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Citation for final published version:

Loeffler, Juergen, Mengoli, Carlo, Springer, Jan, Bretagne, Stephane, Cuenca-Estrella, Manuel, Klingspor, Lena, Lagrou, Katrien, Melchers, Willem J. G., Morton, C. Oliver, Barnes, Rosemary Ann, Donnelly, J. Peter and White, P. Lewis 2015. Analytical comparison of in vitro-spiked human serum and plasma for PCR-based detection of *Aspergillus fumigatus* DNA: a study by the European *Aspergillus* PCR Initiative. *Journal of Clinical Microbiology* 53 (9) , pp. 2838-2845. 10.1128/JCM.00906-15

Publishers page: <http://dx.doi.org/10.1128/JCM.00906-15>

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1     **Analytical comparison of *in vitro* spiked human serum and plasma for the PCR-based**  
2     **detection of *Aspergillus fumigatus* DNA – a study by the European *Aspergillus* PCR**  
3                                     **Initiative**

4  
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9  
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26 **Abstract**

27 The use of serum or plasma for *Aspergillus* PCR testing facilitates automated and  
28 standardised technology. Recommendations for serum testing are available, and while serum  
29 and plasma are regularly considered inter-changeable for fungal diagnostics, differences in  
30 GM-ELISA performance have been reported and attributed to clot formation. Therefore it is  
31 important to assess plasma PCR testing to determine if previous recommendations for serum  
32 are applicable, and also compare analytical performance with serum PCR.

33 Molecular methods testing serum and plasma were compared through multi-centre  
34 distribution of quality control panels, with additional studies to investigate the effect of clot  
35 formation and blood fractionation on DNA availability. Analytical sensitivity and time to  
36 positivity (TTP) were compared and regression analysis performed to identify variables that  
37 enhanced plasma PCR performance.

38 When testing plasma, sample volume, pre/post-extraction volume ratio, PCR reaction volume,  
39 duplicate testing and the use of an internal control PCR were positively associated with  
40 performance. When whole blood samples were spiked then fractionated, the analytical  
41 sensitivity and TTP were superior when testing plasma. Centrifugation had no effect on DNA  
42 availability, whereas the presence of clot material significantly lowered the concentration ( $p =$   
43 0.028).

44 **Summary:** Technically there are no major differences for molecular processing of serum and  
45 plasma, but the formation of clot material potentially reduces available DNA in serum.  
46 During disease *Aspergillus* DNA burdens in blood are often at the limits of PCR performance.  
47 Using plasma could improve performance while maintaining the methodological simplicity of  
48 serum testing.

49

50 **Introduction**

51 Invasive aspergillosis (IA) represents a serious health problem for the immunocompromised  
52 patient, especially those undergoing cancer chemotherapy, receiving corticosteroid therapy or  
53 immunosuppression to avoid allograft rejection or solid organ rejection. *Aspergillus fumigatus*  
54 is a ubiquitous mould, and the most frequent cause of Aspergillosis in humans causing a wide  
55 spectrum of diseases ranging from allergic to severe life-threatening invasive manifestations.  
56 Spores of *A. fumigatus* enter the body through inhalation and infection primarily occurs in the  
57 lungs. Accurate diagnosis of IA remains difficult. Given the limitations of clinical signs and  
58 the difficulty in obtaining appropriate specimens for diagnosis a significant proportion of  
59 cases remain undetected, resulting in late or inappropriate therapy, and increased mortality  
60 rates, that can be as high as 90% with cerebral disease (5). Hence, there is an urgent need for  
61 an accurate and standardized, diagnostic approach for IA.

62 Performance of mycological diagnostics when testing serum or plasma samples is assumed to  
63 be similar. The revised EORTC-MSG definitions include galactomannan (GM) EIA for  
64 testing of both serum and plasma (3), although, the testing of plasma has not been validated  
65 by the manufacturer. A study comparing GM EIA performance in serum and plasma  
66 confirmed that the testing of plasma using the same thresholds was appropriate, but  
67 interestingly the mean index generated by testing plasma was significantly higher than that for  
68 serum [0.315 vs 0.279,  $P$ : 0.0398 (13)]. Moreover, four possible IA cases would have been  
69 classified as probable IA had plasma been tested. The authors hypothesised that differences in  
70 indices could be attributed to the formation of the blood clot potentially ensnaring some of the  
71 GM within the sample taken for serum testing. It is conceivable that the same might be true  
72 for extracellular DNA, the *Aspergillus* target in the cell free fraction of host blood, affecting  
73 PCR performance.

74 This manuscript describes further efforts of the European *Aspergillus* PCR Initiative  
75 (EAPCRI) to evaluate the analytical performance of plasma and serum samples through the  
76 blinded distribution of simulated panels, as described previously for whole blood and plasma  
77 (12, 15). We report data on differences in analytical performances associated with the  
78 different sample types (plasma versus serum) and on how differences in the initial formation  
79 of the sample types (clot versus no clot) and sample processing (whole blood centrifugation)  
80 affected the availability of DNA within the cell free sample itself.

