s.

ECHAM5 uses a semi-implicit time scheme for solving the equations of surface pressure, temperature, and divergence. A semi-Lagrangian scheme (Lin and Rood, 1996) is used for passive tracer transport. The model diagnoses Stratiform clouds using schemes for statistical cloud cover and microphysics. A mass flux scheme is utilised to simulate cumulus convection. The prognostic equations for water in the fluid, gas and solid phases are also considered (Roeckner et al., 2003). The lower boundary condition for the atmosphere is defined by the orography and surface geopotential. Subgrid-scale orographic effects are included using a parameterisation scheme, described by Lott and Miller (1997) and Lott (1999). The scheme relies on the orographic elevation, slope, anisotropy and orientation, as well as the height of orographic peaks and valleys (Roeckner et al., 2003).

Energy input into the Earth system via insolation at the top of the atmosphere is calculated taking into account the prescribed orbital parameters of obliquity, eccentricity, length of the perihelion (equivalent to the precession parameter – or precession of the equinoxes), and the solar constant (e.g. Berger, 1978). The solar constant in ECHAM5 is set to the pre-industrial value of  $1367 \text{ W m}^{-2}$ . Calculation of radiative transfer toward the Earth's surface is performed using the vertical profiles of liquid and solid forms of water. The atmospheric model includes variables for cloud water, ice and water vapour, as well as cloud cover (Roeckner et al., 2003). Adjustable atmospheric (trace) gases include carbon dioxide, methane, ozone, nitrous dioxide, and chlorofluorocarbons. Aerosols are prescribed according to the climatology described by Tanré et al. (1984). In order to calculate the energy budget at the surface, the albedo of each grid cell is calculated from a combination of prescribed present-day soil, snow and vegetation albedos.



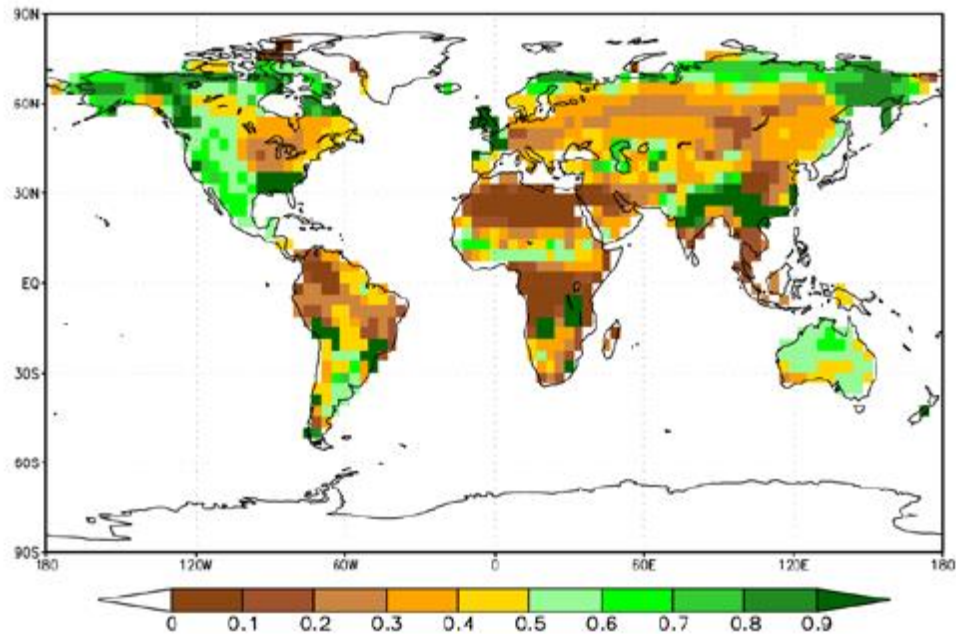
A high-resolution ( $0.5^\circ \times 0.5^\circ$ ) hydrological discharge model, documented by Hagemann and Dümenil (1997) and Hagemann and Gates (2003), is also included in the ECHAM5 model component. This model simulates the movement and retention of water flow over land. Flow is separated into overland flow, base flow, and river flow. The sum over these quantities constitutes the continental river runoff at each grid cell, thereby closing the hydrological cycle (Hagemann and Dümenil, 1998b). The hydrological discharge model ensures that water flowing into water-sinks over land is redistributed to the ocean. The continental ice sheets in the COSMOS configuration discussed throughout this thesis are not dynamic, rather prescribed using ETOPO5 5-minute gridded elevation data. Precipitation over glacier cells is therefore transferred to adjacent ocean points rather than being accumulated as ice volume. Data exchange and feedback between the coarse atmosphere grid and the high resolution hydrological discharge model is performed via an interpolation scheme. In stand-alone mode, the ECHAM5 atmospheric model is forced by climatological monthly means of sea surface temperature (SST) and sea ice concentration. For the experiments documented in this thesis ECHAM5 is run in coupled mode, as a component of COSMOS.



**Figure 2.4** River directions in the hydrological model of ECHAM5 ( $0.5^\circ \times 0.5^\circ$ ) for the pre-industrial MPIOM-CTRL simulation, from Stepanek and Lohmann, (2012). The colours indicate the flow direction at each grid point, ocean is indicated by white. In addition to the four main and diagonal directions, dark blue (O) marks grid cells where the water flow is directly into the ocean (coastal grid points).

### **2.1.5 The JSBACH land surface and vegetation model**

The JSBACH land surface and vegetation model is an extension of the ECHAM5 model. A complete description of JSBACH is provided by Raddatz et al. (2007). JSBACH includes thirteen different plant functional types (PFTs), of which eight have been in used for the model simulations described in this thesis. These PFTs include different types of deciduous and evergreen trees, shrubs and grasses (Figure 2.5). A distinct advantage of the dynamic JSBACH model is that it is capable of simulating dynamic changes in the vegetation distribution, responding to changing climate conditions (Brovkin et al., 2009). JSBACH runs at the same horizontal resolution as ECHAM5 and utilises identical boundary conditions, including a fixed soil-type distribution and water storage capacity. The coupling between land surface and atmosphere is performed using an implicit scheme described by Schulz et al. (2001). Albedo values in the infrared and visible parts of the spectrum are defined separately for soil and vegetation, which allows JSBACH to adjust the surface albedo in cases where changes in the vegetation cover are prescribed. The state of the land surface is initialised with global distributions of leaf area index, snow cover, and soil wetness, as well as a surface temperature climatology which is also utilised by ECHAM5.



**Figure 2.5** Grass fraction vegetation forcing for the pre-industrial control simulation, from Stepanek and Lohmann, (2012). The grass fraction is calculated for each grid cell by summing over the contributing PFTs in the JSBACH vegetation forcing. The grass fraction includes shrubs, tundra, C3, and C4 grasses, i.e. JSBACH PFTs 5, 6, 7 and 8.

### 2.1.6 NEC SX8-R supercomputing facilities

The instance of COSMOS utilised for the work presented in this thesis was developed by the Palaeoclimate Dynamics working group at the Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research (AWI), in Bremerhaven, Germany (e.g. Stepanek and Lohmann, 2012). COSMOS is run on the NEC SX8-R supercomputer which is dedicated to climate and ocean research at the AWI. The NEC SX8-R began operation in 2007 and consists of 14 compute nodes each employing 8 SX-8R processors. The supercomputer contains 112 vector cores and peak-performance is estimated at 3.94 Teraflops. The batch system NQSII used on this machine is supported by a graphical monitoring LLview support application. LLview is a client-server based application which allows the monitoring and utilisation of clusters controlled by batch systems. Due to the open-source nature of LLview and its modular

architecture, adding support for a new batch system is simplified and also welcomed by the community.

COSMOS is run on the NEC SX8-R via the submission of tasks, specifically by the execution of project run files. Output files for MPIOM, ECHAM5, and JSBACH, are generated and post-processed after each model year. A typical fully-coupled model year takes approximately 30 minutes to simulate and post-process the data. This implies that an equilibrium simulation of ~4000 years, such as the pre-industrial and LGM climate states presented in this thesis, takes a theoretical minimum of ~80 real world days.

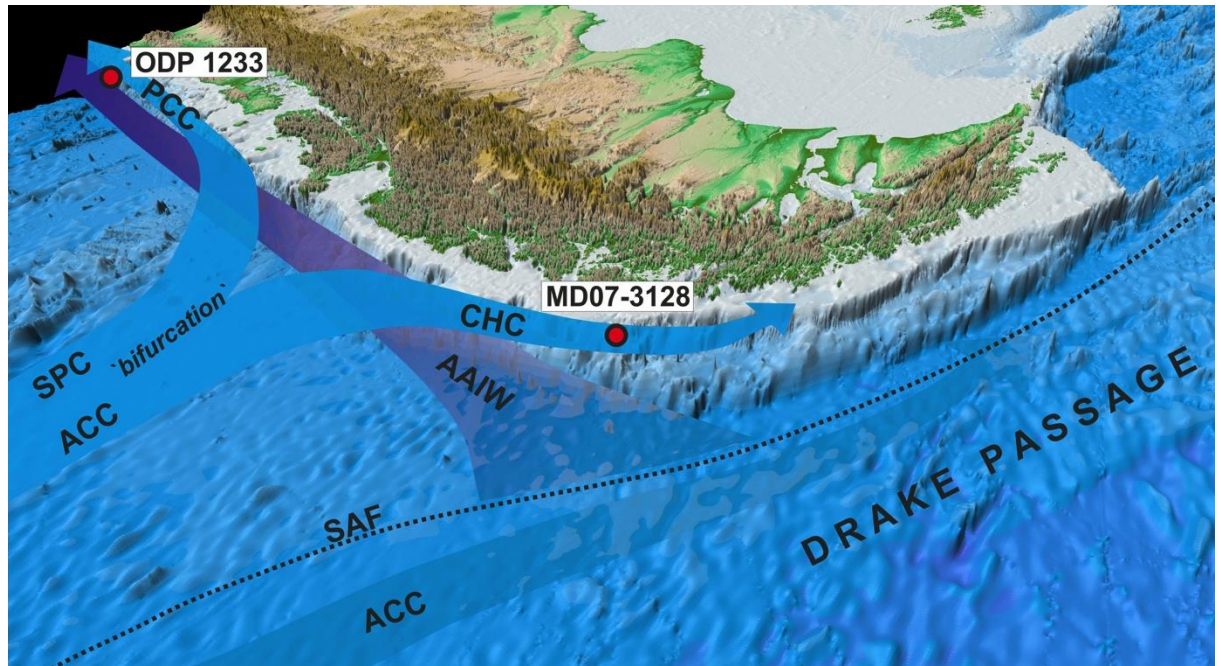
## **2.2 Materials**

A number of model-data comparisons are conducted throughout this thesis. Proxy data is either referenced or extracted from pre-existing literature (e.g. the model-data SST comparisons conducted in Chapter 4). In those cases the text is suitably referenced for clarity. In addition to the pre-existing data and literature, the thesis also documents new proxy data from a core site at the entrance to the Drake Passage.

### **2.2.1 Proxy data in the region of the Drake Passage**

Sediment core MD07-3128 has been recovered from the mid-depth continental slope off the Pacific entrance to the Strait of Magellan, below the Cape Horn Current (CHC) (52°40'S, 75°34'W; 1,032 m water depth) (Figure 2.6) (Lamy et al., in preparation). The CHC originates in the ACC through Subantarctic surface water, which flows northeastward across the Southeast Pacific after crossing the East Pacific Rise towards the Chilean margin at ~40°S (Chaigneau and Pizarro, 2005) (Figure 2.6). At this flow bifurcation point, a minor fraction joins with the South Pacific Current (SPC) as the southern limb of the South Pacific Gyre (Chaigneau and Pizarro, 2005) and moves northward into the Humboldt Current system. The largest component flow is directed south into the CHC and through the Drake Passage (Strub et al., 1998). The CHC and the underlying water masses provide a major fraction of the present day Drake Passage

transport reaching locally more than 50% of the total  $134 \pm 11$  Sv (Cunningham et al., 2003) throughflow in its northern sector (Well et al., 2003).



**Figure 2.6** Schematic view of the Pacific entrance of the northern Drake Passage including the location of Chilean margin sites MD07-3128 and ODP 1233, from Lamy et al., (in preparation). ACC=Antarctic Circumpolar Current; SPC=South Pacific Current; PCC=Peru Chile Current (Humboldt Current); CHC=Cape Horn Current; AAIW=Antarctic Intermediate Water.

The proxy data presented in this thesis is derived from the ~30 m long MD07-3128 sediment core. The core is well-dated and spans the past ~65 kyr BP (Lamy et al., in preparation). High sedimentation rates during most of the glacial section provide the unique opportunity to study climate and ocean changes at millennial-scale timescales in the Drake Passage region. The data is also useful for comparing glacial and Holocene oceanographic changes. Previous work on this core revealed substantial SST fluctuations that largely follow the timing of temperature fluctuations from Antarctic ice-cores (Caniupan et al, 2011). In order to reconstruct variations in the strength of the CHC, changes in grain-size properties and major element concentrations were used. The most direct current strength proxies are fine sand contents and the mean sortable silt grain-size ( $SS_{mean}$ ) of the terrigenous fraction (both measured on the opal, organic carbon, and carbonate-free sediment).  $SS_{mean}$  is a commonly used proxy for bottom-

current flow speed in deep sea sediments (McCave and Hall, 2006). Results from this sediment core are presented in chapters 4 and 5 of this thesis.

## **2.3 Methodology**

### **2.3.1 Pre-industrial boundary conditions**

Two fully coupled pre-industrial COSMOS configurations (MPIOM-CTRL and MPIOM-AFRICA) are described in this thesis. The ocean bathymetry and land-sea mask have been generated from the Earth Topography Five Minute Grid (ETOPO5, National Geophysical Data Center 1988; see Jungclaus et al., 2006a). Uniform and constant atmospheric gas volume mixing ratios of 280 ppm carbon dioxide ( $\text{CO}_2$ ), 270 ppb nitrous oxide ( $\text{N}_2\text{O}$ ), and 760 ppb methane ( $\text{CH}_4$ ) are applied. Chlorofluorocarbons are not included. Height-latitude profiles of ozone are prescribed according to a climatology based on ozone observations (Paul et al., 1998). The Earth's orbit is prescribed by constant values of obliquity ( $23.446^\circ$ ), eccentricity (0.016724), and length of the perihelion ( $282.04^\circ$ ). The vegetation in the pre-industrial control simulation evolves freely as simulated by the dynamic vegetation JSBACH module.

Initial climatological snow cover in ECHAM5 is taken from an earlier atmosphere simulation. Soil data flags are prescribed using a data set described by Henderson-Sellers et al. (1986). A data set of orography-related parameters, used in a parameterisation scheme for the influence of subgrid-scale orographic effects on the surface roughness length, is described by Roeckner et al. (2003). The included parameters, standard deviation of orography, its orientation and slope, have been derived from topographic gradients relationships (Baines and Palmer, 1990), applied to a highly-resolved present day orography. Other boundary conditions are taken from a land surface parameters acquired from a global distribution of major ecosystems (Hagemann et al., 1999; Hagemann, 2002). This data set includes global distributions of land ice, vegetation ratio, forest fraction, leaf area index, soil albedo, field capacity of soil and land-sea-distribution. After  $\sim 4000$  years of time integration of both model

configurations, the last 100 years are taken as pre-industrial climatological mean for analysis.

### **2.3.2 LGM boundary conditions**

LGM boundary conditions are adopted from the PMIP3 (Palaeo Modelling Intercomparison Project 3) protocol (available at <http://pmip3.lsce.ipsl.fr/>). As for the pre-industrial case both COSMOS configurations (MPIOM-CTRL and MPIOM-AFRICA) are used. A sea level reduction of 116 m with respect to the pre-industrial levels and the associated LGM ice sheets (Laurentide, Fennoscandian and Antarctic) are applied. Greenhouse gas concentrations were set to conditions at the LGM ( $\text{CO}_2 = 185$  ppm;  $\text{N}_2\text{O} = 200$  ppb;  $\text{CH}_4 = 350$  ppb). Due to the presence of large continental ice sheets, which reduces the volume of water in the ocean (and therefore sea-level), a salinity value of 1 psu is added uniformly to the global ocean. LGM river run-off routes in the hydrological discharge model are adapted to the palaeo-orography. Due to the sea level drop of 116 m, the Bering Strait is closed. After  $\sim 4000$  years of time integration of both model configurations, the last 100 years are taken as LGM climatological mean for analysis. The LGM simulation employing MPIOM-CTRL is documented by Zhang et al. (2013).

### **2.3.3 Freshwater perturbation**

Chapter 5 documents the use of freshwater perturbation experiments for the simulation of weak AMOC states assumed to be associated with Heinrich events of the Late Pleistocene (Ganopolski and Rahmstorf, 2001; Knorr and Lohmann, 2007). In order to conduct these experiments, the MPIOM-AFRICA source code (written in Fortran) was adjusted to introduce an artificial freshwater anomaly. Freshwater perturbations of magnitude 0.2 Sv were applied across the North Atlantic Ice Rafted Detritus (IRD) belt around  $40^\circ\text{N}$ - $55^\circ\text{N}$ ,  $45^\circ\text{W}$ - $20^\circ\text{W}$  (Hemming, 2004; Gong et al., 2013). The value of 0.2 Sv was selected in order to be consistent with Gong et al., (2013) who also utilised COSMOS (MPIOM-CTRL). It should be noted that a range of other studies (e.g. Rahmstorf, 1996) have assessed the ocean response to variable rates of freshwater

perturbation, but this was deemed to be beyond the scope of this project.

### 2.3.4 Eulerian calculation of ocean water and salt transports

In order to estimate the water transport through both the I-AOG and the Drake Passage, an Eulerian method with the following relationship was employed (adapted from Lohmann (2003)):

$$T_G = \int_{-h}^0 \bar{v} dz$$

, where  $T_G$  is the gateway transport,  $\bar{v}$  denotes meridionally averaged velocity and  $z$ , depth. For the cold water route gateway a Drake Passage section at 77°W between 54°S and 64°S, encompassing the entire gateway between Chile and the Antarctic Peninsula, was specified. The integration included the full water column across the section i.e. from  $-h$  to 0. For the warm water route gateway the I-AOG was specified as the westward zonal velocities above a water depth of 1000 m at 19°E between 34°S and 38°S (directly south of Africa). This criterion ensured that no eastward flowing waters either beneath the Agulhas leakage (partly comprising the Agulhas Undercurrent), or in the surface/near-surface, that might be driven by the Southern Hemisphere westerlies, were included in the estimates. Additionally, since the MPIOM model is non-eddy resolving (even utilising the AFRICA configuration), the problem of eddy recirculation back across the measurement section was not incurred. The Agulhas retroflection in this model configuration is simulated as a direct transport (about 30°E at the surface) into the viscous flow regime of the ACC (Figure A.2 in the Appendix).

Salt transports through the I-AOG and the Drake Passage sections were estimated by multiplying the volume transport by the average salinity across each respective section. Utilising the approximation that 1 psu is equal to 1  $\text{gl}^{-1}$ , and that 1  $\text{m}^3$  contains 1000 l of water, the salt transport is estimated in kg/s.



### **2.3.5 Rotation of velocity vectors**

A problem inherent to the MPIOM ocean model, which is a consequence of the utilised curvilinear grid, is that the direction of velocity vectors in close proximity to the poles is falsely rotated by up to 90°. The rate of artificial vector re-direction falls off with distance from the poles. This implies that any calculation of ocean velocity, or volume transport (see section 2.3.4), based on the unadjusted ocean velocities will be incorrect. In order to solve the problem, a Matlab script was written which performs a geometric adjustment, rotating the modelled velocities and thus allowing accurate calculation of ocean gateway transports.

### **2.3.6 Software**

A large range of computer software was used throughout this PhD project. Data analysis was performed using ncview, for viewing netCDF file formats, and the Climate Data Operators (CDO) command line suite for manipulating and analysing climate data. Plotting and figure generation was conducted with Matlab, Grads, Panoply, and Python. Fortran was also required in order to reconfigure and recompile the ocean model, for example to include a freshwater perturbation in the North Atlantic - Chapter 5. A combination of Linux, Mac, and Windows operating systems were utilised.

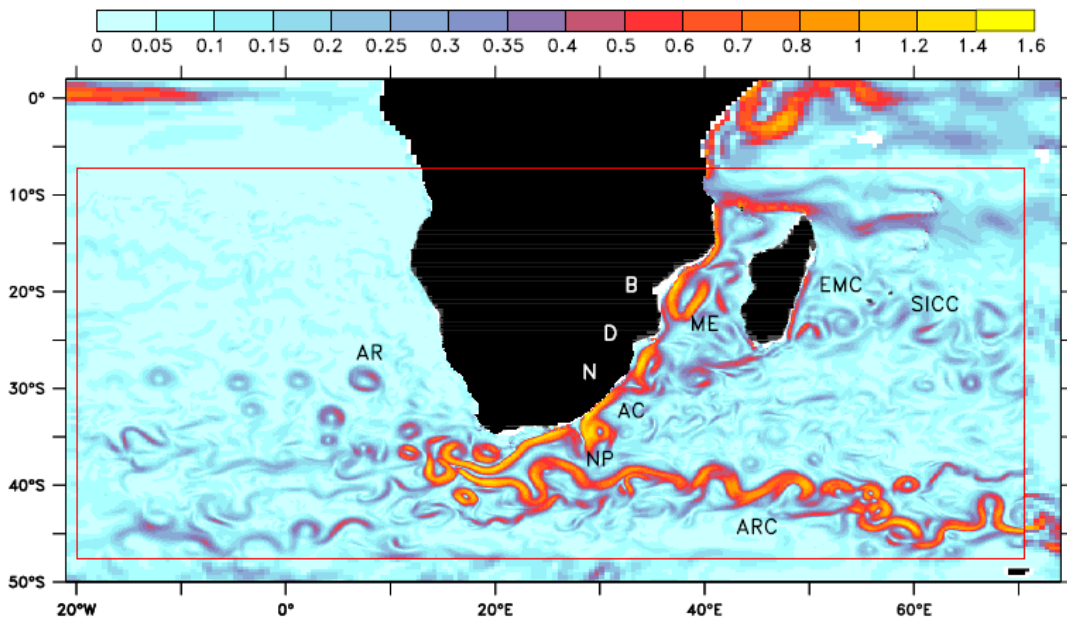
## 3. An adapted Earth System Model for the improved simulation of the I-AOG

### **3.1. Introduction**

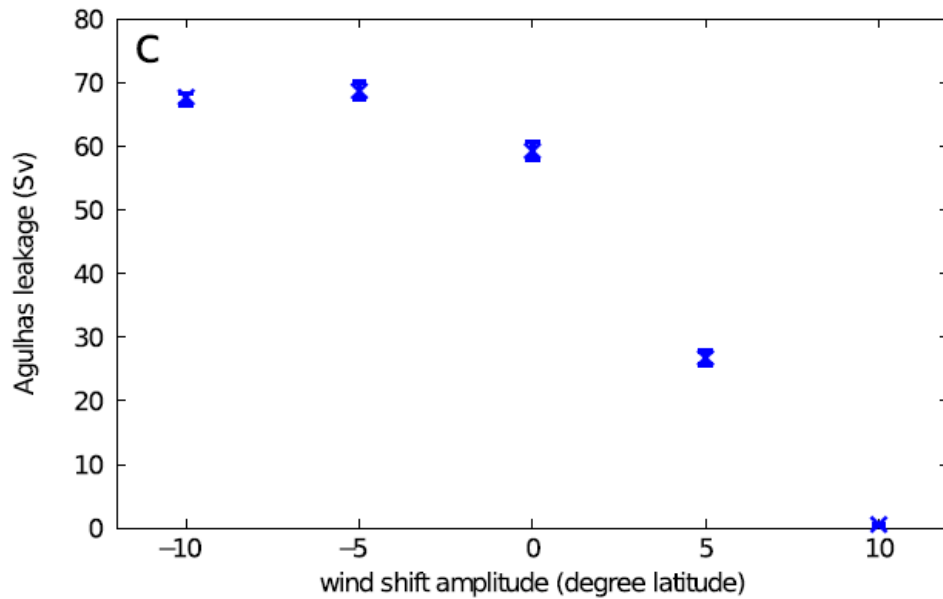
The Indian-Atlantic Ocean Gateway (I-AOG) and Drake Passage are considered key gateways along the ocean's warm and cold water return routes. However, the simulation of realistic transport rates through these gateways, particularly the I-AOG, using climate modelling has remained a challenge (Russell et al., 2006; Weijer and van Sebille, 2014). Eddy-resolving ocean models which simulate more realistic ocean gateway transports lack the dynamic atmospheric and land-surface feedbacks which are provided for by fully-coupled Earth system modelling (Bjastoch et al., 2009; Bjastoch and Böning, 2013; Durgadoo et al., 2013; Rühls et al., 2013). Additionally, eddy-resolving ocean models also suffer from an inherent high computational cost, meaning they do not have the capability to perform the long timescale simulations required for palaeoclimate modelling (usually a minimum of 4000 model years).

The I-AOG off the southern tip of Africa is regarded as an important location in the global ocean system, where relatively warm and saline Indian Ocean waters are transported into the Atlantic Basin via Agulhas leakage (Gordon, 1986; De Ruijter et al., 1999; Beal et al., 2011). The leakage itself is dominated by non-linear dynamics which result in a flow of rings, eddies and filaments into the Atlantic Ocean (Figure 3.1). Since high-resolution models are required in order to resolve relatively small-scale ocean features, the entire Agulhas system has remained difficult to simulate using the typically coarse resolution ocean models used for palaeoclimate simulations (Knorr and Lohmann, 2003). State-of-the-art ESMs tend to simulate an overly viscous Agulhas Current, restricting the formation of the Agulhas retroflexion and the ring shedding process, resulting in a viscous boundary layer transport of Agulhas leakage into the Atlantic Ocean (Dijkstra and de Ruijter, 2001). The Indian-Atlantic transport simulated in these models can be as much as three times overestimated (Weijer et al. 2012; Völker and Köhler, 2013; Weijer and van Sebille, 2014) (Figure 3.2).

Initial attempts to model the Agulhas system and associated I-AOG transports were documented by Dijkstra and de Ruijter (2001) and Weijer et al. (2002). Knorr and Lohmann, (2003) used an ocean circulation model to simulate the last deglacial period, showing that an increase in I-AOG transport may have played an integral part of the glacial termination process. In recent years, high-resolution stand-alone ocean models have been very successful in simulating the complex dynamics of the Agulhas system (Biaستoch et al., 2008; Biaستoch et al., 2009; Biaستoch and Böning, 2013; Durgadoo et al., 2013; Rühls et al., 2013) (Figure 3.1). However, so far, fully coupled ESMs not only fail to simulate the non-linear turbulent eddy structures associated with the formation of Agulhas rings, but more importantly, they drastically overestimate the rate of I-AOG transport (Weijer et al. 2012; Völker and Köhler, 2013; Weijer and van Sebille, 2014).



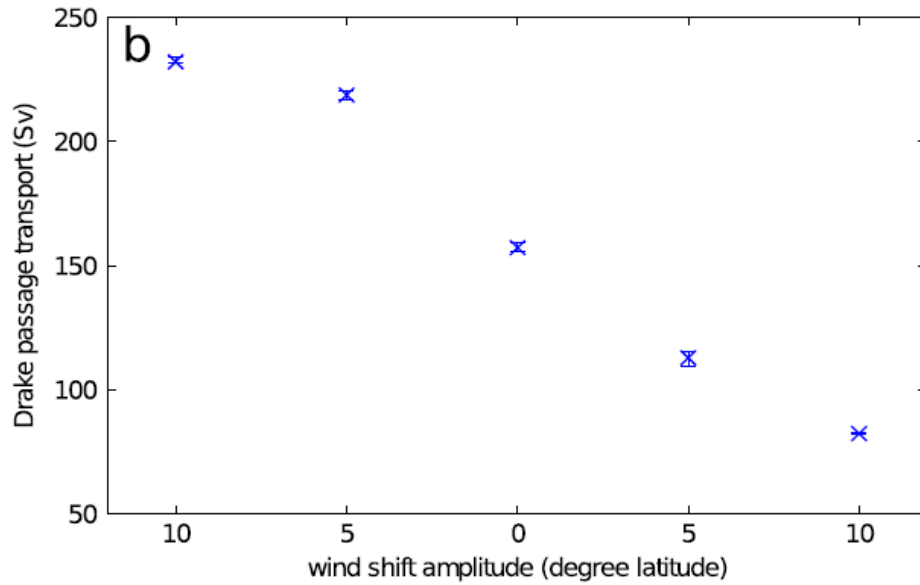
**Figure 3.1** Snapshot of a high-resolution model nested in the global, coarse resolution model, from Biaستoch et al. (2008). Shown are speeds (5-day average around 12 Feb 1969) at 100 m depth (in  $\text{m s}^{-1}$ ). The geographic locations of the bights of Beira (B), Delagoa (D) and Natal (N) are shown as are the circulation features Agulhas Current (AC), Agulhas Ring (AR), Agulhas Return Current (ARC), East Madagascar Current (EMC), eddies of the South Indian Counter Current (SICC), Mozambique Eddy (ME) and Natal Pulse (NP).



**Figure 3.2** Dependency of Agulhas leakage on the amplitude of the Southern Hemisphere westerly wind shift, after Völker and Köhler (2013). Agulhas leakage is overestimated in the model, simulated as ~60 Sv in the climatological mean without artificial wind shifts.

On the other hand much less attention has been focused on the ocean transport through the Drake Passage, between the southern tip of South America and the Antarctic Peninsula. Although high-resolution global eddy resolving ocean models are now in operation (e.g. Deshayes et al., 2013), the Drake Passage ocean gateway has been considered to be of lesser significance than the I-AOG, possibly relating to the suggested importance of Agulhas leakage on glacial-interglacial timescales of the Late Pleistocene (Knorr and Lohmann, 2003; Peeters et al., 2004). State-of-the-art ESMs, which utilise relatively coarse ocean model components, and do not simulate the small-scale eddy structures at the I-AOG, also do not reveal similar ocean phenomena at the Drake Passage (Russell et al., 2006). Rather, a viscous transport associated with the Antarctic Circumpolar Current (ACC) is simulated (Figures 3.7 and 3.8). A range of Drake Passage transport estimates are documented by modelling studies (Russell et al., 2006), including some which show reasonable agreement with observational data (Völker and Köhler, 2013, Figure 3.3). Other models overestimate the Drake Passage throughflow. For example, the control configuration of COSMOS (MPIOM-CTRL, see section 3.1.1), which is adapted in this study, simulates a Drake Passage transport of

$\sim 245.4 \pm 4.2$  Sv, overestimated by  $\sim 100$  Sv (Cunningham et al., 2003).



**Figure 3.3** Dependency of Drake Passage throughflow on the amplitude of the Southern Hemisphere westerly wind shift, after Völker and Köhler, (2013).. Throughflow is simulated as  $\sim 150$  Sv in the climatological mean without wind shifts. The simulated 150Sv is in reasonable agreement with observational data which suggests a throughflow of  $134 \pm 11.2$  Sv (Cunningham et al., 2003).

### 3.1.1. Study Approach

Stand-alone ocean models that are designed specifically for the simulation of the modern ocean can utilise prescribed wind field forcing acquired from data reanalysis methodologies. However, for palaeoclimate simulations it is typically more essential to utilise fully-coupled models with interacting ocean and atmosphere components. Moreover, an improvement of the simulation of present-day I-AOG and Drake Passage transports in these models is required before the application of boundary conditions representative of past climates can be conducted. For example, in the context of the ocean's warm and cold water route gateways, little is achieved by applying glacial boundary conditions to the current state-of-the-art fully-coupled ESMs which, when integrated using their control configurations, drastically overestimate the I-AOG transport, and often misrepresent the Drake Passage throughflow (Russell et al., 2006). Therefore, in order to investigate rates of I-AOG and Drake Passage transports under past climate conditions it is first important to simulate realistic mean gateway transports

for the modern ocean.

Here a state-of-the-art fully coupled ESM (COSMOS), including an adapted ocean model configuration (MPIOM-AFRICA), is employed with the primary intention of simulating an improved I-AOG transport (see also Chapter 2 for full details on the model configuration). The I-AOG transport simulated by COSMOS without an adapted ocean model (MPIOM-CTRL) is misrepresented at  $46.1 \pm 2.3$  Sv. This modelled transport rate is overestimated compared to ocean observational data which measures the I-AOG transport (Agulhas leakage) at  $\sim 15$  Sv (Richardson, 2007). The adapted model configuration (MPIOM-AFRICA) is therefore intended to provide a useful platform for the investigation of changes at the I-AOG in palaeoclimate simulations. Any improvements at the Drake Passage are considered an advantage, which would also allow an assessment of the palaeo cold water route gateway. The development of the adapted model aims to represent a significant step forward in the field of palaeoclimate modelling and our understanding of the past.

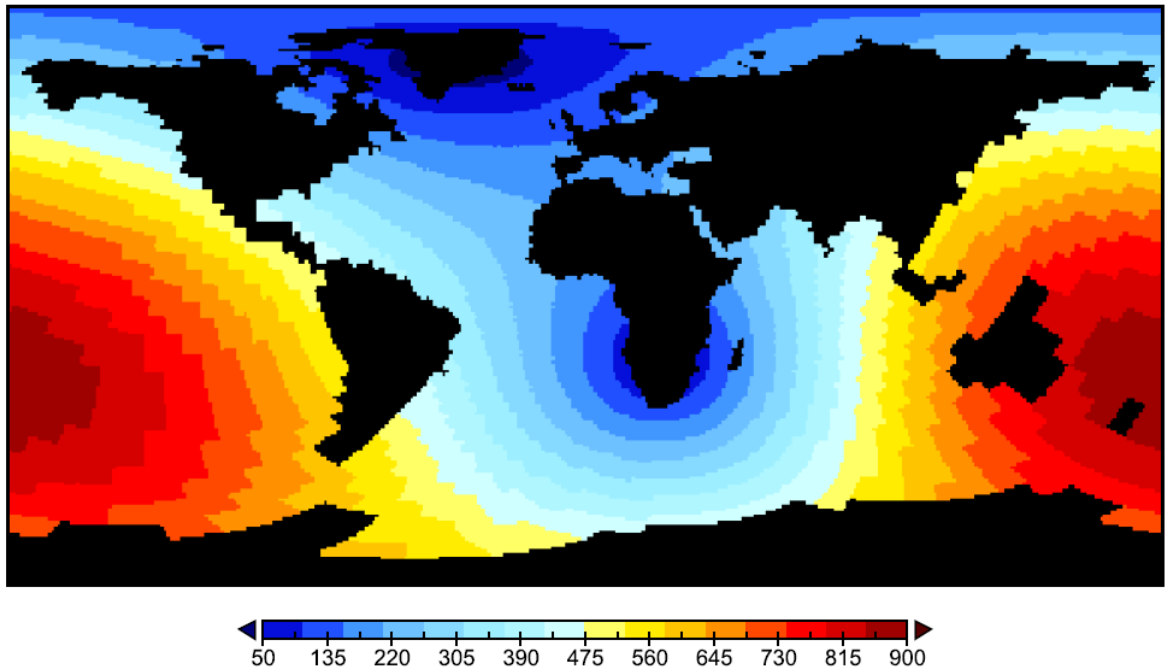
## **3.2. Results and Discussion**

### **3.2.1 Pre-industrial simulation**

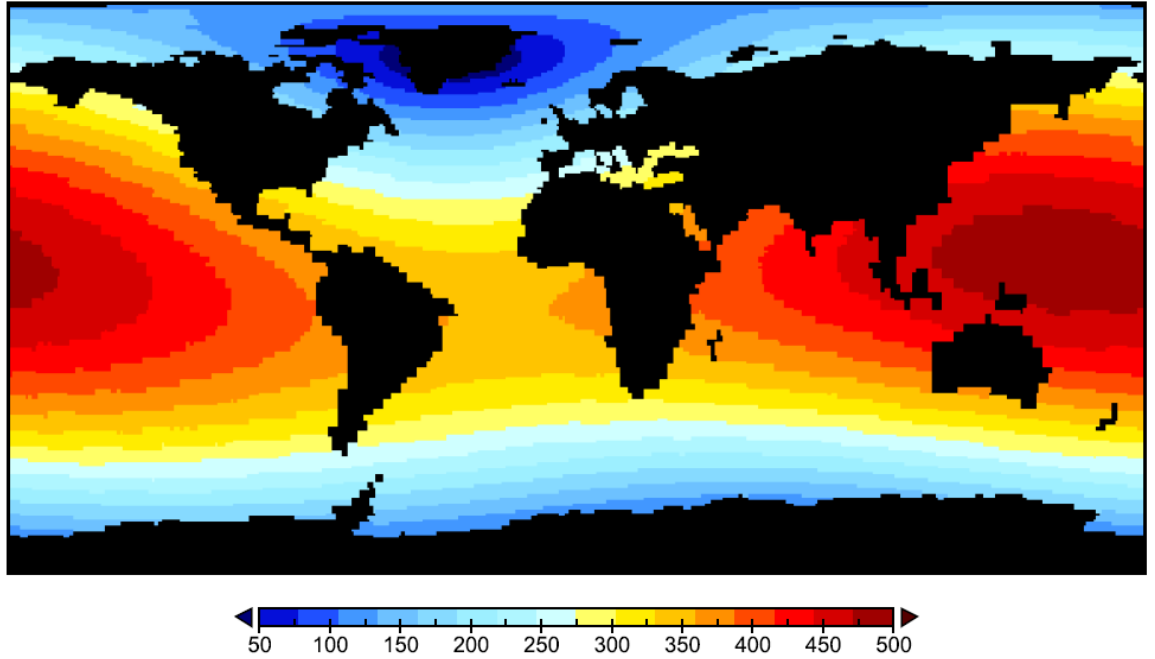
The MPIOM ocean model's Gent-McWilliams diffusion coefficients, which provide an indication of modelled eddy parameterization, are shown in Figures 3.4 and 3.5 for MPIOM-AFRICA and MPIOM-CTRL, respectively. The diffusion coefficients are also indicative of ocean grid resolution, with smallest (largest) values in the most highly (coarsely) resolved regions. Highest grid resolution exists around the model poles, approximately 50km in the regions of Greenland and the Agulhas system (MPIOM-AFRICA). The grid resolution achieved with MPIOM is therefore not sufficient to resolve small-scale turbulent eddy structures. For example, a grid resolution of  $\sim 20$ km is required for the simulation of Agulhas rings (Bjastoch et al., 2008). However, the MPIOM resolution is on the boundary of being eddy permitting. At the Drake Passage MPIOM-AFRICA utilises a grid cell size of  $\sim 250$ km, a factor of five times coarser than

at the I-AOG, inevitably simulating a viscous flow at that location (Figures 3.7 and 3.8).

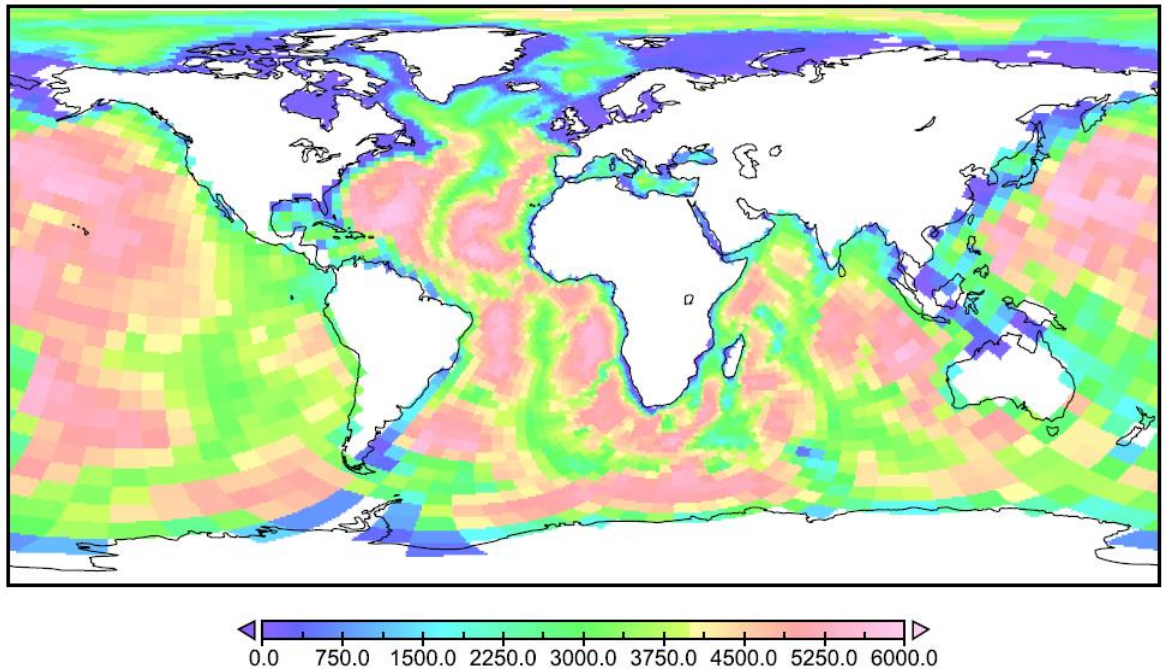
MPIOM-AFRICA's ocean bathymetry and land-sea mask have been generated from the Earth Topography Five Minute Grid (ETOPO5, National Geophysical Data Center 1988; see Jungclaus et al., 2006a) and is shown in Figure 3.6. Specifically, the MPIOM-AFRICA configuration uses a bathymetry which is interpolated from ETOPO5 upon generation of the setup. The interpolated MPIOM-AFRICA ocean bathymetry includes all key bathymetric features, including continental shelves and mid-ocean ridges.



**Figure 3.4** Gent-McWilliams diffusion coefficient (x-direction) [ $\text{m}^2/\text{s}$ ] for MPIOM-AFRICA, indicating the eddy induced mixing parameterization used by the model. The location of the poles over Greenland and South Africa can be identified, with blue (red) values representing regions of low (high) eddy parameterization. Blue (red) values are therefore also indicative of regions of high (coarse) grid resolution. The plot for the Gent-McWilliams diffusion coefficient in the y-direction looks similar.



**Figure 3.5** Gent-McWilliams diffusion coefficient (x-direction) [ $\text{m}^2/\text{s}$ ] for MPIOM-CTRL, indicating the eddy induced mixing parameterization used by the model. The poles are located over Greenland and Antarctica, with blue (red) values representing regions of low (high) eddy parameterization. Blue (red) values are therefore also indicative of regions of high (coarse) grid resolution. The plot for the Gent-McWilliams diffusion coefficient in the y-direction looks similar.



**Figure 3.6** Ocean bathymetry (depth in m) for the MPIOM-AFRICA configuration. The bathymetry is based on an interpolation procedure which takes place during the generation of the model configuration, using MPIOM-CTRL as a template. The bathymetry is based on the Earth Topography Five Minute Grid (ETOPO5) (Jungclauss et al, 2006a).



### **3.2.1.1 I-AOG and Drake Passage transports and ocean circulation**

The MPIOM-AFRICA pre-industrial simulation was integrated for 4000 years and the final 100 years utilised as a climatological mean (see Chapter 2). After 4000 years of simulation all model components, including the deep ocean, are in a state of equilibrium and do not drift. All results onwards in Chapter 3 represent the 100 year climatological annual mean, unless otherwise stated.

The MPIOM-AFRICA and MPIOM-CTRL gateway water transports across the I-AOG and the Drake Passage were calculated using an Eulerian method (Chapter 2) and are presented in Table 3.1. Adopting the MPIOM-AFRICA configuration a climatological mean I-AOG transport of  $18.4 \pm 1.6$  Sv was simulated. This volumetric flow-rate is an improvement on the  $46.1 \pm 2.3$  Sv calculated using the MPIOM-CTRL simulation, and is in reasonable agreement with observations as well as other high-resolution ocean model results (Richardson, 2007; Biastoch et al., 2008; Biastoch et al., 2009; Durgadoo et al., 2013; R  hs et al., 2013).

Regarding the Drake Passage throughflow, the MPIOM-AFRICA configuration simulates a climatological mean transport of  $111.4 \pm 7.2$  Sv (Table 3.1). This calculated transport is some 17% lower than an observationally estimated throughflow of  $134 \pm 11.2$  Sv (Cunningham et al., 2003), but a much better approximation than the  $245.4 \pm 4.2$  Sv simulated using the MPIOM-CTRL configuration. The improvement of the Drake Passage transport in MPIOM-AFRICA, despite a lower model grid resolution in the region, is likely due to the increased model diffusivity in the region (Figures 3.4 and 3.5). The 100 year pre-industrial timeseries for the I-AOG and Drake Passage water transports in both the MPIOM-CTRL and MPIOM-AFRICA configurations are shown in Figure 4.6 (Chapter 4).

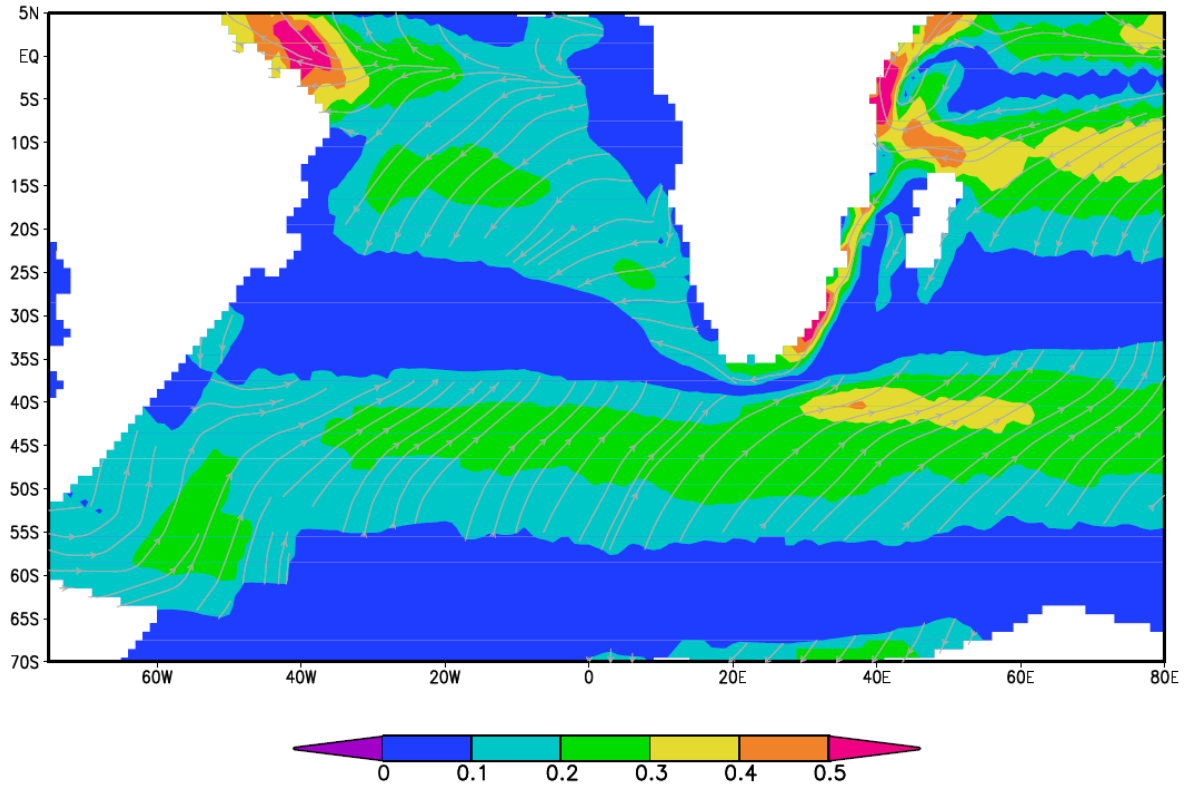
Upper ocean current systems are revealed by model velocity data and shown in Figure 3.7. Many important ocean features are simulated similarly to other state-of the-art climate models (e.g. Jungclaus et al., 2006, Stepanek and Lohmann, 2012). The model successfully simulates the Agulhas Current, the Mozambique Current, the East

Madagascar Current, the Agulhas Return Current, the Antarctic Circumpolar Current, and the North Brazil Current, amongst other current systems. Agulhas leakage is simulated as a viscous boundary flow around the bulge of Southern Africa. Agulhas rings cannot be observed in such 100 year climatological mean data (Figure 3.7), but model daily output shows that these relatively small-scale features are absent from the MPIOM-AFRICA simulation (not shown).