81 As a companion to this analytical *in vitro* study, a further multi-centre clinical study, which  
82 compares performances of plasma and serum was performed in parallel (11).

83

84 **Material and Methods**

85

86 **DNA source material**

87 DNA was obtained from a sporulating culture of *Aspergillus fumigatus* (ATCC strain 1022).

88 Conidia were harvested and DNA was extracted as described before (15).

89

90 **Participants**

91 The participants comprised of 8 laboratories representing the EAPCRI core facilities and an  
92 extended group of a further 15 laboratories. To maintain impartiality throughout the analytical  
93 process, all centres were given a numerical code to allow blinded review of individual  
94 methodological procedures, determination of performance, and statistical analysis.

95

96 **Panels**

97 All EDTA whole blood and clotted blood samples were obtained from consenting healthy  
98 volunteers, and screened for the presence of infectious agents as per protocol of the Institute  
99 of Transfusion medicine, Wuerzburg University Hospital, (Wuerzburg, Germany) and  
100 approved by the local ethical review board. Serum and plasma were fractionated by  
101 centrifugation and pooled respectively, before being distributed to Public Health Wales,  
102 Microbiology Cardiff, to develop the panel. To avoid airborne contamination all processing of  
103 material took place in a category 2 laminar flow cabinet. The panel was validated through  
104 testing with an “in-house” *Aspergillus* specific real-time PCR (14). Panels were distributed by  
105 courier on dry ice. Participating centres were asked to confirm receipt, comment on the state  
106 of the panel (frozen or thawed) and keep specimens frozen at -80°C until testing.

107

108 Analytical testing was performed by using a four part process, as follows:

109        **i)        Assessing the analytical performance of *Aspergillus* PCR methods when**  
110                    **testing serum**

111        Initially, serum was divided into 3 x 35 ml aliquots; one aliquot was retained to provide a  
112        negative control sample, while two aliquots were spiked with 100 and 10 genome equivalents  
113        (ge) of *A. fumigatus* DNA per ml of serum, respectively. The 35 ml serum batches were  
114        further divided into 1ml aliquots and frozen at -80°C until distribution on dry ice to the 23  
115        centres. This panel was a quality control (QC) exercise designed to confirm the findings of the  
116        previous research investigating serum, in doing so providing a reference for comparison with  
117        the plasma results, but also determining centres with optimal results for the analyses of further  
118        panels, including the analysis of plasma samples (15).

119

120        **ii)        Assessing the analytical performance of *Aspergillus* PCR methods when**  
121                    **testing plasma**

122        Plasma was divided in 8 x 12 ml aliquots; two aliquots were retained to provide negative  
123        control samples, while six aliquots were spiked with varying concentrations of *A. fumigatus*  
124        genomic DNA (1000, 100, 50, 10, 5, 1 and 0 ge/ml) and divided into 1ml aliquots. Panels  
125        were distributed on dry ice to the 8 EAPCRI core centres for testing.

126        In keeping with previous studies, the threshold of detection, based on 95% variance was set at  
127        10 ge/ml (15).

128

129        **iii)        Assessing the DNA availability in serum/plasma – Whole blood spiking**

130        DNA was spiked into blood collected into an EDTA vacutainer and into a vacutainer without  
131        any anticoagulant, immediately after sampling, but before centrifugation. Blood was spiked  
132        with varying concentrations of genomic *A. fumigatus* DNA (100, 50, 10 and 0 ge/ml, Figure  
133        1). Both, EDTA and clotted blood vacutainers were left for a minimum of 30 mins at room



134 temperature to allow the blood without anticoagulants to clot. Vacutainers were then  
135 centrifuged (3500 g for 5 mins) to separate the cell free fractions, which were pooled  
136 according to sample type and initial concentration of fungal burden. Each sample was then  
137 divided into 0.5 ml aliquots (potential target burden range 50, 25.5 and 0 ge), frozen at -80°C  
138 prior to distribution on dry ice. Serum and plasma samples were shipped on dry ice to the 8  
139 EAPCRI core centres.

140

141 **iv) Investigating the effects of clot formation and blood fractionation on the**  
142 **availability of *A. fumigatus* genomic DNA**

143 The following experiments were performed in a two-centre study in Cardiff and Wuerzburg  
144 using the following identical spiking protocols, respectively.

145

146 **Impact of centrifugation**

147 Two 4 ml aliquots of serum and plasma were spiked with 100 genome equivalents of *A.*  
148 *fumigatus* DNA, respectively. An aliquot of plasma and an aliquot of serum were centrifuged  
149 at 3500 g for 5 mins, mimicking the centrifugation process necessary to fractionate blood  
150 samples and potentially identifying any losses associated with this process. The other aliquot  
151 of plasma and serum, equivalent to a direct spike control remained untouched at ambient  
152 temperature until further processing by extraction. DNA was extracted from a minimum of  
153 three replicates of 0.5 ml of serum and 0.5 ml plasma spiked but not centrifuged, and  
154 minimum of three replicates of 0.5 ml of serum and 0.5 ml plasma spiked and centrifuged.  
155 DNA was extracted using the in-house protocols of both centres (10, 16). Briefly, in Cardiff,  
156 *Aspergillus* DNA was extracted using the Qiagen EZ1 DSP virus kit as per manufacturer's  
157 instructions, with DNA eluted in 60 µl, in Wuerzburg, the QIAamp UltraSens Virus kit  
158 (Qiagen) was used as described by the manufacturer and DNA was eluted in 70 µl. Both



159 protocols comply with the EAPCRI recommendations for testing serum (15). PCR was  
160 performed in duplicate and included an internal control to measure PCR inhibition.