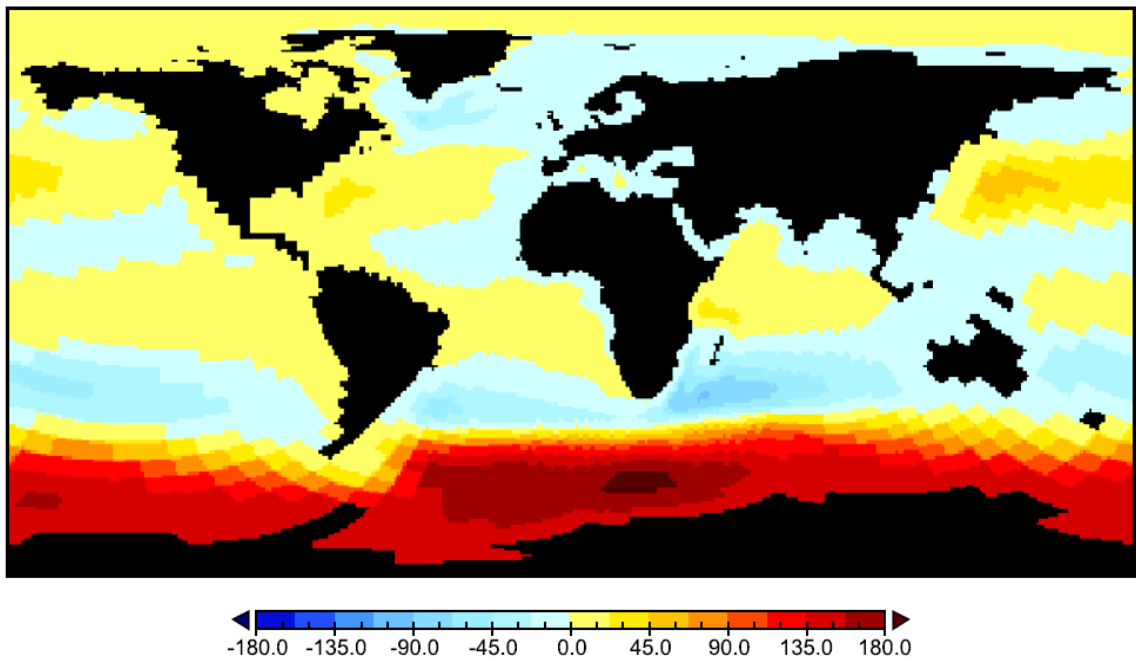
The ocean gyre systems simulated by MPIOM-AFRICA in terms of its barotropic stream function are illustrated in Figure 3.8. Evident are the South Atlantic and South Indian Ocean gyre systems, interconnected via the Southern Hemisphere Supergyre (Speich et al., 2007). The ACC is also discernable, constituting a number of gyre systems across the Southern Ocean, including the Weddel and Ross Sea gyres. The oceanic gyre systems are also revealed by the model's sea surface height parameter (Figure 3.9). Gyre systems characterised by a negative mean height are clockwise in the Southern Hemisphere and anti-clockwise in the Northern Hemisphere, in accordance with the theories of Ekman transport and Sverdrup balance. Similarly, gyre systems characterised by a positive mean height are anti-clockwise in the Southern Hemisphere and clockwise in the Northern Hemisphere. Additional values of barotropic stream function at specific geographical locations are included in Table A.1 in the Appendix.

**Table 3.1** I-AOG and Drake Passage (DP) water transport 100 year climatological mean values and standard deviations for the LGM and pre-industrial (PI) simulations for both the MPIOM-CTRL and MPIOM-AFRICA ocean model configurations.

Experiment	MPIOM-CTRL PI	MPIOM-AFRICA PI	MPIOM-CTRL LGM	MPIOM-AFRICA LGM
I-AOG Transport (Sv)	46.1 ± 2.3	18.4 ± 1.6	51.6 ± 2.8	15.1 ± 2.5
DP Transport (Sv)	245.4 ± 4.2	111.4 ± 7.2	225.4 ± 9.9	71.5 ± 10.9

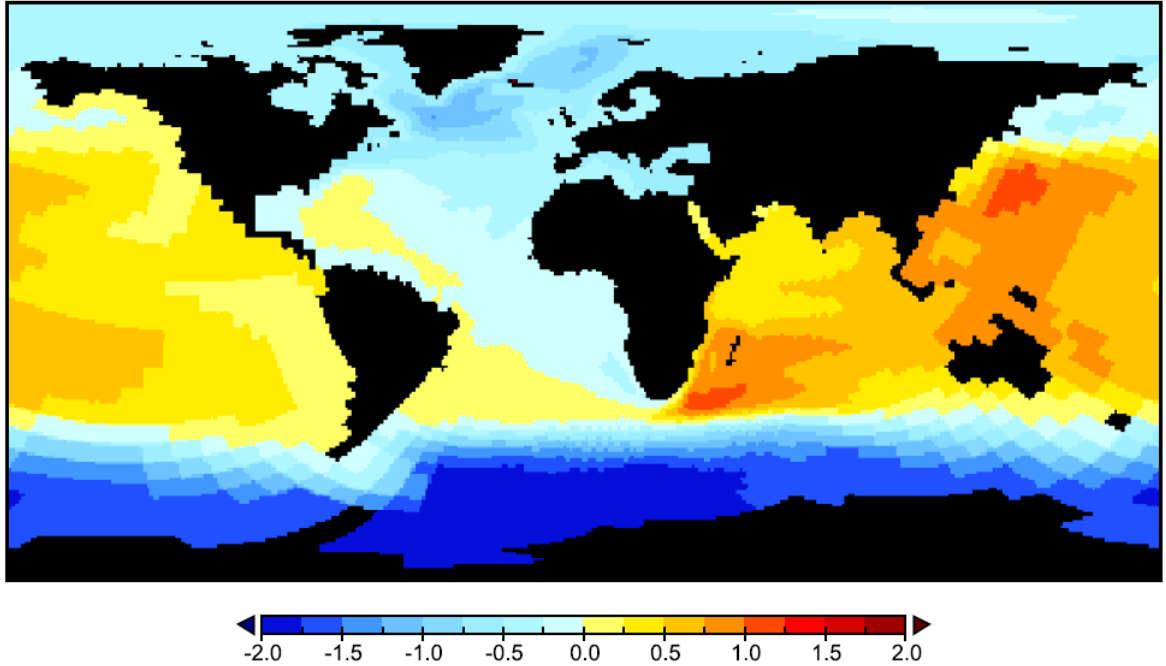


**Figure 3.7** Climatological annual mean surface velocities (m/s) as modelled by the COSMOS model incorporating the MPIOM-AFRICA configuration. Streamlines indicate vector direction, and colours show velocity magnitude A similar plot showing velocity arrows can be found in Figure A.1 of the Appendix.



**Figure 3.8** Climatological annual mean barotropic stream function (Sv) as modelled by the COSMOS

model incorporating the MPIOM-AFRICA configuration. Barotropic stream function is the measure of ocean water volume transport integrated over all depth levels. Since volume transport below the gyre depths is generally relatively slow, barotropic stream function can be used as an indicator of ocean gyre system strength. Evident are the South Atlantic and South Indian Ocean gyre systems, interconnected via the Southern Hemisphere Supergyre (Speich et al., 2007). The ACC is also discernable, constituting a number of gyre systems, including the Weddel and Ross Sea gyres, across the Southern Ocean.

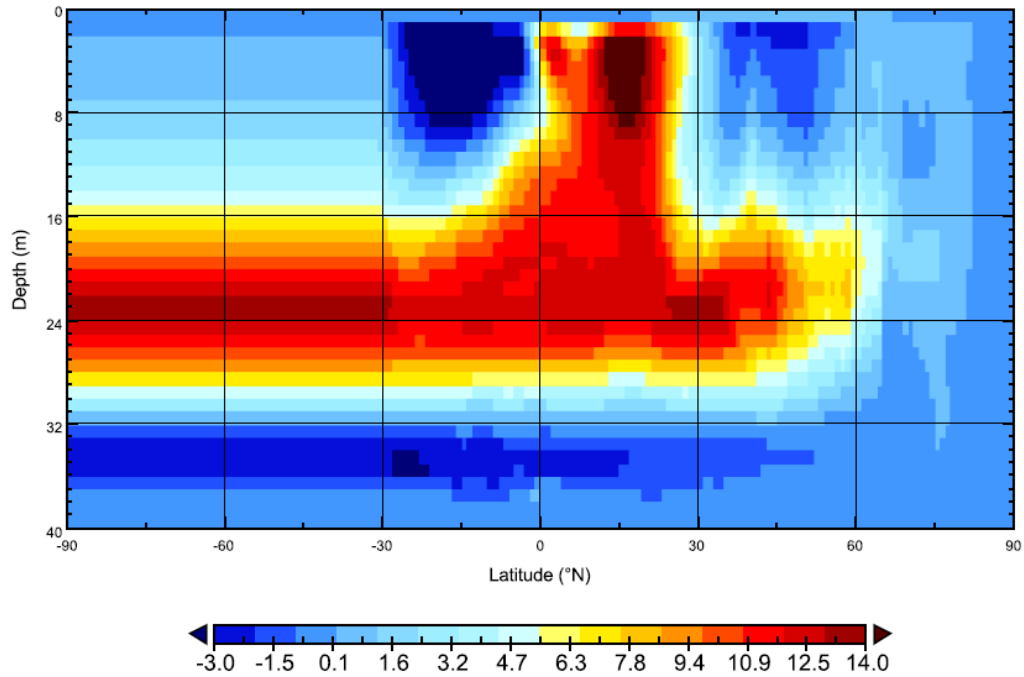


**Figure 3.9** Climatological annual mean sea surface height (m) as modelled by the COSMOS model incorporating the MPIOM-AFRICA configuration. Sea surface height can be used as an indicator of ocean gyre system strength, with some systems characterised by a positive mean height and others by a negative mean height, depending on the direction of gyre circulation, in accordance with the theories of Ekman transport and Sverdrup balance (see text).

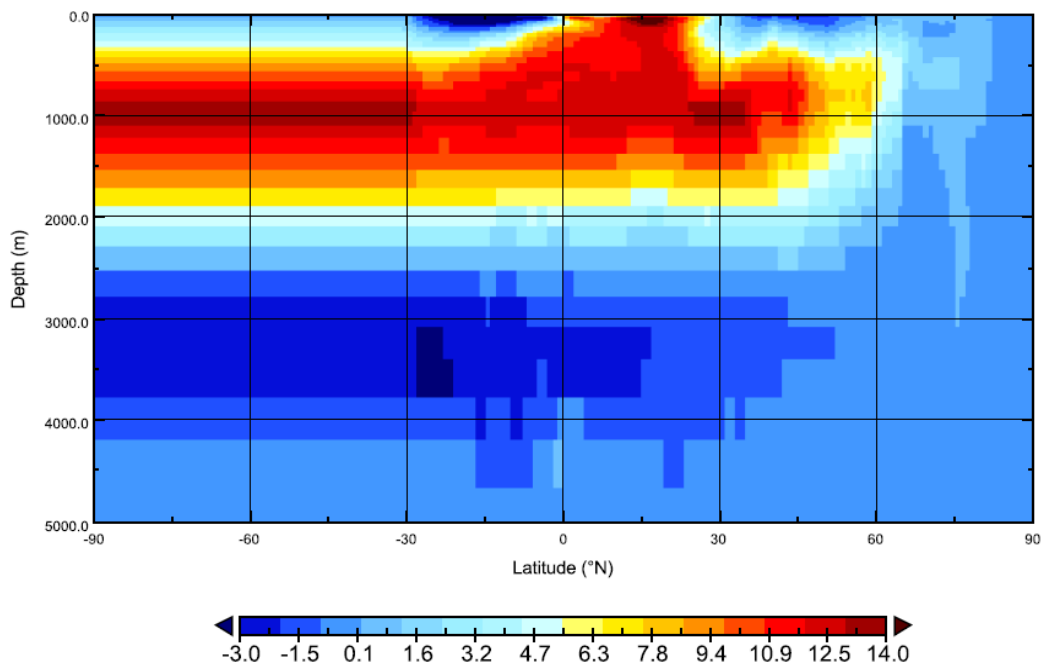
### **3.2.1.2 General pre-industrial ocean characteristics**

The 100 year climatological mean pre-industrial AMOC (zonal integration) using MPIOM-AFRICA is shown in Figures 3.10-3.12. The AMOC is presented showing depth levels as simulated by the model (Figure 3.10), over depth levels in metres (Figure 3.11), and interpolated spatially (Figure 3.12). The AMOC index, measured at 26°N, selecting the maximum stream function over the upper 1000 m depth, is ~14 Sv in the MPIOM-AFRICA setup. Antarctic bottom water (AABW) is simulated over the depth range ~2500m - 4500m extending into the North Atlantic realm, with a maximum

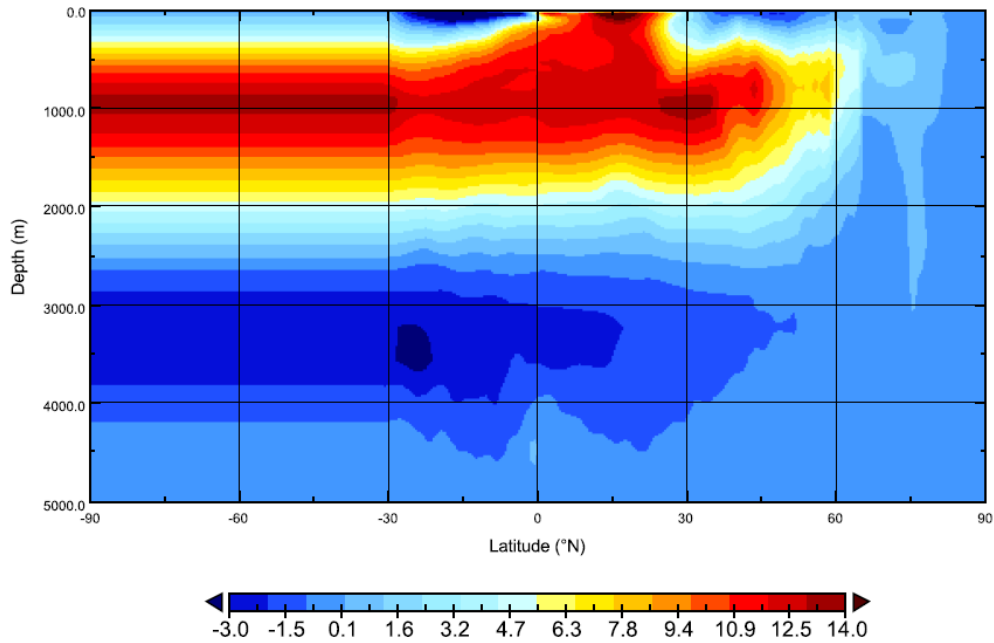
magnitude of  $\sim 1.5\text{ Sv}$ . The simulation compares well with the MPIOM-CTRL simulation as documented by Wei et al, (2012) for the pre-industrial case, suggesting further validity of the MPIOM-AFRICA configuration.



**Figure 3.10** Zonally integrated AMOC (Sv) for the MPIOM-AFRICA climatological annual mean pre-industrial simulation, showing depth levels as simulated by the model. Upper levels are more highly resolved in COSMOS, exemplified by the AMOC upper branch which is simulated over depth levels 0 to  $\sim 30$ . AABW is simulated over depth levels  $\sim 30$  to 40. Per definition the AMOC is defined north of  $30^\circ\text{S}$ .



**Figure 3.11** Zonally integrated AMOC (Sv) for the MPIOM-AFRICA climatological annual mean pre-industrial simulation, interpolated (from Figure 3.10) to metric depth coordinates (m). Per definition the AMOC is defined north of 30°S.

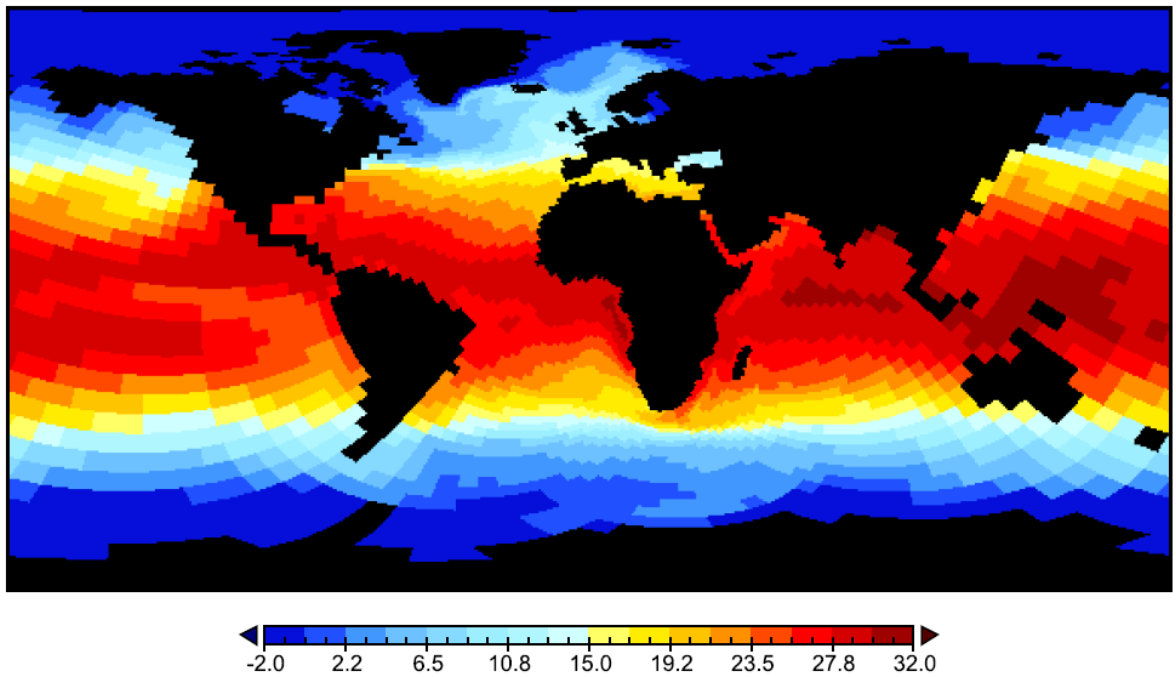


**Figure 3.12** Zonally integrated AMOC (Sv) for the MPIOM-AFRICA climatological annual mean pre-industrial simulation, spatially interpolated (from Figure 3.11) to smooth the data for visualisation. Per definition the AMOC is defined north of 30°S. The simulation is similar to that documented by Wei et al, (2012) for the MPIOM-CTRL configuration, adding further credence to the validity of the MPIOM-AFRICA configuration. The general pre-industrial AMOC is rather shallow, which may be related to relatively shallow North Atlantic mixed layer depths simulated by the model (Figure 3.17).

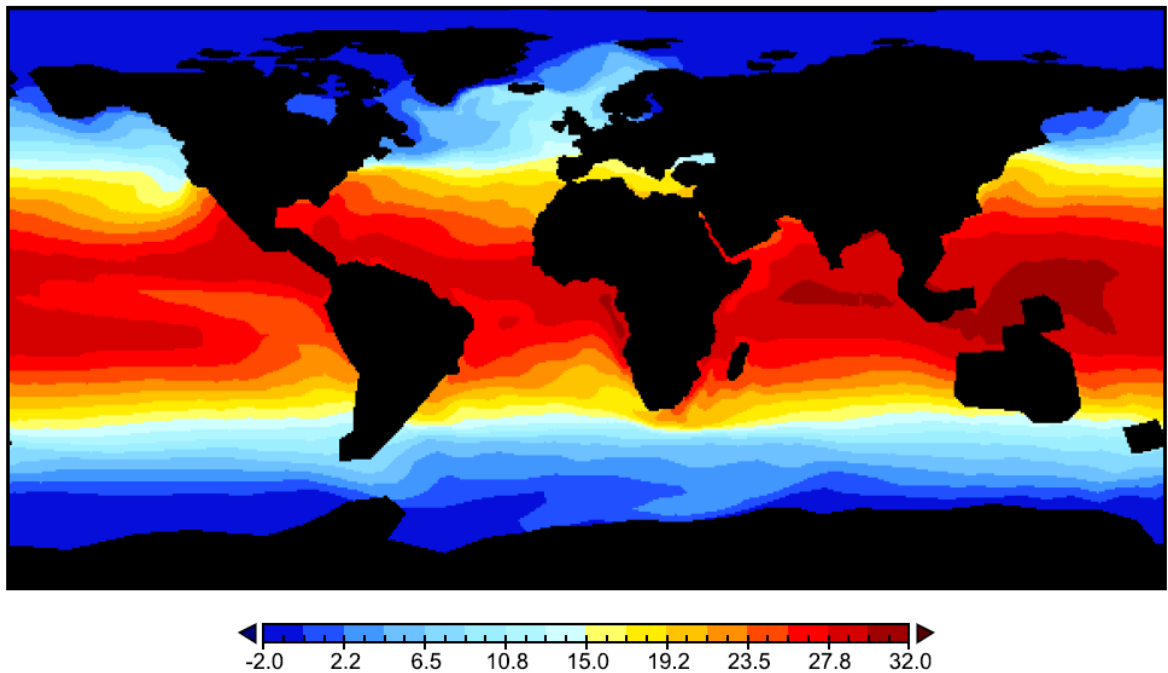
The MPIOM-AFRICA annual mean sea surface temperatures (SSTs) are shown in Figures 3.13 and 3.14 (interpolated in Figure 3.14 to exemplify the smoothing of coarse resolution in the Pacific Ocean). Annual mean SSTs range between ~32°C in the tropics and ~0°C in the polar regions of both hemispheres. Global mean SST is 18.2°C for the MPIOM-AFRICA and 17.7°C for MPIOM-CTRL (Wei et al., 2012) pre-industrial simulations. The difference between the two configurations may be due to the model dependent spin-up times required to reach complete equilibrium (Zhang et al., 2013).

A comparison between the modelled ocean SST data (MPIOM-AFRICA and MPIOM-CTRL) with World Ocean Atlas observational data 2009 (Locarnini et al., 2010) was

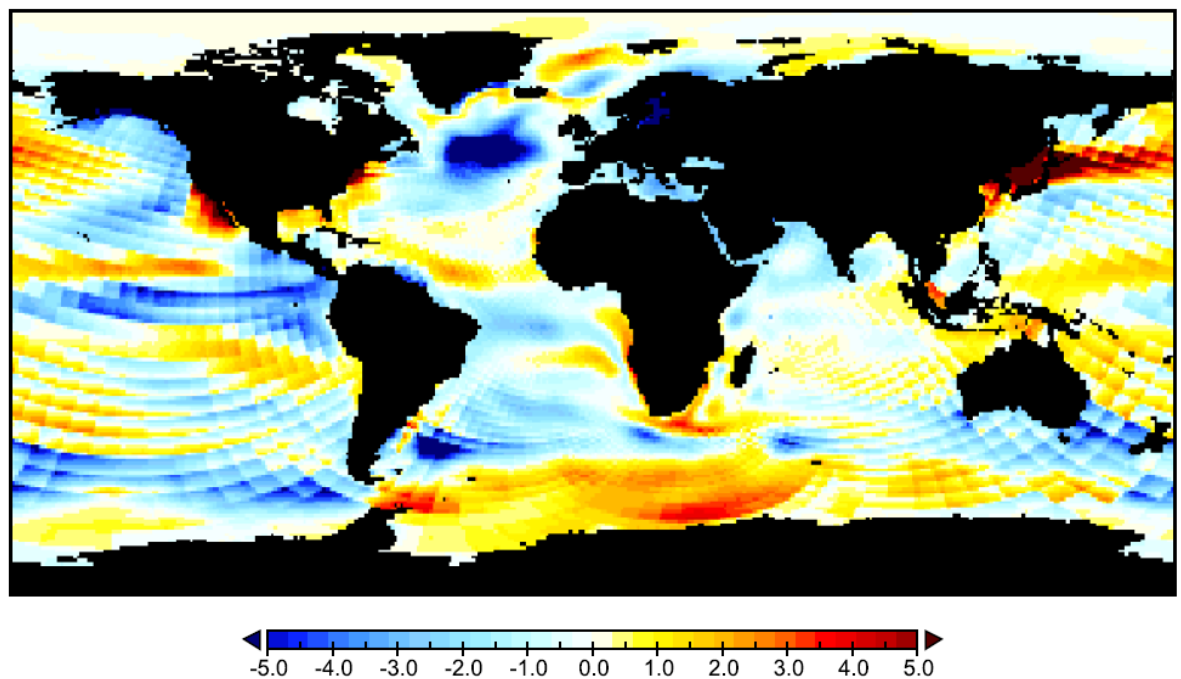
conducted and is presented in Figures 3.15 and 3.16. Generally, the magnitude and location of the largest anomalies between model and observational data are similar between MPIOM-ARICA and MPIOM-CTRL. Specifically, a warming bias throughout the Agulhas region and a cooling bias at the entrance to the Drake Passage are simulated by both ocean model configurations.



**Figure 3.13** Climatological annual mean sea surface temperatures (°C) as modelled by the COSMOS model incorporating the MPIOM-AFRICA configuration. A meridional temperature gradient is obvious across all latitudes, from the polar regions to the tropics.



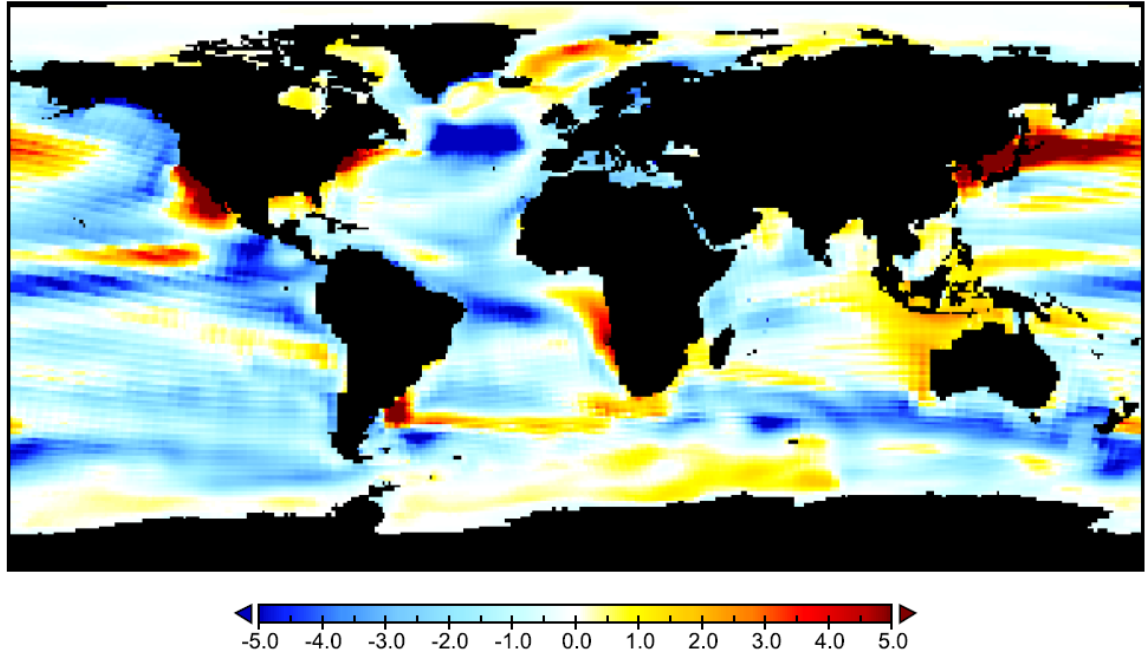
**Figure 3.14** Interpolated climatological annual mean sea surface temperatures (°C) as modelled by the COSMOS model incorporating the MPIOM-AFRICA configuration. The graphic illustrates a smoothing of the modelled ocean data in order to remove the undesirable coarse grid aesthetics at certain locations, particularly the Pacific Ocean. A disadvantage to the interpolation procedure is that regions lacking in data (i.e. the continents) are also interpolated.



**Figure 3.15.** Upper ocean (100m mean) temperature anomaly (°C) between the MPIOM-AFRICA pre-industrial simulation and the WOA09 observational data (Locarnini et al., 2010). The artificial segmented features are a result of taking an anomaly between two differently gridded datasets. These temperature

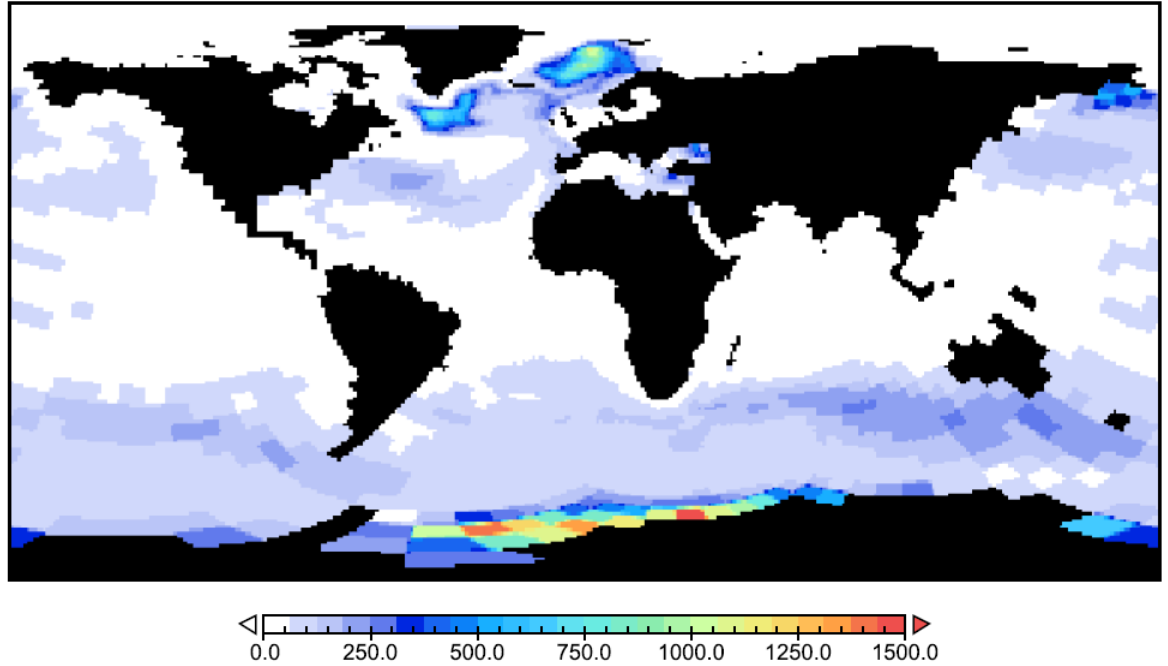


biases compare well with those of Jungclaus et al., (2006).



**Figure 3.16** Upper ocean (100m mean) temperature anomaly ( $^{\circ}\text{C}$ ) between the MPIOM-CTRL pre-industrial simulation and the WOA09 observational data (Locarnini et al., 2010). These temperature biases compare well with those of Jungclaus et al., (2006).

The mixed layer depth simulated by the MPIOM-AFRICA pre-industrial configuration is shown in Figure 3.17. This output parameter acts as a useful indicator of regions of large scale convection (sinking) in the ocean. The MPIOM-AFRICA configuration simulates convection in the North Atlantic at two sites; the Labrador Sea and the GIN (Greenland-Iceland-Norwegian) seas. The other major location of ocean convection is in the Southern Ocean, throughout the Weddel Sea, and partly in the Ross Sea. This simulation compares well with the MPIOM-CTRL simulation which also simulates open ocean convection at these locations (not shown).

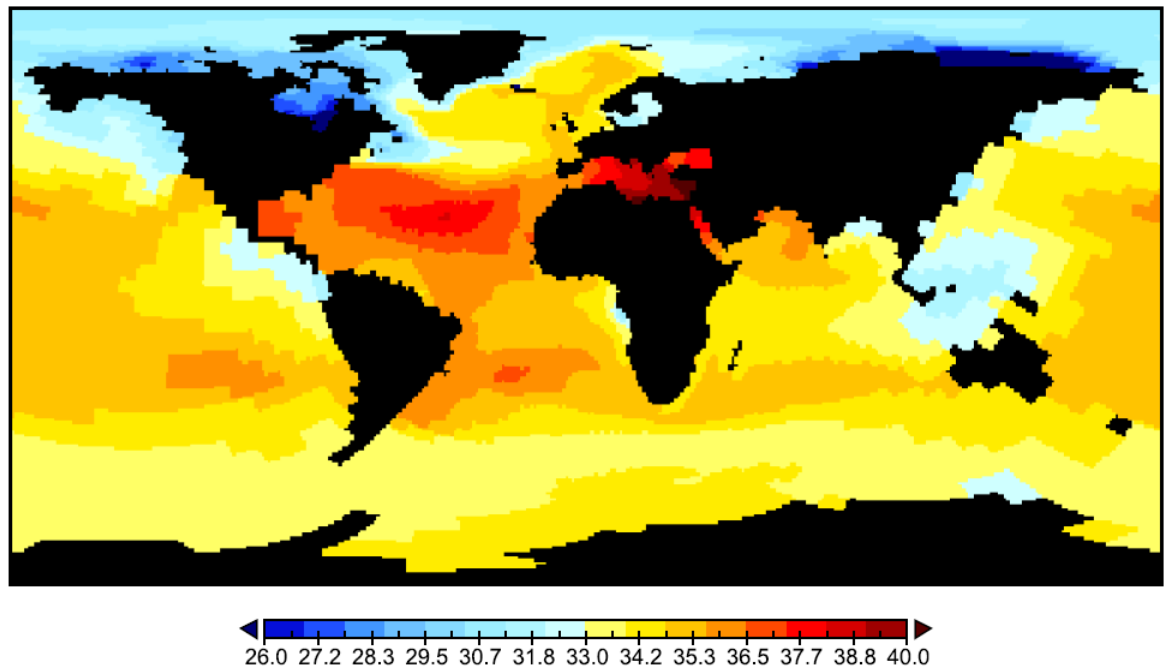


**Figure 3.17** Climatological annual mean mixed layer depth (m) as modelled by the COSMOS model incorporating the MPIOM-AFRICA configuration. The modelled mixed layer depth is computed based on the density difference criterion  $\delta\rho < 0.125 \text{ kg/m}^3$  i.e. the depth where the density has increased by  $0.125 \text{ kg/m}^3$  as compared to the value in the surface box.

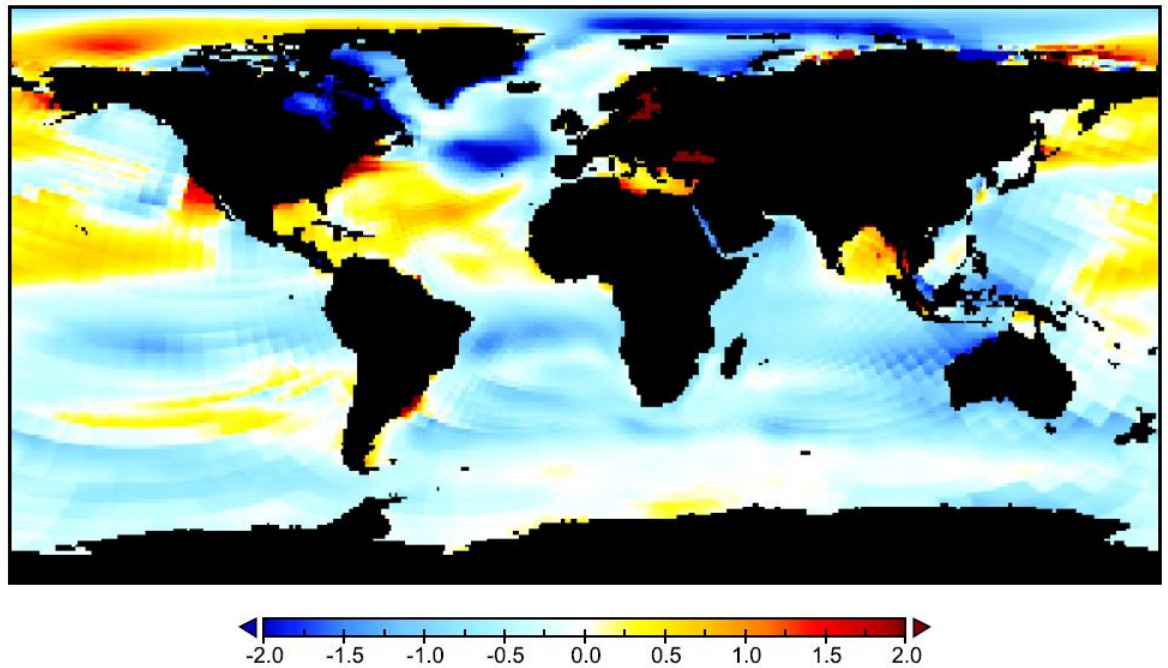
The MPIOM-AFRICA annual mean sea surface salinity (SSS) is shown in Figure 3.18. Annual mean SSS ranges between  $\geq 42$  in regions such as the Mediterranean, to  $\sim 25$  in Arctic regions where large freshwater input at river mouths is experienced. The Atlantic Ocean is successfully simulated as the most saline ocean basin, with salinity values of  $\sim 39$  simulated at locations across the subtropical regions. The high salinity in the subtropical Atlantic Ocean owes to the existence of the Trade winds which transport convective precipitation westward across the Americas and into the Pacific Ocean. This results in the Atlantic Basin having an evaporative regime, ultimately driving the high levels of salinity.

A comparison between the modelled ocean salinity data (MPIOM-AFRICA and MPIOM-CTRL) with World Ocean Atlas observational data 2009 (Locarnini et al., 2010) was conducted and is presented in Figures 3.19 and 3.20. Generally, the magnitude and location of the largest anomalies between model and observational data are similar

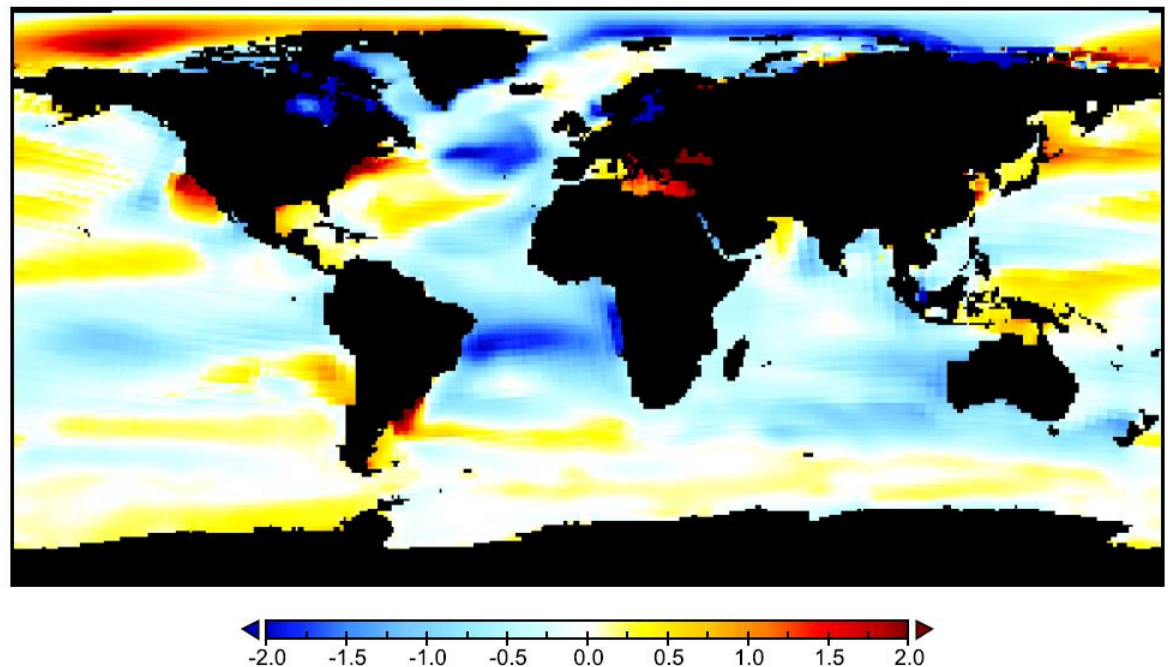
between MPIOM-ARICA and MPIOM-CTRL. A model freshening bias (salinity  $\sim 0.5$ - $1.0$ ) exists in both configurations throughout the South Atlantic and Indian Oceans compared with the observational data. At the Drake Passage MPIOM-AFRICA shows a freshening bias while MPIOM-CTRL is in good agreement with observational data.



**Figure 3.18.** Climatological annual mean sea surface salinity (psu) as modelled by the COSMOS model incorporating the MPIOM-AFRICA configuration. As observed (Locarnini et al., 2010), and simulated by other models, salinity is higher in the Atlantic basin due to the existence of the Trade winds which transport convective precipitation systems across the Americas and into the Pacific Ocean. This results in the Atlantic Basin having an evaporative regime, which ultimately drives the high levels of salinity.



**Figure 3.19.** Upper ocean (100m mean) salinity anomaly (psu) between the MPIOM-AFRICA pre-industrial simulation and the WOA09 observational data (Locarnini et al., 2010). The artificial segmented features are a result of taking an anomaly between two differently gridded datasets.



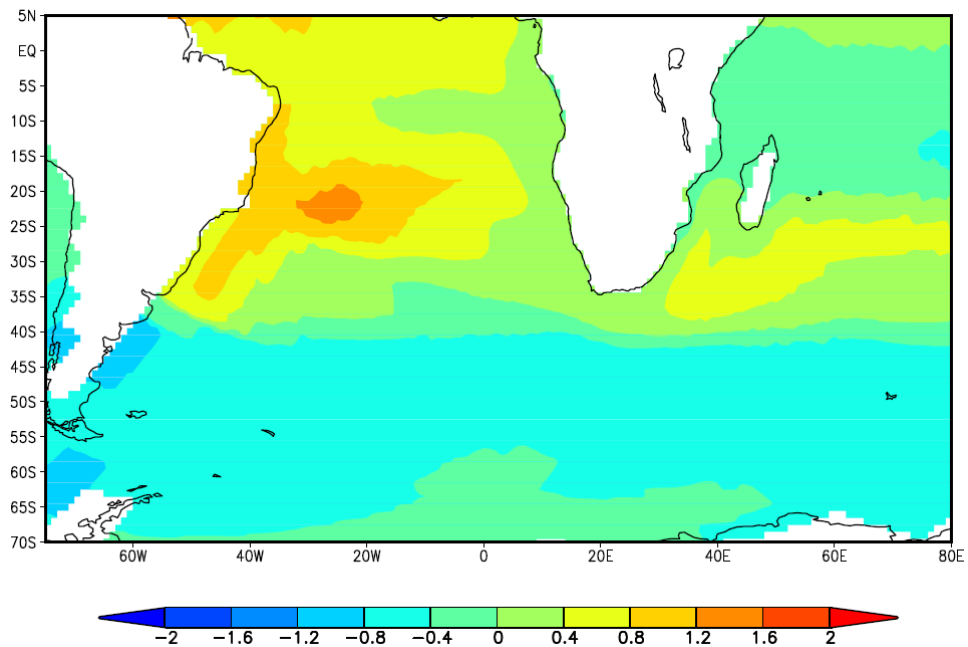
**Figure 3.20** Upper ocean (100m mean) salinity anomaly (psu) between the MPIOM-CTRL pre-industrial simulation and the WOA09 observational data (Locarnini et al., 2010).

In order to assess the implications of the model salinity biases at the Drake Passage and I-AOG, the data is detrended (normalized) by subtracting the area-averaged salinity from the upper ocean salinity dataset (Figure 3.21). This analysis emphasises the spatial salinity anomalies of the time-mean state, with the mean value set to zero. The normalized data reveals the South Atlantic and South Indian Ocean subtropical gyre systems, characterised by high positive salinity deviation from the mean. However, also evident is the lack of a large salinity contrast between the South Atlantic and Indian Ocean, which is usually revealed in ocean observational data as a front in the Agulhas retroflection region. The front itself is defined by the retroflection (Figure 3.22 a). This is an important oceanic front with respect to the impact that the Agulhas leakage of high salinity Indian Ocean waters is suggested to have on AMOC strength. Without substantially higher salinity in the Indian Ocean compared to the South Atlantic, it would be unlikely that salt anomalies introduced to the Atlantic Basin could impact upon the deep convection sites in the North Atlantic, and potentially AMOC strength.

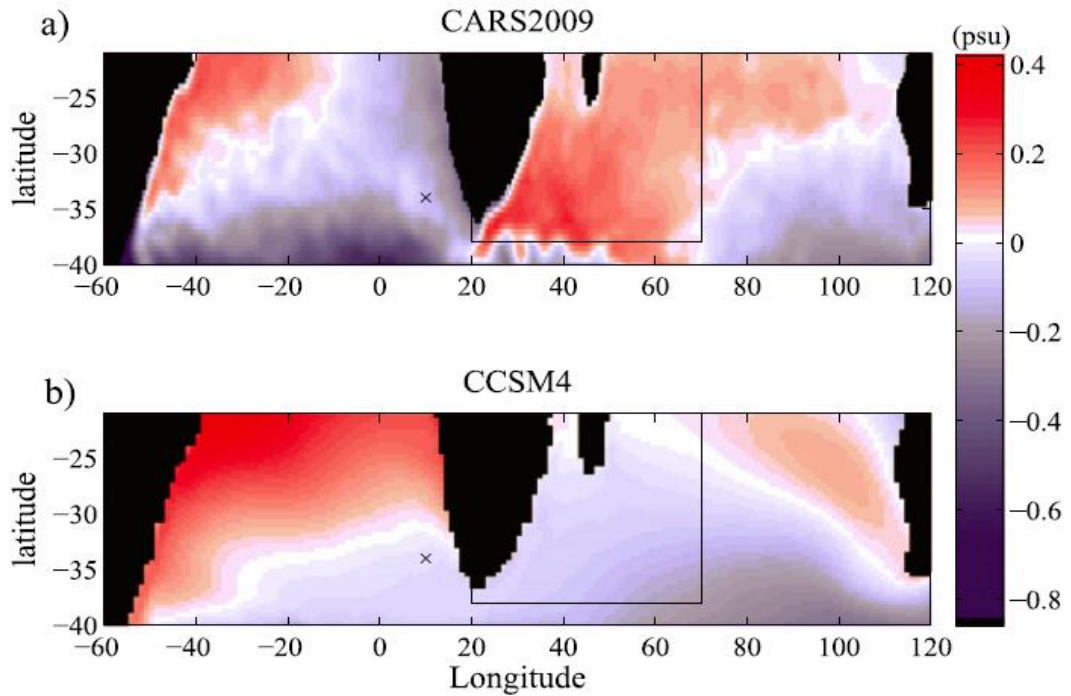
Analyses by Weijer and Van Sebille, (2014) revealed a similar model problem with regards to the impact of the Agulhas leakage on the AMOC. Specifically, although they found a dynamical connection between South Atlantic salt anomalies and the North Atlantic, they found no direct impact of Agulhas leakage on AMOC strength in the Community Climate System Model, version 4 (CCSM4). The authors demonstrate that, similar to COSMOS documented here, the salinity biases which leave the Indian Ocean to enter the Atlantic Ocean in CCSM4 are too homogeneous, acting to erase the observed salinity front in the Agulhas retroflection region (Figure 3.22 b). As a result salinity variability in the south-east Atlantic Ocean is found to be much weaker than observed (Figure 3.22 a). It therefore remains unclear whether salinity biases introduced to the Atlantic Ocean by the Agulhas leakage impact significantly upon the AMOC.

Due to the South Atlantic and South Indian Ocean freshwater biases existing in COSMOS, similar to those of CCSM4 documented by Weijer and van Sebille, (2014), it is unlikely that the modelled I-AOG transport (Agulhas leakage) in MPIOM-AFRICA can impact upon the strength of the AMOC. It was therefore decided not to use the

MPIOM-AFRICA model for sensitivity studies linking the I-AOG and Drake Passage transports with AMOC strength; rather opting to investigate changes at the I-AOG and Drake Passage in response to variable boundary conditions; specifically boundary conditions mimicking past millennial scale and glacial-interglacial climate changes. The absent salinity front in the Agulhas retroflexion region remains a significant challenge for Earth system modellers investigating the potential impact of Agulhas leakage on AMOC strength.



**Figure 3.21** MPIOM-AFRICA upper ocean (500m mean) salinity (psu), where area-averaged salinity (entire region) is subtracted from the dataset to emphasise spatial anomalies of the time-mean state.



**Figure 3.22** Climatology of S1000, for (a) CARS2009 and (b) CCSM4, from Weijer and van Sebille, (2014). Area-averaged salinity is subtracted from both datasets to emphasize spatial anomalies of the time-mean state. The black crosses indicate the location of a reference point at 348S, 108E in the southeastern South Atlantic, while the boxes represent the area of averaging in the southeastern section of the southern Indian Ocean.

### 3.2.2 LGM simulation

Based on the strength of the pre-industrial climate simulation using the adapted MPIOM-AFRICA ESM, it was decided to perform a glacial simulation via the application of LGM boundary conditions (see Chapter 2 for full details on the glacial setup). The motivation for performing an LGM simulation with the adapted model was to investigate glacial rates of I-AOG (Agulhas leakage) and Drake Passage throughflows, and to develop a platform where freshwater perturbation experiments mimicking glacial millennial scale climate change could be carried out.

#### 3.2.2.1 LGM I-AOG and Drake Passage transports

The LGM simulation was integrated for 4000 years and the final 100 years utilised as a



climatological mean (see Chapter 2). LGM water transport through the I-AOG is estimated at  $15.1 \pm 2.5$  Sv using the MPIOM-AFRICA configuration, and  $51.6 \pm 2.8$  Sv using the MPIOM-CTRL configuration (Table 3.1). This compares with a pre-industrial I-AOG transport of  $18.4 \pm 1.6$  Sv using the MPIOM-AFRICA configuration and  $46.1 \pm 2.3$  Sv using the MPIOM-CTRL configuration, indicating with respect to the pre-industrial state, a  $\sim 17\%$  LGM reduction of I-AOG transport in the MPIOM-AFRICA configuration, and a  $\sim 10\%$  LGM increase of I-AOG transport in the MPIOM-CTRL configuration.

LGM water transport through the Drake Passage is estimated at  $71.5 \pm 10.9$  Sv using MPIOM-AFRICA and  $225.4 \pm 9.9$  Sv using the MPIOM-CTRL configuration, over the 100 year climatological mean (Table 3.1). This compares with a pre-industrial Drake Passage transport of  $111.4 \pm 7.2$  Sv utilising the MPIOM-AFRICA configuration and  $245.4 \pm 4.2$  Sv with MPIOM-CTRL, indicating with respect to the pre-industrial state, a  $\sim 36\%$  reduction of Drake Passage transport during the LGM when utilising MPIOM-AFRICA, and a  $\sim 10\%$  reduction of the Drake Passage transport adopting the MPIOM-CTRL configuration.

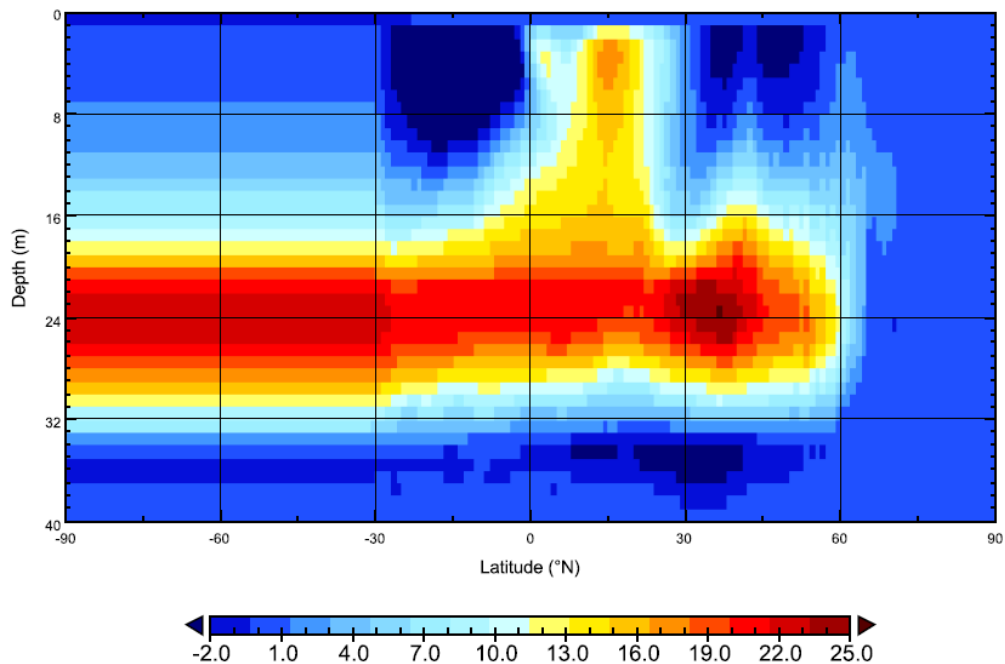
Further discussion and a model-data comparison for the I-AOG and Drake Passage transports are carried out in Chapter 4 (glacial-interglacial) and Chapter 5 (millennial scale) of this thesis.

### **3.2.2.2 General LGM ocean characteristics**

The 100 year climatological mean LGM AMOC (zonal integration) for MPIOM-AFRICA is shown in Figures 3.23–3.25. The AMOC is presented showing depth levels as simulated by the model (Figure 3.23), over depth levels in metres (Figure 3.24), and interpolated spatially (Figure 3.25). The LGM AMOC is more vigorous than the pre-industrial case, and is similar to that documented by Zhang et al, (2013) for the MPIOM-CTRL setup, adding further credence to the validity of the adapted model configuration.

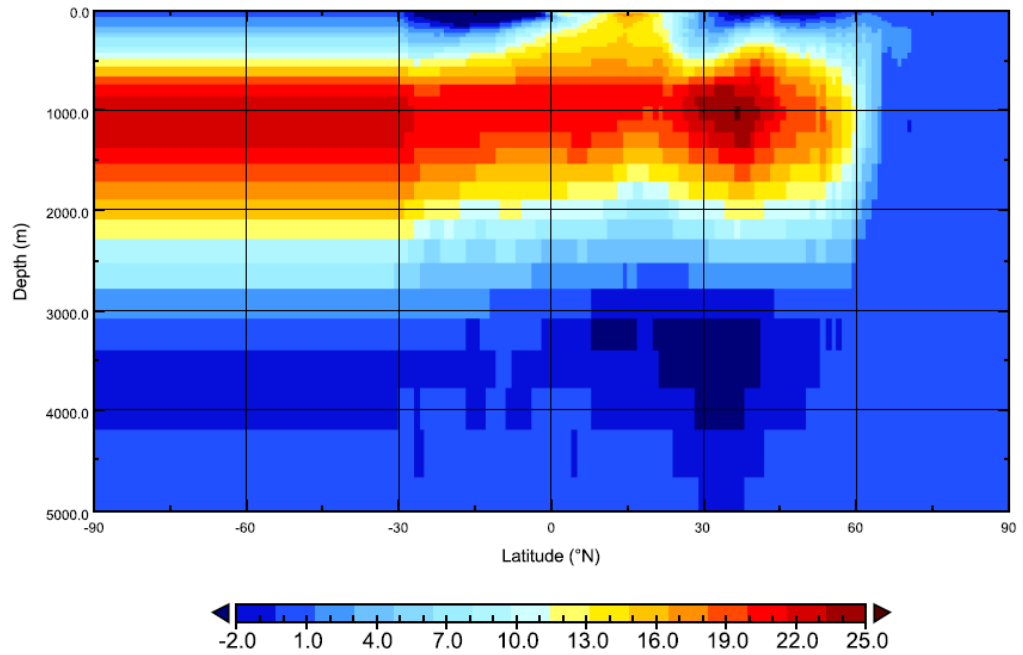


AABW is simulated over the depth range  $\sim 3000 - 4500$  m extending into the North Atlantic realm, with a maximum magnitude of  $\sim 3\text{Sv}$ . The LGM extension of AABW into the North Atlantic is both stronger and more penetrative than in the pre-industrial MPIOM-AFRICA simulation (Figure 3.12) (Hall et al., 2011). A vigorous AMOC at the LGM is also supported by a host of proxy data (Lynch-Stieglitz et al., 2007; Lippold et al., 2012, Ritz et al., 2013), and is simulated by most state-of-the-art ESMs applying PMIP3 boundary conditions for the LGM (discussed by Oka et al., 2012). However, most proxy data suggest a shoaled upper AMOC branch during the LGM compared with the Holocene or present day (Marchitto and Broecker, 2006; Lynch-Stieglitz et al., 2007; Oppo and Curry, 2012; Gebbie, 2014). This is not simulated by the MPIOM-AFRICA configuration (comparing Figures 3.12 and 3.25), nor some other ESMs, and may be related to the time required for the ocean to reach complete equilibrium. Zhang et al. (2013) indicated that the time required to reach complete equilibrium may be on the order of many thousands of years, and is dependent on the model itself. The depth of the modelled LGM AMOC is also dependent on the southward relocation of the glacial NADW formation sites and therefore the position of the sea-ice margins, which again are model dependent.

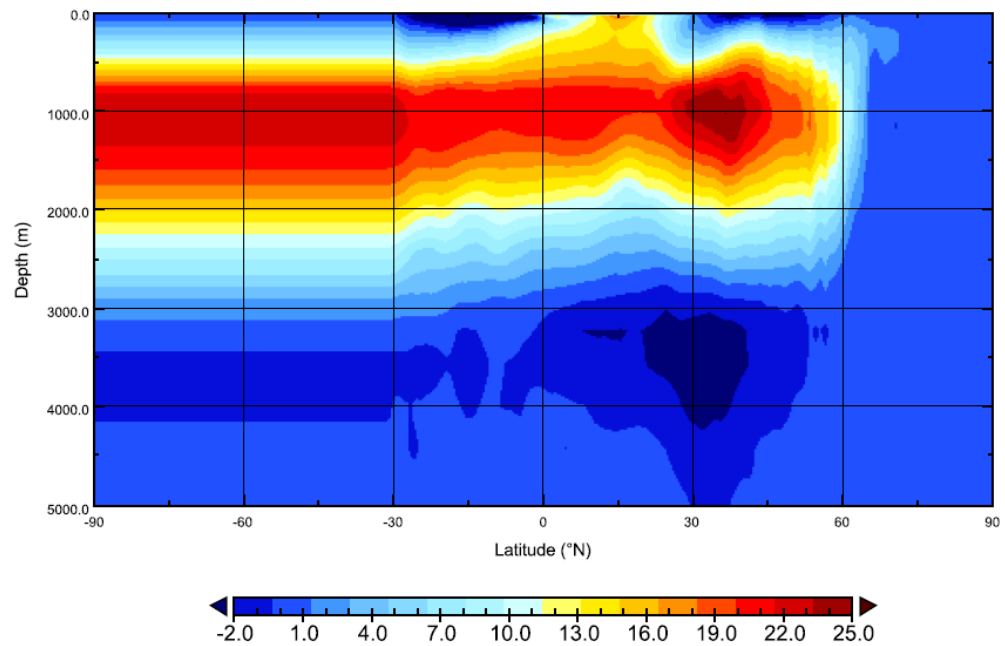


**Figure 3.23** Zonally integrated AMOC (Sv) for the MPIOM-AFRICA climatological annual mean LGM simulation, showing depth levels as simulated by the model. Upper levels are more highly resolved in

COSMOS, exemplified by the AMOC upper branch which is simulated over depth levels 0 to ~30. AABW is simulated over depth levels ~30 to 40. Per definition the AMOC is defined north of 30°S.



**Figure 3.24** Zonally integrated AMOC (Sv) for the MPIOM-AFRICA climatological annual mean LGM simulation, interpolated (from Figure 3.23) to real depth coordinates. Per definition the AMOC is defined north of 30°S.



**Figure 3.25** Zonally integrated AMOC (Sv) for the MPIOM-AFRICA climatological annual mean LGM simulation, spatially interpolated (from Figure 3.24) to smooth the data for visualisation. Per definition

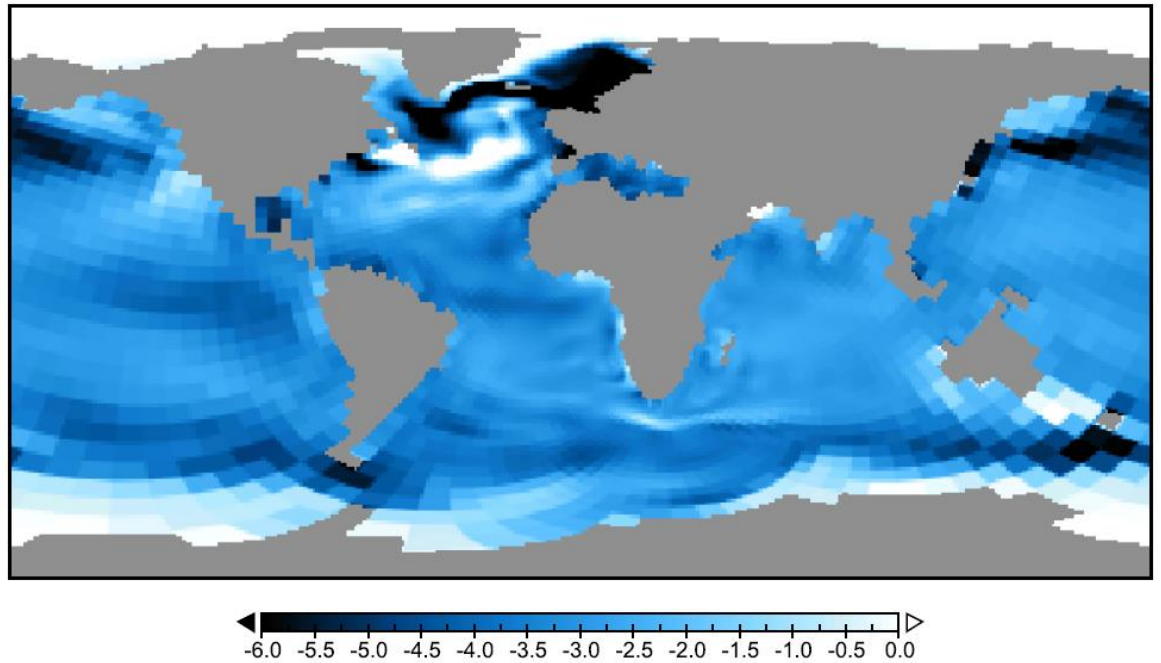
the AMOC is defined north of 30°S. The simulation is similar to that documented by Zhang et al. (2013) for the MPIOM-CTRL setup, adding further credence to the validity of the MPIOM-AFRICA configuration.

The MPIOM-AFRICA annual mean SST anomaly between the LGM and the pre-industrial simulations is shown in Figure 3.26. The general simulated temperature decrease is similar to that of the MPIOM-CTRL LGM simulation (Zhang et al., 2013). Global mean SST is 15.6°C for MPIOM-AFRICA and 15.3°C for MPIOM-CTRL LGM simulations (Zhang et al., 2013), 2.6°C and 2.4°C lower than the corresponding pre-industrial simulations. The difference between the two configurations may be due to the differing model spin-up times required to reach complete equilibrium (Zhang et al., 2013).

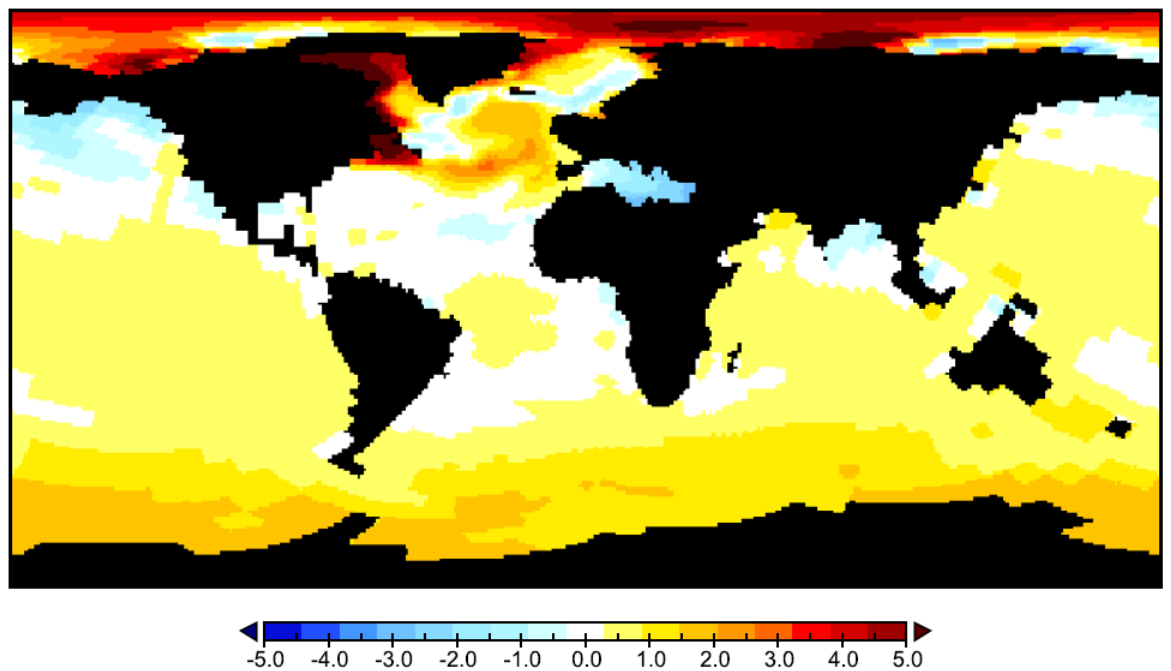
In the high latitudes of both hemispheres MPIOM-AFRICA simulates pronounced annual mean SST cooling (Figure 3.26). The pronounced cooling around Antarctica and across the Greenland-Iceland-Norwegian (GIN) seas is in line with proxy data reconstructions of the LGM climate (Gersonde et al., 2005; Kucera et al., 2005; De Vernal et al., 2006). Global temperature decreases in the range 0°C to 12°C, are generally in agreement with MARGO data reconstructing SSTs at the LGM (Waelbroeck et al, 2009). However, absent from the MPIOM-AFRICA LGM simulation are large zonal temperature gradients (including warming in parts of the Pacific Ocean) revealed by the MARGO project. It appears that fully-coupled ESMs are unable to reproduce this feature of the LGM surface ocean (Waelbroeck et al, 2009).

Modelled global mean sea surface salinity is generally higher during the LGM compared to the pre-industrial case, and more pronounced at high latitudes (Figure 3.27). The increased salinity is anticipated due to the addition of 1 psu to the glacial ocean in accordance with the PMIP3 protocol (see Chapter 2). Adkins et al., (2002) suggested that the freshwater budget at the poles was quite different during the LGM, possibly owing to the increased annual formation of sea-ice (Figure 3.28) and resultant brine rejection. The modelled salinity increase is particularly pronounced (by up to 5 psu) throughout the Arctic Ocean, potentially amplified by the expected decrease in the

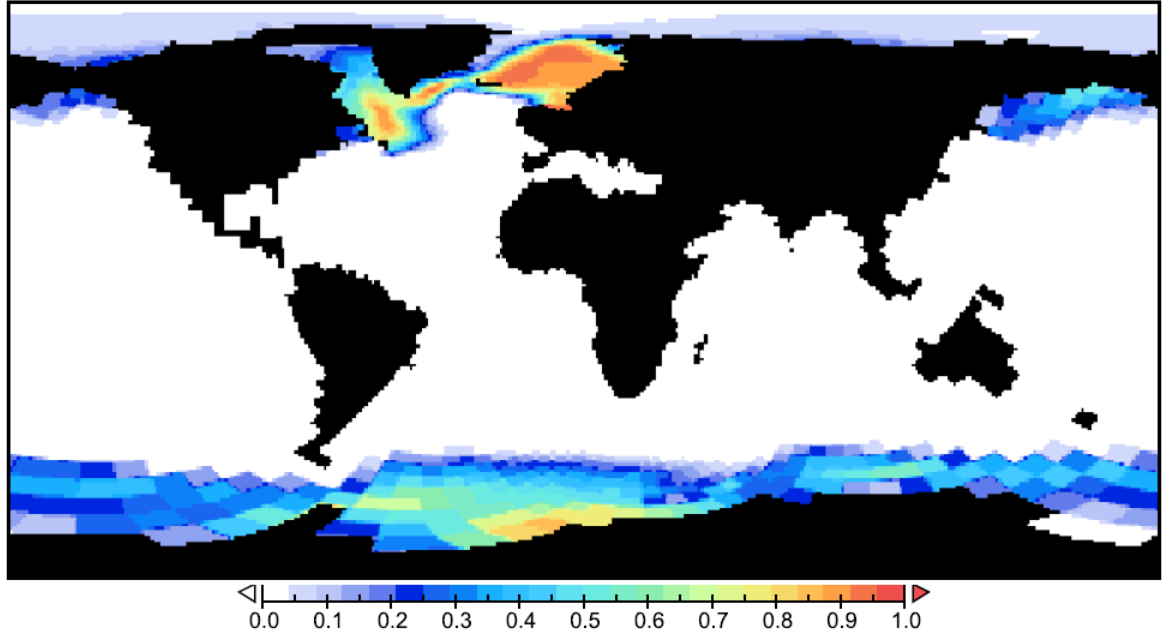
flux of fresh water from frozen boreal rivers during glacial conditions (Cronin et al., 2012).



**Figure 3.26** Global sea surface temperature (SST) anomaly (°C) between the LGM and pre-industrial simulations. Positive values have been filtered.



**Figure 3.27** Global sea surface salinity (SSS) anomaly between the LGM and pre-industrial simulations (psu). Salinity increases are pronounced in the polar regions.



**Figure 3.28** Global annual sea-ice fraction (compactness) anomaly between the LGM and pre-industrial simulations. Increased glacial sea-ice formation and brine rejection may amplify the salinity response at polar regions (Figure 3.27).

### 3.3 Summary and Conclusions

Fully coupled ESMs are known to overestimate the transport of warm saline Indian Ocean waters into the Atlantic Basin (Agulhas leakage) via the I-AOG (Weijer et al. 2012; Völker and Köhler, 2013; Weijer and van Sebille, 2014), and often overestimate the Drake Passage throughflow (for example the COSMOS MPIOM-CTRL configuration). In order to accurately simulate rates of I-AOG and Drake Passage transports under past climate conditions, it is first necessary to get these transport rates correct for the modern ocean. Therefore, in this chapter an adapted ocean model configuration (MPIOM-AFRICA) aiming to address the problems associated with misrepresented ocean gateway transports has been developed and documented.

The adapted MPIOM-AFRICA ocean model configuration was utilised within the pre-existing COSMOS ESM (documented by Stepanek and Lohmann, 2012) with the primary objective of improving the simulation of I-AOG water transport. Although the model neither permits nor resolves small-scale turbulent eddy structures (Agulhas rings for example), it does simulate very reasonable climatological mean pre-industrial rates of I-AOG and Drake Passage transports ( $18.4 \pm 1.6$  and  $111.4 \pm 7.2$  respectively). These transport rates are comparable with ocean observational data (Cunningham et al., 2003; Richardson, 2007) and other high-resolution ocean models (Bjastoch et al., 2008; Bjastoch et al., 2009; Durgadoo et al., 2013; R  hs et al., 2013). The simulation of the transport through these ocean gateways using MPIOM-AFRICA is therefore an improvement on the pre-existing MPIOM-CTRL configuration which overestimates both;  $46.1 \pm 2.3$  and  $245.4 \pm 4.2$  for the I-AOG and Drake Passage, respectively.

Moreover, the MPIOM-AFRICA configuration simulates a global climate comparable with the MPIOM-CTRL version, with both model configurations generally in reasonable agreement with World Ocean Atlas observational data 2009 (Locarnini et al., 2010). MPIOM-AFRICA simulates reasonable global SSTs, SSS, barotropic stream function (an indicator of oceanic gyre systems), ocean velocities (indicators of ocean current strength), and mixed layer depth (an indication of ocean convection sites in the North Atlantic and Southern Ocean). Additionally, the LGM simulation performed using the MPIOM-AFRICA model configuration is similar to that using the MPIOM-CTRL version (Zhang et al., 2013), and modelled LGM global SST and SSS data are in good agreement with a host of proxy data (Adkins et al., 2002; Gersonde et al., 2005; Kucera et al., 2005; de Vernal et al., 2006; Waelbroeck et al., 2009; Cronin et al., 2012).

However, a major drawback of both the MPIOM-AFRICA and MPIOM-CTRL configurations is the absence of a significant salinity difference between the South Indian and South Atlantic oceans, meaning that the modelled I-AOG transport (Agulhas leakage) is unlikely to impact upon the strength of the AMOC. A similar model issue has recently been documented by Weijer and van Sebille, (2014) for CCSM4. It is therefore recommended that these ESMs should not be used for sensitivity studies testing the impact of Agulhas leakage on AMOC strength and geometry. The models are nevertheless important tools that can be adopted for the assessment of changes at the I-

AOG and Drake Passage warm and cold water route gateways in response forcing scenarios - an idea which comprises a significant component of the rest of this thesis.

In summary, the MPIOM-AFRICA ocean model, used within COSMOS, provides an excellent platform for the investigation of palaeo-rates of I-AOG transport (Agulhas leakage) and Drake Passage throughflow, both of which have been suggested to have been important climate components in the past (Knorr and Lohmann, 2003; Peeters et al., 2004; McCave et al., 2013). Specifically, the model acts as a sophisticated tool for determining changes at the I-AOG and Drake Passage in response to the application of glacial boundary conditions (for glacial-interglacial investigations, discussed in Chapter 4) and abrupt AMOC shifts (millennial scale climate change, discussed in Chapter 5). Taken together the model represents a step forward in the study of palaeoclimates.

## 4. Glacial-interglacial changes at the I-AOG and Drake Passage

### **4.1 Introduction**

Glacial-interglacial cycles of the Late Pleistocene are predominantly controlled by variations in orbital forcing (Milankovic, 1941, Hays et al. 1976) and modulated by greenhouse gas concentrations (Lüthi et al., 2008). However internal feedbacks from other system processes can affect and modulate the rates and magnitude of glacial-interglacial climate change. A significant internal component of the global climate system is the Atlantic Meridional Overturning Circulation (AMOC), which is characterised by deep water convection in the North Atlantic and compensated for by Southern Hemisphere sourced water masses via the ocean's warm and cold water return routes (Gordon, 1986). Therefore, of potential importance to the climate system on glacial-interglacial timescales are changes to either or both of these surface return water routes.

Agulhas leakage, which constitutes the water transport of the warm water route as it enters the Atlantic Ocean, is estimated to transfer ~15 Sv of warm, salty water into the Atlantic Basin (Richardson, 2007). Modelling studies suggest that this salt input from the Indian to the Atlantic Ocean influences the AMOC via northward basin scale advection to the North Atlantic deep-water formation (NADW) sites (Weijer et al., 2002). Using an ocean general circulation model to simulate the last deglaciation (Termination I), Knorr and Lohmann, (2003) showed that a resumption of a weakened glacial AMOC could be initiated by Southern Hemisphere warming through increased ocean transport at the I-AOG and Drake Passage. This idea has been supported by inference from proxy data, specifically counts of the planktonic foraminifera *Globorotalia menardii* and the Agulhas Leakage Fauna (ALF) planktonic foraminiferal assemblage, suggesting that the Agulhas leakage volume transport increased, during glacial terminations, from weak late-glacial to strong interglacial levels, and potentially triggered the resumption of the AMOC to a more vigorous interglacial level (Peeters et



al., 2004; Caley et al., 2012; Bard and Rickaby, 2009). Using an Agulhas leakage efficiency index, Caley et al. (2014) recently suggested that the persistent peaks in Agulhas leakage during glacial terminations over the past 640 kyr were on the order of  $\sim 10$  Sv or more, increasing from late-glacial levels ranging between  $\sim 1$  Sv and  $\sim 7$  Sv. In particular, Agulhas leakage at the LGM has been estimated at  $\sim 10$  Sv reduced compared to today (Caley et al., 2014) (Figure 4.1). However this hypothesis has yet to be tested with a climate model capable of simulating a realistic quantitative rate of I-AOG transport for the modern ocean.

On glacial-interglacial timescales the rate of Agulhas leakage is believed to be largely controlled by the position of the subtropical front, which acts as a 'gatekeeper' for water transport from the Indian to Atlantic Ocean (Bard and Rickaby, 2009). Ocean models have shown that increases in Agulhas leakage occur in response to poleward shifts of the subtropical front, and associated changes in the position of the zero wind stress curl (Biaostoch et al., 2009), although this hypothesis has recently been reinterpreted (Durgadoo et al., 2013; De Boer et al., 2013). Durgadoo et al. (2013) showed that, although the leakage is driven predominantly by the Southern Hemisphere westerlies, a southward shift actually results in a decreased Agulhas leakage. These two scenarios can be reconciled by suggesting that the leakage responds predominantly to the intensity of the westerlies. Additionally, the position and intensity of the Southern Hemisphere westerlies during glacials remains ambiguous, with some studies suggesting no significant change at all (Kohfeld et al., 2013). It is therefore unclear whether shifts of the wind fields did in fact act to alter glacial-interglacial rates of water transport through the I-AOG.

On the other hand the Drake Passage acts as a major choke point for the Antarctic Circumpolar Current (ACC) and the cold water route, transporting  $134 \pm 11$  Sv (Cunningham et al., 2003), of which  $\sim 6.5$  Sv has been calculated to reach the North Atlantic (Speich et al., 2001). Surface and intermediate water masses flowing through Drake Passage are believed to strongly affect the AMOC strength, in concert with the warm water route inflow of warm and salty Indian Ocean water masses through Agulhas Leakage (Gordon, 1986; Beal et al., 2011). The ACC flow rate and Drake Passage transport have been suggested to be strongly coupled to Southern Hemisphere wind

stress forcing across the entire circumpolar belt (Allison et al., 2010; Meredith et al., 2011; Marshall et al., 2012). More recently it has been proposed that the momentum imparted to the ocean by winds is modulated by sea ice cover (McCave et al., 2013).

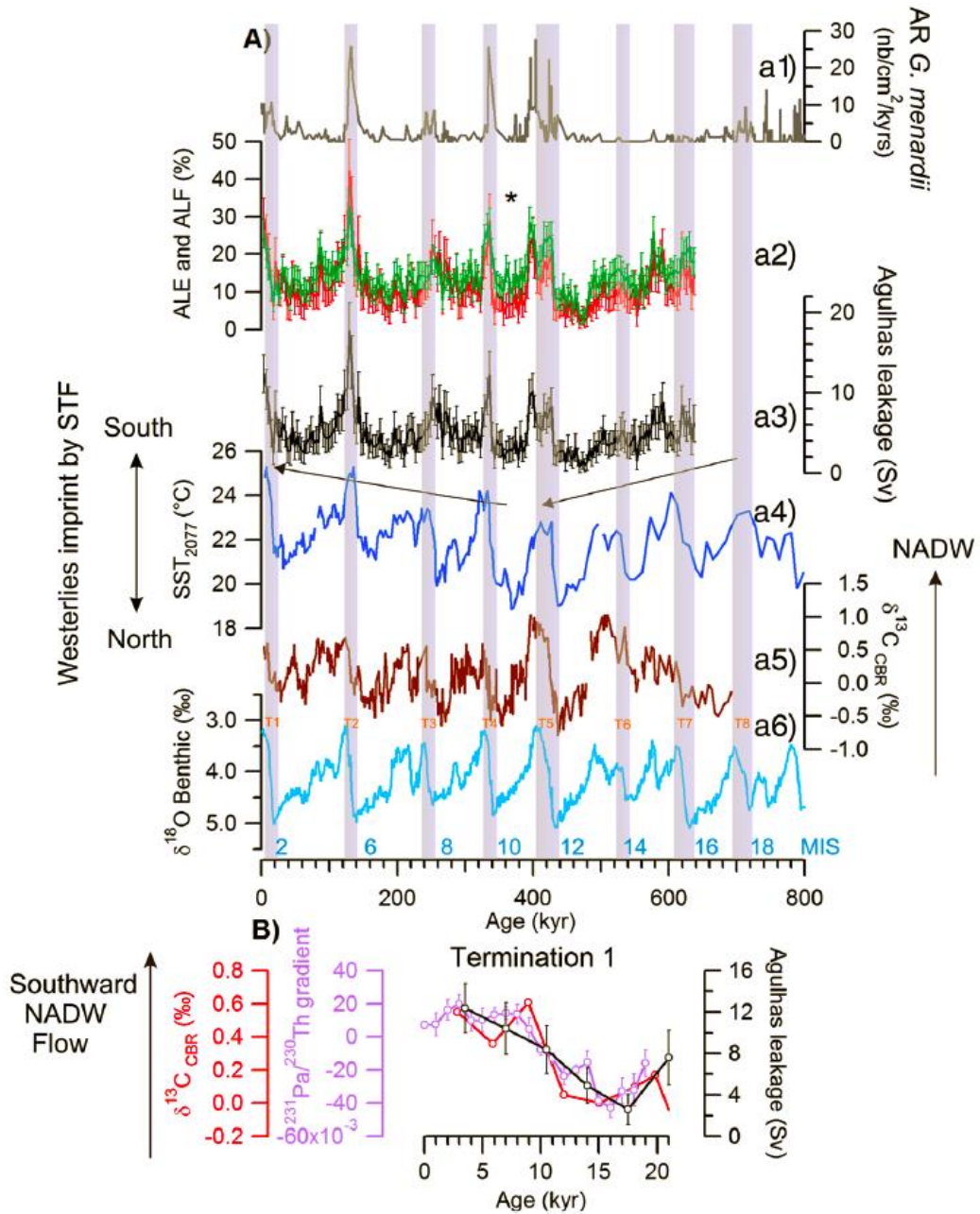
However, on glacial-interglacial timescales, due to a paucity of proxy data, very little is known about the rate of ACC and Drake Passage transports, and remains a much debated topic. Only one study has so far conducted a thorough investigation of the glacial-interglacial rates of ACC and Drake Passage transports (McCave et al., 2013). In that study the authors documented a series of proxy data for the LGM and Holocene outlining bottom water speeds in the Drake Passage and Scotia Sea, and concluded that there was little or no change of the ACC between those time periods (Figure 4.2). However, the recent development of the notion that the ocean currents at these latitudes are modulated by the combination of wind strength and sea-ice cover (McCave et al., 2013) leads to the suggestion that proxy data at other locations across the ACC may produce different results and conclusions in relation to the nature of the Drake Passage throughflow on glacial-interglacial timescales.

#### **4.1.1 Study Approach**

State-of-the-art fully-coupled Earth System Models are known to misestimate the modern rate of both Indian-Atlantic Ocean Gateway (I-AOG) and Drake Passage water transports (Weijer et al., 2014; Weijer and van Sebille, 2014) (see Chapter 3), and the requirement for investigation of the palaeo Agulhas leakage with improved climate models has been pointed out (Caley et al., 2011). Investigations of palaeo rates of Drake Passage throughflow by climate models are also essential for comparison with recent studies (McCave et al., 2013) and Southern Ocean proxy data which may become available in the future.

In this chapter the results from the new ocean model configuration documented in Chapter 3, particularly pre-industrial and Last Glacial Maximum (LGM) transport rates at the I-AOG and Drake Passage, are investigated and compared with proxy data from the wider Agulhas and Drake Passage regions. With respect to the Drake Passage our

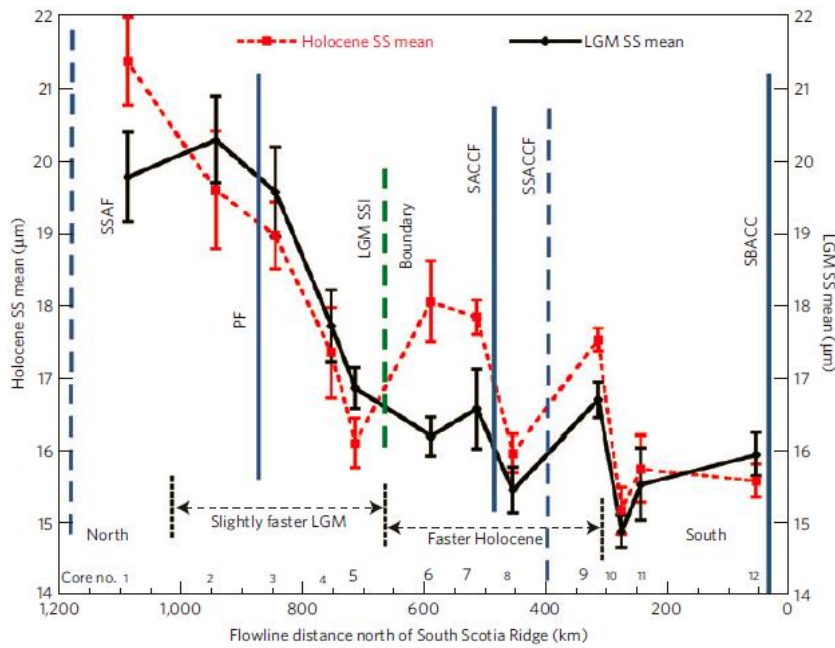
model results are compared with new proxy data from marine sediment core MD07-3128 at the entrance of the Strait of Magellan in the Cape Horn Current (Lamy et al., submitted) (see Chapter 2 for details).



**Figure 4.1** Role of Agulhas leakage variability on ocean overturning circulation, from Caley et al. (2014). (a) Long-term changes over the late Quaternary. (a1) Qualitative index for the Agulhas leakage (accumulation rate of *G. menardii*) (Caley et al., 2012). (a2) Agulhas leakage fauna (Peeters et al., 2004) and Agulhas leakage efficiency indices with associated uncertainties. The star indicates significant differences between the ALF index and the ALE index during MIS 10. (a3) Changes in Agulhas leakage (Sv) based on model regression. (a4) Position of the subtropical front, documented by sea surface

## Chapter 4. Glacial-interglacial changes at the I-AOG and Drake Passage

temperature changes at site MD96-2077 (Bard and Rickaby, 2009). (a5) Benthic  $\delta^{13}\text{C}$  at the Cape Basin record (composed of core GeoB-3603-2 (2840m depth) and core MD96-2081 (3164m depth)) used as a proxy for the interplay between northern and southern component waters (SCW). The two arrows indicate the long-term changes in southern hemisphere westerlies imprinted by the subtropical front according to a 400 kyr periodicity and the corresponding changes in the Agulhas leakage. (a6) The  $\delta^{18}\text{O}$  of benthic foraminifera (LR04 stack) as a proxy for ice volume changes (Lisiecki and Raymo, 2005). MIS denotes marine isotopic stage during glacial periods. Tx denotes Terminations. Gray frames denote periods of increased Agulhas leakage during Terminations. (b) A focus on termination 1 showing the link between Agulhas leakage and change in the basin-scale deep Atlantic circulation. Radiogenic isotope pair  $^{231}\text{Pa}$  and  $^{230}\text{Th}$  gradient between northern and southern Atlantic (Negre et al., 2010) as a quantitative proxy of the abyssal flow rate. During the Holocene, the  $^{231}\text{Pa}/^{230}\text{Th}$  gradient redirect a southward flow of NADW. During the LGM, the situation is different with SCW that leave the southern Ocean to ventilate the deep Atlantic. The lower bathyal benthic  $\delta^{13}\text{C}$  at the Cape Basin record and changes in Agulhas leakage are also indicated.

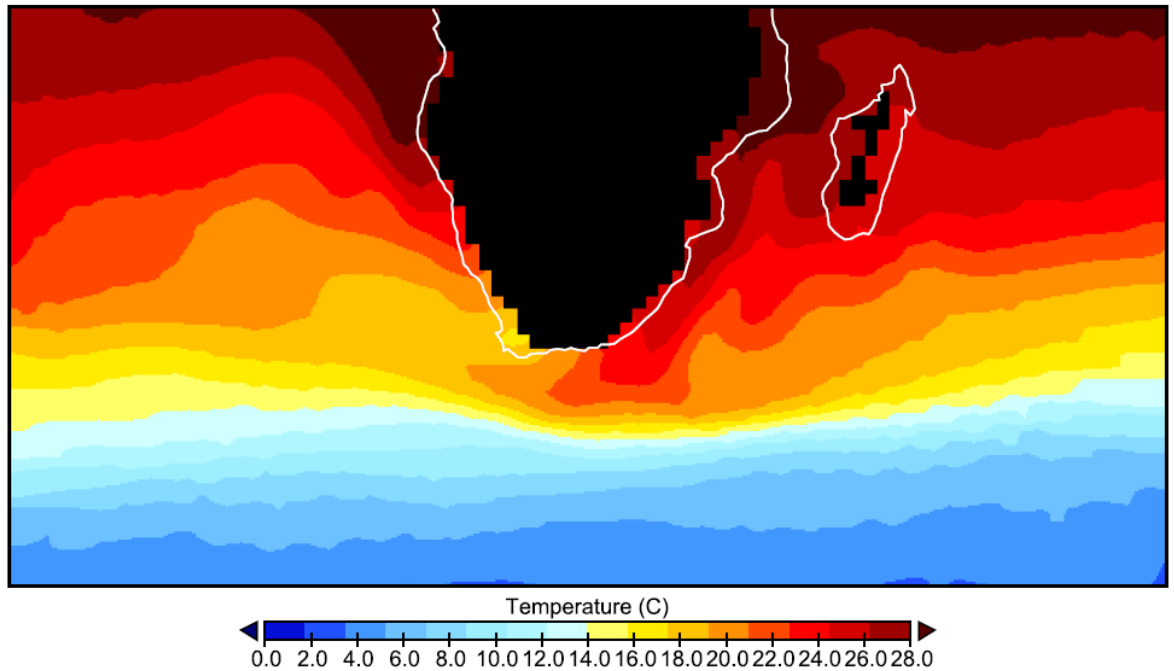


**Figure 4.2** Average SS particle size, from McCave et al. (2013). SS averaged for the LGM and Holocene. Error bars are  $\pm 2$  s.e.m (analytical error of  $\pm 0.5 \mu\text{m}$  is not propagated). Larger core numbers indicate significant ( $P < 0.01$ ) LGM–Holocene differences. Modern frontal positions (and subsidiaries SSAF and SSACCF) are indicated (Sokolov et al., 2009). South of  $56^\circ\text{S}$ , LGM flow was significantly slower than Holocene flow (cores 6–9), whereas cores 10–12 show no significant change. North of  $56^\circ\text{S}$  (cores 2–5), flow during the LGM was faster than further south, with a non-significant decrease in the Holocene.

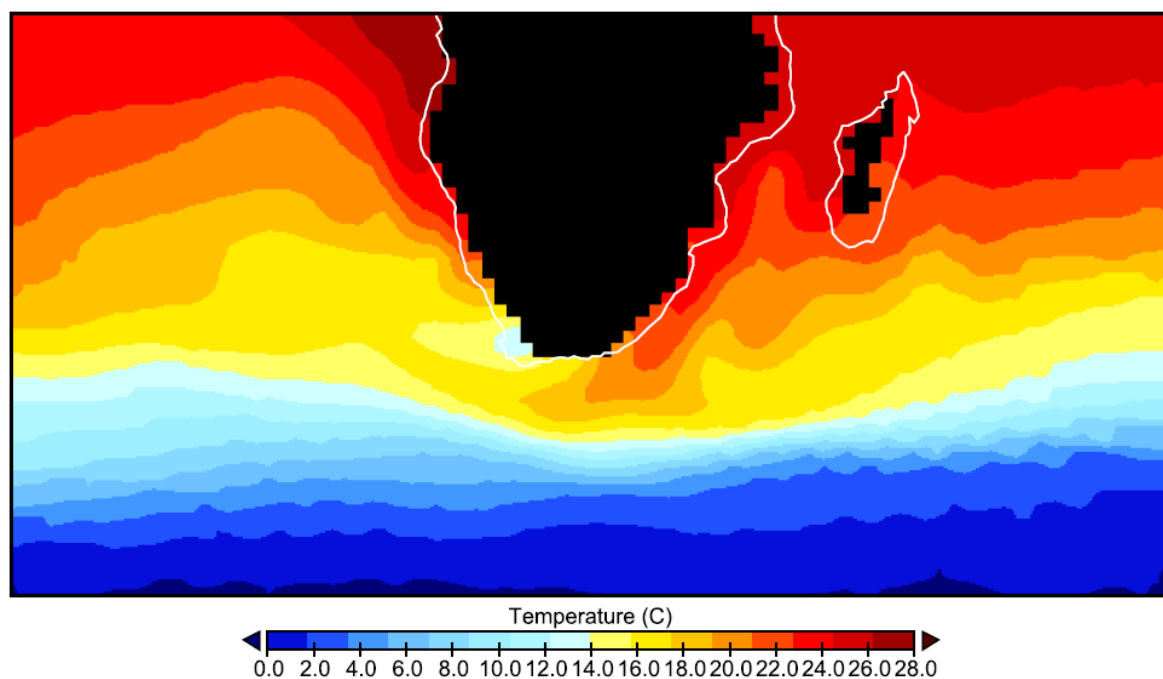
## 4.2 Results

### 4.2.1 Modelled sea surface temperatures in the Agulhas region

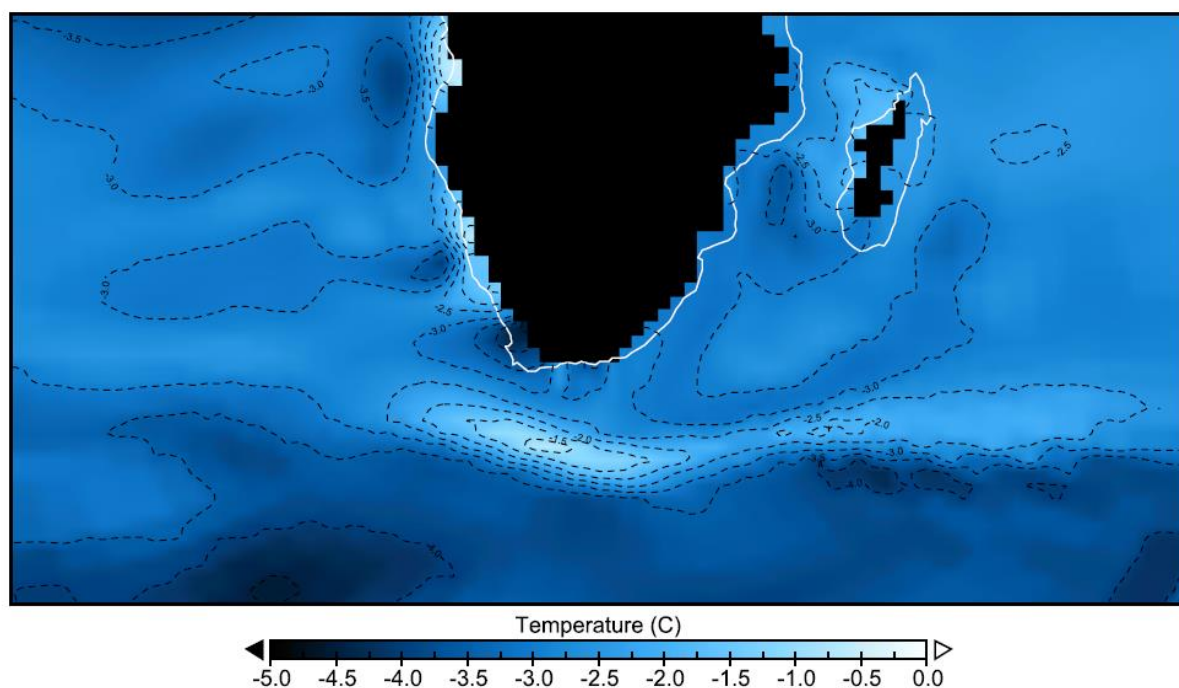
Modelled annual mean SSTs for the LGM and pre-industrial climate states, as well as their anomaly, are shown in Figures 4.3, 4.4, and 4.5. Pre-industrial annual mean SSTs show general agreement with modern observations (see Chapter 3). A tongue of warm water is visible directly south of Africa, which contrasts to lower regional temperatures at similar latitudes, and owes to the southward flowing Agulhas Current along the southeast coast of the African continent (Figure 4.9). LGM temperatures show a glacial cooling in the range of 0.5°C to 4.5°C across the region (Figures 4.4 and 4.5), consistent with glacial cooling experienced in the tropics (Waelbroeck et al, 2009). SSTs do not increase at any location in the region. Maximum levels of cooling occur across the ACC and at some locations above the African continental shelf, for example throughout the Benguela upwelling system (Figure 4.5).