161

#### 162 **Impact of clot formation**

163 Immediately after blood was drawn, 4 ml of EDTA whole blood and 4 ml of clotted blood  
164 were spiked with 100 ge of *A. fumigatus* DNA. Clotted blood was left at ambient temperature  
165 for 30 mins to coagulate, while EDTA blood remained untouched at room temperature for the  
166 same period. Samples were then centrifuged at 3500 g for 5 mins. After centrifugation, a  
167 minimum of three 0.5 ml aliquots of plasma and serum, as well as the cell pellet from the  
168 EDTA whole blood samples were extracted using local protocols and DNA was eluted in  
169 <100 µl. The clot was not processed as no EAPCRI validated procedures are currently  
170 available. PCR was performed in both centres using in-house amplification protocols and an  
171 inhibition control, all in accordance with EAPCRI guidelines (15).

172

#### 173 **Statistical analysis**

174 Centres were requested to return both qualitative (positive/negative) and quantitative  
175 (quantification cycle [Cq value]) results within a designated time frame and provide detailed  
176 protocols for their DNA extraction and PCR amplification systems. Information required  
177 included sample volume used, extraction method, DNA elution volume, PCR method, PCR  
178 target, PCR template input volume, PCR total reaction volume, PCR amplification platform  
179 and internal control PCR results.

180 The correlation between Cq and genomic load was estimated by linear regression. The Cq  
181 was the dependent variable, whereas the explanatory variables were i) the genomic load (as  
182 log10 genomic DNA), ii) the spiked sample type (as a binary variable for serum or plasma),  
183 and iii) the interaction between genomic load and fluid. A multilevel mixed-effects model was

184 performed, using the centres as a grouping variable. This model was comparable to a  
185 simplified calibration curve, also comparing the calibration lines concerning serum and  
186 plasma. The model was graphically reported.

187 In addition, bivariate linear regression was performed to analyze possible associations  
188 between PCR sensitivity and selected covariates (Table 1).

189

190 **Results**

191 **i) Assessing the analytical performance of *Aspergillus* PCR methods when**  
192 **testing serum**

193 The serum analysis in this QC panel compared favourably with the previous EAPCRI serum  
194 evaluation (15). All the 23 centres were able to reproducibly detect the sample that was spiked  
195 with 100ge and generate negative results when testing the sample not containing *Aspergillus*-  
196 DNA. Samples spiked with 10 ge / ml generated an overall positivity rate of 74% (17 / 23  
197 centres) and a positivity rate of 82% (14 / 17) in centres that strictly followed EAPCRI  
198 recommendations for serum. Five out of the 6 centres who did not follow EAPCRI  
199 recommendations were unable to detect 10 ge / ml. Centres that were unable to detect the 10  
200 ge / ml did not routinely process serum samples (6/6) or used serum volumes below 0.5 ml  
201 (5/6, range 0.1 – 0.2 ml serum). In addition, these centres used only a small portion of their  
202 original serum sample volume in the subsequent PCR assay (mean 7.9%, range 1% - 25%),  
203 compared to a mean volume of 24.4% (range 16% - 82%) for centres that were able to detect  
204 10 ge / ml ( $p = 0.028$ ).

205

206 **ii) Assessing the analytical performance of *Aspergillus* PCR methods when testing**  
207 **plasma**

208 All centres that had received this plasma panel were requested to follow the EAPCRI  
209 guidelines for serum testing and their positivity rates in the related QC serum panel were  
210 100%, 100% and 0% (100, 10, 0 genome equivalents / ml, respectively).

211 Table 2 summarizes the results of the 8 centres. No samples were found to be inhibitory to  
212 PCR amplification. All 8 centres achieved 100% positivity for fungal burdens between 5  
213 ge/ml and 1000 ge/ml, while the sample spiked with 1 ge/ml was positive in a single sample  
214 of one centre only (Figure 2). Six out of 8 centres stated that they use either serum or plasma

215 or both in their routine diagnostic procedures (2 centres mainly tested bronchoalveolar  
216 lavages).

217 Centres used between 0.1 ml and 1 ml (mean 0.5 ml) of plasma for subsequent DNA  
218 extraction, 50 – 100  $\mu$ l (mean 60  $\mu$ l) of elution volume and 2 – 15  $\mu$ l (mean 8.7  $\mu$ l) of  
219 template volume for their PCR assays. In bivariate analyses, we observed significant positive  
220 correlations between PCR sensitivity and the volume of plasma used for DNA extraction, the  
221 ratio between the volume of plasma used for extraction and the subsequent elution volume,  
222 the reaction volume used for PCR, the analysis of  $\geq 2$  replicates and the use of an internal  
223 control (Table 1).

224 To provide complete Cq values for a range of different plasma volumes, a linear mixed model  
225 was used, which predicts Cq values for hypothetical plasma volumes (0.1 ml – 0.5 ml) and  
226 various *A. fumigatus* DNA concentrations (5 – 1000 ge / ml). The model shows that both,  
227 plasma volume and DNA load influence Cq values (e. g. predicted Cq values for 5 ge / ml  
228 ranged depending on the plasma volume between 37.6 and 40.7 [Table 3]).

229

### 230 **iii) Assessing the DNA availability in serum/plasma – Whole blood spiking**

231 In order to measure the availability of DNA in the cell free fraction post whole blood  
232 processing, an additional panel, consisting of 4 serum samples and 4 plasma samples was  
233 shipped to the 8 core EAPCRI centres. Our linear model showed that while the slope for PCR  
234 from plasma and serum did not differ significantly ( $p = 0.381$ ), the plasma intercept was 4.3  
235 cycles lower (standard error = 1.38, 95% confidence interval -6.996 / -1.585) than the serum  
236 intercept ( $z = -3.11$ ,  $p = 0.002$ ). Interestingly, this shift in Cq values was achieved at all DNA  
237 concentrations tested (50, 25, 5 ge / ml, Figure 3). No inhibition was observed. Centre-  
238 specific methodological details are shown in Table 4. Individual performance of centres in  
239 this panel was significantly associated with the volume of sample used for DNA extraction.

240 While both centres using 0.2 ml of serum or plasma achieved suboptimal sensitivity not  
241 detecting the serum sample spiked with 5 ge / ml, centres using  $\geq 0.5$  ml of plasma and serum  
242 were able to detect this sample as positive ( $p < 0.001$  [Table 4]). In addition, we observed a  
243 trend that laboratories, which routinely test serum or plasma by *Aspergillus* PCR achieved  
244 higher sensitivity ( $p = 0.07$ ).

245  
246 **iv) Investigating the effects of clot formation and blood fractionation on the**  
247 **availability of *A. fumigatus* genomic DNA**

248 In order to identify any potential losses of DNA during centrifugation necessary to fractionate  
249 blood samples, the recovery of DNA from spiked serum and plasma specimens that were  
250 centrifuged at 3500 g were compared to spiked samples that were not centrifuged. In both  
251 centres, there were no significant differences in Cq values between centrifuged or non-  
252 centrifuged samples, for either plasma or serum (Table 5). These results demonstrate that  
253 centrifugation at 3500 g is not sufficient to sediment free DNA from serum and plasma.

254 Interestingly, in both centres, plasma, obtained after centrifugation of spiked EDTA blood  
255 samples, showed earlier Cq values, compared to serum obtained from the corresponding  
256 clotted blood samples (difference of the mean Cq values between plasma and serum  $\Delta = 2.26$ ,  
257 standard error = 0.45,  $p = 0.0002$ ). The concentration of genomic DNA added to the PCR  
258 reaction was significantly lower ( $p = 0.028$ ) in serum samples post clot formation (mean 1.9  
259 copies, SD: 0.8) compared to DNA extracted from serum not influenced by clot formation  
260 (4.2 copies, SD: 1.3). Although it is likely that a substantial amount of *A. fumigatus* DNA is  
261 bound, during blood clot formation, and consequently is unavailable for DNA extractions  
262 using serum, it was not possible to test the clot material as optimized protocols are not  
263 available, and technical limitations prevent the processing of the entire clot material, which in  
264 this scenario was  $> 2$  ml in volume. However, whole blood cell pellets from centrifuged

265 EDTA vacutainers, containing peripheral blood mononuclear cells were tested. Relatively  
266 small amounts of *A. fumigatus* DNA (Mean: 0.4 input copies) were detectable in samples at  
267 both centres (centre 1: 1/4 samples positive [Ct value= 51.9], centre 2: 2/5 samples positive  
268 [Ct values=40.2, 42]), although it appears that the majority of the DNA remains in the plasma  
269 fraction (Mean: 3.3 input copies).

270

## 271 Discussion

272 The European *Aspergillus* PCR Initiative (EAPCRI) has published standards for *Aspergillus*  
273 PCR and protocols for detecting *Aspergillus* DNA in whole blood (12) and serum (15). The  
274 identification of the critical stages of *Aspergillus* DNA extraction from both specimen types  
275 allowed the EAPCRI to propose a protocol that helps ensure optimal performance of  
276 *Aspergillus* PCR across laboratories. However, no such data exist for plasma and no direct  
277 comparison between plasma and serum specimens has been performed. Thus, EAPCRI  
278 continued its efforts to evaluate the analytical performance of plasma, compared with serum  
279 samples through the blinded distribution of simulated panels. In parallel, a multi-centre  
280 clinical study was performed to compare detection of *A. fumigatus* DNA isolated from plasma  
281 and serum obtained from haematological patients (11).

282 Plasma specimens were spiked with genomic *A. fumigatus* DNA. The group of 8 EAPCRI  
283 core laboratories were able to demonstrate that Cq values obtained from plasma were  
284 significantly lower ( $p = 0.002$ , Figure 3) than Cq values from sera (50, 25, 5 genome  
285 equivalents).