**Figure 4.3** Pre-industrial annual mean SSTs as simulated by the MPIOM-AFRICA model configuration. Apparent is the tongue of warm water directly south of Africa, which contrasts to lower regional temperatures at similar latitudes because of the southward flowing Agulhas Current along the southeast coast of the African continent.



**Figure 4.4** LGM annual mean SSTs as simulated by the MPIOM-AFRICA model configuration. Apparent is the tongue of warm water directly south of Africa, which contrasts to lower regional temperatures at similar latitudes because of the southward flowing Agulhas Current along the southeast coast of the African continent.



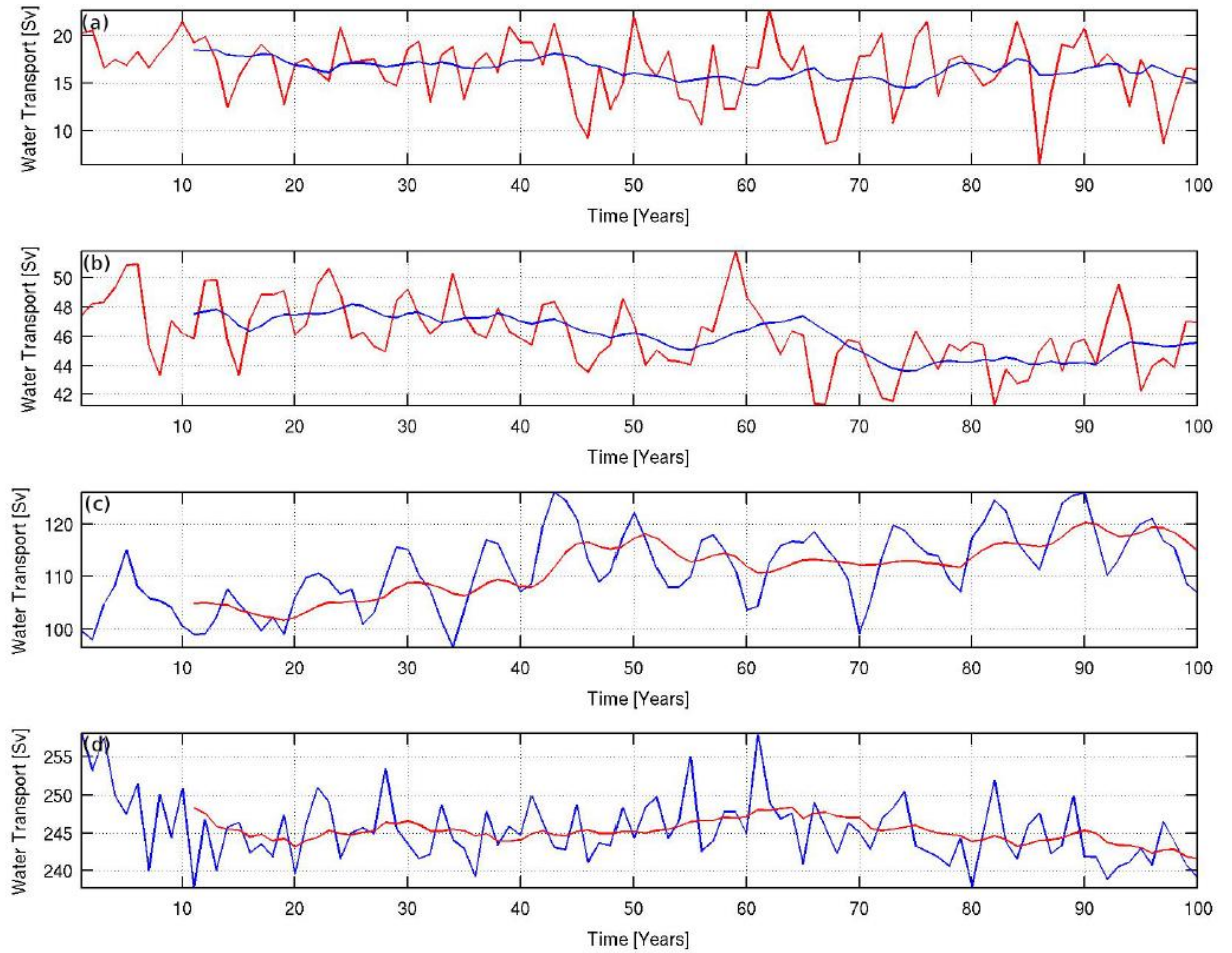
**Figure 4.5** Anomalous annual mean SSTs (LGM – PI) as simulated by the MPIOM-AFRICA model configuration. A general LGM cooling in the range of 0.5°C to 4.5°C is simulated across the region, consistent with glacial cooling experienced in the tropics (Waelbroeck et al, 2009).

#### **4.2.2 Indian-Atlantic Ocean Gateway transport**

Over the 100 year climatological mean, LGM water transport through the I-AOG is estimated at  $15.1 \pm 2.5$  Sv using MPIOM-AFRICA (see Table 3.1). This compares with a pre-industrial I-AOG transport of  $18.4 \pm 1.6$  Sv. The pre-industrial I-AOG transport shows good agreement with modern observations (Richardson et al, 2007), other high resolution non-coupled ocean models (Rühs et al., 2013) and with a calculation based on the modern Agulhas leakage efficiency index (Caley et al., 2014). The glacial LGM simulations using both model configurations (MPIOM-AFRICA and MPIOM-CTRL) demonstrate that the transport of Indian Ocean waters to the south-east Atlantic was persistent and strong during the LGM (Table 3.1). The 100 year pre-industrial timeseries for the I-AOG water transport in both the MPIOM-CTRL and MPIOM-AFRICA configurations are shown in Figure 4.6.



#### *Chapter 4. Glacial-interglacial changes at the I-AOG and Drake Passage*

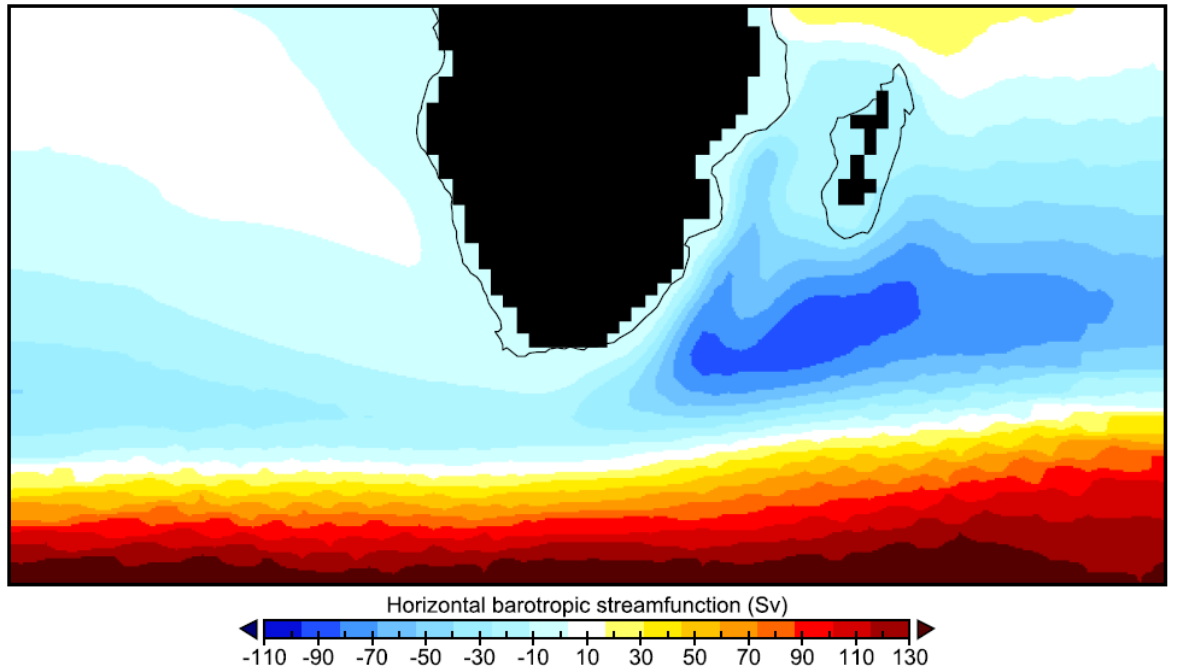


**Figure 4.6** I-AOG and Drake Passage water transport timeseries for the pre-industrial 100 year climatology, utilising the MPIOM-AFRICA and MPIOM-CTRL setups: (a) I-AOG transport for the MPIOM-AFRICA setup (Sv), (b) I-AOG transport for the MPIOM-CTRL setup (Sv), (c) Drake Passage throughflow for the MPIOM-AFRICA setup (Sv), (d) Drake Passage throughflow for the MPIOM-CTRL setup (Sv). The blue curves in (a) and (b) and the red curves in (c) and (d) indicate the 10 year moving mean values. The associated mean values and standard deviations can be found in Table 3.1.

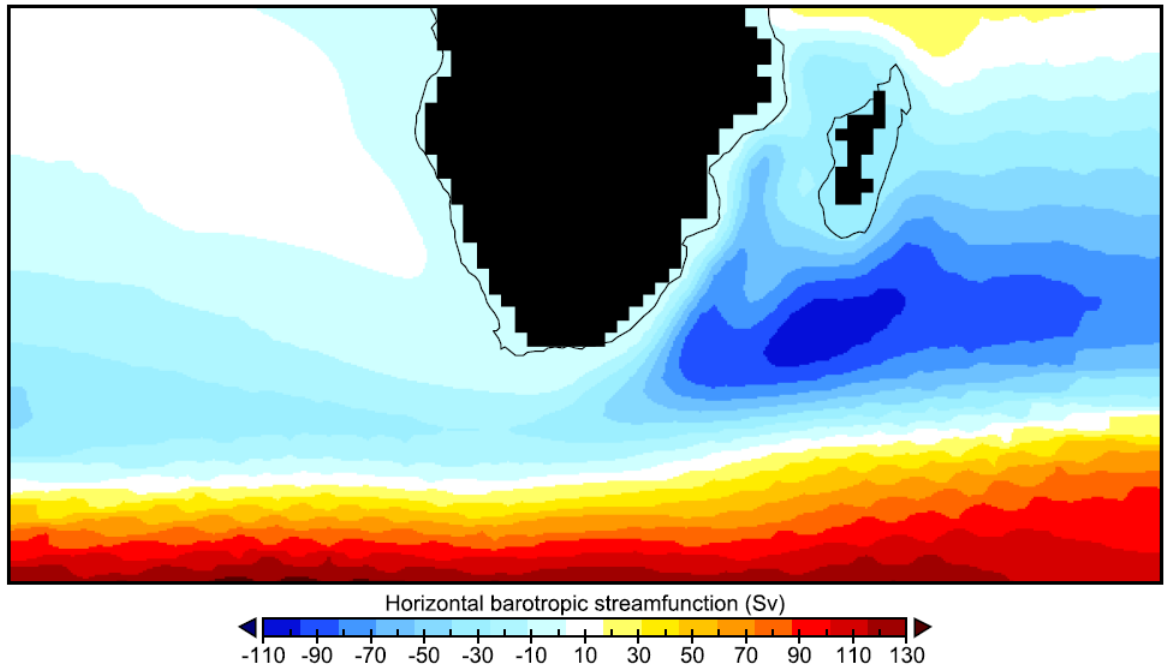
Barotropic stream function in the wider Agulhas region is shown for the pre-industrial and LGM climate states in Figure 4.7 and Figure 4.8, respectively. This model output variable is indicative of the strength of gyre systems. The pre-industrial and LGM simulations both show South Indian Ocean and South Atlantic Ocean sub-tropical gyre systems which are interconnected, forming part of the Southern Hemisphere supergyre (Speich et al., 2007). The maximum Barotropic stream function in the South Indian Ocean sub-tropical gyre is  $\sim 110$  Sv for the LGM simulation, representing a slight increase compared to the pre-industrial case ( $\sim 100$  Sv), implying that this gyre system was more vigorous during the LGM. This result is in agreement with the conclusions of



Simon et al. (2013).



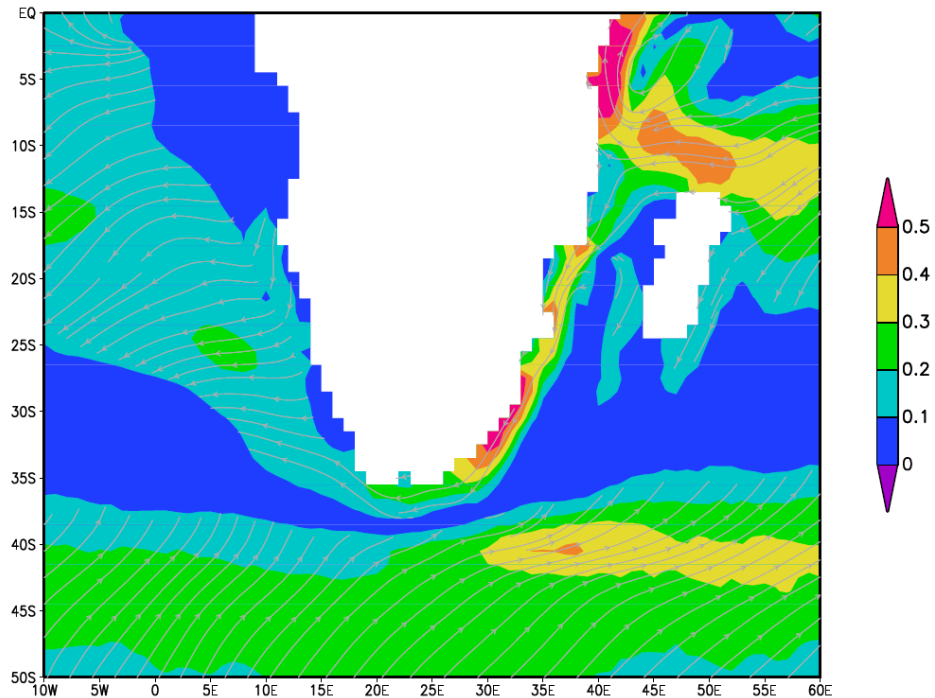
**Figure 4.7.** Barotropic streamfunction (Sv) for the pre-industrial climate state as simulated by the MPIOM-AFRICA model configuration. The interconnected South Indian Ocean and South Atlantic Ocean sub-tropical gyre systems are simulated. The maximum stream function in the South Indian Ocean sub-tropical gyre is  $\sim 100$  Sv.



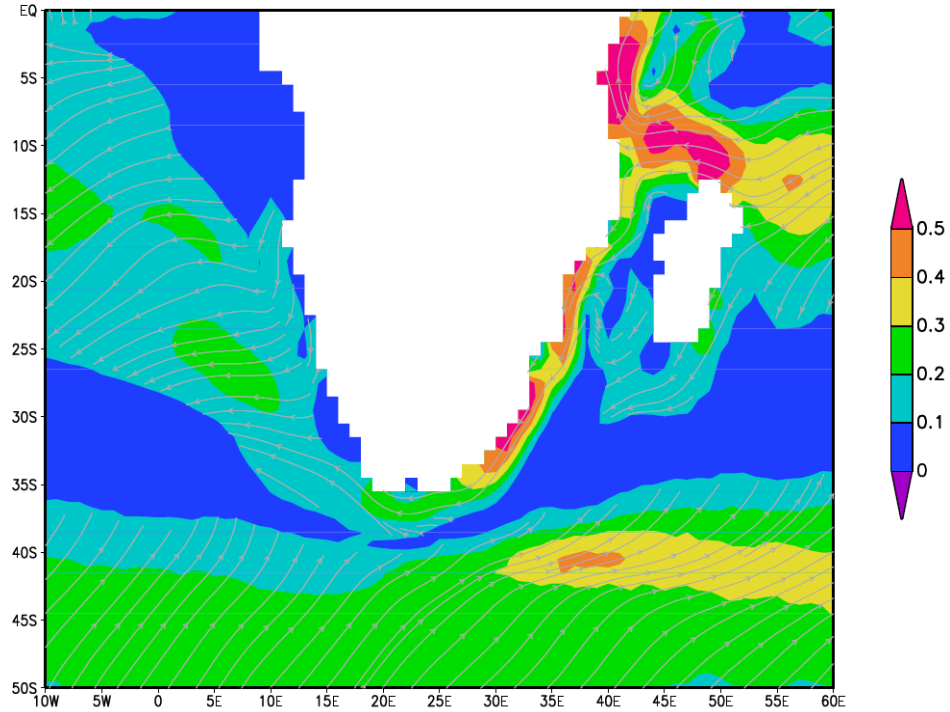
**Figure 4.8.** Barotropic streamfunction (Sv) for the LGM climate state as simulated by the MPIOM-AFRICA model configuration. The South Indian Ocean and South Atlantic Ocean sub-tropical gyre systems are simulated and are interconnected, as in the pre-industrial case. The maximum stream function

in the South Indian Ocean sub-tropical gyre is  $\sim 110$  Sv, representing a slight increase compared to the pre-industrial case.

The surface velocity patterns shown in Figure 4.9 and Figure 4.10 shows a range of upper ocean features, including the I-AOG transport (Agulhas leakage), the Agulhas Current, the East Madagascar Current, the South Equatorial Current, the Mozambique Current, the East African Coastal Current, and the Agulhas Return Current. Comparing the pre-industrial and LGM simulations it appears that a number of currents in the South Indian Ocean are more vigorous during the LGM. These more vigorous currents include the Mozambique Current, the South Equatorial Current, the East Madagascar Current, and the Agulhas Return Current. This picture is consistent with the overall idea of an increased Indian Ocean subtropical gyre system during the LGM (Figure 4.7 and Figure 4.8) (Simon et al., 2013). No apparent change in the position of the Agulhas retroflexion is detectable, in agreement with the conclusions of Franseze et al., (2009).



**Figure 4.9.** Pre-industrial surface velocities as simulated by MPIOM-AFRICA. Maximum surface speeds of  $> 0.5$  m/s are simulated in the Agulhas Current. The I-AOG transport exists as a viscous flow (non-eddy resolved) south of Africa. A similar plot showing velocity arrows can be found in Figure A.2 in the Appendix.

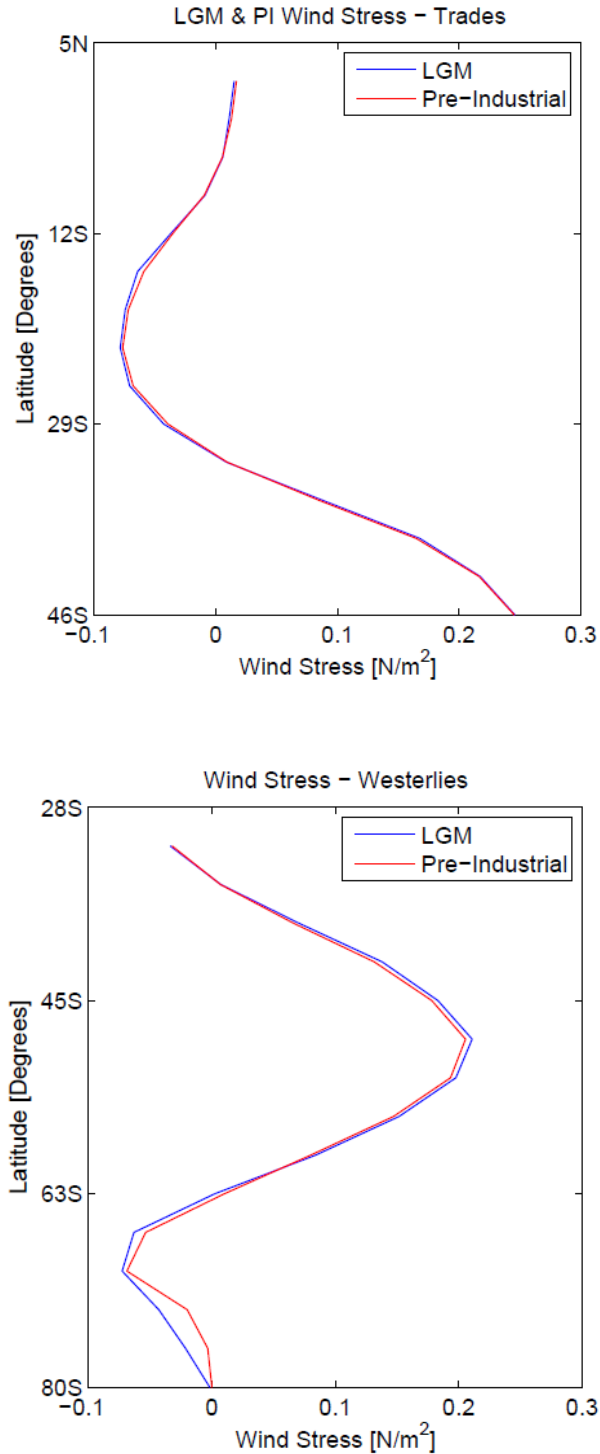


**Figure 4.10.** LGM surface velocities as simulated by MPIOM-AFRICA. Maximum surface speeds of  $> 0.5$  m/s are simulated in the Agulhas Current. The I-AOG transport exists as a viscous flow (non-eddy resolved) south of Africa. The general pattern supports the idea of a stronger South Indian Ocean subtropical gyre system during the LGM compared to the pre-industrial climate simulation. The I-AOG transport at the surface is similar to the pre-industrial case. An anomaly plot of LGM-PI is included in the Appendix (Figure A.3).

### 4.2.3 Southern Hemisphere westerly and trade winds

The relatively weak change of I-AOG transport between the LGM climate simulation and the pre-industrial case ( $15.1 \pm 2.5$  Sv compared to  $18.4 \pm 1.6$  Sv, respectively) is most likely related to the minimal changes in the Southern Hemisphere westerly and trade wind systems simulated by the model (Figure 4.11). The Southern Hemisphere westerlies, which are suggested to predominantly drive Agulhas leakage (Biaostoch et al., 2009; Durgadoo et al., 2013), are relatively insensitive to the application of LGM boundary conditions, increasing in intensity by just  $\sim 5\%$ . In particular, in this model the combination of slightly increased westerlies and trades results in a slightly reduced I-

AOG transport during the LGM. These results are in line with Kohfeld et al. (2013) who conducted a synthesis of proxy data and suggested that the glacial Southern Hemisphere westerlies may not have shifted position nor changed significantly in intensity compared to their modern analogue. Alternatively, the relatively weak model changes in Southern Hemisphere westerlies might be related to the lack of a prescribed Ozone concentration within the PMIP3 protocol for simulation of the LGM, and could be considered for future updates of the protocol (Shindell and Schmidt, 2004). The modelled wind systems might also be relatively insensitive to the changes in orbital forcing, and therefore solar insolation, prescribed by PMIP3.

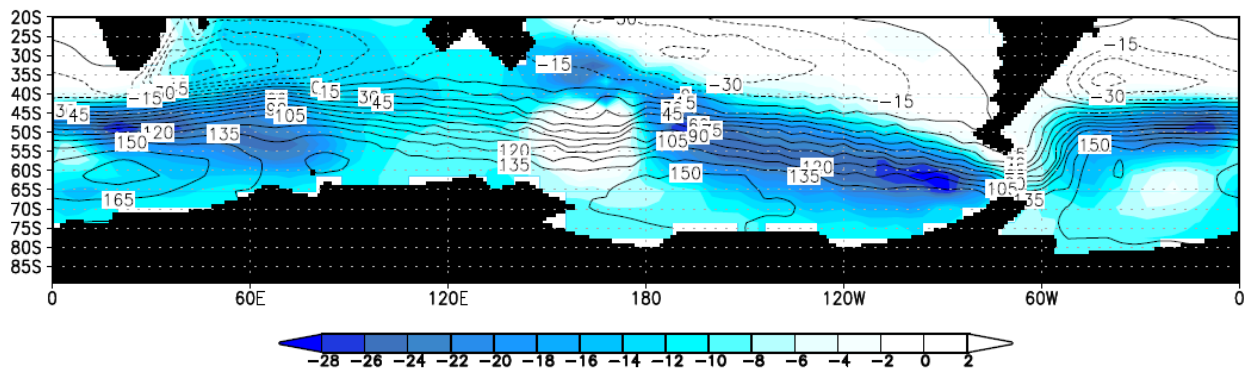


**Figure 4.11** Trade (top panel) and westerly (bottom panel) wind stress for the LGM (blue) and pre-industrial (red) climate states. Westerly wind stress during the LGM increases in intensity by  $\sim 5\%$ . Trade wind stress increases by  $<5\%$ . No latitudinal shift in the position of the westerlies or the trade wind systems is simulated. Trade winds are integrated over latitudes  $0^\circ\text{N}$  to  $46^\circ\text{S}$  and longitudes  $0^\circ\text{W}$  to  $359^\circ\text{W}$ . Westerly wind stress is integrated over latitudes  $30^\circ\text{S}$  to  $80^\circ\text{S}$  and longitudes  $0^\circ\text{W}$  to  $359^\circ\text{W}$ .

#### 4.2.4 Drake Passage transport

Over the 100 year climatological mean, LGM water transport through the Drake Passage is estimated at  $71.5 \pm 10.9$  Sv using MPIOM-AFRICA (Table 3.1). This compares with a pre-industrial Drake Passage transport of  $111.4 \pm 7.2$  Sv. The 100 year pre-industrial timeseries for the Drake Passage throughflow in both the MPIOM-CTRL and MPIOM-AFRICA configurations are shown in Figure 4.6.

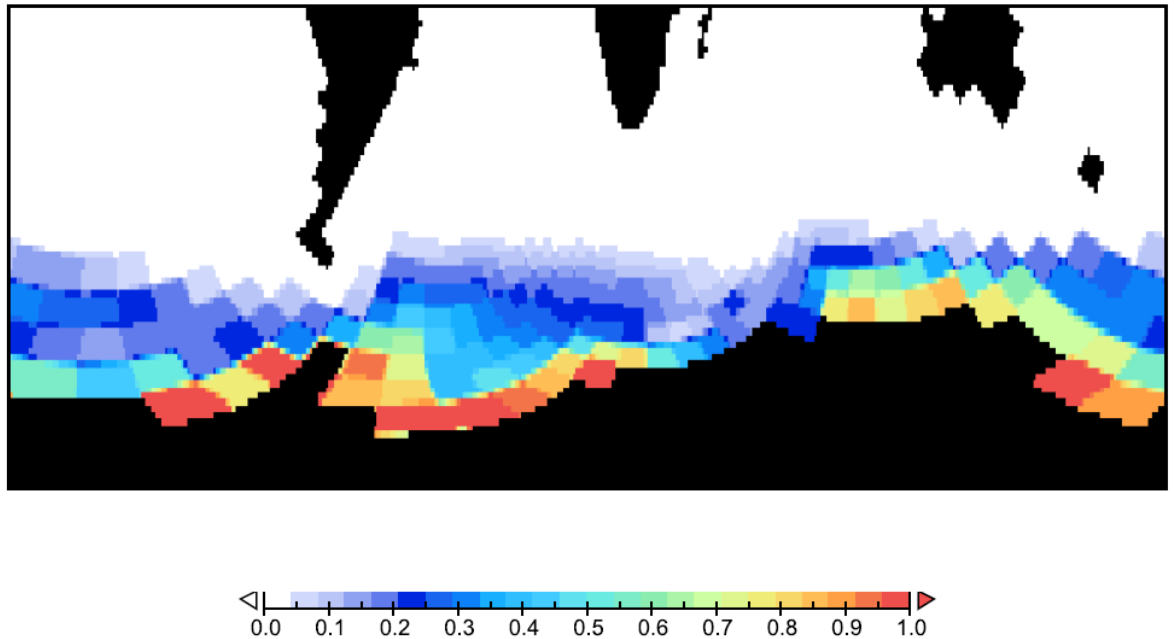
In addition to the Drake Passage throughflow reduction in the LGM simulation compared to the pre-industrial case, a weakened ACC is simulated across most of the Southern Ocean (Figure 4.12). The strength of the ACC is known to be controlled by the wind stress exerted by the Southern Hemisphere westerlies (Allison et al., 2010; Meredith et al., 2011). However, much less documented is the phenomenon of sea-ice acting as a de-coupler between the ocean surface and the wind forcing (McCave et al., 2013, Lamy et al., in preparation). Indeed for the LGM climate case modelled here, no significant change in the position or strength of the Southern Hemisphere westerlies is simulated (Figure 4.11), suggesting that some other mechanism must be the cause of the reduced ACC strength.



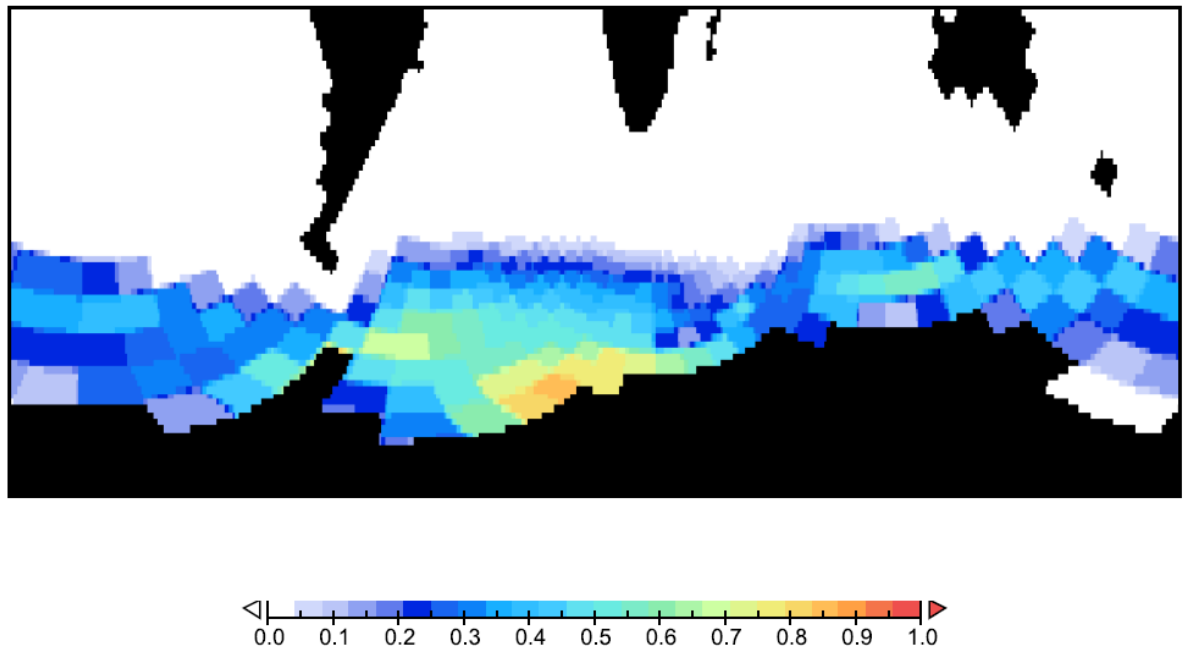
**Figure 4.12** Barotropic streamfunction (Sv) for the pre-industrial (contours) and anomaly between the LGM and pre-industrial cases (colours), indicating a substantially weakened ACC across the Southern Ocean in the LGM simulation. Drake Passage throughflow is reduced by ~36%.

Figures 4.13 and Figure 4.14 show the anomalous annual sea-ice thickness and sea-ice fraction (area) between the LGM and pre-industrial climate states. Annual sea-ice

thickness increases across the Southern Ocean during the LGM, with 20-30% increases in the south-east Pacific Ocean and Drake Passage itself. Annual sea-ice fraction (area) during the LGM also increases across the entire Southern Ocean, with 20-50% increases in the south-east Pacific Ocean and Drake Passage. In COSMOS it is the combination of significantly increased sea-ice thickness and area coverage over the Southern Ocean during the LGM which acts to de-couple the winds from the ocean surface and results in a reduced ACC and Drake Passage throughflow(also suggested by McCave et al., 2013).



**Figure 4.13** Anomalous annual sea-ice thickness (m) showing the difference between the LGM and pre-industrial cases, as simulated by the MPIOM-AFRICA model configuration. Evident is the increased sea-ice thickness during the LGM across the entire Southern Ocean, with 0.2-0.3m increases in the south-east Pacific Ocean and Drake Passage itself. The enhanced LGM sea-ice thickness acts to de-couple the winds from the ocean surface (across the Southern Ocean) and results in a reduced ACC and Drake Passage throughflow.



**Figure 4.14** Anomalous annual sea-ice fraction (compactness) showing the difference between the LGM and pre-industrial cases, as simulated by the MPIOM-AFRICA model configuration. Evident is the increased sea-ice area during the LGM across the entire Southern Ocean, with 20-50% increases in the south-east Pacific Ocean and Drake Passage itself. The enhanced LGM sea-ice area acts to de-couple the winds from the ocean surface (across the Southern Ocean) and results in a reduced ACC and Drake Passage throughflow.

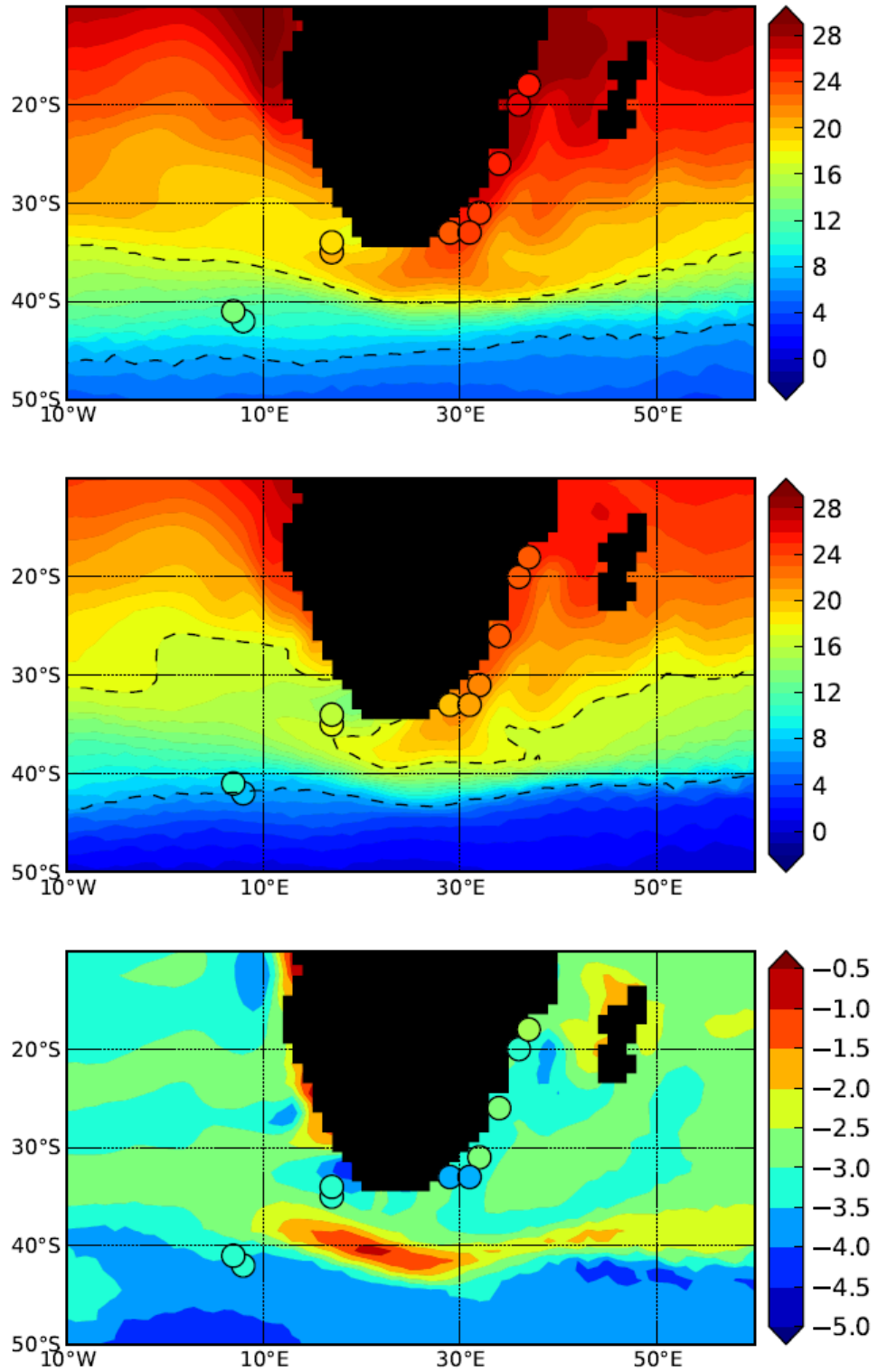
## 4.3 Discussion

### 4.3.1 The Indian-Atlantic Ocean Gateway

In order to assess the quality of the pre-industrial and LGM simulations a data-model SST comparison was performed using a multi-proxy approach for the Agulhas region (Figure 4.15). The modelled LGM sea-surface temperatures are generally in good agreement with proxy data (Bard et al., 1997; Peeters et al., 2004; Bard and Rickaby, 2009; Barker et al., 2009; Martínez-García et al., 2010; Caley et al., 2011; Simon et al., 2013; Kasper et al., 2014; Simon et al., (in preparation); Wang et al., 2013). However it should be noted that the model data itself show SST biases when compared with World Ocean Atlas data (see Figures 3.15 and 3.16). In addition to a general reduction of SSTs in the region during the LGM, an associated equatorward shift of the thermal STF, often used as a proxy to infer changes in Agulhas leakage (Bard and Rickaby, 2009), is



simulated (across most longitudes in the region). Bard and Rickaby, (2009) used their SST data to suggest that palaeo shifts of the (thermal) STF may have acted to control Agulhas leakage and therefore global climate changes on glacial-interglacial timescales. However, shifts in the thermal STF are not related to movements of the dynamical oceanographic fronts (De Boer et al., 2013), and are therefore unlikely to be indicators of past changes in Indian-Atlantic Ocean transport. Migrations of the thermal STF are controlled by the insolation (orbital configuration), CO<sub>2</sub> levels, and the bi-polar thermal see-saw (Barker and Diz. 2014). Oceanographic fronts on the other hand, although lying north of the position of zero wind stress curl (De Boer et al., 2013), are still likely controlled by the position and strength of the westerly winds (Durgadoo et al., 2013). Since both the westerly and trade wind systems respond weakly to the application of LGM boundary conditions in COSMOS (Figure 4.11), only relatively small changes in the dynamics of the I-AOG transport are simulated (Table 3.1).



**Figure 4.15** Proxy data-model SST comparison. (top panel) Pre-industrial (centre panel) LGM, and (bottom panel) anomalous SST model data (100 year climatological mean), and SSTs at 10 core locations from the wider Agulhas region, indicated as coloured circles. The 7° and 17° isotherms are shown in black as indicators of the southern and northern extent of the thermal STF. The thermal STF is identified by determining the sharpest temperature gradient south of Africa for the pre-industrial case (a gradient of

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10°C between 7°C to 17°C) occurring over ~5° of latitude between 40°S and 45°S (25°E). The northward shift of the thermal STF during the LGM could appear to act as a 'gatekeeper' for the Agulhas leakage.

**Table 4.1** Summary of core sites from the South-east Atlantic and South-west Indian Ocean used in this study. SST estimates for Late Holocene and Last Glacial Maximum (LGM) and their difference are shown in °C. Core top values have been used as closed equivalents to the modelled pre-industrial SST data. Values at ~20 ka have been used for LGM SST estimates within the data sets. Where available exact SST values have been used from reference datasets, otherwise values have been estimated from published graphics.

Core site	Latitude/ Longitude	Water depth (m)	Late Holocene SST	LGM SST	$\Delta$ SST Holocene -LGM	SST proxy	Reference
<b>South Atlantic</b>							
GeoB-3603- 2	35°S, 17°E	2840	21°C	18°C	3°C	$U_{37}^{K^*}$	Schneider et al. (1999); (Caley et al., 2012); Peeters et al. (2004)
MD02-2594	34 °S 17°E	2440	18°C	14°C	4°C	TEX <sub>86</sub>	Kasper et al. (2014)
MD02-2594	34 °S 17°E	2440	21°C	19°C	2°C	$U_{37}^{K^*}$	Kasper et al. (2014)
ODP 1090	42°S 8°E	3702	11°C	8°C	3°C	$U_{37}^{K^*}$	Martínez-García et al. (2010)
TNO57-21	41 °S 7°E	4981	14°C	11°C	3°C	<i>G. bulloides</i> Mg/Ca	Barker et al. (2009)
<b>Southwest Indian Ocean</b>							
MD79257	20°S, 36°E	1260	27 °C	24°C	3°C	$U_{37}^{K^*}$	Bard et al. (1997)
CD154 17- 17K	33°S 29°E	3333	24°C	21°C	3°C	<i>G. ruber</i> Mg/Ca	Simon et al. (2013)
CD154 17- 17K	33°S 29°E	3333	24°C	20°C	4°C	SIMMAX method (annual mean)	Simon et al. (2013)

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CD154 10-06P	31°S 32°E	3076	22.5°C	20°C	2.5°C	<i>G..ruber</i> Mg/Ca	Simon et al. (in preparation)
CD154 10-06P	31°S 32°E	3076	25.5°C	24°C	1.5°C	U <sup>K</sup> <sub>37</sub>	Simon et al. (in preparation)
CD154 10-06P	31°S 32°E	3076	26.5°C	23.5°C	3°C	TEX <sub>86</sub>	Simon et al. (in preparation)
GIK16160-3	18 °S 37°E	1339	25°C	22.5°C	2.5°C	<i>G..ruber</i> Mg/Ca	Wang et al. (2013)
GIK16160-3	18 °S 37°E	1339	27.5°C	25.5°C	2°C	U <sup>K</sup> <sub>37</sub>	Wang et al. (2013)
MD96-2048	26°S 34°E	660	24°C	22.°C	2°C	<i>G..ruber</i> Mg/Ca	Caley et al. (2011)
MD96-2048	26°S 34°E	660	27.5°C	25°C	2.5°C	U <sup>K</sup> <sub>37</sub>	Caley et al. (2011)
MD96-2048	26°S 34°E	660	27°C	24°C	3°C	TEX <sub>86</sub>	Caley et al. (2011)
MD96-2077	33°S 31°E	3781	25°C	21.5°C	3.5°C	U <sup>K</sup> <sub>37</sub>	Bard and Rickaby (2009)

The LGM I-AOG transport rate simulated here ( $15.1 \pm 2.5$  Sv) suggests that the inter-ocean exchange around South Africa was persistent at the end of the last glacial period and of a magnitude similar to today. This contrasts to the common proxy-based interpretation of a largely reduced Agulhas leakage at that time (Peeters et al, 2004; Franzese et al., 2006; Caley et al, 2012; Caley et al., 2014). However, the use of the important (sub)tropical foraminiferal species *Globorotalia menardii* as an indicator of Agulhas leakage has recently been invalidated in four different ways by Sexton and Norris, (2011). This study illustrates how *Globorotalia menardii* follows poorly ventilated water masses, and that their absent low-latitude glacial abundance in the Atlantic is related to the presence of well-ventilated, northern-high-latitude sourced intermediate water masses. Relatively high present day abundance of the species in the Atlantic is attributed to the low latitude presence of poorly ventilated Antarctic sourced

AAIW. These details suggest that the deglacial reappearance of *Globorotalia menardii* in the Atlantic Ocean is unlikely related to changes in volume transport by Agulhas leakage. Another recent study by Broecker and Pena (2014) showed that the appearance of *Globorotalia menardii* in the Atlantic Ocean during the last deglacial preceded the recovery of NADW formation (AMOC) by 500 - 1000 years. Since connection timescales between changes of Agulhas leakage and the North Atlantic are on the order of two decades (Rühs et al., 2013), the delayed AMOC response shown by Broecker and Pena (2014) suggests that there might be a problem with the current theory.

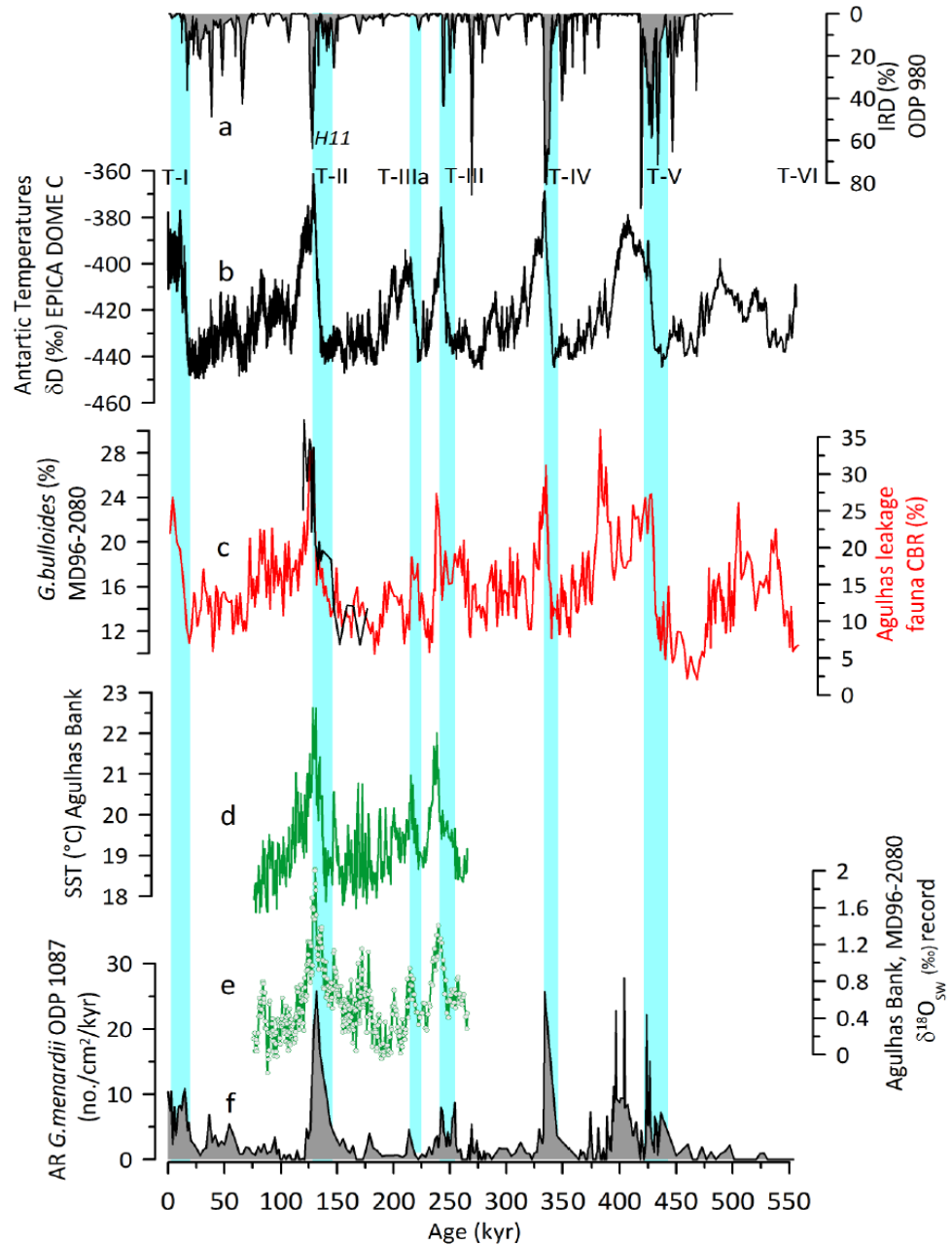
Motivated by the model results presented here a new hypothesis consistent with the conceptual picture presented by Sexton and Norris (2011) and Broecker and Pena (2014) can now be proposed to explain the apparent data-model mismatch – the mismatch that a largely reduced I-AOG transport is not simulated for the LGM. It will be proposed that upper ocean temperature changes across the South Atlantic-Southern Ocean region, resulting from insolation, CO<sub>2</sub> and bi-polar thermal see-saw changes during glacial-interglacial (G-IG) transitions (Barker and Diz, 2014), drove the features seen in the micropalaeontological proxy records from the I-AOG (Peeters et al, 2004; Caley et al, 2012), independent of changes in Agulhas leakage itself.