286 Almost 15 years ago, Loeffler *et al.* compared sensitivities of *A. fumigatus* DNA detection  
287 from plasma and whole blood (7). Although plasma and whole blood samples spiked with  
288 *Aspergillus* conidia showed an identical lower detection limit (10 CFU), the sensitivity of  
289 plasma PCR was inferior to that of PCR performed on whole blood samples obtained from  
290 patients with proven IA. However, both DNA extraction and PCR amplification technology  
291 has advanced over the past decade. In addition, the quality of molecular diagnostics has  
292 advanced through numerous External Quality Assessment initiatives, including the EAPCRI,  
293 the Minimum Information for Publication of Quantitative Real-Time PCR Experiments  
294 (MIQE) guidelines (2) and the Quality Control for Molecular Diagnostics (QCMD;  
295 <http://www.qcmd.org>). In a recent study comparing EAPCRI methods for the testing of serum



296 and whole blood there was a trend towards superior sensitivity when testing whole blood, but  
297 this did not reach statistical significance, and any benefit was outweighed by the simplicity of  
298 testing serum (10).

299 The current study demonstrated that after spiking whole blood the PCR testing of plasma  
300 samples showed superior sensitivity to that of serum ( $p = 0.002$ , Figure 3). To our knowledge,  
301 no data comparing the detection of *A. fumigatus* DNA detection in both serum and plasma  
302 exists. Lau [et al.](#) showed that *Candida* DNA was detected more often in serum (71%) and  
303 plasma (75%) than in whole blood (54%) in a study of 109 patients with candidemia (6).  
304 However, there was no significant difference between plasma and serum, possibly because of  
305 the relatively small numbers of serum and plasma specimens that were tested ( $n=29$ ;  $n=24$ ), or  
306 the differences in fungal disease manifestation. With respect to IA, the concentration of  
307 galactomannan as determined by EIA was shown in another study to be significantly higher in  
308 plasma than in serum ( $P: 0.0398$ ), and may have been associated with the formation of clot  
309 (13).

310 The presence of compounds that may interfere with molecular assays is always a concern  
311 when processing clinical samples. Compared to serum, plasma samples contain various  
312 coagulation factors that lead to the conversion of fibrinogen to fibrin and clot formation.  
313 Fibrinogen is a soluble protein, which is exclusively found in plasma (normal range 150-400  
314 mg/dL), but not in serum and has been shown to interact with magnesium, which is also an  
315 essential component of the PCR reaction (9). If this interaction results in lowering the  
316 magnesium concentration, it could result in PCR failure. All DNA extraction protocols used  
317 by the 8 EAPCRI study centres (Table 4) provided plasma DNA eluates that yielded superior  
318 sensitivity and lower Cq values compared to serum indicating that the additional components  
319 in plasma had no effect on PCR efficiency. In addition, the source of anticoagulant is also  
320 important; Garcia [et al.](#) (4) showed in rat blood that when comparing sodium citrate, heparin

321 and tripotassium-EDTA as anticoagulants, only the latter did not interfere with PCR used to  
322 diagnose IA.

323 The EAPCRI specific recommendations for *Aspergillus* PCR in serum included the use of a  
324 minimum volume of 0.5 ml serum as starting material, DNA elution in a volume <100  $\mu$ l ( $p =$   
325 0.003) and the use of an internal control (15). For plasma, bivariate linear regression was used  
326 to evaluate similar covariates, finding a statistically significant positive association between  
327 PCR sensitivity and larger sample volumes ( $\geq 0.5$  ml plasma), the use of  $\geq 2$  replicates (from a  
328 single eluate) and an internal control. While the DNA elution volume itself is not significantly  
329 associated with PCR sensitivity, the ratio of the initial plasma sample volume to the  
330 subsequent elution volume was significantly associated ( $z = -2.32$ ,  $p = 0.02$ , Table 1). All 8  
331 core centres complied with EAPCRI serum recommendations on elution volume (<100  $\mu$ l  
332 (15)) so correlation with PCR sensitivity is only to be expected. However, the use of an  
333 internal control was correlated and found to be statistically significant. Although this  
334 parameter is only indirectly associated with the detection of *A. fumigatus* DNA, it reflects the  
335 degree of diligence and accuracy of an individual laboratory.

336 Interestingly, *A. fumigatus* genomic DNA, spiked into EDTA whole blood and left untouched  
337 for 30 minutes was detectable in small amounts in some leukocyte pellets as well as the cell  
338 free fraction. This suggests that circulating extracellular DNA reaches the cell pellet, either by  
339 gravitational force or due to binding to leukocyte surface receptors. Indeed, Bennett *et al.*  
340 showed that there is a common binding site for DNA on white blood cells (1). However, when  
341 comparing this to the detection of *A. fumigatus* DNA in serum clots, only 3/9 leukocyte  
342 pellets showed weak PCR-positive results whereas McCulloch *et al.* reported significantly  
343 more *Aspergillus* DNA detectable in serum clots compared to the cell-free fraction ( $p < 0.001$ ,  
344 95% CI 2.24 to 6.48 [8]). These authors found that the average Cq value for the clot sample  
345 was 2.38 cycles lower than for EDTA blood and 3.69 cycles lower than for serum. While this

346 equates to a 7.9- and 12-fold increase in DNA yield from the clot, respectively, difficulties in  
347 processing these samples limits their use in routine practice. This observation might partially  
348 explain our observation that Cq values from native serum (used before clotting) developed  
349 consistently later compared to Cq values obtained from native plasma samples, both spiked  
350 with identical number of genome equivalents.