It has been shown that SST records from the South Atlantic-Southern Ocean region generally reproduce temperature variability observed in Antarctica (Jouzel et al., 2007; Barker et al., 2009; Martínez-García et al., 2010; Barker and Diz, 2014). This has also recently been demonstrated for the I-AOG during the penultimate glacial cycle (Figure 4.16 b,d), (Marino et al., 2013). A significant positive correlation between the Antarctic  $\delta D_{ice}$  record of past atmospheric temperature changes (Jouzel et al., 2007) and the ALF record from the I-AOG (Peeters et al., 2004) over the past 550 kyr was calculated (Pearson coefficient (Pt)=0.8 with a bootstrap error uncertainty of 0.03; 0.98 (n=354) at >95% Confidence Level). This strong similarity between the two records leads to the suggestion that ALF variability may have been driven primarily by Southern Hemisphere temperature changes throughout the Late Pleistocene (Figure 4.16 b,c). Since species abundance and distribution in the world oceans is strongly related to SST

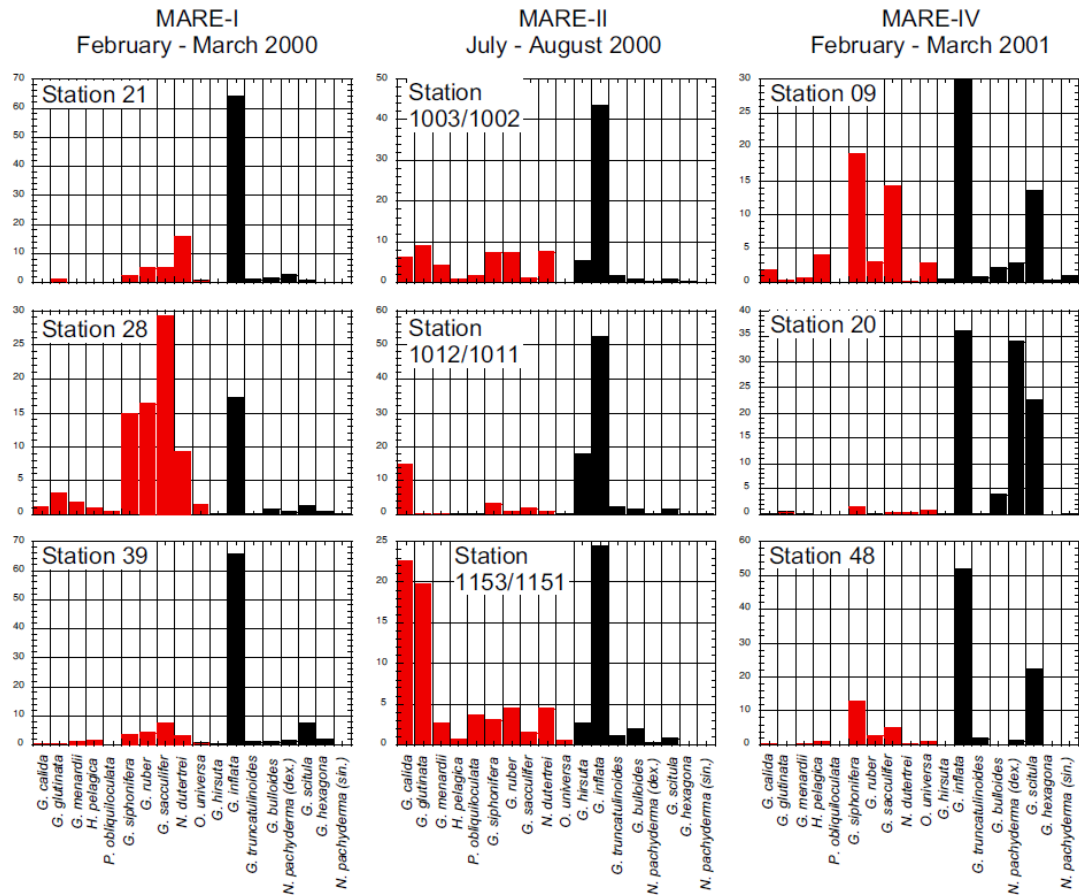
(Kucera, 2007), it can be hypothesised that the proposed maxima in Agulhas leakage at glacial terminations inferred from the ALF (Peeters et al., 2004) and *Globorotalia menardii* records (Caley et al., 2012) (Figure 4.16 c, f) may have rather occurred as a consequence of increased local planktonic growth rate associated with global deglacial warming (and associated SST increases) (Figure 4.16 b).

Furthermore, counts of non-ALF planktonic foraminifera in the I-AOG also increase during deglaciations (e.g. T-II, *G. bulloides*, Martinez-Mendez et al., 2010. See Figures 4.16 and 4.17) suggesting that the glacial-interglacial pattern is not limited to the ALF species alone (Figure 4.16 c). Other warm water species behave similarly to the ALF, increasing in abundance during terminations in the wider South Atlantic-Southern Ocean region outside the direct influence of the Agulhas leakage pathway (Barker et al., 2009; Barker and Diz, 2014). This suggests that a variety of species in the region respond to a general deglacial Southern Hemisphere warming (Jouzel et al., 2007), and may not be related to Agulhas leakage at all. Moreover, Simon et al., (2013) hinted at the possibility that the Agulhas leakage signal south of Africa (Peeters et al., 2004; Caley et al., 2012) may in fact be controlled by upstream dynamics, meaning that a change in the Agulhas leakage properties at the I-AOG may occur even without a change in volume transport itself.

The deglacial planktonic foraminiferal abundance peaks at the I-AOG may even have been enhanced by bi-polar thermal see-saw warming in the South Atlantic-Southern Ocean region associated with the deglacial Heinrich stadials occurring at each termination during the late Pleistocene (Barker et al., 2011). The prominent ALF, *G. menardii* and  $\delta^{18}\text{O}_{\text{SW}}$  increases during Heinrich Stadials at each termination are synchronous with the millennial-scale IRD pulses in the sub polar North Atlantic (McManus et al., 1999) (Figure 4.16 a-f), suggesting that SST, ALF abundance and  $\delta^{18}\text{O}_{\text{SW}}$  (salinity) at the I-AOG increase in response to warming and salinification associated with the (AMOC forced) bipolar thermal and salt seesaw mechanisms (Stocker and Johnsen, 2003; Lohmann, 2003).



**Figure 4.16** (a) Ice-rafted detritus (IRD, grey) from the subpolar North Atlantic, Ocean Drilling Program (ODP) Site 980 (McManus et al., 1999). (b) Antarctic  $\delta D_{ice}$  record of past atmospheric temperature changes from the European Project for Ice Coring in Antarctica (EPICA) Dome C (Jouzel et al., 2007). (c) The relative abundance of the Agulhas leakage fauna (ALF) in the Cape Basin record (in red), (Peeters et al. 2004) as a measure of Indian Ocean advection into the Atlantic; relative abundance of non -ALF species *G. bulloides* (in black) over Termination II in core MD96-2080 at the I-AOG (Martínez-Méndez et al., 2010). (d) *G. ruber* Mg/C derived calcification temperatures in MD96-2080, Agulhas Bank, I-AOG. (e) Agulhas Bank  $\delta^{18}O_{sw}$  record as indication for Agulhas salt-leakage variability in the I-AOG. (f) Accumulation Rate of *G. menardii* at ODP Site 1087 in the southern Benguela region (Caley et al., 2012). Glacial-Interglacial terminations are highlighted as cyan bars. The prominent Heinrich event 11, H11, during Termination II, is also displayed.



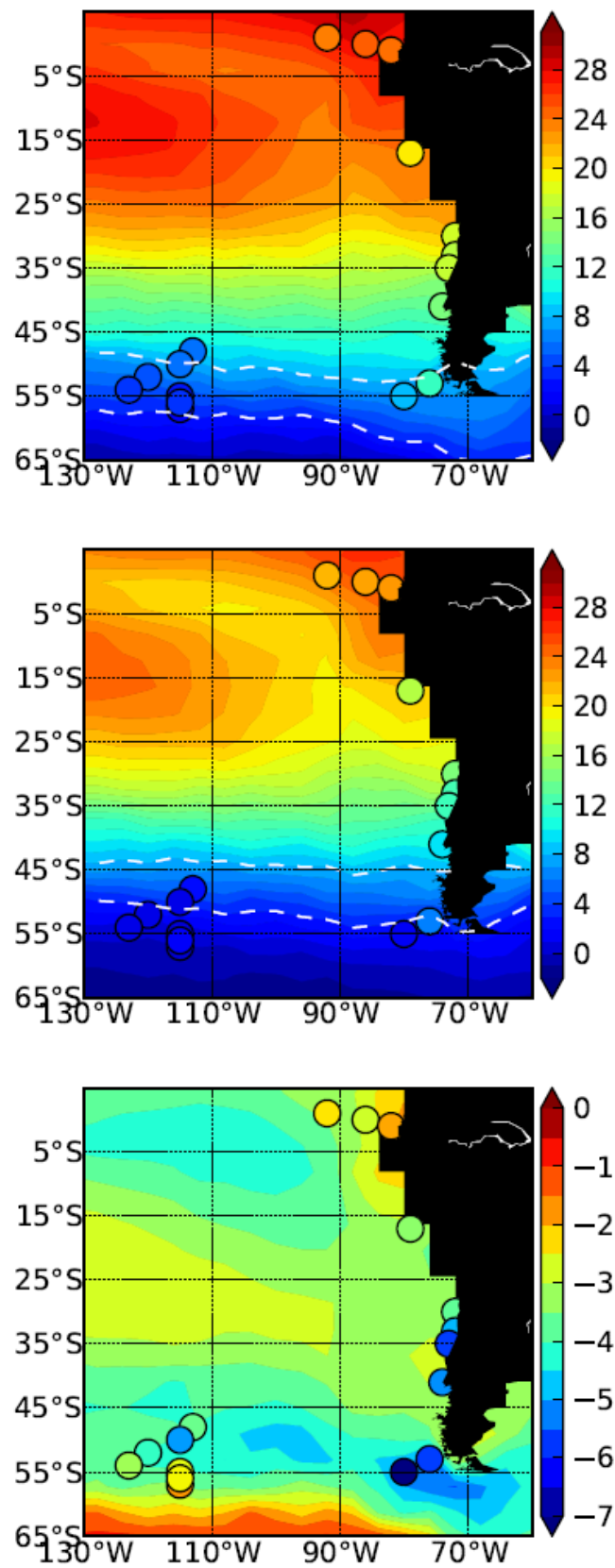
**Figure 4.17** Peeters et al. (2004), supplementary information, illustrating that *G. bulloides* is not included in the ALF due to its low count abundance in Agulhas ring Astrid, and should not be used to infer Palaeo rates of Agulhas leakage. Also important to note are the low abundance counts of *G. Menardii*, often used as a proxy for Agulhas leakage. Shown is the integrated standing stock per species for nine plankton tow stations. The nine panels show the depth integrated (0-800m) relative abundance of several species of planktic foraminifera (>150µm size fraction). For each station nine plankton tow samples were analysed. Species related to Agulhas water are indicated with a red colour bar. Station 28 of the MARE-I expedition indicates the centre of the Agulhas ring studied, while station 1153/1151 of the MARE-II expedition is related to a second Agulhas ring south of the Astrid ring. Station 09 of the MARE-IV expedition was located in the centre of ring Astrid one year after the first observations. Station 20 of the MARE-IV expedition was located distal from Agulhas water and retroflexion as indicated by the absence of tropical species. Station locations are given in Figure S4 (Peeters et al., 2004; supplementary information).

### 4.3.2 LGM Drake Passage comparison with proxy data

In addition to the investigation of modelled Drake Passage throughflow previously discussed (section 4.2.4), a comparison with SST and kinematic proxy data was also carried out. A data-model SST comparison, similar to that performed for the wider



Agulhas region (section 4.3.1), but utilizing data from 17 marine cores (Luz, 1977; Mashiotta et al., 1999; Calvo et al., 2001; Kim et al., 2002; Lea et al., 2006; Romero et al., 2006; Kaiser et al., 2008; Pena et al., 2008; Kaiser and Lamy, 2010; Rincon-Martinez et al., 2010; Caniupan et al., 2011; Ho et al., 2012), was conducted and is shown in Figure 4.18. Model and data are in good agreement at certain locations for the pre-industrial (top panel) and LGM (centre panel) cases. Although the pronounced (up to 7°C) LGM SST cooling along the Humboldt Current (see Figure 4.19 for illustration of the prominent ocean currents in the region) is not clearly depicted in the model, possibly relating to relatively coarse grid resolution in the region (see Chapter 3), an enhanced glacial cooling of ~5-6°C is simulated at the entrance of the Drake Passage (Figure 4.18). SST proxy records upstream of the Drake Passage suggest a stronger cooling in the Southeast Pacific compared to the central Pacific sector (Figure 4.18 (bottom panel), and Table 4.2) indicating a particularly pronounced northward extension of the Antarctic cold-water sphere in this region. Accordingly, in the model the thermal Subantarctic frontal zone (SAFZ), indicated by the 3° and 8° isotherms in Figure 4.18 (top and centre panels), shifts equatorward during the LGM (Ho et al., 2012). This equatorward shift is also demonstrated by the thermal STF (section 4.3.1) south of Africa and owes to the insolation (orbital configuration) and CO<sub>2</sub> differences between the pre-industrial and LGM simulations. However, the anomalous SST comparison (bottom panel) illustrates some serious model-data mismatch. Apart from coarse model resolution in the region, discrepancies between data and model can potentially be explained by a combination of seasonal biases in the proxies, and enhanced cooling captured by proxies along the Chilean coast due to proximity to the Patagonian ice sheet during the LGM (Caniupan et al., 2011).



**Figure 4.18.** South-east Pacific data-model comparison. (top) Pre-industrial (centre) LGM, and (bottom)

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anomalous SST model data (100 year annual climatological mean), and SSTs at 17 core locations from the southeast Pacific region, indicated as coloured circles. The 3° and 8° isotherms are shown in white as indicators of the southern and northern extent of the thermal Subantarctic frontal zone (SAFZ). The thermal SAFZ is chosen in accordance with Chaigneu and Pizarro (2005), and is the temperature gradient spanning the entrance to the Drake Passage for the pre-industrial simulation. Clear is a northward shift of the thermal SAFZ during the LGM. Coarse coastlines are a result of relatively coarse model resolution in the region. High resolution coastlines at Cape Horn are due to the superposition of a real world map onto the model data (available with the Python plotting suite Basemap).

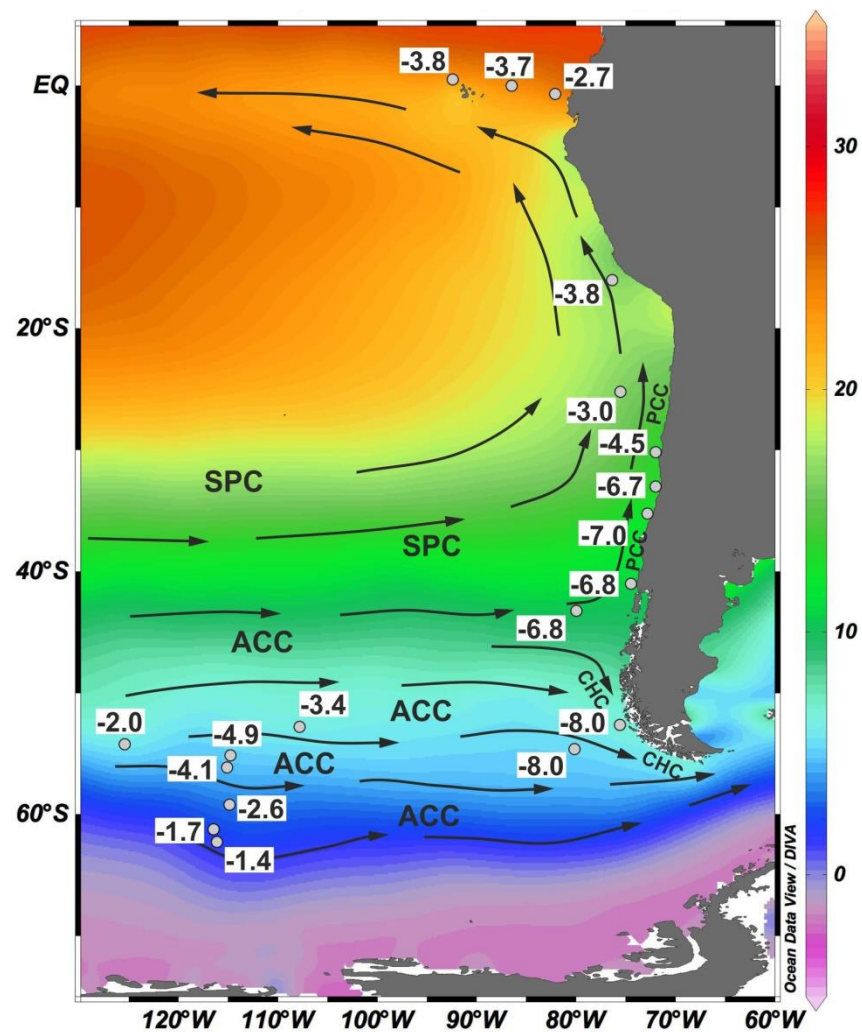
**Table 4.2** Table summarizing SST records shown in Figure 4.18.

Core	Lat	Long	Hol SST	LGM SST (°C)	LGM- Hol (°C)	SST Method	Reference
<b><i>East Pacific Rise (central Pacific)</i></b>							
E20-18	-44.55	248.67	9 <sup>a</sup>	9.2 <sup>b</sup>	0.2	Foraminifera	Luz, 1977
DWBG 70	-48.48	246.72	6 <sup>a</sup>	2.6 <sup>b</sup>	-3.4	Foraminifera	Luz, 1977
E25-10	-50.10	245.22	6 <sup>a</sup>	1.1 <sup>b</sup>	-4.9	Foraminifera	Luz, 1977
E21-15	-52.02	239.98	5 <sup>a</sup>	1.1 <sup>b</sup>	-3.9	Foraminifera	Luz, 1977
RC12-225	-53.67	236.90	4 <sup>a</sup>	1.1 <sup>b</sup>	-2.9	Foraminifera	Luz, 1977
E11-1	-54.91	245.30	3 <sup>a</sup>	0.7 <sup>b</sup>	-2.3	Foraminifera	Luz, 1977
E11-3	-56.90	244.76	2 <sup>a</sup>	0.6 <sup>b</sup>	-1.4	Foraminifera	Luz, 1977
E11-2	-56.06	244.94	3 <sup>a</sup>	0.6 <sup>b</sup>	-2.4	Foraminifera	Luz, 1977
E11-2	-56.06	244.94	4.7	2.8	-1.9	Mg/Ca	Mashiotta et al., 1999
<b><i>SE Pacific</i></b>							
TG7	-17.20	281.40	20.4	17.3	-3.1	Alkenones	Calvo et al., 2001
GeoB7139	-30.20	288.02	18.5	15	-3.5	Alkenones	Kaiser et al., 2008
GeoB3302	-33.22	287.91	17.4 <sup>c</sup>	12.7	-4.7	Alkenones	Kim et al., 2002
GeoB3359	-35.22	287.20	18	12.4	-5.6	Alkenones	Romero et al., 2006
ODP1233	-41.00	285.55	14.9	9.9	-5.0	Alkenones	Kaiser and Lamy, 2010
MD07-3128	-52.66	284.44	12.1	6.5	-5.6	Alkenones	Caniupan et al., 2011
PS75/034-1	-54.65	279.85	8.6	1.5	-7.1	Alkenones	Ho et al., 2012
<b><i>Eastern-tropical Pacific</i></b>							
TR163-22	0.52	267.60	24.4	22.4	-2.0	Mg/Ca	Lea et al., 2006
ODP1240	0.02	273.54	25.6	23.1	-2.5	Mg/Ca	Pena et al., 2008
ODP1239	-0.67	277.92	24.9	23.4	-1.5	Alkenones	Rincon-Martinez et al., 2010

<sup>a</sup>modern winter SSTs

<sup>b</sup>LGM winter SSTs

<sup>c</sup>Holocene values from neighboring core GIK17748



**Figure 4.19** Frank Lamy (personal correspondence). Prominent ocean currents in the region and glacial cooling (LGM- Holocene) in the eastern South Pacific based on diatom, alkenone, and Mg/Ca-based SST reconstructions (Table 4.2). Background shows modern SST during austral winter (Locarnini et al., 2010). ACC=Antarctic Circumpolar Current, SPC=South Pacific Current; PCC=Peru Chile Current (Humboldt Current); CHC=Cape Horn Current.

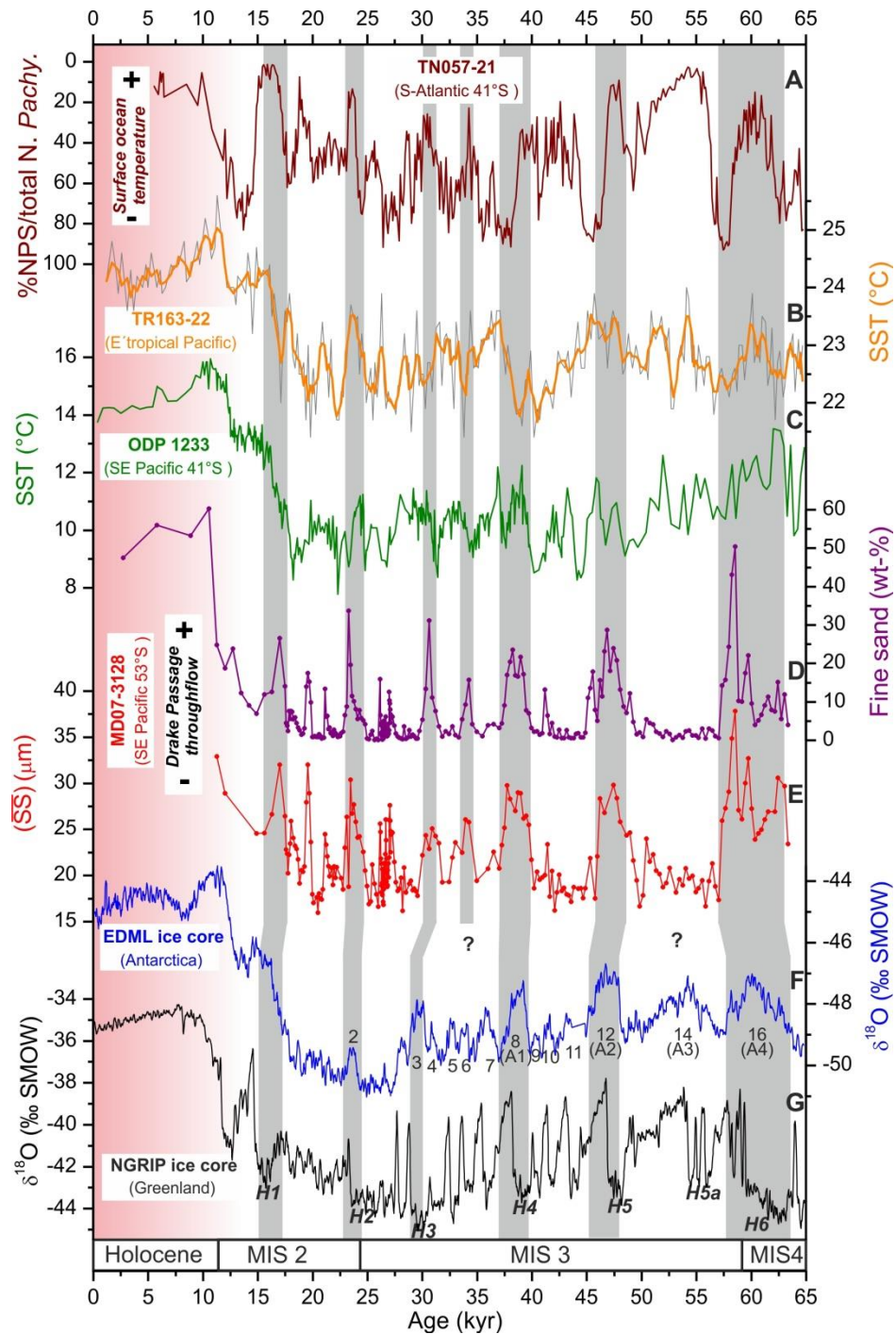
The model results indicating a reduced Drake Passage throughflow of ~36% simultaneous with a weakened ACC were compared with new proxy data from marine sediment core MD07-3128 at the entrance of the Strait of Magellan, in the Cape Horn Current (see Chapter 2 for details). Current strength proxies at this core site are the weight percent (wt-%) of the 63-125  $\mu\text{m}$  fine sands fraction and sortable silt mean grain-size ( $\overline{SS}$ , 10-63  $\mu\text{m}$ ) of the terrigenous sediment fraction (Lamy et al., submitted, and Figure 4.20 d,e). The  $\overline{SS}$  proxy is used for estimating relative changes in the near bottom flow speed in deep sea sediments (McCave and Hall, 2006), although in this case it cannot be ruled out that the proxy may also reflect latitudinal movements of a jet

within the ACC, and not changes in the mean ACC flow itself. The proxy data results show that the fine sand contents fluctuate between 1 wt-% and 25 wt-% during the last glacial in contrast to the observed ~50-60 wt-% during the Holocene. Changes in  $\overline{SS}$  follow the fine sand content changes at most times and values range between ~18 and 30  $\mu\text{m}$  during the glacial interval (Figure 4.20 d,e). Minimum glacial  $\overline{SS}$  values of ~18  $\mu\text{m}$  are recorded at several millennial-scale intervals between ~20-30 kyr BP and ~40-55 kyr BP.

The high amplitude changes of both grain-size and sediment composition show significant changes in the strength of the Cape Horn Current and underlying water masses contributing to Drake Passage throughflow north of the Subantarctic Front (Well et al., 2003). These results extend the near-bottom current flow speed records from a meridional transect across the ACC in the Scotia Sea, which do not record substantial differences in average glacial versus Holocene ACC transport rate (McCave et al., 2013). However, these records are located more than 1000 km downstream of the Drake Passage and may not necessarily capture changes in the throughflow itself.

Since all Scotia Sea records of McCave et al., (2013) are located south of the Subantarctic Front (SAF) and mostly south of the Polar Front, and a major part of the modern Drake Passage throughflow occurs at or north of the SAF (Meredith et al., 2011), the combined transect data may indicate a higher meridional heterogeneity in ACC current dynamics than previously hypothesized. The same applies for the vertical structure of the ACC as the Scotia Sea cores are located at water depths of >2500 m and changes in upper Drake Passage throughflow might not have extended to such depths. However, the slight reduction in glacial ACC flow in the southernmost Scotia Sea through extension of sea-ice (McCave et al., 2013) might reflect an additional decrease of the southern Drake Passage throughflow adding to the decrease of total glacial Drake Passage throughflow as shown by the climate model. Therefore, together with likely reduced ACC flow in the southern Drake Passage through extension of sea-ice (McCave et al., 2013), the Cape Horn Current record presented here suggests that the total Drake Passage throughflow strongly reduced during the last glacial, in agreement with the results from the COSMOS LGM simulation.

The model simulates a throughflow reduction of ~36% from ~110 Sv in the pre-industrial simulation to ~70 Sv in the LGM case, associated with a general weakening of the ACC in the Pacific Ocean (Figure 4.12). Although the global model does not resolve the relatively localized Cape Horn Current, modelled LGM intermediate flow velocities at the entrance to the Drake Passage (at 1085m, corresponding to the water depth of sediment core MD07-3128) are also strongly reduced by ~40-50% (Figure 4.21), largely consistent with the decrease in glacial  $SS_{\text{mean}}$ .



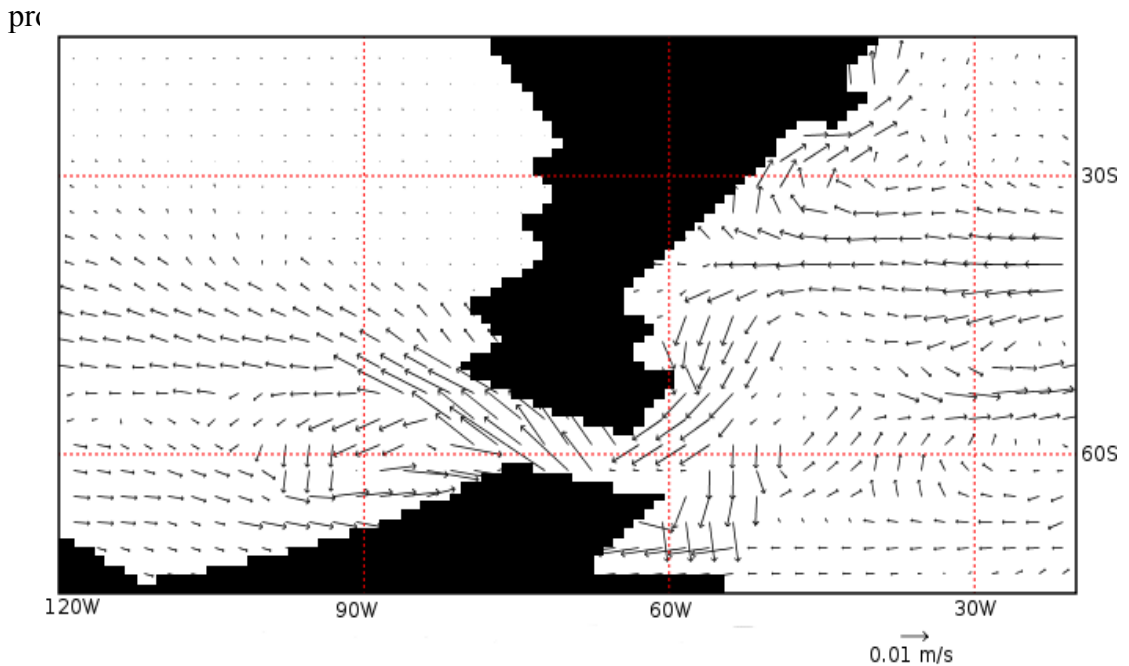
**Figure 4.20** Reconstructed changes in in CHC strength and DP throughflow compared to temperature records. (A) Ratio of planktic foraminifera *N. pachyderma* (sin.) to total *N. pachyderma* counts indicative of surface ocean temperature changes in the South Atlantic (Barker et al., 2014). (B) Mg/Ca SST record from the Galapagos region (Lea et al., 2006) representing eastern tropical Pacific SST changes. (C) Alkenone SST record from ODP Site 1233 located within the HCS at ~41°S (Kaiser and Lamy, 2010) (updated age-model). (D, E) Fine sand contents and  $\overline{SS}$  as proxy records for CHC strength and DP throughflow. (F) Oxygen isotope record of the east Antarctic EDML ice core (EPICA Community Members, 2006) (AICC12 age scale). Numbers mark Antarctic Isotope Maxima, and largest Antarctic warmings A1-A4. (G) Oxygen isotope record of the Greenland NGRIP ice-core (EPICA Community Members, 2006) (GICC05 age scale). H1-H6, Heinrich stadials. Vertical gray bars mark inferred

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millennial-scale DP throughflow maxima and suggested correlation to other records. (Lamy et al., in preparation)

Although not simulated by the model, which is extremely coarse in the location of the Drake Passage and throughout the South Pacific (not correctly resolving relatively localised ocean features such as the Cape Horn or Humboldt currents), in reality the reduced Drake Passage throughflow may have acted to deflect ACC water masses into the Pacific gyre circulation and plausibly explain the strong cooling of eastern Pacific surface waters up to the equator, as suggested by proxy records (Table 4.2; Figure 4.18; Figure 4.19). The reduced modelled and inferred (from data) Drake Passage throughflow also has potential implications for the global distribution of Antarctic Intermediate Water (AAIW). The major modern formation area of AAIW is the Southeast Pacific, off the coast of southern Chile (Bostock et al., 2013). The proxy data results presented here suggest that Drake Passage throughflow of Southeast Pacific AAIW was strongly reduced or even disrupted, and that Pacific AAIW was largely exported into the South Pacific gyre, consistent with sediment records showing enhanced AAIW in the southeast Pacific Ocean (Muratli et al., 2010; Martinez-Mendez et al., 2013). The glacial reduction of AAIW transport through the Drake Passage is consistent with proxy data indicating poorly ventilated AAIW in the glacial South Atlantic (Makou et al., 2010). In order to assess this in the model a salinity transect across 30°S in the Atlantic Basin was taken for the PI and LGM simulations (Figures A.7 and A.8 in the Appendix). However due to the 1psu of salt added to the glacial ocean it is difficult to elucidate whether increased LGM salinity at 30°S (across the AAIW depth range) is due to decreased AAIW penetration at that location (see Appendix). Future work using Lagrangian tracer methodologies might be able to





**Figure 4.21** Ocean velocity anomaly between the LGM and pre-industrial simulations (m/s), showing a reduction of the ACC and Drake Passage throughflow during the LGM, from Lamy et al., submitted. Bathymetry is shown at 1085m, corresponding to the depth of core site MD07-3128. The velocity data is integrated over depth levels 500m-1500m. Apparent is the anomalous westward velocity field, corresponding to a decrease in the positive eastward velocity field in the LGM compared to the pre-industrial case. Maximum LGM velocity reductions over this depth range are up to 50% compared to the pre-industrial simulation. The coarse bathymetry at depth (1085m) is typical of all state-of-the-art fully-coupled Earth System Models used for Palaeoclimate modeling.

It has previously been suggested that the ACC and Drake Passage throughflow is predominantly controlled by the variations in the position and strength of the Southern Hemisphere westerly wind belt (Allison et al., 2010; Marshall et al., 2012). However, the model results shown here demonstrate that no significant changes in either the strength or position of the westerlies are required to permit the development of a weakened ACC during the LGM (Figure 4.11). It is therefore suggested that the glacial reduction of the ACC and Drake Passage throughflow in the LGM simulation is caused by sea-ice modulation of the ocean-atmosphere interaction, specifically due to enhanced LGM sea-ice (thickness and extent; Figures 4.13 and 4.14) acting to de-couple the Southern Hemisphere westerlies from the ocean surface (McCave et al. 2013). However it should be noted that the ACC change might also be controlled or modulated by

changes in the meridional salinity and temperature (ultimately density) gradients across the Southern Ocean (e.g. Lefebvre et al., 2012). (See Appendix, Figures A.4-A.7).

The work presented here shows minimal changes of the I-AOG transport between pre-industrial and LGM climate states, but a large reduction in Drake Passage throughflow during the glacial. Additionally, the LGM case simulates a more intense and deeper AMOC than the pre-industrial state. Such a deeper AMOC is in contrast with most studies utilising proxy data from the Atlantic Ocean which suggest a shoaled upper branch circulation during the LGM (Marchitto and Broecker, 2006; Lynch-Stieglitz et al., 2007; Oppo and Curry, 2012; Gebbie, 2014), and may be related to the spin-up time required for complete ocean model equilibrium. The increased depth of the modelled AMOC during the LGM (compared to the PI) is also likely to be controlled by the southward movement of the NADW convection sites, and the location of the sea-ice margin, both of which are dependent on the model or model configuration utilised.

Regarding the Drake Passage throughflow, is it possible that through reduced transport of positive density anomalies along the cold water route, that the weakened Drake Passage throughflow could have contributed to the shoaled LGM AMOC? This may have been the case in reality and might provide motivation for future studies, but it not shown by the model which simulates a more vigorous glacial AMOC characterised by deep convection in the North Atlantic. Such a link between Drake Passage dynamics can only be established by the use of Lagrangian tracer methodologies, which are beyond the scope of this study. For the pre-industrial and LGM simulations presented here, the modelled AMOC geometry appears to be controlled by a combination of orbital configuration (Milankovitch, 1930; Milankovitch, , 1941, Hays et al., 1976; Berger, 1978; Berger and Loutre, 1991), trace gas concentration (Luthi et al., 2008) and the volume of the Northern Hemisphere ice sheets (Zhang et al., 2014). However a weaker contribution of the cold water route to a shallower glacial AMOC cannot be

ruled out, and warrants further investigation.

#### **4.4. Summary and Conclusions**

In this chapter the LGM palaeoceanography of the Agulhas and Drake Passage regions were investigated. This was conducted with the utilisation of an adapted ocean model configuration which successfully simulates quantitatively realistic I-AOG and Drake Passage transports for the pre-industrial climate states. The rate of I-AOG and Drake Passage transports and modelled SSTs in both pre-industrial (interglacial) and LGM (glacial) climate states were compared with proxy data from the south-east Atlantic, Agulhas region, and the south-east Pacific in the vicinity of the Drake Passage.

Agulhas leakage has been suggested as a key process in the modes of Quaternary climate change, with significantly reduced warm water route gateway (I-AOG) transport during the LGM (and other previous late-glacial periods of the Late Pleistocene) compared to the modern climate. While the fully-coupled ESM simulates decreased LGM SSTs and an equatorward shift of the thermal STF, in agreement with proxy data throughout the Agulhas region (Bard et al., 1997; Peeters et al., 2004; Bard and Rickaby, 2009; Barker et al., 2009; Martínez-García et al., 2010; Caley et al., 2011; Simon et al., 2013; Wang et al., 2013; Kasper et al., 2014; Simon et al., (in preparation)), a largely suppressed I-AOG water transport is not simulated. The modelled results show a persistent and strong I-AOG transport at the LGM ( $15.1 \pm 2.5$  Sv), suggesting that the Agulhas leakage need not have been weaker than its present state in order to simulate a glacial climate comparable with SST proxy data.

An alternative hypothesis is provided which can explain the pattern observed in proxy records from the I-AOG without a necessary change in the glacial-deglacial-interglacial rate of Agulhas leakage. The hypothesis proposes that the micropalaeontological proxies used to derive rates of Agulhas leakage (*Globorotalia menardii* and the ALF) rather responded to regional upper ocean temperature changes during the glacial-deglacial-interglacial cycles of the late Pleistocene. However, it still remains challenging to establish whether SST, salinity and consequent abundance changes in planktonic

foraminifera at the I-AOG are a result of 1) global interhemispheric changes impacting on the wider Agulhas system (suggested by this study) 2) upstream Agulhas Current variability (Simon et al., 2013) or 3) the status quo - a stronger transfer of Indian Ocean waters to the Atlantic around South Africa (Peeters et al., 2004). The work here therefore demands further investigation utilising fully-coupled eddy-resolving ESMs performing deglacial simulations, and a careful reconsideration of the interpretation of proxy data from the wider Agulhas region.

At the cold water route gateway COSMOS simulates a reduced Drake Passage throughflow during the LGM compared to the pre-industrial climate state. The throughflow is reduced by ~36% from ~110 Sv in the pre-industrial simulation to ~70 Sv in the LGM case, associated with a general weakening of the ACC across the Southern Ocean. Comparing model results with proxy data for SST in the glacial southeast Pacific region reveals a number of temperature discrepancies which can be explained by a combination of coarse model resolution, seasonal biases of proxies, and enhanced cooling captured by proxies along the Chilean coast due to proximity to the Patagonian ice sheet during the LGM (Caniupan et al., 2011). However, the modelled reduction of Drake Passage throughflow during the LGM is corroborated by new proxy data from the Cape Horn Current at the entrance to the Strait of Magellan. Fine sands fraction and sortable silt mean grain-size data at this location suggest a weakened Drake Passage throughflow during the last glacial. Moreover, the model results show that the weakened ACC and Drake Passage throughflow during the LGM are not forced by changes in the position or intensity of the Southern Hemisphere westerlies, rather to a sea-ice modulation of the ocean-atmosphere interaction, specifically enhanced LGM sea-ice (thickness and extent) acting to de-couple the Southern Hemisphere westerlies from the ocean surface (McCave et al. 2013).

## **5. Millennial scale changes at the I-AOG and Drake Passage**

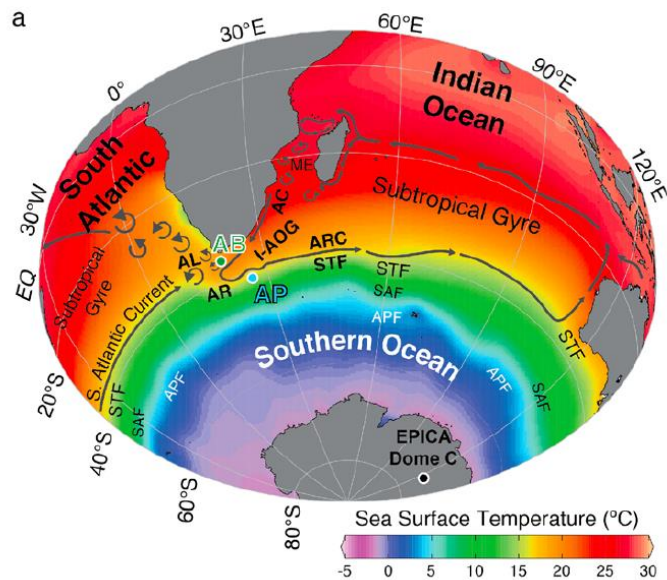
### **5.1. Introduction**

During glacial periods of the Middle to Late Pleistocene, proxy data shows the existence of large and repeated millennial-scale events known as Dansgaard–Oeschger (DO) events (Daansgard et al., 1993) (e.g. Figures 1.3 and 5.2b). These events are characterised by abrupt Northern Hemisphere warmings, often occurring within decades (to interstadial levels) followed by cooling events, returning to background glacial levels (stadials). These climate changes are also associated with more moderate bipolar Southern Hemisphere temperature swings and north-south migrations of the tropical rain-belts (Broecker, 1998; Stocker and Johnsen, 2003; Völker et al., 2002; Barker et al., 2009). DO events are a ubiquitous feature of rapid climate change and can be extended back as far as 800,000 years BP in the ice core record (Barker et al., 2011). The mechanistic cause of these phenomena is debated but has been attributed to increases (interstadials) and reductions (stadials) of the Atlantic Meridional Overturning Circulation (AMOC) (Ganopolski and Rahmstorf, 2001; Clark et al., 2002; Rahmstorf, 2002), likely related to massive ice berg rafting events in the North Atlantic (Heinrich events) (Heinrich, 1988; Bond et al., 1993) and/or changes in the size of the intermediate glacial ice sheets (Zhang et al., 2014).

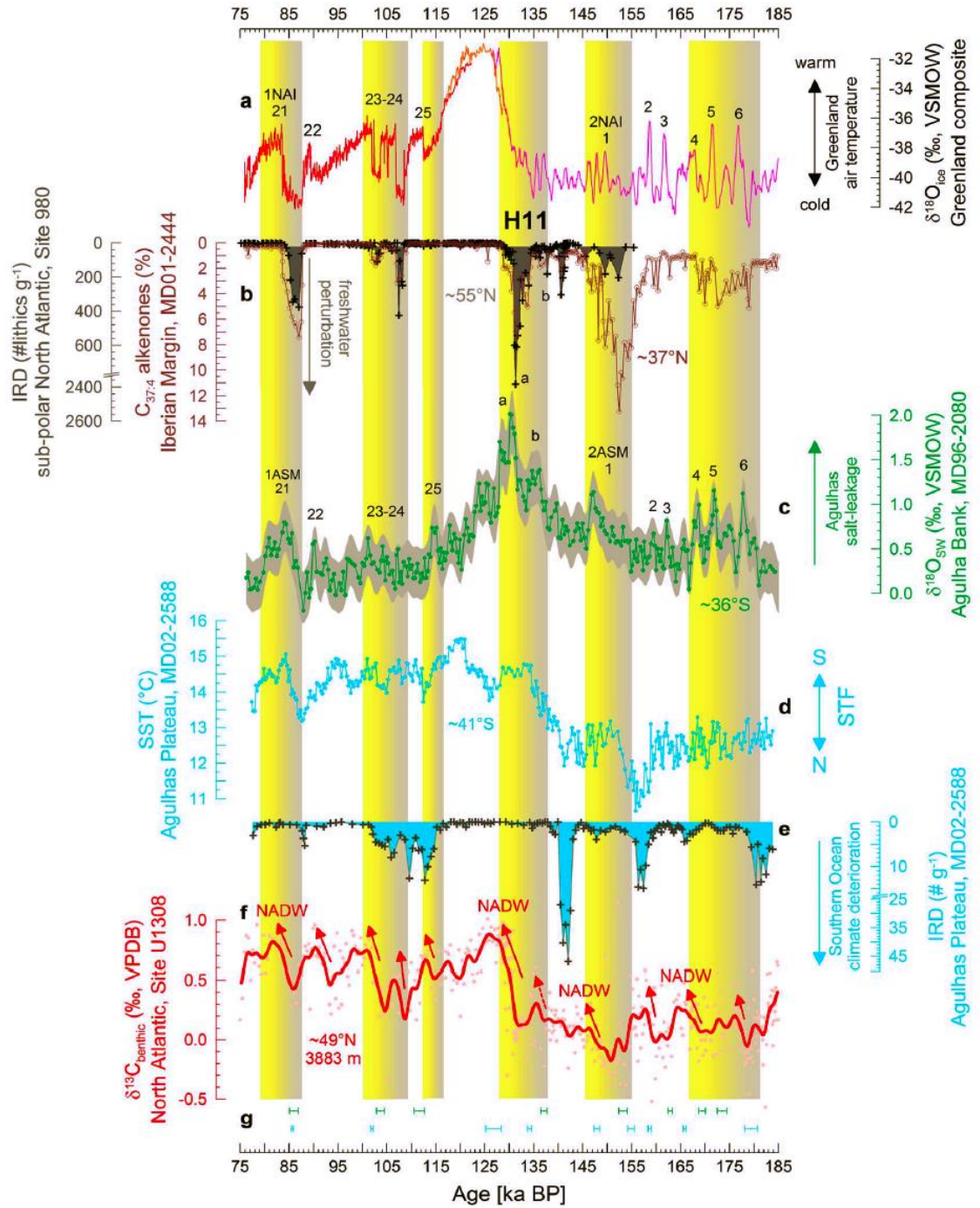
Despite progress being made with respect to the causes of millennial scale climate changes (e.g. Zhang et al., 2014), little is known about the relative roles of the warm and cold water route ocean gateways within the mechanisms of DO events, and so a Southern Hemisphere origin cannot be ruled out (Marino et al., 2013). The warm and cold water return routes of the thermohaline circulation (THC) supply water, heat and salt to the upper branch of the AMOC. Since the Indian-Atlantic Ocean Gateway (I-AOG) and Drake Passage are considered key gateways along the warm and cold routes, respectively, it has been proposed that changes at either gateway may have implications for the Atlantic overturning and therefore global climate (Knorr and Lohmann, 2003;

Peeters et al., 2004).

Marino et al. (2013) recently presented the first millennially resolved record at the I-AOG, and attributed transient millennial scale salinity increases south of Africa (in the Cape Basin) to increased Agulhas salt-leakage during Marine Isotope Stage 6 (MIS 6) (Figures 5.1 and 5.2). These salinification events were coincident with cold stadial conditions in the Northern Hemisphere. While the exact nature of these salinity increases has recently been questioned (Simon et al., 2013), if correctly attributed to increases of Indian Ocean salt content in the Agulhas leakage, then basin scale advection of salt northwards towards the North Atlantic convection sites implies a possible role of the warm water route in triggering the abrupt resumption of the AMOC and transition to interstadial conditions. This hypothesis is analogous to that of previous work (Knorr and Lohmann, 2003; Peeters et al., 2004;), which suggested that the Agulhas leakage might have played a key role in the resumption of the AMOC upon glacial terminations.



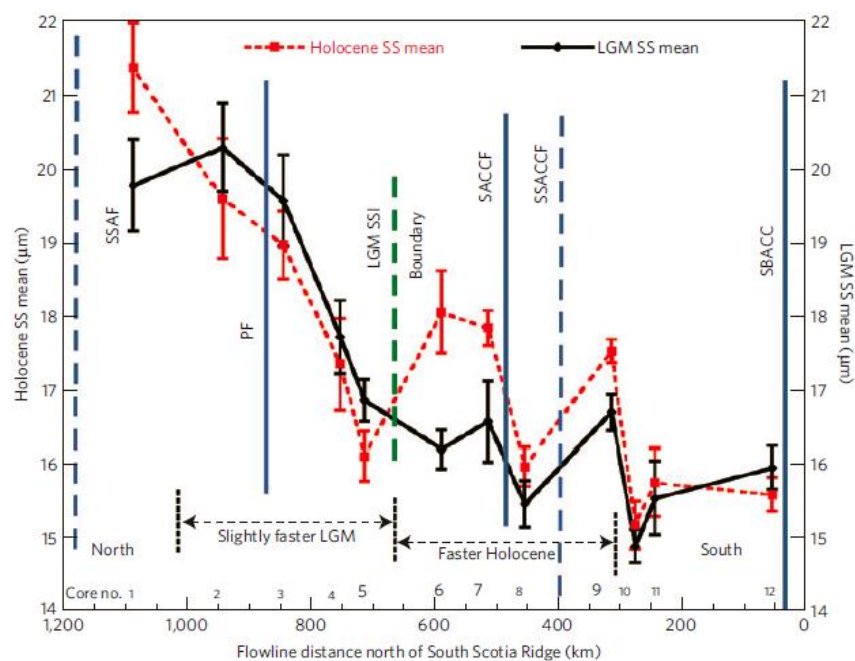
**Figure 5.1** Location of sediment cores MD96-2080 and MD02-2588, as documented by Marino et al. (2013). (a) Map contours represent modern mean annual sea surface temperatures (Schlitzer, 2012) in the South Atlantic, South Indian, and Southern Oceans. Grey arrows indicate the main surface ocean circulation patterns with emphasis on the key components of the Indian-Atlantic oceanic gateway (Lutjeharms, 2006; Beal et al., 2011). Green and cyan dots represent the locations of MD96-2080 (Agulhas Bank, AB) and MD02-2588 (Agulhas Plateau, AP), respectively.



**Figure 5.2** Linking Agulhas salt oscillations with ocean and climate changes in the North Atlantic, from Marino et al. (2013). (a) Greenland  $\delta^{18}\text{O}_{\text{ice}}$  composite (Capron et al., 2010; Barker et al., 2011; Dahl-Jensen et al., 2013). (b) Ice-rafted detritus (IRD, grey) from the subpolar North Atlantic, Ocean Drilling Program (ODP) Site 980 (Oppo et al., 2006). Tetraunsaturated alkenones ( $\text{C}_{37:4}$ ) at the Iberian Margin (Martrat et al., 2007). (c) Agulhas Bank  $\delta^{18}\text{O}_{\text{sw}}$  record. The shaded envelope illustrates the propagated 2 $\sigma$  uncertainty associated with the millennial-scale  $\delta^{18}\text{O}_{\text{sw}}$  shifts discussed in the text. (d, e) Mg/Ca-derived (*G. bulloides*) SST and IRD from the Agulhas Plateau. (f) Benthic foraminiferal  $\delta^{13}\text{C}$  profile (Hodell et al., 2008) from the Integrated Ocean Drilling Program Site U1308 (North Atlantic, 3883m water depth) with a 1 kyr Gaussian low-pass filter. (g) Tie points used to synchronize the MD02-2588 (cyan) and MD96-2080 (green) records to the EDC3/EDML1 chronology and associated 1 $\sigma$  uncertainties. NAI, North Atlantic Interstadials; ASM, Agulhas Salt-leakage Maximum; H11, Heinrich event 11.



On the other hand, proxy based reconstruction of Drake Passage and cold water route changes on millennial timescales is currently lacking. McCave et al. (2013) recently documented a series of proxy data for the LGM and Holocene outlining near bottom water flow speeds, based on the variability of the sortable silt mean grain size (McCave and Hall, 2006), in the Drake Passage and Scotia Sea, and concluded that there was little or no change of the ACC between those time periods (Figure 5.3). However this time-slice approach offers no detail of the deglacial transition itself, which may or may not have demonstrated millennial scale variability. As a consequence of the scarcity of data, both reconstructions and modelling studies have yet to fully consider the potential role of relative, or net, changes of the I-AOG transport and Drake Passage throughflow on global climate.



**Figure 5.3** (Same as Figure 4.2) Average SS particle size, from McCave et al. (2013). SS averaged for the LGM and Holocene. Error bars are  $\pm 2$  s.e.m (analytical error of  $\pm 0.5$   $\mu\text{m}$  is not propagated). Larger core numbers indicate significant ( $P < 0.01$ ) LGM–Holocene differences. Modern frontal positions (and subsidiaries SSAF and SSACCF) are indicated (Sokolov et al., 2009). South of  $56^\circ\text{S}$ , LGM flow was significantly slower than Holocene flow (cores 6–9), whereas cores 10–12 show no significant change. North of  $56^\circ\text{S}$  (cores 2–5), flow during the LGM was faster than further south, with a non-significant decrease in the Holocene.



### **5.1.1 Study approach**

Model simulations of North Atlantic freshwater perturbations provide a well-established testing ground for the diagnosis of weakened AMOC states associated with some millennial scale Northern Hemisphere cold-stadials (Manabe and Stouffer, 2000; Stouffer et al., 2006; Lohmann, 2003), particularly those simultaneous with Heinrich events, as recorded in the geological and ice records (Heinrich, 1988; Dansgaard et al., 1993). The freshwater input acts as an idealised analogue to iceberg discharge during Heinrich events. With regards to the analysis of Southern Hemisphere responses, in a modelling sense it is not critical whether a weakened AMOC be achieved through freshwater perturbation (the status quo for the past two decades) or via changes in the size of the Northern Hemisphere ice-sheets, as recently demonstrated by Zhang et al. (2014).

In order to assess potential hydrographic changes at the warm water route (I-AOG) and cold water route (Drake Passages) gateways during weakened and recovering AMOC states associated with millennial scale and abrupt climate change, and also to investigate the dependence of the response on the background climate, two North Atlantic freshwater experiments were performed on the pre-industrial and LGM equilibrium simulations (experiments PI150FW on the pre-industrial background state, and LGM150FW on the LGM background state). The Agulhas leakage corridor (I-AOG) was chosen as a key gateway indicative of changes along the warm water route, as used in previous studies (Peeters et al, 2004; Speich et al., 2001). Since the entire ACC and associated frontal systems pass through the Drake Passage (McCave et al., 2013), this choke point was chosen as an appropriate gateway for analysis of the cold water route (Speich et al., 2001). Flow rate, temperature and salinity were calculated across these gateways (see also Chapter 2). Freshwater perturbations of magnitude 0.2 Sv were applied across the North Atlantic Ice Rafted Detritus (IRD) belt around 40°N-55°N, 45°W-20°W (Hemming, 2004; Gong et al., 2013). In experiments PI150FW and LGM150FW the forcing was applied over a period of 150 years until a new quasi-equilibrium state, characterised by a weakened AMOC, had been achieved. This quasi-equilibrium state differs from the equilibrium achieved via 4000 years of integration in that the deep water properties do not fully equilibrate. After 150 years of perturbation

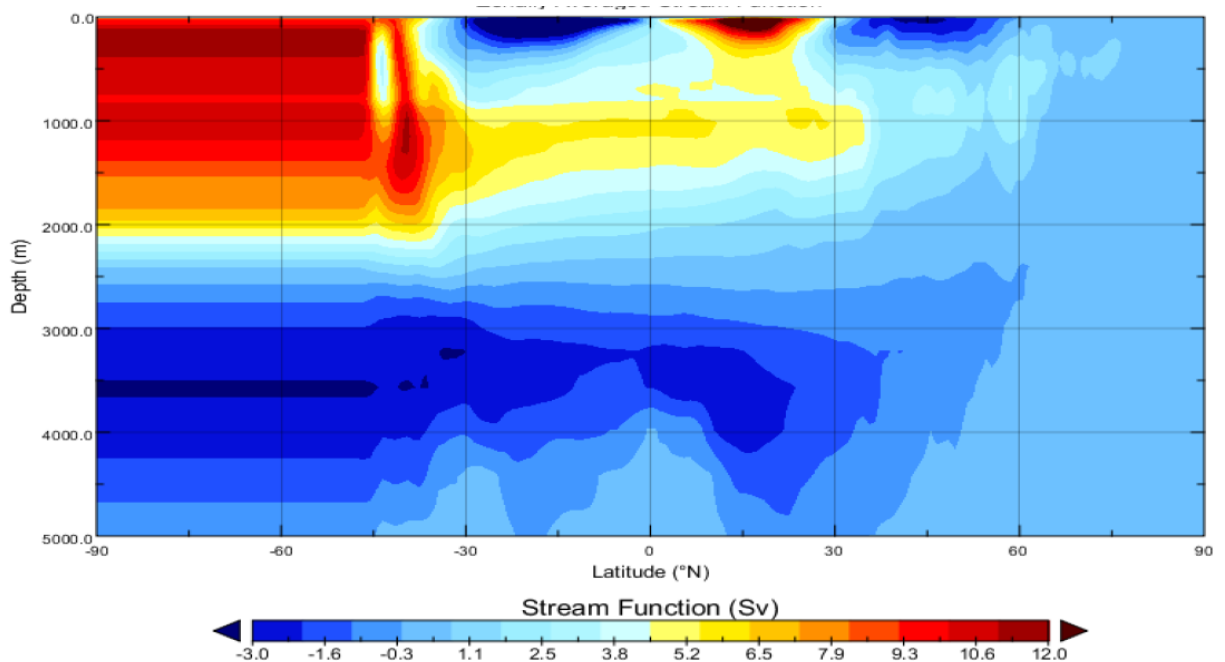
the system was permitted to recover following the removal of freshwater input - a recovery which takes ~200 years. Performing the freshwater perturbation experiments on both PI and LGM background states allowed an investigation of the dependence of the I-AOG and Drake Passage response on the background climate.

The modelled data was thereafter compared with previously published data (particularly Marino et al., 2013), and new data from marine sediment core MD07-3128, at the entrance to the Strait of Magellan (see Chapter 2 for details).

## **5.2 Results**

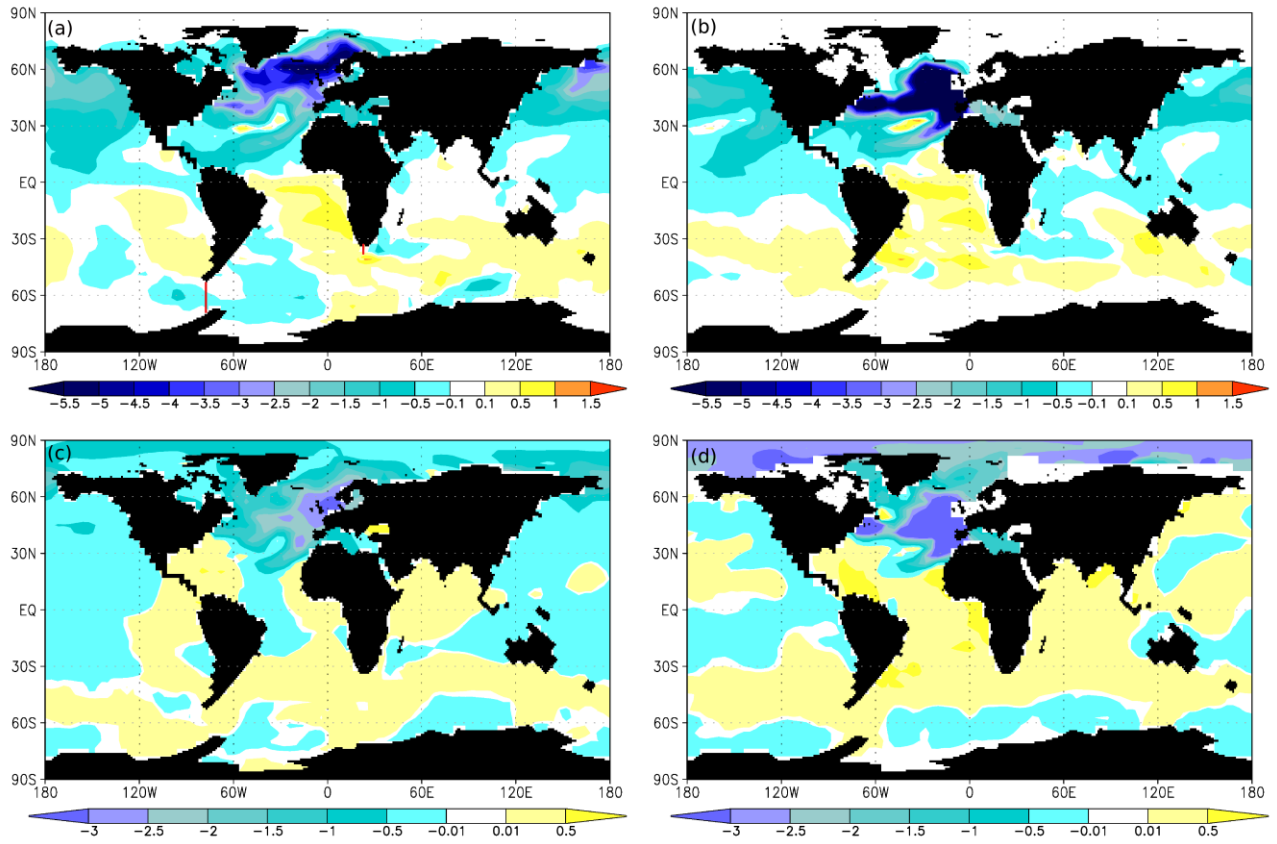
### **5.2.1 Pre-industrial freshwater perturbation**

In response to the North Atlantic freshwater perturbation, the AMOC is weakened to ~7 Sv after 150 years of forcing, at year 250 (Figure 5.4), resulting in a reduction of the northward heat transport to the North Atlantic. Following cessation of the perturbation at year 250 the AMOC recovers and returns to its original quasi-equilibrium state, characterised by an AMOC index of ~14 Sv, by year 550. Due to the weakened AMOC and reduced northward heat transport, the global ocean undergoes a bi-polar thermal adjustment (Stocker et al., 1998; Knutti et al., 2004), with parts of the North Atlantic Ocean experiencing extreme surface cooling of up to ~7°C, and large areas of the Southern Hemisphere oceans warming by a maximum of ~1°C (Figure 5.5a). The oceanic response is particularly pronounced in the Atlantic Ocean, indicated by the relatively large bi-polar thermal gradient experienced there. The most significant global cooling and warming occur in the Atlantic basin.



**Figure 5.4** Zonally integrated meridional transport in the Atlantic Ocean (Sv) for the weakened AMOC state associated with experiment PI150FW. Notable is the intrusion north of the Equator of abyssal waters below depths of 2500 m

In addition to the thermal response to freshwater induced AMOC perturbation, the global ocean undergoes a quasi-inter-hemispheric salt seesaw (Lohmann, 2003), resulting in a salinification of large parts of the Southern Hemisphere and a freshening in most of the Northern Hemisphere (Figure 5.5c). The North Atlantic and Arctic oceans freshen both due to the ad hoc freshwater input itself, as well as the AMOC related reduction in the salt transport from the South Atlantic. As the AMOC weakens, there is a reduction in the transport across the equator, thereby limiting the advection of water and salt to the North Atlantic. This water and salt is rather recirculated around the South Atlantic subtropical gyre system, and additionally throughout the entire Southern Hemisphere supergyre (Speich et al., 2007), imprinting the signature of increased salinity throughout parts of the South Atlantic, Pacific and Indian Oceans, as well as across upper layers of the I-AOG and Drake Passage (Figure 5.5c and Figure 5.6). The reduced transport across the equatorial region into the North Atlantic leads to a general redistribution of salt throughout the Southern Ocean (Figure 5.5c). Additionally, due to a related weakening of the Gulf Stream and North Atlantic Current, an accumulation of salt occurs in the Caribbean.



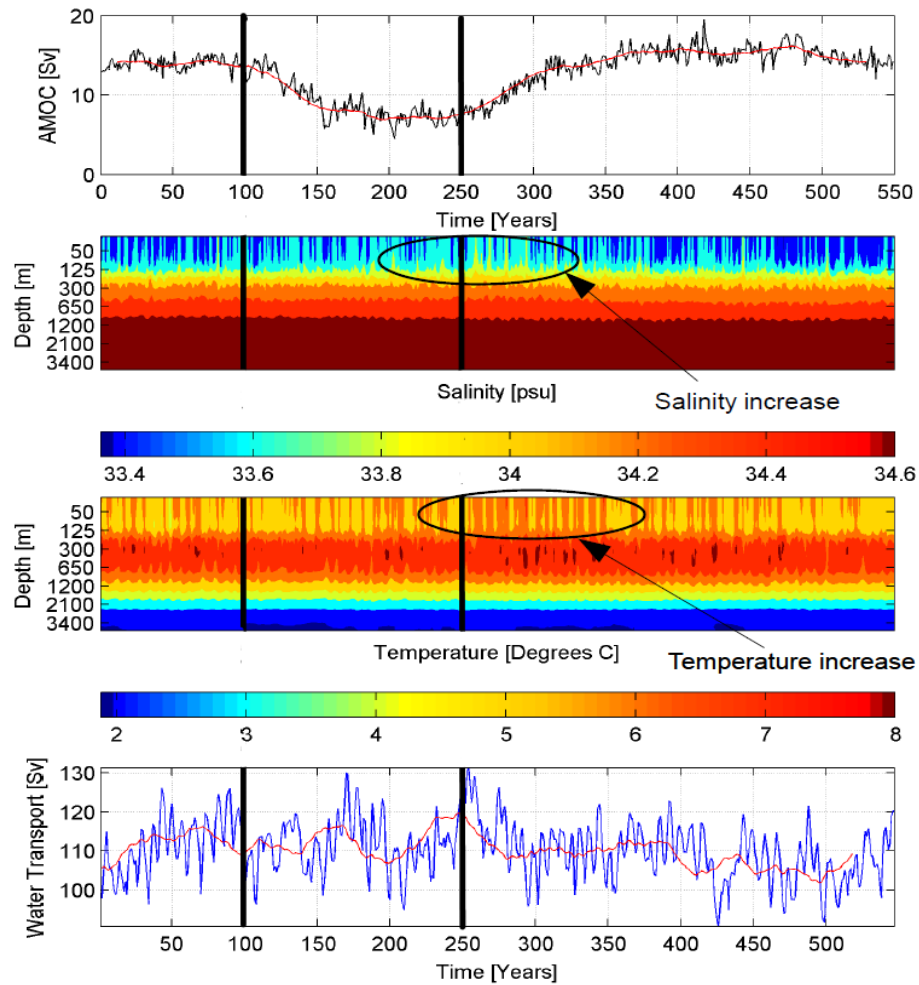
**Figure 5.5** Sea surface temperature ( $^{\circ}\text{C}$ ) and salinity (psu) anomaly plots for (a, c) experiment PI150FW and (b, d) experiment LGM150FW, respectively. Yellow (blue) values indicate increased (decreased) SST and SSS in response to perturbation. Climatological values for the states in response to perturbation are averaged over model years 230 – 250, corresponding to the time when the AMOC is at its weakest state. The red lines in (a) represent the meridional Drake Passage and I-AOG sections used for Figures 5.6 and 5.9.

### 5.2.1.1 Indian-Atlantic Ocean Gateway response

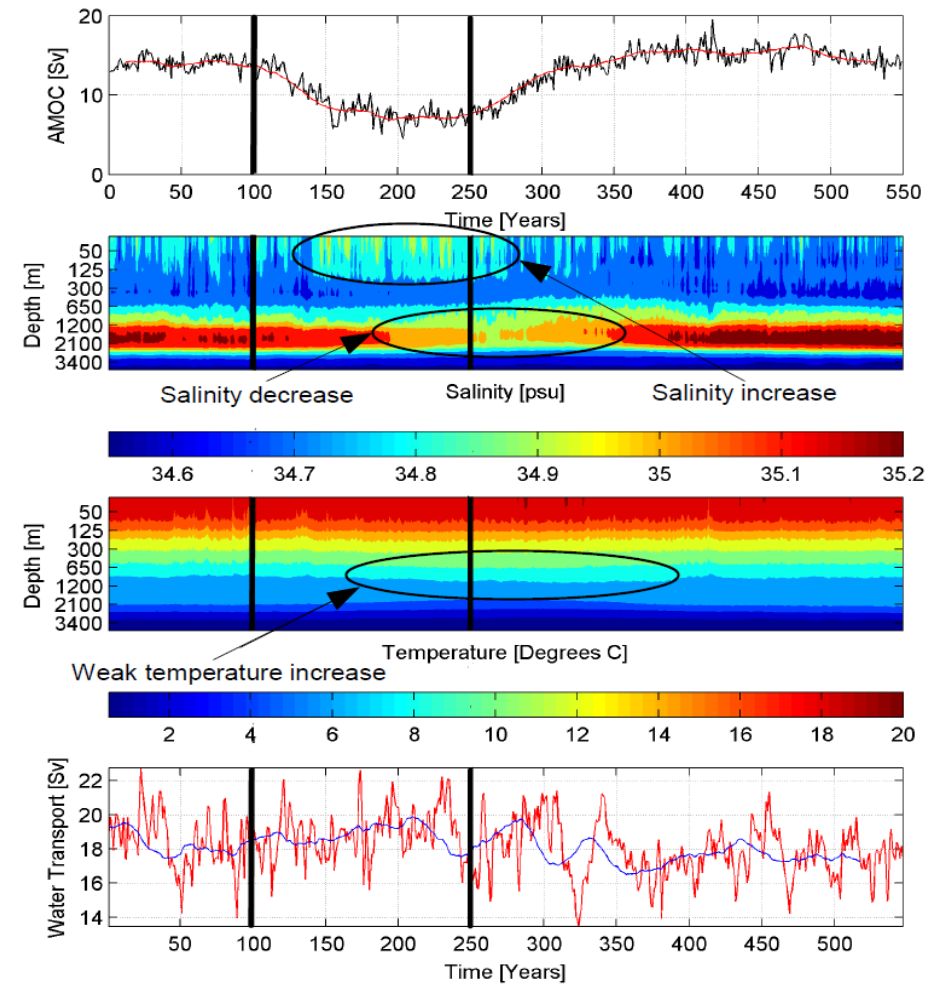
In order to assess the transient response of the warm and cold water route gateways, meridional sections at the I-AOG and Drake Passage (see Chapter 2 for details) were selected, and temporal analyses performed. The I-AOG salinity, temperature and water transport timeseries for experiment PI150FW are provided in Figure 5.6. As the freshwater input progresses between years 100 and 250 and the AMOC adjusts, an upper level (0 - 300 m water depth) salinity increase of  $\sim 0.2$ - $0.3$  psu develops across the I-AOG, lagging the North Atlantic forcing by approximately 50 years. Since no major

increase of I-AOG water transport is simulated during the experiment (Figure 5.6), the observed salinity increase is likely related to Atlantic rather than Indian Ocean sourced salt. The salinity response occurs as a result of the reduced AMOC and associated reorganisation of the South Atlantic subtropical gyre system, tending to store and redistribute salt throughout the South Atlantic i.e. the quasi-inter-hemispheric salt seesaw (Figure 5.5c). The effect of the bi-polar thermal seesaw is detected over depth levels 600-1100 m, expressed by a 1-2°C temperature increase, which lags the remote North Atlantic forcing by ~50 years (Figure 5.6). The signature of eastward transported NADW exported from the South Atlantic, located beneath the westward flowing I-AOG transport (above ~1000m), is discernable as a salinity maximum between 1200m and 3000m water depths. The presence of this relatively saline water mass, composed of water in the salinity range 35.1 - 35.2 psu is reduced beginning around year 150 and replaced with water in the range 34.9 - 35.0 psu (Figure 5.6). This implies a reduction in NADW penetration at the location associated with the weak AMOC state (years 150 – 400), lagging the North Atlantic forcing by ~ 50 years.

## Drake Passage



## I-AOG



**Figure 5.6** Drake Passage (left) and I-AOG (right) Hovmoeller diagrams and transport timeseries for experiment PI150FW. Freshwater perturbation is instantaneously introduced at year 100 and ceases at year 250 (indicated by black lines). The AMOC timeseries shows a weakening in response to freshwater perturbation at year 100. After cessation of the forcing at year 250 the AMOC recovers. The red curves along the AMOC timeseries indicate 30 year moving mean values. Also shown are salinity (psu), temperature (°C) and water volume transport (Sv) through the respective gateways. 10 year moving mean values are plotted along the water transport timeseries.

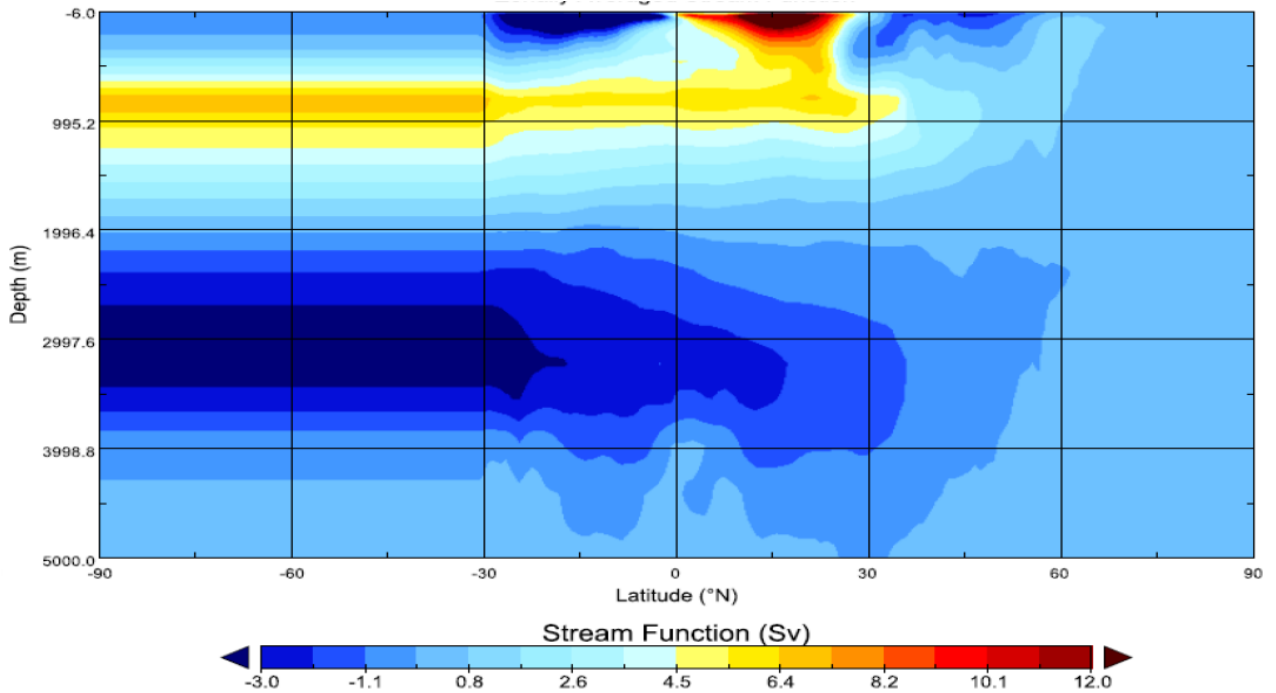
### **5.2.1.2 Drake Passage response**

The Drake Passage and AMOC timeseries for experiment PI150FW are provided in Figure 5.6. Similar to results from the I-AOG, as the freshwater input progresses between years 100 and 250, and the AMOC adjusts, an upper level (0-200m water depth) salinity increase of ~0.2-0.3 psu develops across the Drake Passage section and lags the North Atlantic forcing by ~100 years, suggesting a bipolar salt seesaw response time of ~100 years at the Drake Passage (Figure 5.6). Again this salinity response occurs as a result of the reduced AMOC and subsequent quasi-bipolar salinity seesaw adjustment process. Coincident with the salinity increase at the Drake Passage is the development of an upper layer 1-2°C warming, extending down to a water depth of 700m (Figure 5.6). This thermal response is particularly pronounced between years 250 and 400, lagging the northern forcing by ~150 years, suggesting a bipolar thermal seesaw response time of ~150 years at the Drake Passage, reflecting the thermal inertia of the Southern Ocean (Stocker and Johnson, 2003; Knutti et al., 2004).

### **5.2.2 LGM freshwater perturbation**

The LGM equilibrium simulation utilising the MPIOM-AFRICA ocean model configuration produced 100 year climatological mean I-AOG and Drake Passage transports of ~15 Sv and ~71 Sv, respectively (Table 3.1). For further details on the LGM equilibrium state refer to Chapter 3. Performing an identical freshwater perturbation experiment on the LGM background state (as in PIF150FW), again there is a reduction in the northward heat and salt transport in the North Atlantic, related to the

weakened AMOC state. In this case the AMOC weakens to  $\sim 5$  Sv after 150 years of perturbation i.e. at year 250 (Figure 5.7). Thereafter the system re-equilibrates, and by year 500 the AMOC has returned to its original quasi-equilibrium state characterised by an AMOC overturning strength of  $\sim 21$  Sv.



**Figure 5.7** Zonally integrated meridional transport in the Atlantic Ocean (AMOC, Sv) for the weakened state associated with experiment LGM150FW. Notable is the intrusion of abyssal waters north of the Equator below depths of 2000 m. The AMOC collapse is enhanced in LGM150FW compared to PI150FW.

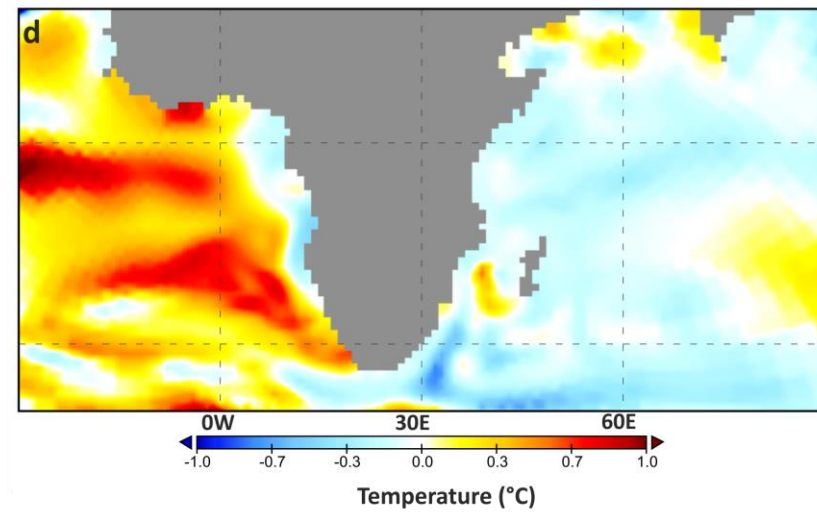
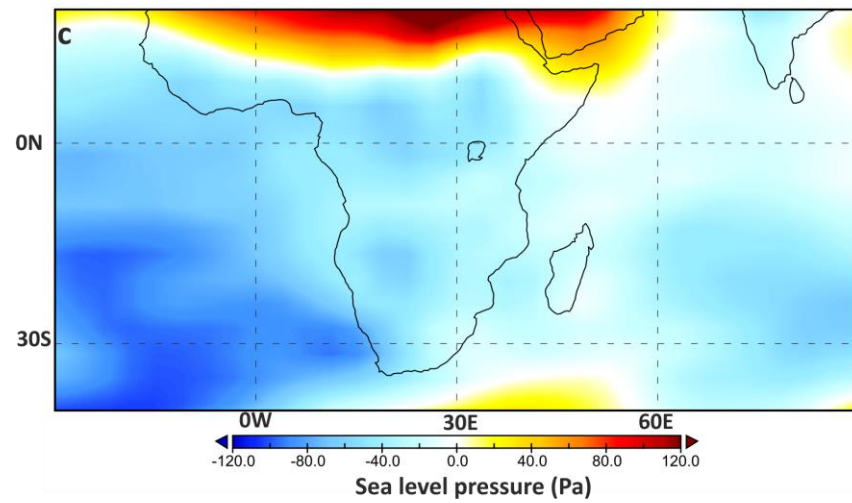
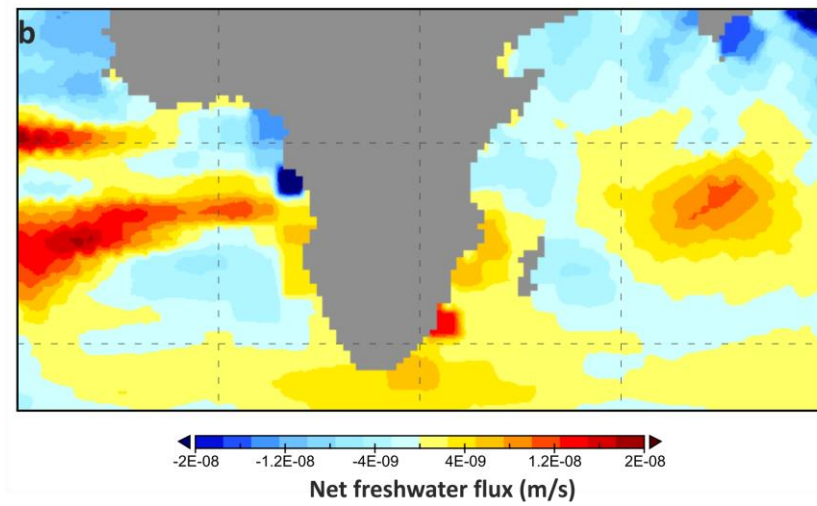
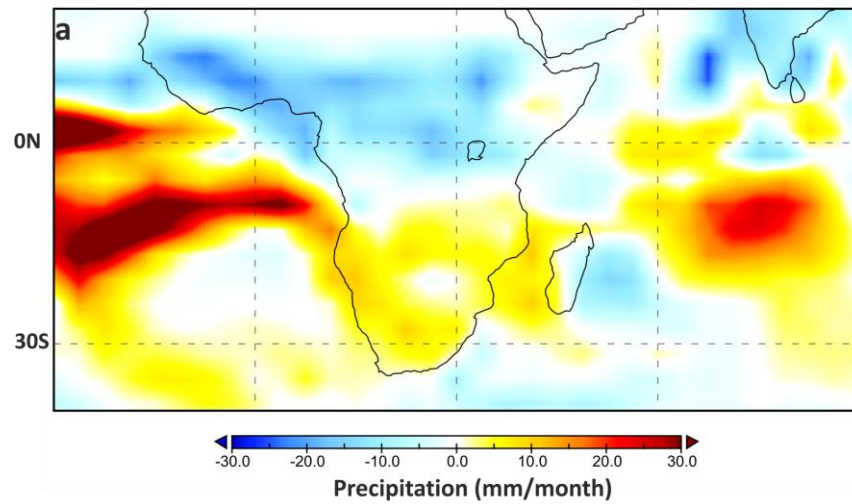
The results from LGM150FW show substantial changes in the heat and salt distribution throughout the entire globe in response to perturbation (Figure 5.5 b,d). These changes in the distribution of heat and salt are particularly pronounced in the Atlantic and Arctic oceans, as well as the Atlantic sector of the Southern Ocean (similar to the PI150FW case). Bi-polar thermal and quasi-bi-polar salt seesaws analogous to those in PI150FW are simulated (Figure 5.5 b,d). However, despite the qualitative similarities in response between the two background states, some interesting quantitative differences exist, relating to the enhanced AMOC weakening in LGM150FW compared to PI150FW. In terms of thermal response, the North Atlantic surface ocean cools by up to  $\sim 12^{\circ}\text{C}$  in



LGM150FW, 5°C colder than the maximum cooling experienced in PI150FW (Figure 5.5 a,b). Southern Hemisphere warming in LGM150FW is slightly warmer than in PI150FW ( $\sim +0.25^{\circ}\text{C}$ ), especially pronounced in the South Atlantic Ocean. LGM150FW simulates a slight  $\sim 0.25^{\circ}\text{C}$  SST increase at the Drake Passage, while PI150FW simulates almost no change. Freshening of the North Atlantic and Arctic oceans is stronger in LGM150FW than PI150FW, with maximum freshening of 6 psu and 5 psu in those basins, respectively (Figure 5.5d). This compares with maximum freshening anomalies of 4 psu and 2 psu simulated in the North Atlantic and Arctic oceans during experiment PI150FW (Figure 5.5c). Salt accumulation in the Caribbean, South Atlantic, Indian and Pacific oceans is also of a higher magnitude and extent in experiment LGM150FW, reaching maxima of 1.5 - 2.0 psu in some locations e.g. off the west coast of Africa (Figures 5.5 c,d).

In response to North Atlantic freshwater perturbation, the simulation also shows a southward shift of the equatorial and near-equatorial precipitation belts associated with the ITCZ (Figure 5.8a), in agreement with PMIP ensemble-model studies (Stouffer et al., 2006). For example, precipitation in southeast Africa increases by  $\sim 10\text{-}15\%$  compared to the LGM background state (Figure 5.8a). As a result of the precipitation and river runoff changes, the net freshwater flux in the ocean responds (Figure 5.8b). Additionally, and associated with the shift of the ITCZ, an anomalously low surface level pressure (SLP) is simulated over the south-east Atlantic, the entire southern part of the African continent, and southwest Indian Ocean (Figure 5.8c).

*Chapter 5. Millennial scale changes at the I-AOG and Drake Passage*

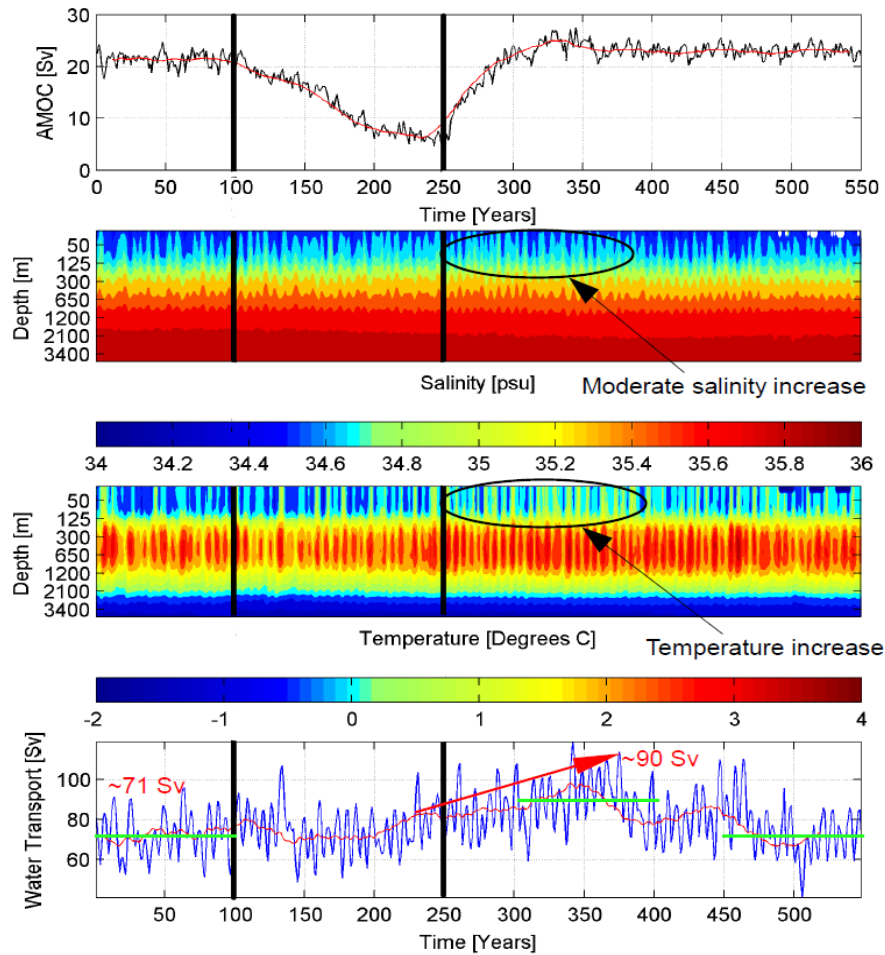


**Figure 5.8 Modelled response to North Atlantic freshwater perturbation on the LGM background state** (a) Annual mean precipitation anomaly (in mm/mon) demonstrating the southward shift of the equatorial precipitation belts, including increases of precipitation in the range of 5-10 mm over the South-east African river catchment areas. (b) Net freshwater flux at the ocean surface (in m/s), including the effects of precipitation, evaporation and river run-off. Evident are the anomalously fresh regions of increased river run-off along the south-east coast of Africa. (c) Annual mean surface level pressure anomaly (in Pa) showing anomalously low surface level pressure over the entire southern part of the African continent, south-east Atlantic, and southwest Indian Ocean. Increased sea-level pressure is simulated over northern Africa. (d) Sea surface temperature anomaly (in °C) showing anomalously high temperatures occurring in the Atlantic sector of the Southern Hemisphere. All anomalies are between the perturbed and background LGM climate states. (Simon et al., in preparation).

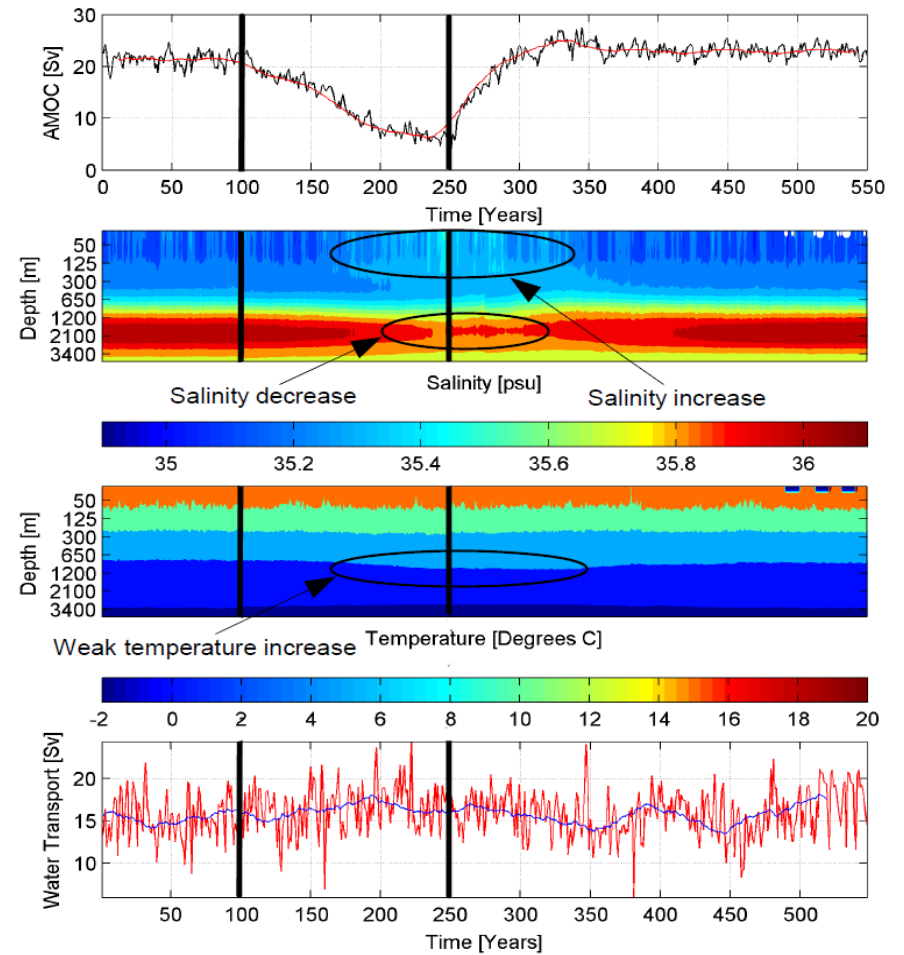
### 5.2.2.1 Indian-Atlantic Ocean Gateway response

As for the PI150FW case, meridional sections at the I-AOG and Drake Passage (see Chapter 2 for details) were selected, and temporal analyses performed in order to assess the transient responses. The resulting AMOC and I-AOG salinity, temperature and water transport timeseries for experiment LGM150FW are provided in Figure 5.9. As the freshwater input progresses between years 100 and 250, an upper level (0-400m water depth) salinity increase of ~0.3-0.5 psu develops across the I-AOG section (years 150 to 300), lagging the North Atlantic forcing by approximately 50 years. Similar to experiment PI150FW, no discernable change of I-AOG water transport is simulated during the experiment (Figure 5.9). The effect of the bi-polar thermal seesaw is observable between 700-1200m water depth, expressed by a 2-3°C temperature increase (Figure 5.9), lagging the North Atlantic forcing by approximately 50 years. Also similar to experiment PI150FW, across deeper layers a reduced NADW export from the South Atlantic, associated with a weakening AMOC, is detectable as a salinity signal with a lag time of ~ 50 years after the North Atlantic forcing (Figure 5.9). Notable differences in the temperature and salt responses across the I-AOG section between the two experiments PI150FW and LGM150FW include the ~3°C lower SST and ~0.5 psu higher SSS associated with the LGM background case (Figures 5.6 and 5.9). The ~3°C SST cooling at this location between the pre-industrial and LGM simulations reflects the general sub-tropical temperature response to the application of LGM boundary conditions, while the ~0.5 psu SSS increase is related to the 1 psu added to the LGM ocean in order to take the large glacial ice sheets into account (see Chapter 2 for details).

## Drake Passage



## I-AOG



**Figure 5.9** Drake Passage (left) and I-AOG (right) Hovmoeller diagrams and transport timeseries for experiment LGM150FW. Freshwater perturbation is instantaneously introduced at year 100 and ceases at year 250 (indicated by black lines). The AMOC timeseries shows a weakening in response to freshwater perturbation at year 100. After cessation of the forcing at year 250 the AMOC recovers. The red curves along the AMOC timeseries indicate 30 year moving mean values. Also shown are salinity (psu), temperature (°C) and water volume transport (Sv) through the respective gateways. 10 year moving mean values are plotted along the water transport timeseries. Green lines and associated numbers in red (Sv) indicate the 100 year climatological mean rate of Drake Passage throughflow. The red arrow indicates the ~26% water transport increase through the Drake Passage.