351 In conclusion, the analytical sensitivity of plasma, as determined by multi-centre evaluation of  
352 PCR-based detection of *A. fumigatus* DNA is superior compared to serum. Recommendations  
353 published by EAPCRI for serum (15), including sample volume, a minimum of duplicate  
354 PCR testing of each DNA extract and an internal control can be applied to plasma.

355 To confirm the analytical findings described here, a parallel multi-centre clinical study was  
356 performed. The retrospective case-control study supports the findings of this analytical  
357 manuscript and comes to the conclusion that there is a trend towards increased sensitivity of  
358 plasma, although this did not reach significance ( $P = 0.0897$ ), and positivity was most  
359 frequently earliest when testing plasma by PCR (11).

360

### 361 **Acknowledgements**

362 We acknowledge the European Aspergillus Initiative ([www.eapcri.eu](http://www.eapcri.eu)) of the ISHAM.

363 We thank the following members of the participating laboratories: Martina Lengerova,  
364 Central Molecular Biology, Gene Therapy and Haematology Clinic, Brno, Czech Republic;  
365 Melinda Paholcsek, University of Debrecen Medical and Health Science Center; Cornelia  
366 Lass Floerl and Wolfgang Mutschlechner, Medical University Innsbruck; Laurence Millon,  
367 Laboratoire de Parasitologie-Mycologie Centre Hospitalier Universitaire, Besancon, France;  
368 Birgit Willinger, Division of Clinical Microbiology, Medical University Vienna, Vienna,  
369 Austria; Rebecca Gorton, Royal Free Hospital, NHS Trust, London, UK; Elaine McCulloch,  
370 Department of Microbiology, Royal Hospital for Sick Children, Glasgow, UK; Yvette

371 Debets-Ossenkopp, Department of Medical Microbiology and Infection Control, University  
372 Centre, Amsterdam, Netherlands; Chris Linton and Elizabeth Johnson, UK Mycology  
373 Reference Lab, HPA Southwest, Bristol, UK; Kathleen Harvey-Wood, Southern General  
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375 Switzerland; Angie Caliendo and Deborah Abdul-Ali, Emory University, Atlanta, GA, USA;  
376 Jose Palomares, Sección de Microbiología Molecular, Universidad de Seville, Seville, Spain;  
377 Petr Hamal, Department of Microbiology, Palacky University, Olomouc, Czech Republic;  
378 Ferry Hagen, Department of Medical Microbiology, Canisius-Wilhelmina Hospital,  
379 Nijmegen, Netherlands; Gemma Johnson, Barts and the London Hospital, London, UK;  
380 Massimo Cogliati, Università degli Studi di Milano, Milano, Italy.

381

#### 382 **Conflicts of Interest**

383 JL is a founding member of the EAPCRI, received an educational grant and scientific  
384 fellowship award from Pfizer, and was sponsored by Astellas to attend international meetings.

385 RAB is a founding member of the EAPCRI, received an educational grant and  
386 scientific fellowship award from Gilead Sciences and Pfizer, is a member of the advisory  
387 board and speaker bureau for Gilead Sciences, MSD, Astellas, and Pfizer, and was sponsored  
388 by Gilead Sciences and Pfizer to attend international meetings.

389 PLW is a founding member of the EAPCRI, received project funding from  
390 Myconostica, Luminex, and Renishaw diagnostics, was sponsored by Myconostica, MSD and  
391 Gilead Sciences to attend international meetings, and provided consultancy for Renishaw  
392 Diagnostics Limited.

393 JPD is a founding member of the EAPCRI, is a member of the advisory board for  
394 Gilead Sciences, and Pfizer, and has been on a speaker's bureau for Gilead Sciences, MSD  
395 and Pfizer.

396 LK has been a consultant to Astellas Pharma, Gilead Sciences, Merck & Co., and  
397 Schering-Plough. She has received research grants from Gilead and Schering-Plough/Merck  
398 & Co.

399 MCE has received grant support from Astellas Pharma, Basilea, bioMerieux, Gilead  
400 Sciences, Merck Sharp and Dohme, Pfizer, Schering Plough, Soria Melguizo SA, Ferrer  
401 International, the European Union, the ALBAN program, the Spanish Agency for  
402 International Cooperation, the Spanish Ministry of Culture and Education, The Spanish  
403 Health Research Fund, The Instituto de Salud Carlos III, The Ramon Areces Foundation, The  
404 Mutua Madrileña Foundation. He has been an advisor/consultant to the Panamerican Health  
405 Organization, Astellas Pharma, Gilead Sciences, Merck Sharp and Dohme, Pfizer, and  
406 Schering Plough. He has been paid for talks on behalf of Gilead Sciences, Merck Sharp and  
407 Dohme, Pfizer, Astellas Pharma, and Schering Plough.