#### **5.2.2.2 Drake Passage response**

The Drake Passage and AMOC timeseries for experiment LGM150FW are provided in Figure 5.9. Similar to experiment PI150FW on the pre-industrial background state, upper level salinity and temperature increases are simulated in response to, and lagging, the northern forcing. As the freshwater input progresses between years 100 and 250, and the AMOC adjusts, an upper level (0-125m water depth) salinity increase of ~0.1psu develops across the Drake Passage section and lags the North Atlantic forcing by ~100 years (Figure 5.9). As in the PI150FW case this salinity response occurs as a result of the reduced AMOC and the subsequent quasi-bipolar salinity seesaw adjustment process. Coincident with the salinity increase, a warming of 1-2°C develops and extends down to a water depth of ~1200m (Figure 5.9). This thermal response is particularly pronounced between years 250 and 450, beginning ~150 years after the application of the perturbation. This suggests a bipolar thermal seesaw response time of 150 years at the Drake Passage, similar to the equivalent response time observed in experiment PI150FW. These elevated temperatures between years 250 and 450 indicate that the warming associated with the bi-polar temperature increase persists after cessation of the North Atlantic forcing, meaning that the Drake Passage remains anomalously warm as the AMOC recovers, and after it has recovered. The thermal characteristics of the Drake Passage only appear to return to something resembling their unperturbed state during years 500 to 550 of the experiment, some 150 years after the AMOC has recovered, and 400 years after removal of the forcing (Figure 5.9).

One significant difference between experiments PI150FW and LGM150FW is a 100 year climatological increase in the Drake Passage salt transport of ~35% (compared to the background LGM climatological mean Drake Passage transport), associated with a ~26% increase in water transport, simulated between years 300 and 400 in experiment LGM150FW (Figure 5.9, Table 5.1). This increase begins ~50 years after cessation of the North Atlantic perturbation, coincident with the lagged and persistent temperature increase at the Drake Passage (Figure 5.9). This phenomenon is further discussed in Section 5.3.3.

**Table 5.1** Water and salt transports and associated standard deviations in Sv and kg/s, respectively, through the I-AOG (indicated by AL) and Drake Passage (indicated by DP) for experiments PI150FW and LGM150FW. Of particular interest is the simulated increase of water and salt transport through the Drake Passage (25.6% and 34.7%, respectively), existing in experiment LGM150FW in response to the Northern Hemisphere forcing (perturbed state values indicated in red). On the other hand the data reveals relatively weak changes in salt transport through the Agulhas leakage corridor in both experiments. The indicated reference, perturbed, and recovered states refer to 100 year climatological mean values over years 0-100, 300-400, and 450-550, respectively.

Experiment	Reference state	Perturbed state	Recovered state
AL - PI150FW (water)	$18.4 \pm 1.6$	$17.5 \pm 1.8$	$17.6 \pm 1.3$
AL - PI150FW (salt)	$3.3 \pm 0.2 \times 10^{10}$	$3.2 \pm 0.3 \times 10^{10}$	$3.2 \pm 0.2 \times 10^{10}$
AL - LGM150FW (water)	$15.1 \pm 2.5$	$15.1 \pm 2.6$	$15.9 \pm 3.2$
AL - LGM150FW (salt)	$3.9 \pm 0.6 \times 10^{10}$	$3.8 \pm 0.6 \times 10^{10}$	$4.1 \pm 0.8 \times 10^{10}$
DP - PI150FW (water)	$111.4 \pm 7.2$	$110.6 \pm 5.7$	$106.2 \pm 6.6$
DP - PI150FW (salt)	$3.7 \pm 0.2 \times 10^{11}$	$3.7 \pm 0.2 \times 10^{11}$	$3.6 \pm 0.2 \times 10^{11}$
DP - LGM150FW (water)	$71.5 \pm 10.9$	$89.8 \pm 12.6$	$74.9 \pm 13.5$
DP - LGM150FW (salt)	$2.8 \pm 0.9 \times 10^{11}$	$3.7 \pm 1.2 \times 10^{11}$	$3.1 \pm 1.1 \times 10^{11}$

## **5.3. Discussion**

### **5.3.1 Global Responses and the Southern Ocean Heat Reservoir**

The results here show substantial changes in the heat and salt distribution throughout the global ocean, especially the Arctic, Atlantic and Southern Oceans (Figure 5.5), in response to forced AMOC shifts. The North Atlantic freshwater forcing induces a thermal bi-polar adjustment process in the ocean (Figure 5.5a,b), associated with a reduction in northward heat transport to the North Atlantic and heat storage in the South Atlantic (Stocker et al., 1998; Knutti et al., 2004). In addition to this thermal response to the reduced AMOC strength, a quasi-inter-hemispheric salt seesaw is simulated (Lohmann, 2003), resulting in a salinification of large parts of the Southern Hemisphere due to salt advection throughout the supergyre (Speich et al., 2007). This response is detectable at the Drake Passage and I-AOG in both experiments (Figure 5.5c,d). The decreased AMOC also causes a freshening throughout most of the North Atlantic and Arctic Oceans. In the experiments cooling and freshening (warming and salinification) in the North (South) Atlantic are more pronounced in experiment LGM150FW than in PI150FW, relating to the degree of AMOC weakening (Figure 5.5).

A thermal response at the Drake Passage (upper ~1000 m, including the surface) is observed after ~150 years of perturbation in experiments PI150FW and LGM150FW (Figures 5.6 and 5.9). At the I-AOG, a weaker thermal response occurs more quickly (over intermediate depths 600-1200 m), detectable ~50 years after the forcing in both experiments (Figures 5.6 and 5.9). The delayed thermal response at the Drake Passage is related to the rate of AMOC reduction, the associated decreased northward heat transport, and the subsequent transmission of the warming signal across the ACC, which isolates the higher latitudes of the Southern Ocean from the lower latitudes, both dynamically and thermally (Cox, 1989; Schmittner et al., 2003). As a consequence of the Southern Ocean acting as a thermal reservoir (Stocker and Johnson, 2003; Knutti et al., 2004, Barker et al., 2011), the elevated sea-surface temperatures at the Drake Passage are maintained after the North Atlantic freshwater forcing is eliminated, and the subsequent cooling and recovery back to pre-industrial and LGM conditions is delayed by ~150 - 200 years (Figures 5.6 and 5.9).

In response to North Atlantic freshwater perturbation, the equatorial and near-equatorial precipitation belts associated with the ITCZ shift southwards (Figure 5.8a), in agreement with PMIP ensemble-model studies (Stouffer et al., 2006). For example, precipitation in southeast Africa increases by ~10-15% compared to the LGM background state (Figure 5.8a). As a result of the elevated rainfall over the continent, higher river runoff into the southwest Indian Ocean is simulated and occurs along the southeast African continental margin with a maximum developing in the vicinity of the mouth of the Limpopo River (Figure 5.8b). In contrast to southeast Africa, dryer conditions are simulated further north, at Lake Malawi (Johnson et al., 2002), Lake Tanganyika (Tierney et al., 2008) and the Sahel zone (Mulitza et al., 2008), reflecting the southward shift of the ITCZ during Northern Hemisphere cold stadials. While large parts of sub-Saharan Africa experienced severe dry conditions during the experiment (Stager et al., 2011), southern Africa experiences more humid conditions (Figure 5.8a). In particular, the reduction in the Congo and Niger River runoff in western Africa is evident (Figure 5.8b), and is a well-documented feature in palaeoclimatological studies from that area (Weijers et al., 2007a; Weldeab et al., 2007; Weldeab, 2012).

An anomalously low SLP is simulated over the entire southern part of the African continent and the southeast Atlantic (Figure 5.8c). It is known that the ITCZ is sensitive to shifts in the cross-equatorial SST gradient (Moura and Shukla, 1981) and that changes in the position of this gradient can influence precipitation patterns in the low latitudes (Dong and Sutton, 2002). The equatorial and near-equatorial precipitation changes are mainly determined by a shift in the thermal equator, and the associated sea level pressure changes. This suggests that a southern shift of the ITCZ occurs in association with a weakening of the Hadley cell in the southern tropics (Lee et al., 2011), implying that during Northern Hemisphere cold stadials remote forcing of the hydrological variability in the region of the I-AOG primarily acts through an atmospheric adjustment which leads to the development of humid conditions (Simon et al., in preparation). These patterns are consistent with the interhemispheric teleconnections associated with the bipolar thermal see-saw (Broecker, 1998; Stocker and Johnsen, 2003).



### **5.3.2 Indian-Atlantic Ocean Gateway**

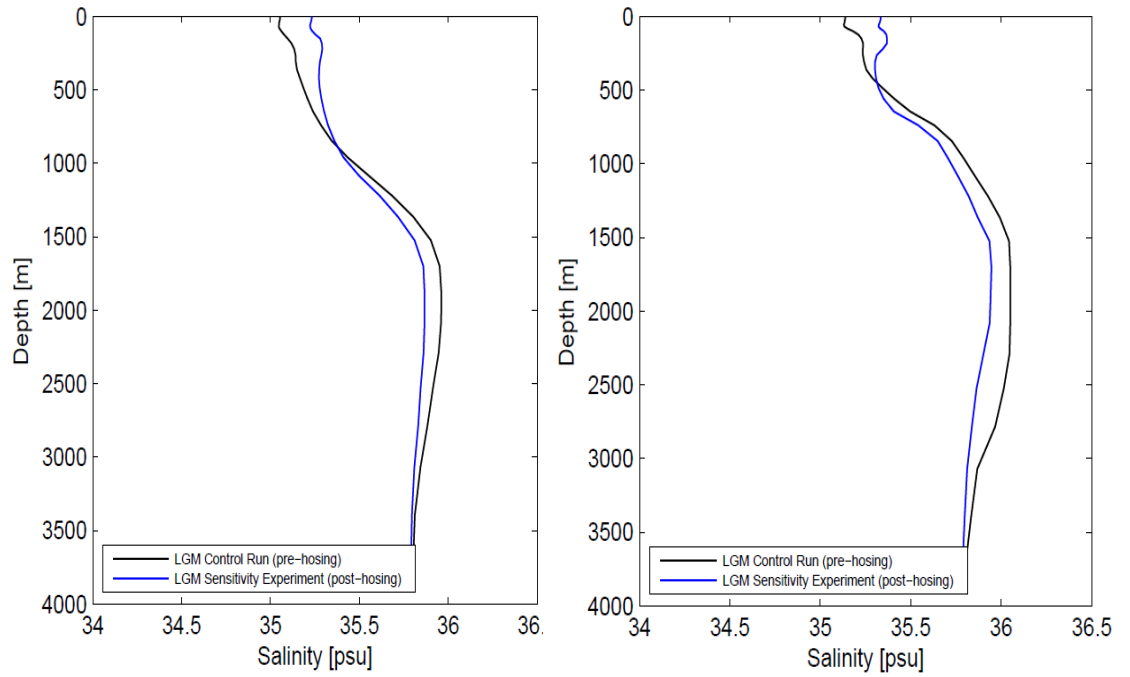
Changes in salinity and temperature are simulated at the I-AOG in both experiments. These include a reduced export of deep waters (1000 m – 3000 m water depth) from the South Atlantic, as well as an upper level salinification (extending to down ~500 m water depth) and slight intermediate depth (700 m – 1000 m) warming of the region during weak and recovering AMOC states, independent of the background state. The reduced deep water export, observable in salinity profiles across the I-AOG (Figures 5.6 and 5.9), can be attributed to the reduction in North Atlantic open-ocean convection and formation of NADW (McManus et al., 2004; Hall et al., 2006; Thornalley et al., 2011). Whilst the warming of the intermediate layers of the I-AOG develops due to the bi-polar thermal seesaw (Stocker, 1998), the salinification of the upper layers is attributed to the quasi-bi-polar salt seesaw (Lohmann, 2003), an analogue of the thermal seesaw.

Changes in the climatological mean water and salt transports through the I-AOG are generally weak in the experiments (Figures 5.6 and 5.9, Table 5.1), most likely relating to negligible changes in the intensity and location of the Southern Hemisphere westerly winds (Figure 5.12) (Durgadoo et al., 2013). The results are consistent with those of Marino et al. (2013), in that a salt accumulation is simulated at the I-AOG during the weakened AMOC state (Northern Hemisphere stadial conditions) in both LGM150FW and PI150FW. In line with previous studies (for example Peeters et al., 2004, with regards to glacial-interglacial transitions), Marino et al. (2013) suggested that the increased salt-leakage might have acted as a source of negative buoyancy for the perturbed AMOC, possibly promoting its resumption.

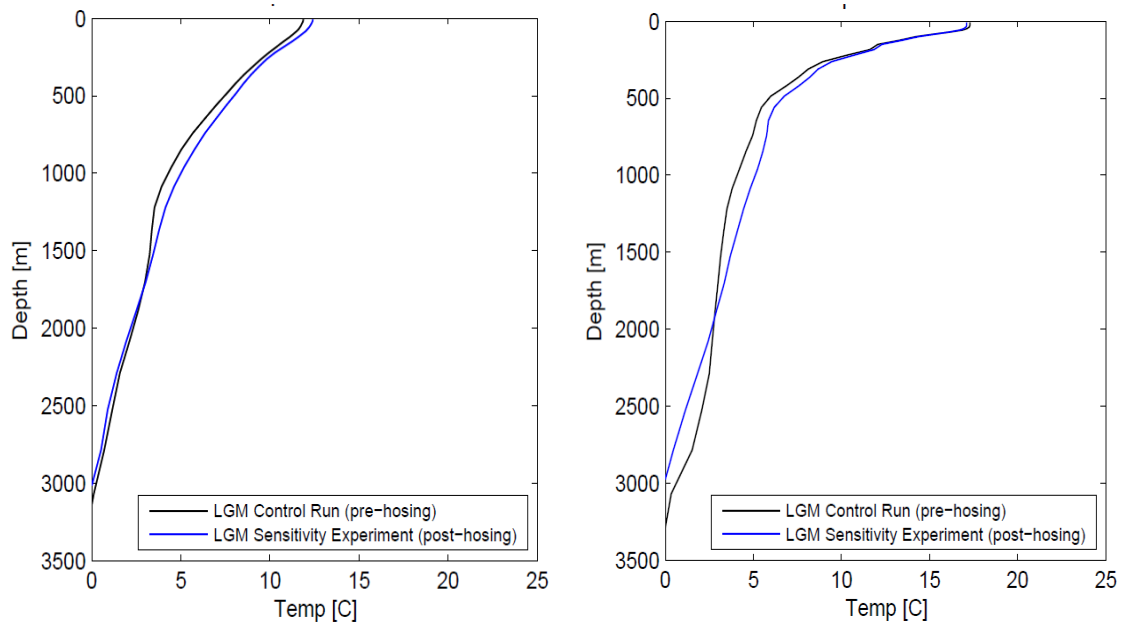
Using data from experiment LGM150FW on the LGM background state, virtual core sites were selected at sites MD02-2588 at the Agulhas plateau and MD96-2080 at the Agulhas bank (two cores used in the study by Marino et al., 2013). The salinity profiles from the virtual core sites show that salinity is enhanced in the region, extending to a depth of ~500m at the Agulhas Bank and ~800m at the Agulhas Plateau during the freshwater experiment (Figure 5.10). This is in agreement with the data presented by in Marino et al. (2013). Below these depths salinity begins to decrease with respect to the

background LGM state, which is a result of decreased NADW penetration in response to the weakened AMOC and NADW convection (see Figure 5.9). The temperature profiles from the virtual core sites demonstrate increased temperature extending down to ~1500m at the Agulhas Plateau during the freshwater perturbation. At the Agulhas Bank the temperature increase begins at a depth of ~250m and extends to ~1800m (Figure 5.11).

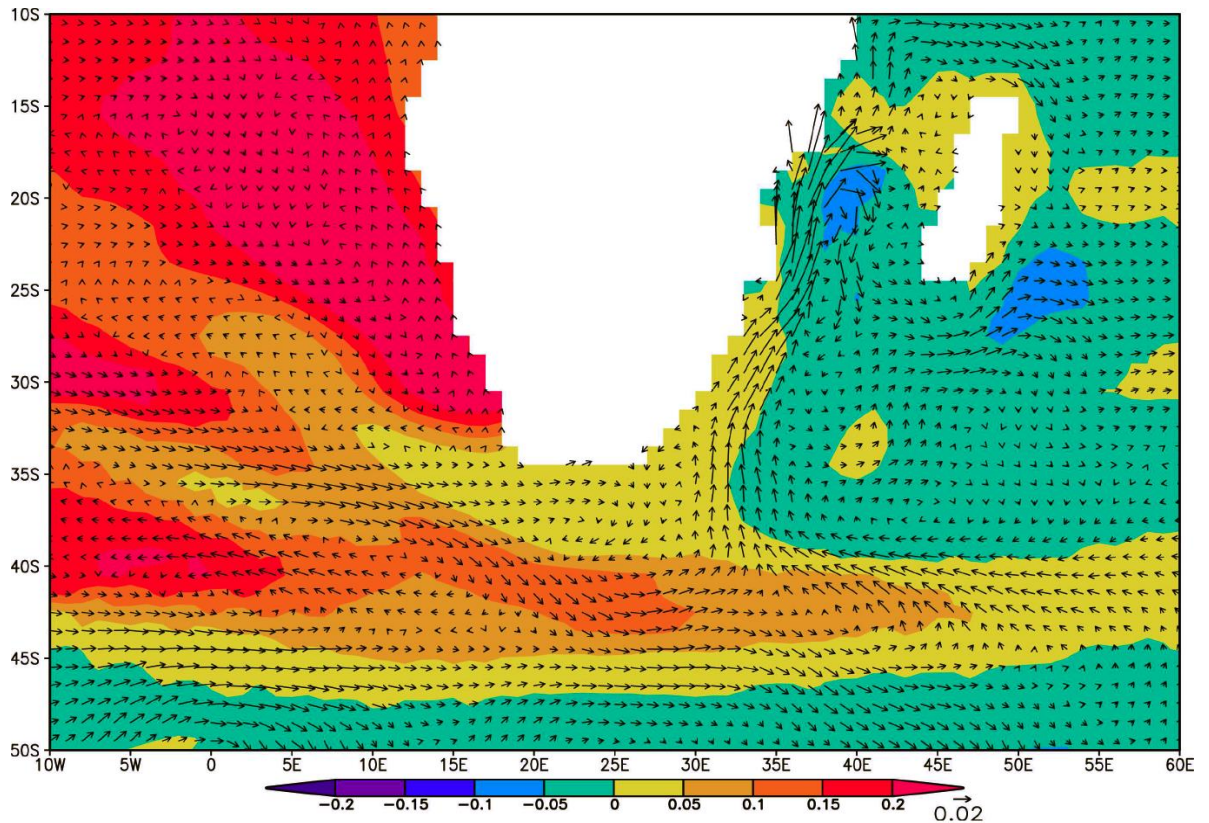
The idealised experiments suggest that the salt accumulation at the I-AOG is most likely Atlantic sourced (Figure 5.12), and occurs via salt advection throughout the Southern Hemisphere supergyre in response to the bi-polar salt seesaw (Lohmann, 2003). The rather weak changes in I-AOG transports simulated during the AMOC mode shifts (Figures 5.6, 5.9, and Table 5.1), suggest that a recirculation of Atlantic sourced salt, with no net gain or loss of freshwater to the Atlantic Basin, are unlikely to promote resumption of the AMOC. This is in contrast to the hypothesis developed by Marino et al., (2013) who proposed that the salinity peaks are Indian Ocean sourced, transported to the study region by increased Agulhas leakage. If the modelled results are accurate, the millennial scale salinity peaks documented by Marino et al., (2013) may actually have occurred as a result of a salinification of the region in response to the bi-polar salt seesaw (Lohmann 2003) during weakened AMOC states. While these salinity peaks may well be indicative of increased Agulhas salt-leakage, they may not occur due to increases of Indian Ocean sourced salt – rather Atlantic Ocean sourced salt.



**Figure 5.10** Salinity profile for LGM (black line) and LGM150FW (blue line) at core site MD02-2588 (left) at the Agulhas plateau and MD96-2080 (right) at the Agulhas bank (see Figure 5.1).



**Figure 5.11** Temperature profile for LGM (black line) and LGM150FW (blue line) at core site MD02-2588 (left) at the Agulhas Plateau and MD96-2080 (right) at the Agulhas Bank (see Figure 5.1).



**Figure 5.12** Anomalies of salinity and velocity in the Agulhas region simulated during experiment LGM150FW. Salinity (colours in psu) and surface velocity (arrows in m/s) anomalies between the perturbed and background LGM state are averaged over the upper 500m. Velocity anomalies indicate a reduction in the transport of the Agulhas Current and in the Mozambique Channel, and rather weak changes at the I-AOG itself. The increased salinity in the region is therefore unlikely to be Indian Ocean sourced, rather originating in the Atlantic Ocean, in response to the weakened AMOC. Climatological values for the states in response to perturbation are averaged over model years 230 – 250, corresponding to the time when the AMOC is at its weakest state.

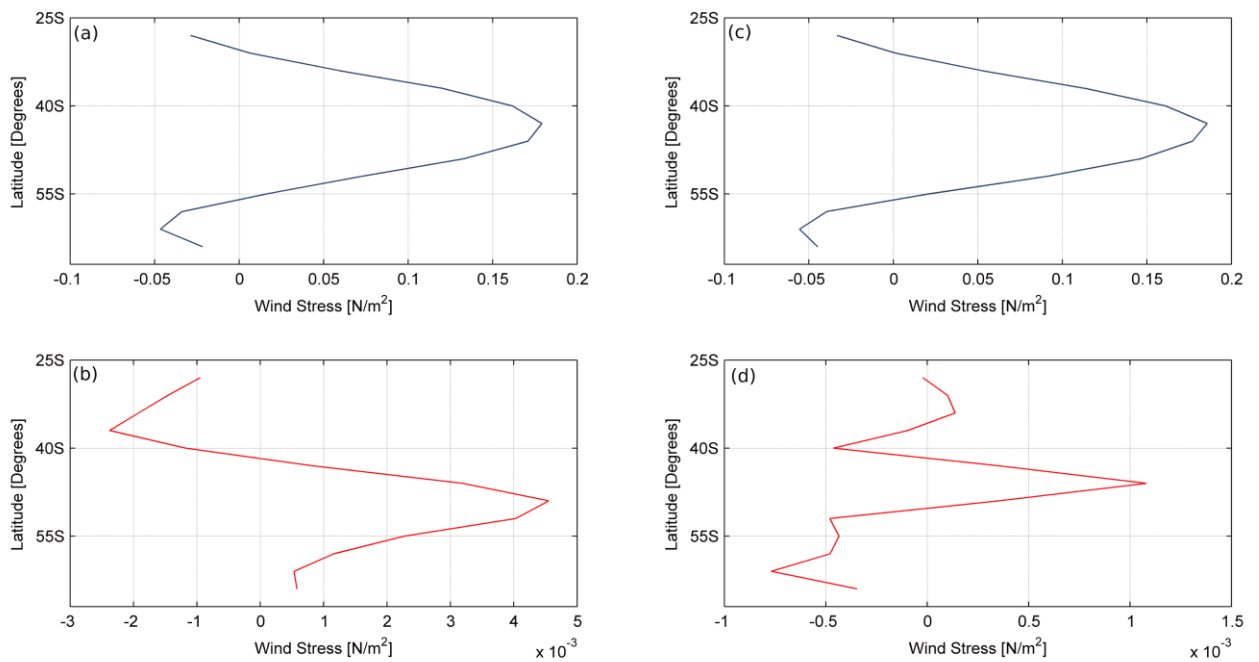
### 5.3.3 Drake Passage

As observed in the I-AOG, warming (extending to depths 700 – 1200 m in Figures 5.6 and 5.9) and salinification (Figure 5.5c,d) of the upper/intermediate Drake Passage layers are also simulated in both perturbation experiments, and can be attributed to the bi-polar thermal and quasi-bi-polar salt seesaw mechanisms, respectively (Stocker et al., 1998; Lohmann, 2003). Increases of ~26% and ~35% in the climatological mean water and salt transports through the Drake Passage are simulated in experiment LGM150FW (Table 5.1, and years 300 - 400 in Figure 5.9). These increases occur in response to the

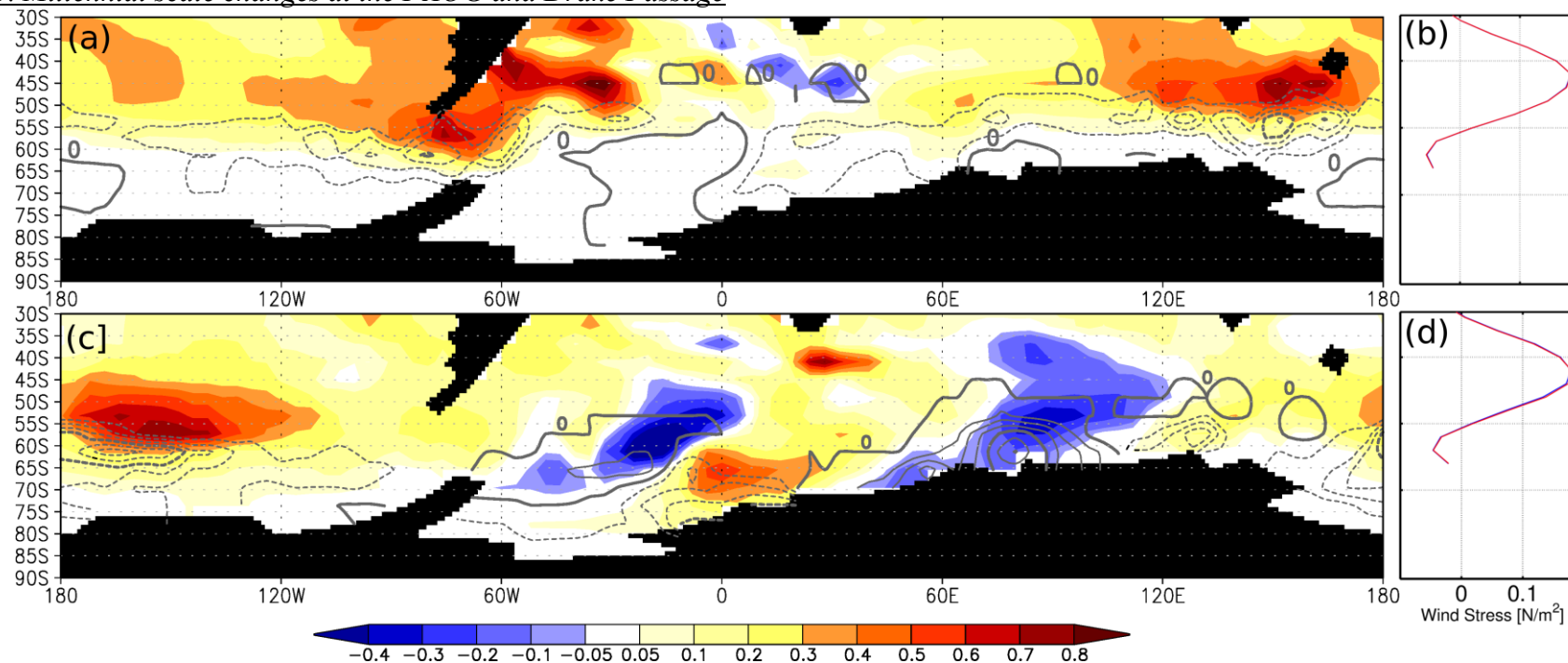
bi-polar thermal seesaw (Stocker et al., 1998), which gradually warms the oceans and atmosphere of the Southern Hemisphere in response to the reduced AMOC (Schmittner et al., 2003), as follows. In the mid to high latitudes of the Southern Hemisphere, the associated SST increase (which is maintained after cessation of the North Atlantic perturbation) (Figures 5.6 and 5.9) results in a reduction of Southern Ocean sea ice cover (Figure 5.14). The reduction of sea ice area cover in LGM150FW is up to 5% at the Drake Passage and 7% in the Pacific sector (with respect to the sea ice simulated for the LGM climate state) and occurs as far north as 45°S across the Southern Ocean, exposing a large section of the ocean surface (Figure 5.14). The net increase in surface area of the Southern Ocean which becomes exposed to wind stress due to sea ice retreat amounts to  $\sim 590,000 \text{ km}^2$ . This area was calculated from the global sea ice coverage, which was given in a value range between 0 (no sea ice cover) to 1 (full sea ice cover). Regions south of 45°S (maximum extent of annual LGM sea ice) were selected. For every selected grid cell, the anomaly in sea ice coverage is multiplied by the total surface area corresponding to the respective grid cell, and the area contributions by single grid cells are summed.

This net exposed area of Southern Ocean surface area coincides with the modelled latitude of maximum zonal wind stress between 30°S and 56°S, associated with the Southern Hemisphere westerlies (Figures 5.13 and 5.14b). As a result of the reduced sea ice extent, a stronger coupling between the atmosphere and the surface ocean develops, implying that the atmosphere is capable of exerting wind stress over a larger area of the exposed Southern Ocean surface and ACC, consistent with a recent observation from palaeo-reconstructions (McCave et al., 2013). In contrast to the studies of Anderson et al. (2009) and Toggweiler and Lea (2010), the westerly winds in these experiments experience only a negligible reduction in strength and displacement of position (Figure 5.13 b,d). Therefore, the simulated increase of Drake Passage transport in LGM150FW is unlikely to be directly related to changes in wind intensity and location, but rather is driven by the sea ice modulation of the wind stress forcing at the ocean surface (McCave et al., 2013). Additionally, it should be noted that changes in the meridional density gradient across the Southern Ocean may also play a role in determining the change in Drake Passage throughflow (this was not assessed).

A similar reduction in sea ice cover (up to 10%) is simulated in PI150FW (Figure 5.14 c), but rather occurs south of 56°S, and therefore outside the zone of maximum Southern Hemisphere westerly wind stress occurring between 30°S and 56°S for the pre-industrial case (Figures 5.13 and 5.14d). As such, and unlike the glacial LGM150FW case, the wind stress associated with the westerlies is not exerted over a larger exposed area of the Southern Ocean, and the Drake Passage throughflow does not experience any significant climatological increase of water or salt transport (Table 5.1).



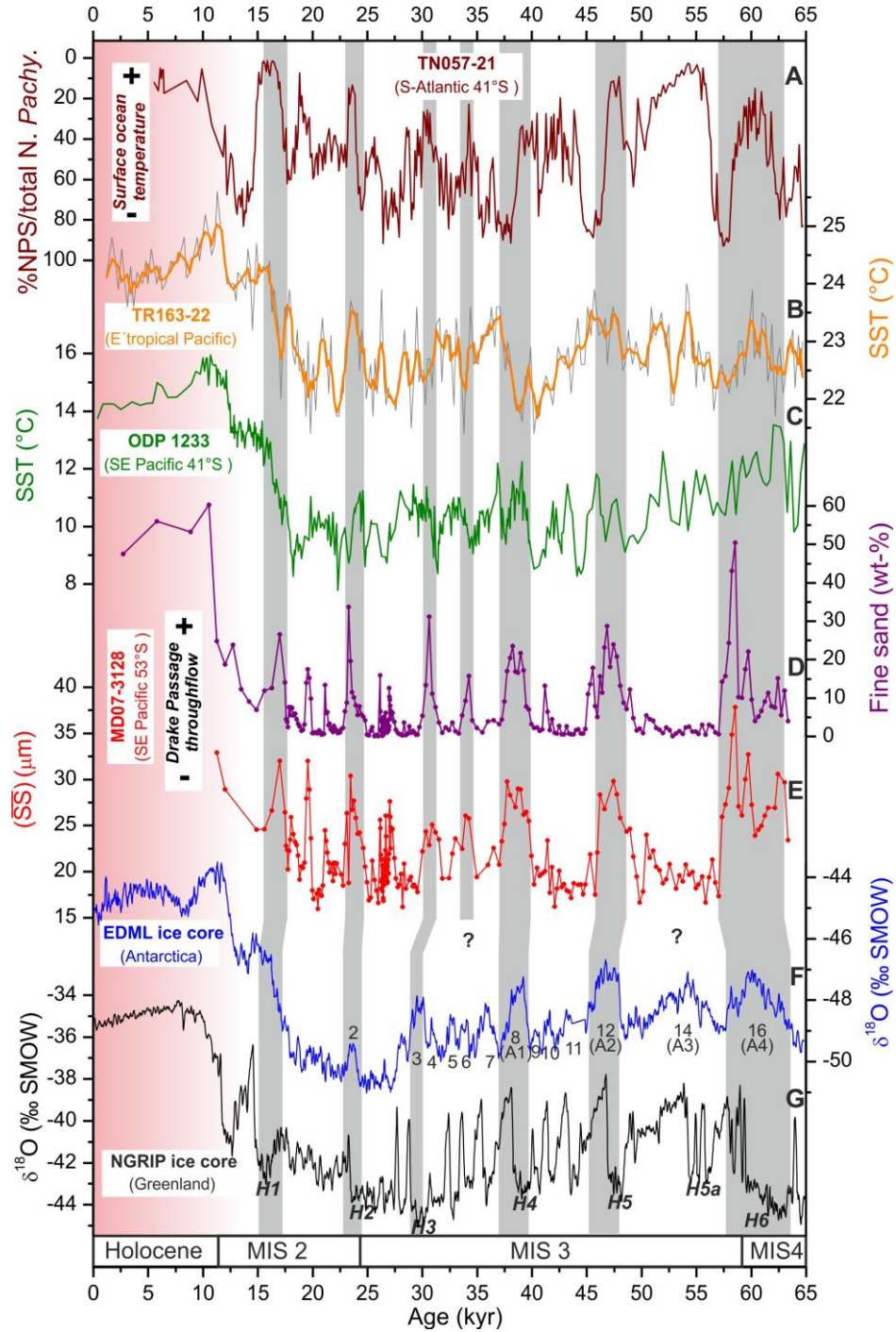
**Figure 5.13** Southern Hemisphere zonal mean wind stress plots for (a) the pre-industrial and (c) the LGM climate states, and zonal mean wind stress anomaly plots for (b) experiment PI150FW and (d) experiment LGM150FW. The zonal mean is plotted between 25°S and 65°S across all longitudes. Climatological anomalies in wind stress are calculated by subtracting years 300 - 400 of experiments PI150FW and LGM150FW (coincident with the simulated climatological increase in Drake Passage transport in experiment LGM150FW) from the mean states in the PI and LGM equilibrium simulations, respectively. Notable are wind stress increases on the order of  $10^{-3} \text{ Nm}^{-1}$  in experiments PI150FW and LGM150FW occurring in the main Southern Hemisphere westerly latitude belt (30°S and 50°S). These increases in wind stress are however two orders of magnitude smaller than the climatological wind stresses themselves, and are therefore unlikely to have a significant impact on the imparted momentum to the ACC.



**Figure 5.14** Anomaly plots of annual mean sea ice area fraction and SST ( $^{\circ}\text{C}$ ), and Southern Hemisphere zonal mean wind stress ( $\text{Nm}^{-2}$ ) for (a, b) experiment LGM150FW, and (c, d) experiment PI150FW. Red (blue) values in (a) and (c) indicate increased (decreased) SST in response to perturbation. Evident in both experiments is a reduction of the Southern Hemisphere annual mean sea ice area fraction (indicated by contours), generally coincident with areas of increased SST. Dashed (solid) line contours indicate regions of decreased (increased) annual sea ice cover, with a contour interval of 0.0125 i.e. 1.25 %. (b, d) Zonal mean wind stress is plotted between  $25^{\circ}\text{S}$  and  $65^{\circ}\text{S}$  (averaged over all longitudes), demonstrating the latitudinal domain of the Southern Hemisphere westerlies. The unperturbed (perturbed) state is plotted in blue (red), with curves representing the perturbed and unperturbed states almost coincident, indicating negligible changes in the position and strength of the Southern Hemisphere westerlies in response to the North Atlantic forcing, in both PI150FW and LGM150FW. Climatological anomalies in wind stress are calculated by subtracting years 300 - 400 of experiments PI150FW and LGM150FW from the mean states in the PI and LGM equilibrium simulations, respectively. Climatological values in (a, b, c, d) for the states in response to perturbation are averaged over model years 300 - 400, coincident with the simulated climatological increase in Drake Passage transport in experiment LGM150FW.

The model results from the Drake Passage were additionally compared with new proxy data from the entrance to the Strait of Magellan, core site MD07-3128 (see Chapter 2 for details). The proxy data show pronounced millennial-scale variability superimposed on a general glacial reduction of DP throughflow (Figure 5.15d,e). Within age model uncertainties, the  $SS_{\text{mean}}$  maxima generally coincide with millennial-scale temperature maxima in Antarctica (Figure 5.15f). The records depict most of the Antarctic Isotope Maxima between ~23 and ~60 kyr BP and show pronounced maxima at the beginning of Termination 1 coeval with Heinrich Event 1 (Figure 2d-g). These data imply that on millennial time-scales, DP throughflow was enhanced during Northern Hemisphere stadials including Heinrich events, consistent with the results from experiment LGM150FW. Taken together, the results suggest that substantial changes in the water mass flow of the ACC occurred on millennial time-scales, with an increase in Drake Passage throughflow having potential repercussions for global climate via the cold water route.





**Figure 5.15** Reconstructed changes in in CHC strength and DP throughflow compared to temperature records. (A) Ratio of planktic foraminifera *N. pachyderma* (sin.) to total *N. pachyderma* counts indicative of surface ocean temperature changes in the South Atlantic (Barker et al., 2014). (B) Mg/Ca SST record from the Galapagos region (Lea et al., 2006) representing eastern tropical Pacific SST changes. (C) Alkenone SST record from ODP Site 1233 located within the HCS at ~41°S (Kaiser and Lam, 2010) (updated age-model). (D, E) Fine sand contents and  $\overline{SS}$  as proxy records for CHC strength and DP throughflow. (F) Oxygen isotope record of the east Antarctic EDML ice core (EPICA Community Members, 2006) (AICC12 age scale). Numbers mark Antarctic Isotope Maxima, and largest Antarctic warmings A1-A4. (G) Oxygen isotope record of the Greenland NGRIP ice-core (EPICA Community Members, 2006) (GICC05 age scale). H1-H6, Heinrich stadials. Vertical gray bars mark inferred millennial-scale DP throughflow maxima and suggested correlation to other records. (Lamy et al., in

preparation)

#### **5.3.4 Consequences for the resumption of the AMOC**

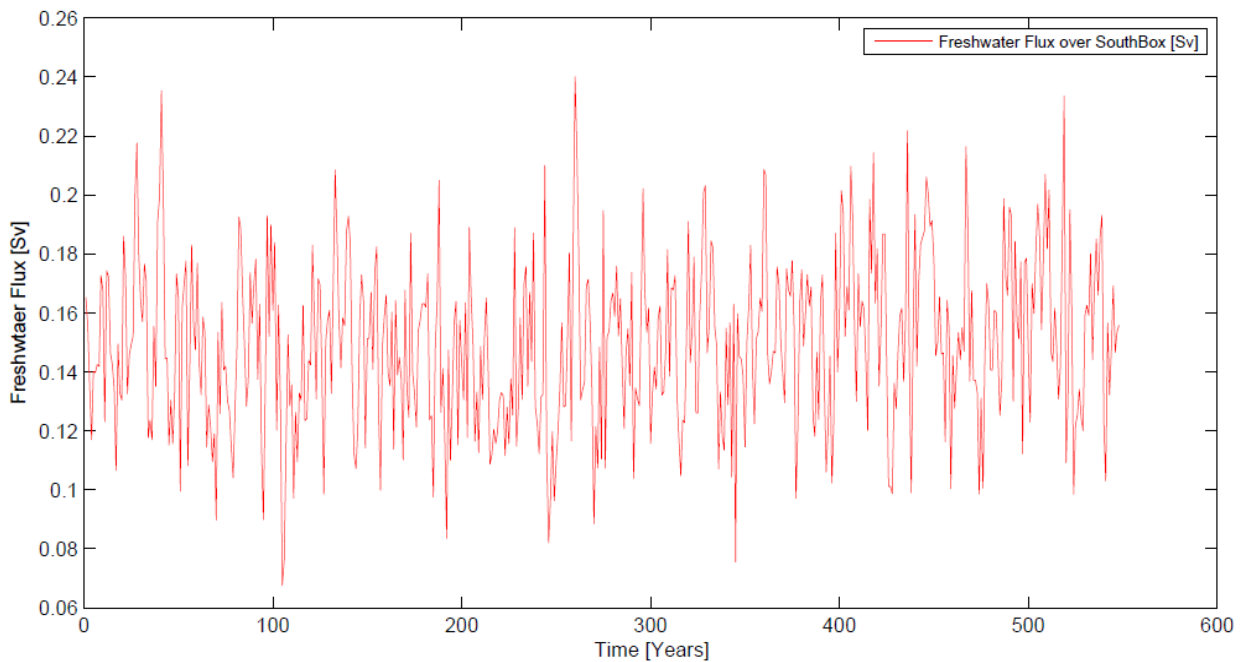
The analysis of changes at the I-AOG and Drake Passage can be used to suggest potential changes across the warm and cold water routes during weak and recovering AMOC states. While only minor changes of the I-AOG transport is simulated, the transport increase through the Drake Passage could affect the stability of the AMOC via northward salt advection in the Atlantic Ocean, as shown by Weijer et al. (2002) for the warm water route. Although any change in heat transport through the Drake Passage can be later reduced by ocean-atmosphere exchange (as shown by Biastoch and Böning, (2013), for the warm water route), increases in salt transport along the cold water route are more likely to persist as positive density anomalies advected northwards to the NADW formation zones (Beal et al., 2011).

A comprehensive Lagrangian analysis would be required in order to fully assess the contribution of the increased Drake Passage transport to the North Atlantic. However, a first estimate calculation based on previous studies can be derived. The ~35% salt transport increase simulated in LGM150FW during years 300 – 400 corresponds to  $\sim 0.9 \times 10^{11}$  kg/s of salt (see Table 5.1). Since ~5% of the Drake Passage throughflow is estimated to be advected to the North Atlantic (Speich et al., 2001), it is estimated that the simulated ~35% salt transport increase in LGM150FW corresponds to an increase of  $\sim 0.45 \times 10^{10}$  kg/s of salt transport advected to the North Atlantic. The ~40 % of Agulhas leakage reaching the North Atlantic (Rühs et al., 2013) corresponds to  $\sim 1.5 \times 10^{10}$  of salt in the LGM simulation (see Table 5.1). Using this as a first approximation, it is implied that the increased Drake Passage salt transport in LGM150FW is equal to approximately a third of the Agulhas leakage reaching the North Atlantic i.e.  $(0.45 \times 10^{10}) / (1.5 \times 10^{10})$ .

In order to test whether increased salinity anomalies generated at the Drake Passage could persist along the cold water route along the pathway towards the NADW convection sites during the freshwater experiments, the net freshwater flux at the ocean surface was calculated over a region defined over latitudes 0° to 90°S and longitudes

80°W to 20°W, capturing the Atlantic sector of the Southern Ocean (between the Drake Passage and the I-AOG) and the South Atlantic Ocean (as far north as the equator). The region is denoted ‘south box’ (Figures 5.16 and 5.17). The net freshwater flux at the ocean surface was defined as the integral of precipitation, evaporation and river run-off. This method provides a useful insight in to how salinity anomalies might be adjusted along their respective pathways.

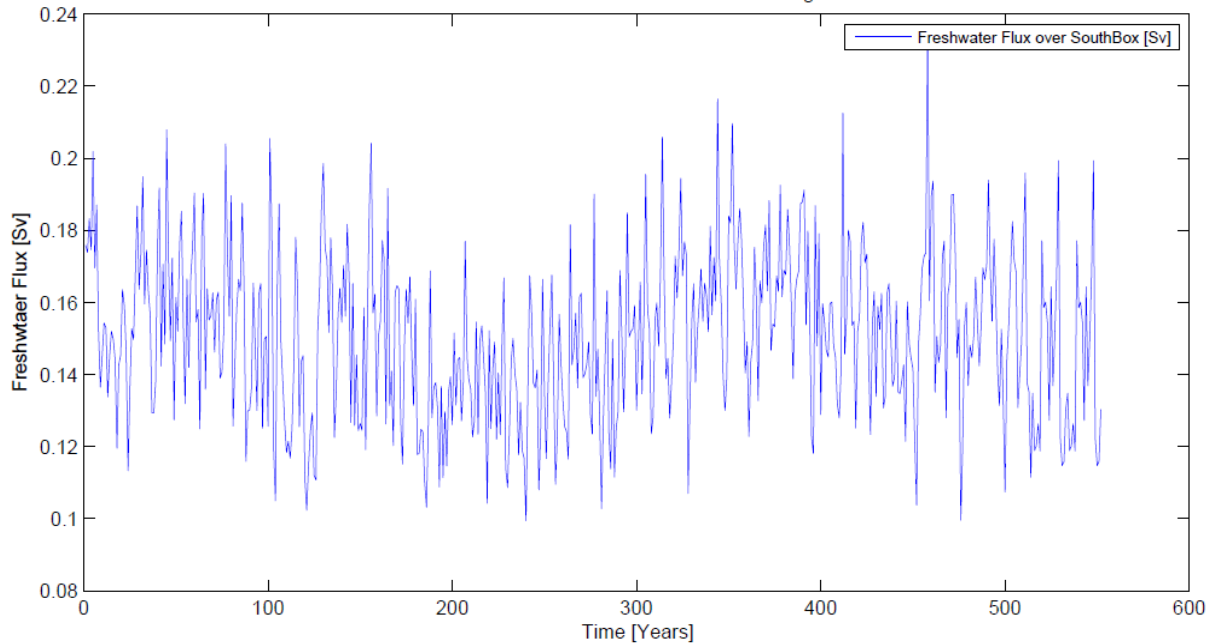
As shown in Figures 5.16 and 5.17, no major increase in net freshwater flux at the ocean surface is simulated during experiments PI150FW and LGM150FW, meaning the northward propagation of salinity anomalies from the Drake Passage, past the equator into the North Atlantic, could go unimpeded without additional freshening. A slight decrease of freshwater flux can actually be detected between years 150 and 300 in LGM150FW, which would act to enhance salinity anomalies. It is therefore suggested that the simulated increase of salt transport through the Drake Passage in LGM150FW, via salt advection throughout the cold water route, including the tropical Atlantic Ocean, may have played an important role in the mechanisms associated with the resumption of the AMOC to interstadial conditions.



**Figure 5.16** Area averaged net freshwater flux at the ocean surface (in Sv), including the effects of precipitation, evaporation and river run-off over the ‘south box’ region defined over latitudes 0° to 90°S and longitudes 80°W to 20°W, capturing the Atlantic sector of the Southern Ocean (between the Drake

### Chapter 5. Millennial scale changes at the I-AOG and Drake Passage

Passage and the I-AOG) and the South Atlantic Ocean (as far north as the equator) during experiment PI150FW. North Atlantic freshwater perturbation occurs between model years 100 and 250. No significant change in freshwater flux at the ocean surface occurs in response to freshwater perturbation.



**Figure 5.17** Area averaged net freshwater flux at the ocean surface (in Sv), including the effects of precipitation, evaporation and river run-off over the ‘south box’ region defined over latitudes 0° to 90°S and longitudes 80°W to 20°W, capturing the Atlantic sector of the Southern Ocean (between the Drake Passage and the I-AOG) and the South Atlantic Ocean (as far north as the equator) during experiment LGM150FW. North Atlantic freshwater perturbation occurs between model years 100 and 250. No major increase in freshwater flux at the ocean surface occurs in response to freshwater perturbation.

An increase in the transport through the Drake Passage was previously suggested by Knorr and Lohmann (2003) as a potential trigger for AMOC resumption during deglacial climate transitions. Using a general circulation ocean model, Knorr and Lohmann (2003) suggested that Southern Hemisphere sea ice and temperature changes played a more dominant role than wind-forcing with regards to the strength of the thermohaline circulation. Since the study presented here reveals a simulated increased Drake Passage transport occurring only during full glacial conditions, it is suggested that the potential mechanism of this Southern Hemisphere feedback on the abrupt transition to interstadial conditions can also (in addition to the deglacial) operate during full glacial climates, when most abrupt millennial climate changes are observed in the palaeo record (Dansgaard et al., 1993). Due to the lack of a significant increase in Drake

Passage transport in experiment PI150FW, no evidence is found to suggest that this same mechanism could operate during full interglacial climates.

## **5.4 Summary and Conclusions**

This chapter aimed to investigate the palaeoceanography of the I-AOG and Drake Passage during millennial scale climate changes of the past. North Atlantic freshwater perturbations, mimicking Heinrich events, which were performed on both the pre-industrial and LGM climate states, were described in detail. Responding to perturbation, the ocean experiences a reduced AMOC and the bi-polar thermal and salt seesaw phenomena, with the magnitude of each dependent on the background state. Atmospheric circulation changes, involving southward migrations of the equatorial and near-equatorial precipitation belts associated with the ITCZ and a weakening of the Hadley cell configuration, are simulated in good agreement with proxy data.

An increased Drake Passage transport which develops only during AMOC perturbation on the glacial background state is shown. The increased transport is driven by a thermal bi-polar seesaw induced sea ice modulation of the Southern Ocean wind forcing on the ocean surface. Contrastingly, at the I-AOG where the Agulhas leakage transports warm, salty waters from the Indian to the Atlantic Ocean, only minor changes in water transport are simulated during the freshwater experiments. Although a salinification of the I-AOG region occurs in response to the bipolar salt seesaw mechanism (Lohmann, 2003), in agreement with Marino et al., (2013), the increased salt content is Atlantic Ocean sourced. This is in contrast to the claims of Marino et al., (2013) who attributed millennial scale salinity peaks coeval with Northern Hemisphere cold stadials to increased transport of Indian Ocean salt through the I-AOG. According to the freshwater experiments conducted in this study, a recirculation of Atlantic sourced salt, with no net gain or loss of freshwater to the Atlantic Basin, are unlikely to promote resumption of the AMOC.

Since this Drake Passage salt transport change is significantly larger than at the I-AOG

during the glacial simulation, it can be suggested that the cold water route may play a more significant role in millennial scale climate change than previously considered. Via the advection of salt through the tropical Atlantic and further towards the NADW convection sites, this enhanced Drake Passage transport may potentially play an important role in the mechanisms associated with the resumption of the AMOC to interstadial conditions. The freshwater experiment results point towards the importance of considering net changes in the salt transports at both the Drake Passage and I-AOG in determining the long term climatological responses in the warm and cold water routes, and their potential effect on the overturning rate in the North Atlantic. Indeed, in a warming world where increases in Agulhas Leakage have been suggested to bear a potential impact on the AMOC (Biaosoch et al, 2009), changes at the Drake Passage in response to sea ice or wind changes due to anthropogenic forcing, may in fact act to enhance or negate the effect of increased Agulhas leakage on global climate change.

## **6. Conclusions and Outlook**

This thesis has aimed to investigate the palaeoceanography of the warm (I-AOG) and cold (Drake Passage) water route ocean gateways on glacial-interglacial and millennial timescales. The thesis is predominantly the culmination of efforts from Earth system modelling, but also presents a number of model-data comparisons with proxy data from the regions in question. Three key questions were outlined in Chapter 1 and the thesis has been organised towards the investigation of these questions throughout Chapters 3, 4 and 5, and are now revisited here. These chapters present a number of findings, which are now summarised here. A new hypothesis for the palaeo Agulhas leakage is presented, and some final words on the roles of the warm and cold water gateways on glacial-interglacial and millennial scale timescales are voiced. The chapter concludes with an outlook, containing a short description of further work that might help in our understanding of the warm and cold water routes and their gateways during past and future climate changes.

### **6.1 Can an adapted fully-coupled ESM be used to simulate an improved transfer of Indian Ocean water into the Atlantic Basin?**

In Chapters 2 and 3, the development and results of a new adapted ESM were described in detail. Specifically, the adapted model included a reconfigured ocean component (MPIOM-AFRICA), which relocated the South Pole over South Africa, with the intention of improving the I-AOG water mass transport (Agulhas leakage) - a key component of the warm water route. In the model's pre-industrial simulation, MPIOM-AFRICA simulates I-AOG and Drake Passage climatological mean transport rates of  $18.4 \pm 1.6$  Sv and  $111.4 \pm 7.2$  Sv respectively. These rates are in good agreement with ocean observational data (Cunningham, 2003; Richardson, 2007) and other high resolution ocean models (Biastoch et al., 2008; Biastoch et al., 2009; Durgadoo et al., 2013; R  hs et al., 2013). Therefore, with respect to the warm and cold water route gateways, MPIOM-AFRICA represents an improvement on the pre-existing MPIOM-CTRL configuration which overestimates these transport rates ( $46.1 \pm 2.3$  Sv for the I-AOG and  $245.4 \pm 4.2$  Sv for the Drake Passage).

MPIOM-AFRICA also simulates reasonable global SSTs, SSS, barotropic stream function, ocean velocities, and mixed layer depth, and AMOC, generally comparable with those simulated using the well-established MPIOM-CTRL model. Both model configurations are in reasonable agreement with World Ocean Atlas observational data 2009 (Locarnini et al., 2010). However, a significant disadvantage of both the MPIOM-AFRICA and MPIOM-CTRL pre-industrial configurations is the absence of a significant salinity difference between the South Indian and South Atlantic oceans, meaning that the modelled Agulhas leakage is unlikely to impact upon the strength of the AMOC (Weijer and van Sebille, 2014). The LGM simulation performed using MPIOM-AFRICA is similar to that using the MPIOM-CTRL version (Zhang et al., 2013), and modelled LGM global SST and SSS data are in good agreement with a host of proxy data (Adkins et al., 2002; Gersonde et al., 2005; Kucera et al., 2005; de Vernal et al., 2006; Waelbroeck et al., 2009; Cronin et al., 2012), adding further credence to the adapted setup.

In summary, the adapted ESM represents a step forward in the study of the I-AOG and Drake Passage ocean gateways and their importance with regards to climate changes of the past. The model provides a solid platform for the investigation and analyses of palaeo-rates of Agulhas leakage and Drake Passage throughflow. Specifically, the model can be used as a tool for determining changes at the Drake Passage and the I-AOG in response to the application of pre-industrial and glacial boundary conditions for glacial-interglacial investigations (Chapter 4), and freshwater perturbations for the analysis of abrupt AMOC shifts (Chapter 5).

## **6.2 Did the ocean transport through the warm and cold water route gateways change on glacial-interglacial timescales, potentially playing active roles in global climate change?**

Building on the strength of the MPIOM-AFRICA configuration presented in Chapter 3, Chapter 4 investigated the changing rates of I-AOG and Drake Passage transport rates on glacial-interglacial timescales. The rate of I-AOG and Drake Passage transports and modelled SSTs in both pre-industrial and LGM climate states were compared with proxy data from the south-east Atlantic, Agulhas region, the south-east Pacific, and the Drake Passage. I-AOG and Drake Passage transport rates for the LGM simulation were shown to be  $15.1 \pm 2.5$  Sv and  $71.5 \pm 10.9$  Sv, respectively. While the fully coupled ESM simulates decreased LGM SSTs



and an equatorward shift of the thermal subtropical front, in agreement with proxy data throughout the Agulhas region (Bard et al. 1997; Peeters et al. 2004; Bard and Rickaby, 2009; Barker et al. 2009; Martínez-García et al. 2010; Caley et al., 2011; Simon et al. 2013; Kasper et al., 2014; Simon et al., (in preparation); Wang et al., 2013), a largely suppressed I-AOG warm water route water transport is not simulated – at odds with the theory inferred from proxy data (Peeters et al., 2004; Caley et al., 2014). The modelled results show a persistent I-AOG transport at the LGM ( $15.1 \pm 2.5$  Sv), suggesting that the Agulhas leakage need not have been weaker than today in order to simulate a glacial climate comparable with SST proxy data. This chapter provided an alternative hypothesis which can explain the pattern observed in proxy records from the I-AOG, without a necessary change in the glacial-interglacial rates of Agulhas leakage. The hypothesis proposes that the micropalaeontological proxies used to derive rates of Agulhas leakage (*Globorotalia menardii* and the Agulhas Leakage Fauna (ALF)) rather responded to regional upper ocean temperature changes during glacial-interglacial cycles of the late Pleistocene, and may not be related to Agulhas leakage at all (further expanded on in section 6.4 of this chapter).

At the cold water route gateway a reduced Drake Passage throughflow is simulated during the LGM compared to the pre-industrial climate state. The throughflow of water is reduced by ~36% from ~110 Sv in the pre-industrial simulation to ~70 Sv in the LGM case. The modelled reduction of Drake Passage throughflow during the LGM is corroborated by new proxy data at the entrance to the Drake Passage (Lamy et al., in preparation). In the model, the reduced throughflow occurs due to enhanced LGM sea-ice (thickness and extent), which acts to de-couple the Southern Hemisphere westerlies from the ocean surface (McCave et al. 2013).

On glacial-interglacial timescales, this study (both model and proxy data) suggests minimal changes in the warm water route gateway transport, and a large glacial reduction in transport at the cold water route gateway. At the same time, the LGM simulation shows a more vigorous and deeper AMOC than the pre-industrial case. However, most studies of proxy data in the North Atlantic suggest a shallower (shoaled) AMOC during the LGM (Marchitto and Broecker, 2006; Lynch-Stieglitz et al., 2007; Oppo and Curry, 2012; Gebbie, 2014). This poses the question of whether the weakened Drake Passage throughflow might have contributed to the shallower LGM geometry via reduced transport along the cold water route.

The model results presented here suggest not, with neither the slightly reduced I-AOG transport nor largely reduced Drake Passage transport, nor their combination, reflected in the geometry of the AMOC in the LGM simulation (which is more vigorous and deeper). However, this hypothesis can only be tested with Lagrangian tracer methodologies (floats), not used in this study. In the model, the pre-industrial and LGM AMOC geometries appear to be predominantly controlled by the combination of orbital configurations (Milankovitch, 1930; Milankovitch, 1941, Hays et al., 1976; Berger, 1978; Berger and Loutre, 1991), greenhouse gas concentrations (Luthi et al., 2008) and ice sheet size (Zhang et al., 2014) i.e. the applied boundary conditions. However a weaker contribution of the cold water route to a shallower glacial AMOC cannot be ruled out, and warrants further investigation using Lagrangian floats.

### **6.3 Could either or both of the transports through the warm and cold water route ocean gateways have actively contributed to the resumption of the AMOC to interstadial conditions during millennial scale climate change events of the Late Pleistocene?**

Chapter 5 aimed to investigate the palaeoceanography of the I-AOG and Drake Passage during millennial scale climate changes of the past. This chapter described the North Atlantic freshwater perturbations, mimicking Heinrich events, which were performed on both the pre-industrial and LGM climate states. A response dependant on the background climate state was shown. Specifically, while only insignificant changes in water transport through the I-AOG were simulated in response to the forcing, ~26% and ~35% increases in the climatological mean water and salt transports, respectively, were simulated through the Drake Passage. Millennial scale Drake Passage throughflow variability during the last glacial is also supported by newly available proxy data presented in Chapter 5 (Lamy et al., in preparation). The increased transport is driven by a thermal bi-polar seesaw induced sea ice modulation of the Southern Ocean wind forcing on the ocean surface (McCave et al., 2013). This response occurred only during AMOC perturbation on the glacial background state, where enhanced equatorward glacial sea-ice is present over the Southern Ocean. Since this Drake Passage salt transport change is significantly larger than at the I-AOG during the glacial freshwater perturbation, it can be suggested that the cold water route gateway might have played a more significant role in millennial scale climate change than previously considered. Via the advection of salt through the tropical Atlantic and further towards the NADW convection

sites, the enhanced Drake Passage transport might potentially play an important role in the mechanisms associated with the resumption of the AMOC to interstadial conditions. This hypothesis could be further tested with the utilisation of Lagrangian floats (see ‘Outlook’).

The results in this chapter point towards the importance of considering net changes in the water and salt transports at both the Drake Passage and I-AOG in determining the long term climatological responses in the warm and cold water routes, and their potential effect on the AMOC on millennial timescales. This has implications for the role of Agulhas leakage under future anthropogenic climate change (Biastoch et al., 2009; Biastoch et al., 2013). The modelling results also suggest that salinity peaks observed in proxy data during the penultimate glacial cycle (Marino et al., 2013) occur in response to the bi-polar salt seesaw (Lohmann, 2003), rather than to changes in I-AOG volume transport itself. This suggests that, on millennial timescales, Agulhas leakage responds passively to remote-controlled climate changes, likely initiated at the high latitudes (Zhang et al., 2014). Through salt advection in the Atlantic (Gong et al., 2013), Agulhas leakage may have modulated the AMOC upon resumption to interstadial conditions, but there is no direct evidence to suggest an active role. According to the freshwater experiments conducted in this study, a recirculation of Atlantic sourced salt, with no net gain or loss of freshwater to the Atlantic Basin, are unlikely to promote resumption of the AMOC. Instead, this work suggests that the Drake Passage cold water route gateway may play a more significant role in the mechanisms associated with the resumption of the AMOC to interstadial conditions.

#### **6.4 Agulhas leakage as a passive player in Quaternary climate change – a fresh hypothesis.**

In the twelve years since the landmark papers of Weijer et al., (2002), Knorr and Lohmann (2003) and Peeters et al., (2004), a significant body of research has accumulated towards further understanding the climate dynamics of the Agulhas region, and the potential importance of Agulhas leakage on both future and past climate changes (Biastoch and Böning, 2013; Marino et al., 2013). However, during that period a number of studies have showed problems with the simplistic view put forth a decade ago - a view which potentially fails to capture the gamut of climate phenomena at work in the region (Sexton and Norris, 2011; De

Boer, 2013, Broecker and Pena, 2014). The following is a summarised list of problems associated with the assumptions and claims made regarding the global significance of Agulhas leakage on past glacial-interglacial and millennial timescales. The list has been sectioned into two component parts – models and proxy data.

### **Models**

- A positive relationship between Agulhas leakage and AMOC strength in the modern ocean is beyond the scope of observational data, and has only been demonstrated by coarse stand-alone ocean circulation models (Weijer et al., 2002). These models lack the atmospheric feedbacks essential in determining the salinity levels in the Atlantic basin (precipitation, evaporation, and river runoff – all of which affect NADW formation and AMOC strength).
- High resolution eddy-resolving ocean models, which also lack essential atmospheric feedbacks, do not show significant increases in AMOC in response to increases in Agulhas leakage (Biaostoch and Böning, 2013). Nevertheless, it must be mentioned that future high resolution models may successfully capture this connection.
- Palaeo changes of Agulhas leakage on glacial-interglacial timescales have only been shown by coarse stand-alone ocean circulation models, again lacking in essential atmospheric feedback processes (Knorr and Lohmann, 2003).
- State-of-the-art fully-coupled ESMs find no correlation between Agulhas leakage variability and AMOC strength (Weijer and van Sebille, 2014). The same can be said regarding the model configuration utilised throughout this PhD project. However, future versions of these ESMs might demonstrate such a correlation.
- Durgadoo et al. (2013) showed that increased Agulhas leakage occurs in response to a northward shift of the Southern Hemisphere westerlies. This research is consistent with other studies (Biaostoch et al., 2009; Biaostoch and Böning, 2013), but alludes to the question of whether the shift or the *intensity* of the westerlies is the dominant

controlling factor. It is therefore unclear which exact atmosphere-ocean wind processes control the rate of Agulhas leakage, with implications for palaeo climate investigations of the region.

- The first fully-coupled ESM with the capability of simulating a realistic climatological mean I-AOG transport (this PhD project) finds little change of Agulhas leakage water transport between pre-industrial and LGM climate states, and also during millennial scale climate changes.

### **Proxy data**

- Inferred migrations of the subtropical front (STF) south of Africa are often used as a mechanism for past changes in Agulhas leakage (Bard and Rickaby, 2009; Marino et al., 2013). However, De Boer et al. (2013) illustrated that the position of the STF lies up to 10° north of the location of zero wind stress curl, which itself has been shown to control rates of Agulhas leakage (Biaostoch et al., 2009; Durgadoo et al., 2013). Therefore the SST variations documented by Bard and Rickaby, (2009) and other studies (Marino et al., 2009) as shifts in the STF, while reflecting changes in the *thermal* STF, indicate nothing about wind field changes or past Agulhas leakage variability. Inferring past changes in Agulhas leakage from SST proxy data is not robust, because changes in the thermal STF are unrelated to changes in Agulhas leakage.
- It remains unclear whether shifts of the Southern Hemisphere westerly wind fields did change in the past on glacial-interglacial timescales (Kohfeld et al., 2013). Without a wind change forcing there is no clear mechanism for changes in Agulhas leakage (Biaostoch et al., 2009; Biaostoch and Böning, 2013; Durgadoo et al., 2013).
- Sexton and Norris, (2011) disqualified the use of *Globorotalia menardii* abundance south of Africa as a proxy for Agulhas leakage. This study shows how *Globorotalia menardii* and two other species track poorly ventilated water masses, and that their low-latitude glacial-interglacial abundance variability is related to alternating high

latitude sources of poorly-ventilated (Antarctic sourced - AAIW) and well-ventilated (northern sourced) intermediate water masses, meaning that their abundance is unlikely to be representative of changes in volume transport by Agulhas leakage.

- Broecker and Pena (2014), showed that the reappearance of *Globorotalia menardii* in the Atlantic Ocean during Termination I preceded the resumption of the AMOC (deep NADW formation) by up to 1000 years. Since advection timescales of Agulhas leakage reaching the North Atlantic are on the order of decades (two decades according to Rühls et al., 2013) this delayed AMOC response poses a problem with the existing theory.
- SST, salinity and abundance changes in planktonic tropical-subtropical foraminiferal assemblages, used to infer changes in rates of Agulhas leakage at the I-AOG, may be controlled by upstream Agulhas Current variability, meaning the documented glacial-interglacial and millennial scale signals south of Africa may not represent rates (volumes) of Agulhas leakage at all (Simon et al., 2013).
- Agulhas Leakage Fauna (ALF) and *Globorotalia menardii* abundance counts follow similar regional trends to other foraminiferal species which are not included in the ALF (Martinez-Mendez et al., 2010) and which lie outside the direct pathway of Agulhas rings (Barker et al., 2009; Barker and Diz, 2014) (shown in this PhD thesis - Chapter 4). This suggests that the ALF and *Globorotalia menardii* records follow a general regional trend, probably responding with localised abundance changes to the background glacial-deglacial-interglacial SST variability, and unrelated to fluctuating rates of Agulhas leakage (passage of Agulhas rings).
- The ALF and *Globorotalia menardii* abundance counts also follow closely the Antarctic  $\delta\text{Dice}$  record of past atmospheric temperature changes (EPICA) (Jouzel et al., 2007). A positive correlation of Pearson coefficient,  $(P_t)=0.8$ , is found between the ALF and  $\delta\text{Dice}$  record. This close correlation suggests that the ALF records follow a general Southern Hemisphere temperature signal. Since species abundance and distribution in the world oceans is strongly correlated to SST (Kucera, 2007), it is

possible that the Agulhas leakage signal inferred from the ALF (Peeters et al., 2004) and *Globorotalia menardii* records (Caley et al., 2012) may have occurred as a result of changing local planktonic growth rates associated with local (yet globally driven) glacial-deglacial-interglacial SST changes – possibly unrelated to changes in Agulhas leakage.

- On millennial timescales, salinification of the Agulhas region can occur in response to the bipolar salt-seesaw which is known to operate during the weak AMOC states suggested to exist during DO cold stadials and Heinrich events.(Lohmann, 2003). According to the modelling studies carried out in this PhD thesis (Chapter 5), the salinity peaks documented by Marino et al., (2013) across Termination II are more likely to have occurred due to the salt seesaw mechanism rather than to increases in Agulhas leakage.

A key question of this thesis was the active-passive role of Agulhas leakage during past climate changes. Summarising the results presented in this chapter, it appears unclear whether Agulhas leakage played an active role in either glacial-interglacial climate change or AMOC recovery during millennial scale climate transitions. According to this modelling study, as well as the above arguments, changes at the I-AOG may in fact be passive; controlled by orbital configuration (Milankovitch, 1930; Milankovitch, , 1941, Hays et al., 1976; Berger, 1978; Berger and Loutre, 1991) and greenhouse gas concentrations (Luthi et al., 2008) on glacial-interglacial timescales; and massive ice rafting events (Heinrich, 1988; Bond et al., 1993) and ice sheet changes (Zhang et al., 2014), on millennial timescales.

Agulhas leakage may indeed play an important role in future climate change, potentially counteracting a weakening AMOC forced by North Atlantic freshening (Biaosoch et al., 2009; Biaosoch and Böning, 2013). However, during the past, the Indian-Atlantic transfer of warm, saline water may have played a passive role, merely responding to global or remote climate changes, at the poles for example (Zhang et al., 2014). Dense salinity anomalies in the South Atlantic may have been an important component in the changing glacial-interglacial and millennial scale AMOC geometries (Gong et al., 2013), but this does not imply that changes in Agulhas leakage must have occurred. Although the conclusions of this PhD thesis are restricted by the limits of the utilised model (relatively coarse resolution, and ocean

freshwater biases), there now appears to be a requirement for more evidence to support the hypothesis of the palaeo Agulhas leakage. Vast knowledge now exists concerning the palaeoceanography of the Agulhas region in terms of SST, SSS and other hydrographic parameters, but without neither a robust kinematic proxy nor overwhelming support from modelling studies, the hypothesis of the Agulhas leakage as a key player in glacial-interglacial and millennial scale climate change may now be in question.

### **6.5 Final words on the warm and cold water route gateways**

This thesis points towards the role of the Drake Passage cold water route gateway as a potentially important climate component during glacial-interglacial and millennial scale climate changes. According to the work carried out here (both model and proxy based), the Drake Passage shows substantial transport changes on these timescales – far more significant than those at the warm water route gateway (I-AOG). On both glacial-interglacial and millennial timescales, the modelled Drake Passage transport rates show good agreement with new kinematic proxy data from the region (Lamy et al., in preparation), despite low model resolution at that location. However this is not the case for the I-AOG transport (Agulhas leakage), where model simulations at first sight appear to be at odds with the theory inferred from proxy data. However, once a new hypothesis (section 6.4) had been developed explaining the documented glacial-interglacial and millennial timescale signals south of Africa (Peeters et al., 20014; Caley et al., 2014; Marino et al., 2013), the model and proxy data show good agreement.

The results in this thesis from the warm and cold water route gateways point towards the importance of considering both the I-AOG and Drake Passage with respect to future and past climate changes. In particular, it is the combined and relative effect of these ocean gateways which can impact on the AMOC. Research of past and future climates aiming to assess AMOC changes should consider changes at both the I-AOG and Drake Passage (Biaستoch et al., 2009; Biaستoch et al., 2013). Indeed, in a warming world where increases in Agulhas Leakage have been suggested to bear a potential impact on the AMOC (Biaستoch, 2009), changes at the Drake Passage in response to sea ice or wind changes due to anthropogenic forcing, may in fact act to enhance or negate the effect of increased Agulhas leakage on global climate change.



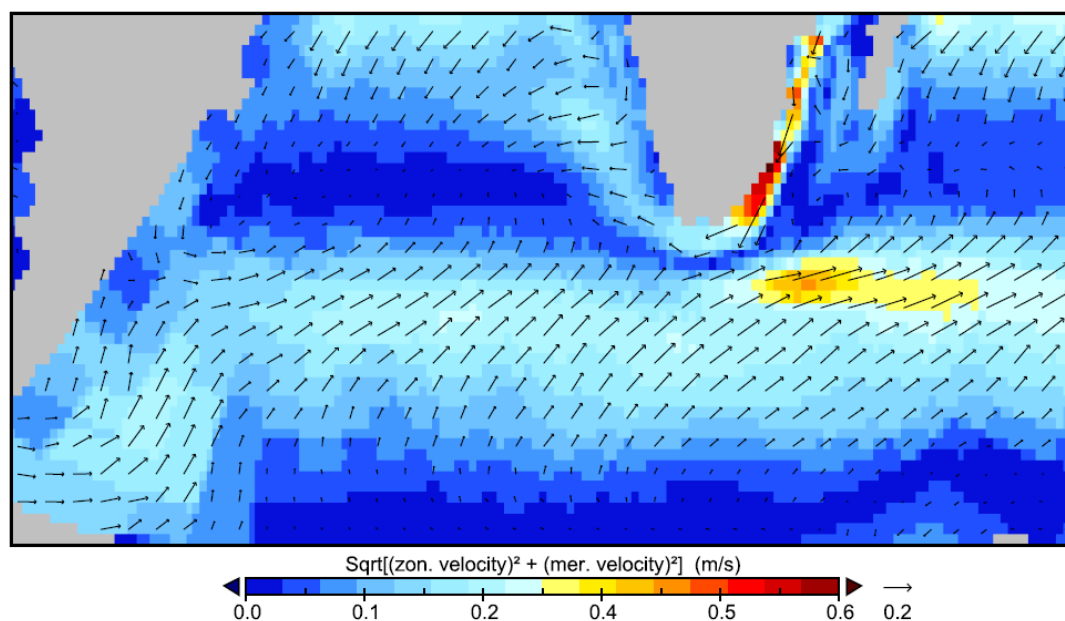
## **6.6 Outlook**

- The work presented in this thesis is largely the culmination of efforts using a state-of-the-art fully-coupled ESM. However, the model does not resolve eddies, which are considered key components of the oceanic systems at the I-AOG and Drake Passage, including the formation and advection of large Agulhas rings. Future testing of the results of this thesis with fully-coupled eddy resolving ESMs is necessary to consolidate the hypotheses and conclusions developed here. However, these models are currently computationally very expensive, and it could be some years before they are available to perform the necessary 4000 year model integrations required for palaeoclimate simulations.
- Our understanding of past changes at the I-AOG and Drake Passage would also benefit from deglacial transient experiments using fully-coupled eddy resolving ocean models. The current thesis documents transient freshwater perturbation mimicking millennial scale climate change, but the simulation of deglacial transitions (LGM-Holocene for example) is beyond the scope of this work due to the large computational expense.
- The ESM utilised in this PhD project suffers from a salinity bias manifest in an absence of a significant salinity contrast between the South Indian and South Atlantic oceans, meaning that the modelled Agulhas leakage is unlikely to impact upon the strength of the AMOC (Weijer and van Sebille, 2014). Therefore it is not yet possible to model the impact of warm, saline Agulhas leakage on the AMOC using the current set of available ESMs. This technical issue needs to be addressed in the future.
- In order to test the connections between the warm and cold water route gateways and the AMOC it would be necessary to adopt a Lagrangian tracer approach. Such a methodology would allow a calculation of the exact volumetric quantity of water or salt which is advected from the I-AOG and Drake Passage to the North Atlantic. This method would be particularly useful in capturing the effect which changes at the warm and cold water gateways might have on the changing glacial-interglacial and millennial scale AMOC geometries, and should be adopted in the future.

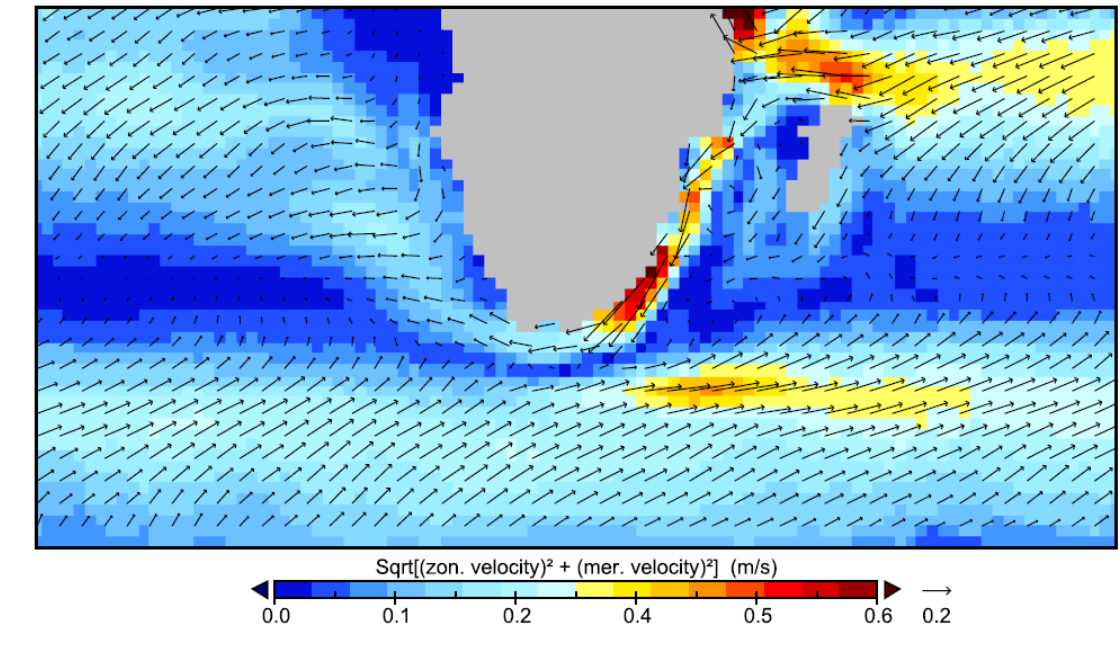
- The conclusions of this thesis point towards the requirement for further investigation (and possibly reconsideration) of the proxy data used to infer past changes in Agulhas leakage. Strong arguments have been put forth suggesting weaknesses in the use of the ALF and *Globorotalia menardii* abundance counts as indicators of palaeo rates of Agulhas leakage. Therefore, a robust kinematic proxy for Agulhas leakage is required in order for future work to be carried out.

## Appendix

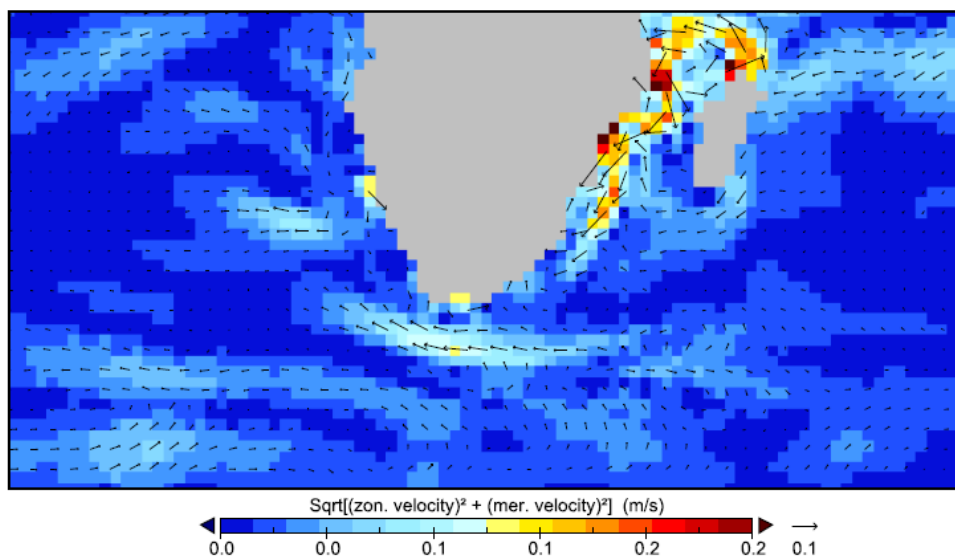
### Supplementary figures and tables



**Figure A.1** Climatological annual mean surface velocities (m/s) as modelled by the COSMOS model incorporating the MPIOM-AFRICA configuration. Streamlines indicate vector direction, and colours show velocity magnitude.

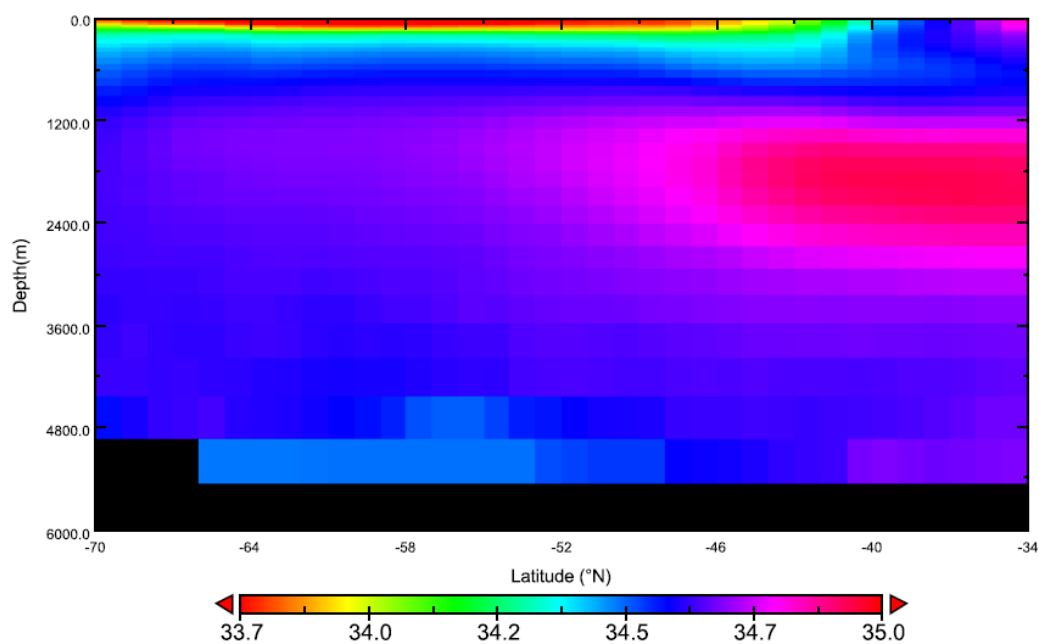


**Figure A.2** Pre-industrial surface velocities as simulated by MPIOM-AFRICA. Maximum surface speeds of  $> 0.5$  m/s are simulated in the Agulhas Current. The I-AOG transport exists as a viscous flow (non-eddy resolved) south of Africa.



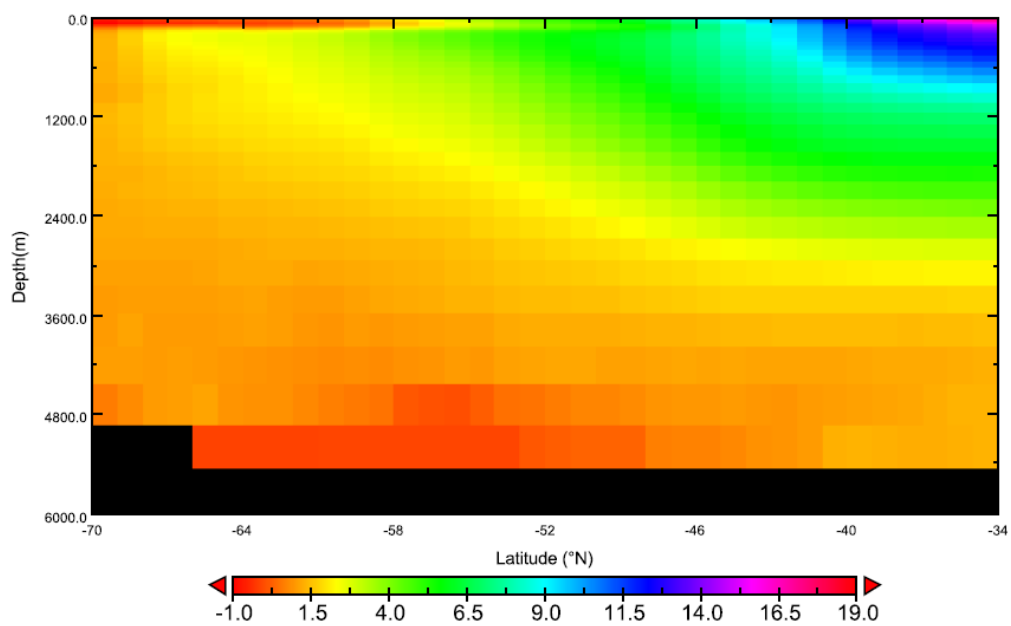
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**Figure A.3** Anomalous surface velocities between the LGM and PI cases, as simulated by MPIOM-AFRICA.

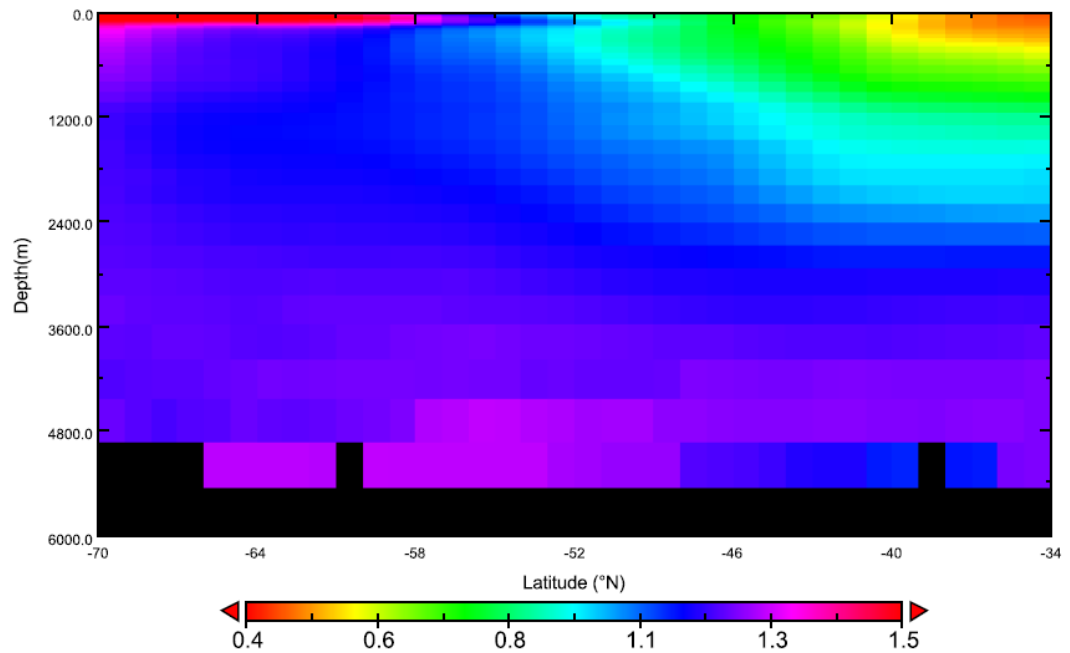


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**Figure A.4** MPIOM-AFRICA zonal mean salinity (psu) for the pre-industrial simulation.

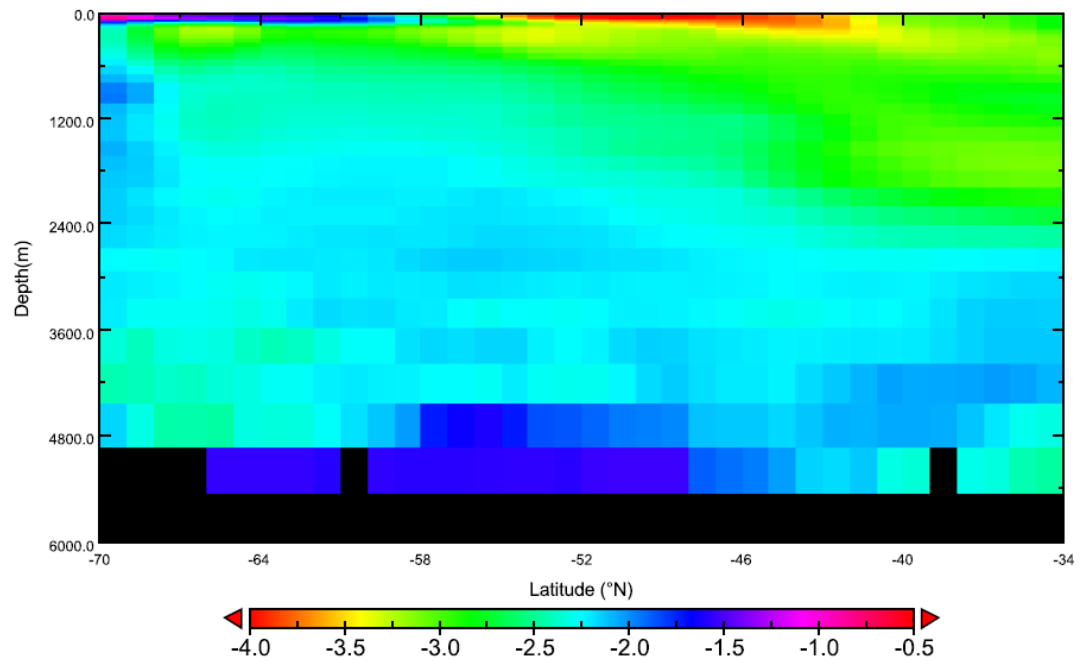


**Figure A.5** MPIOM-AFRICA zonal mean temperature (°C) for the pre-industrial simulation.

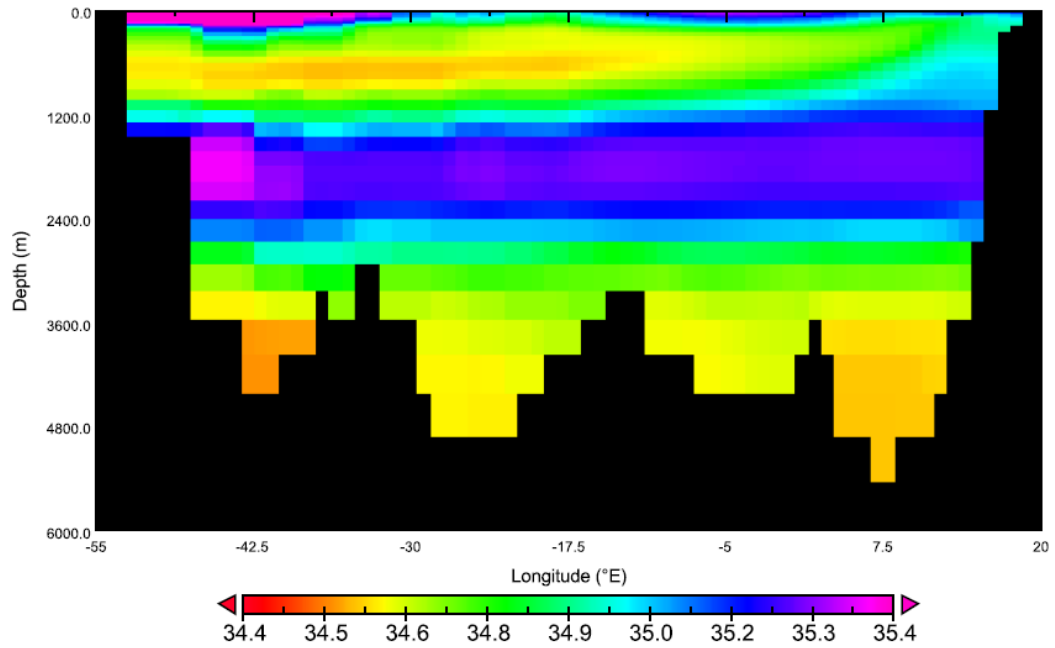


**Figure A.6** MPIOM-AFRICA zonal mean salinity anomaly (psu) for the LGM-PI case. Evident from the anomaly is enhanced increased salinity in the formation regions of increased LGM sea-ice (and therefore brine rejection, at high latitudes) which tracks the increased AABW and is manifest as an increased salinity signal (pink, may need to tilt screen) into the abyssal layers, providing for an increased meridional salinity gradient (with highest salinity at high latitudes) across the Southern Ocean. The meridional density gradient resulting from this salinity gradient (and temperature gradient) could act to control the strength of the ACC flow (Lefebvre et al., 2012).

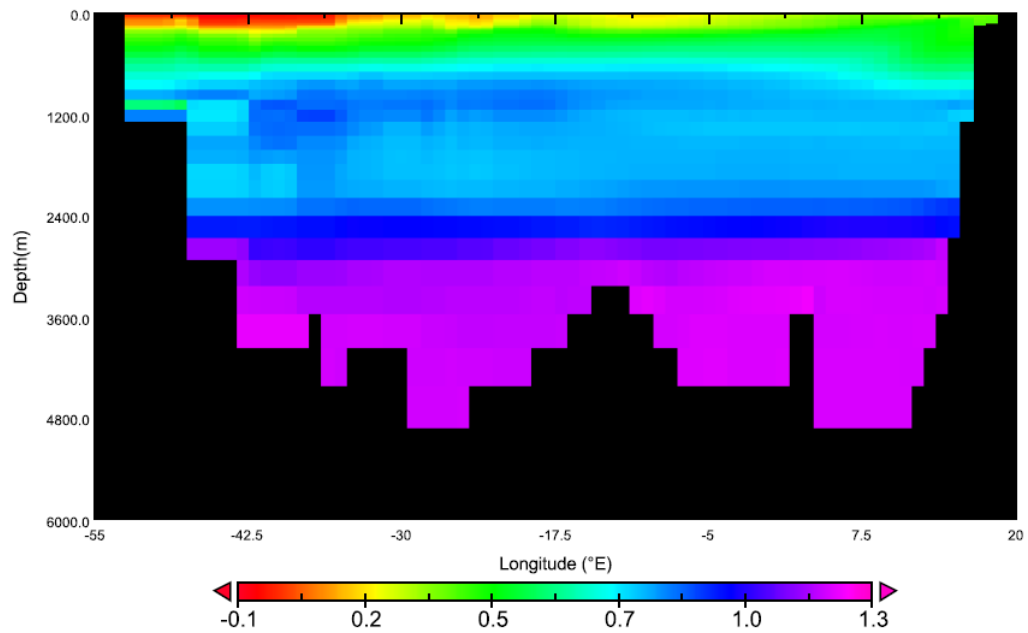




**Figure A.7** MPIOM-AFRICA zonal mean temperature anomaly (°C) for the LGM-PI case. Evident from the anomaly is that the glacial temperature decrease is minimum (blue, may need to tilt screen) at the high latitudes (66S-70S, 500m-3000m depth), changing the meridional temperature gradient across the Southern Ocean. The meridional density gradient resulting from this temperature gradient (and salinity gradient) could act to control the strength of the ACC flow (Lefebvre et al., 2012).



**Figure A.8** MPIOM-AFRICA salinity (psu) across a transect at 30°S in the Atlantic Basin for the pre-industrial simulation. According to Makou et al., (2010), glacial AAIW penetrated northwards in the Atlantic Basin at a depth of ~900-1200m at least as far as 27°S. This may be represented in the model as the relatively fresh water component located between 55°W and 5°W (over depths 500m-1200m).



**Figure A.9** MPIOM-AFRICA salinity anomaly (psu) across a transect at 30°S in the Atlantic Basin for the LGM-PI case. It is difficult to assess whether the salinity increase across 55°W and 5°W, over depths 500m-1200m, (see FigureA.8) is a result of decreased AAIW penetration in the model. This is difficult because the entire global ocean increases in salinity during the glacial (1psu added due to the ice sheets), and this acts as a background effect which dominates the signal and precludes the assessment of AAIW penetration.

**Table A.1** Mean barotropic streamfunction at the location of the AC (Agulhas Current), MCT (Mozambique Channel Throughflow), NBC (North Brazil Current), ITF (Indonesian Throughflow) and GS (Gulf Stream). Positive (negative) values imply clockwise (anti-clockwise) rotation on the globe. Units (Sv). Mean barotropic flow in the AC is weaker in the PI-AFRICA compared to PI-CTRL, and is ultimately manifest in the weakened (more reasonable) I-AOG transport in the MPIOM-AFRICA configuration. Regarding the PI-CTRL simulation, the higher mean barotropic flow in the AC is also reflected in the relatively weak MCT streamfunction, implying that the main volumetric water rate in this region, focussed in the AC, channels water which otherwise, in the PI-AFRICA simulation, would remain within the MCT. Also of interest is the higher mean barotropic flow in the GS in simulation LGM-AFRICA (compared to PI-AFRICA), which is related to the vigorous AMOC during the LGM.

	PI-AFRICA	PI-CTRL	LGM-AFRICA
AC	-51.61	-79.25	-55.57
MCT	-27.85	-8.78	-32.99
NBC	2.48	8.15	4.58
ITF	-6.47	-4.13	-9.68
GS	26.67	29.09	39.78

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