408 SB is a founding member of the EAPCRI, received project funding from Renishaw  
409 diagnostics, was sponsored by Pfizer and MSD to attend international meetings, and provided  
410 consultancy for Gilead.

411 JS, CM, WM, COM and KL have no conflicts of interest.

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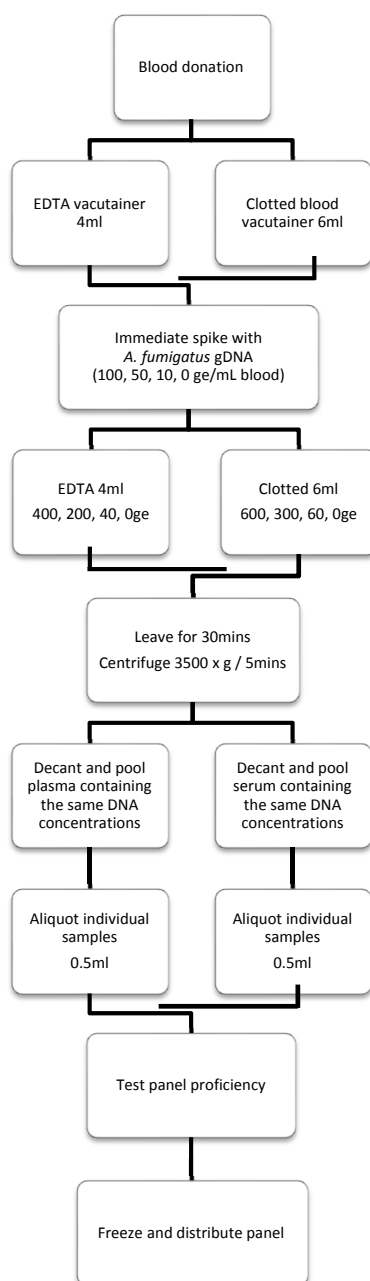
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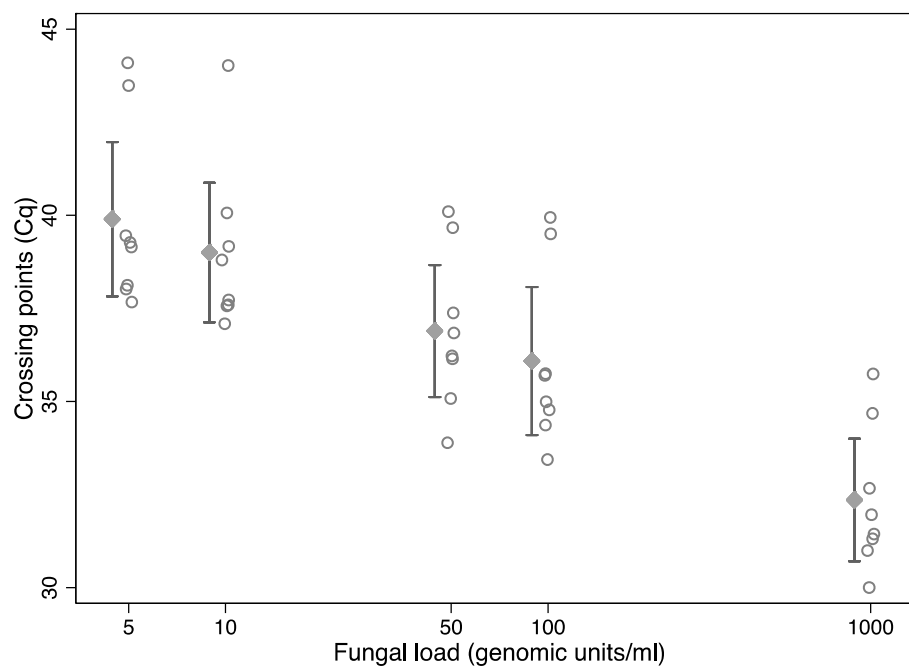


473 **Figure 1:** Flow diagram highlighting the process for determining the availability of  
474 *Aspergillus* DNA in serum and plasma samples post blood fractionation



475

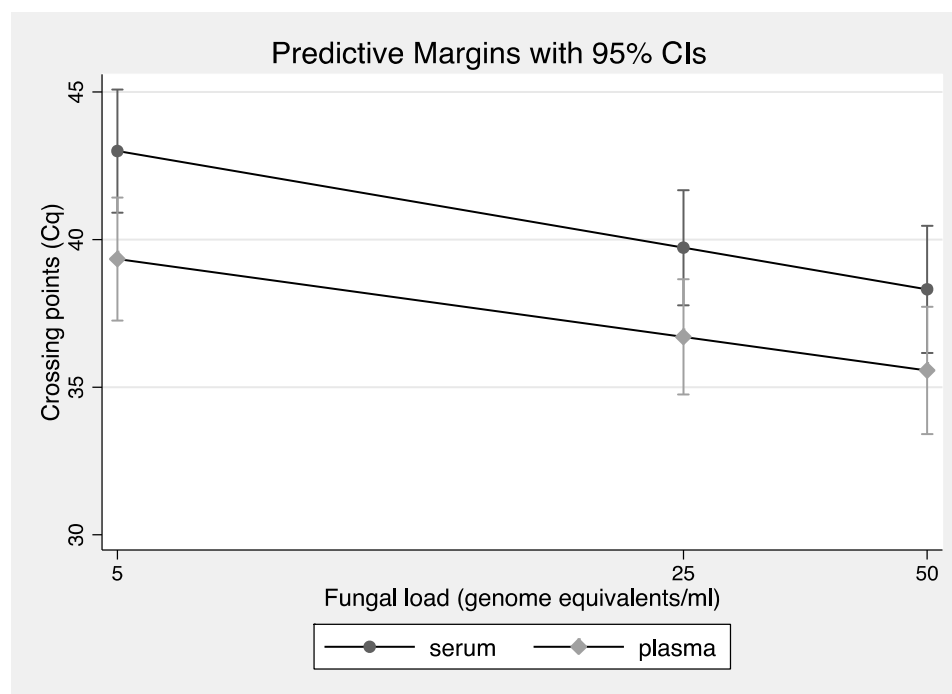
476 **Figure 2:** Descriptive statistics for *Aspergillus* real-time PCR crossing points when testing  
477 plasma samples containing various concentrations of *Aspergillus fumigatus* genomic DNA.  
478 Means (solid dots), 95% confidence intervals of the means (vertical bars), and single  
479 observations (empty circles) are shown for each fungal load.  
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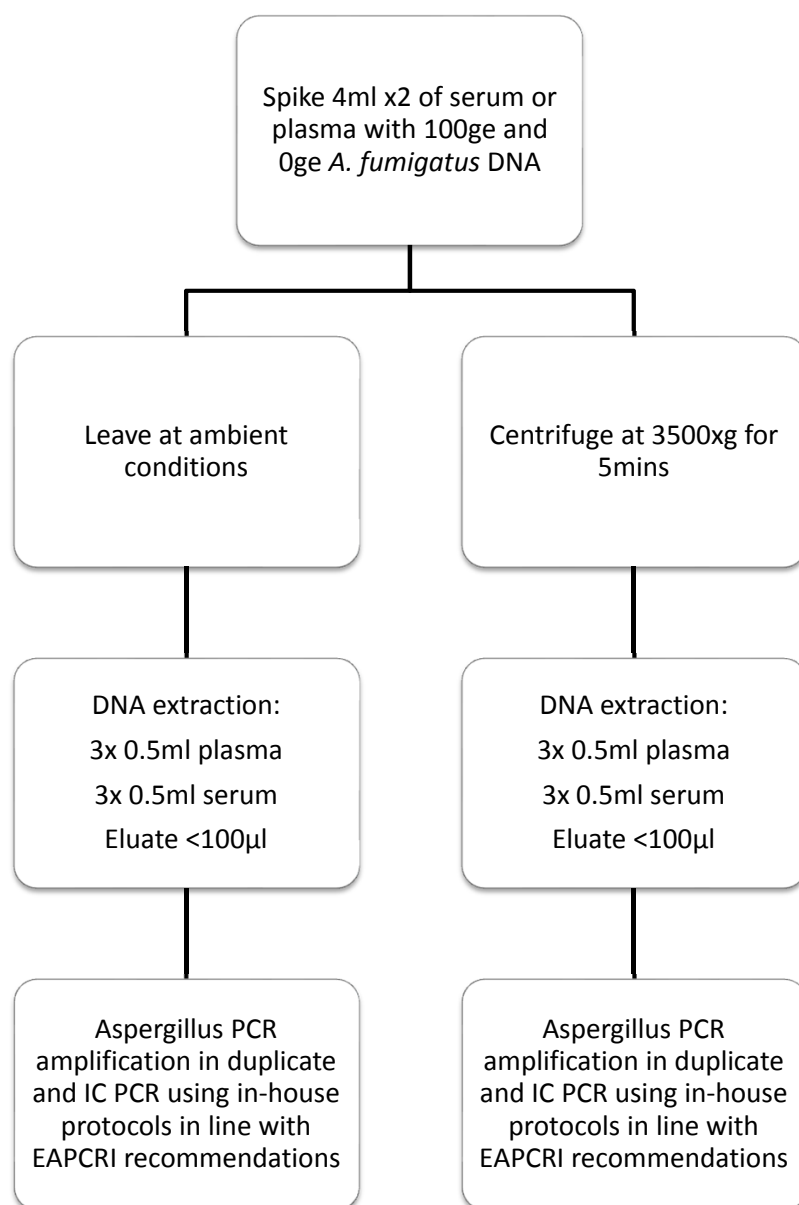
483 **Figure 3:** Comparison of *Aspergillus* real-time PCR when testing serum and plasma samples  
484 containing various fungal loads using a linear mixed model. Data are shown after the  
485 regression of the Cq value versus the fungal genomic burden, with serum or plasma as a  
486 binary covariate.  
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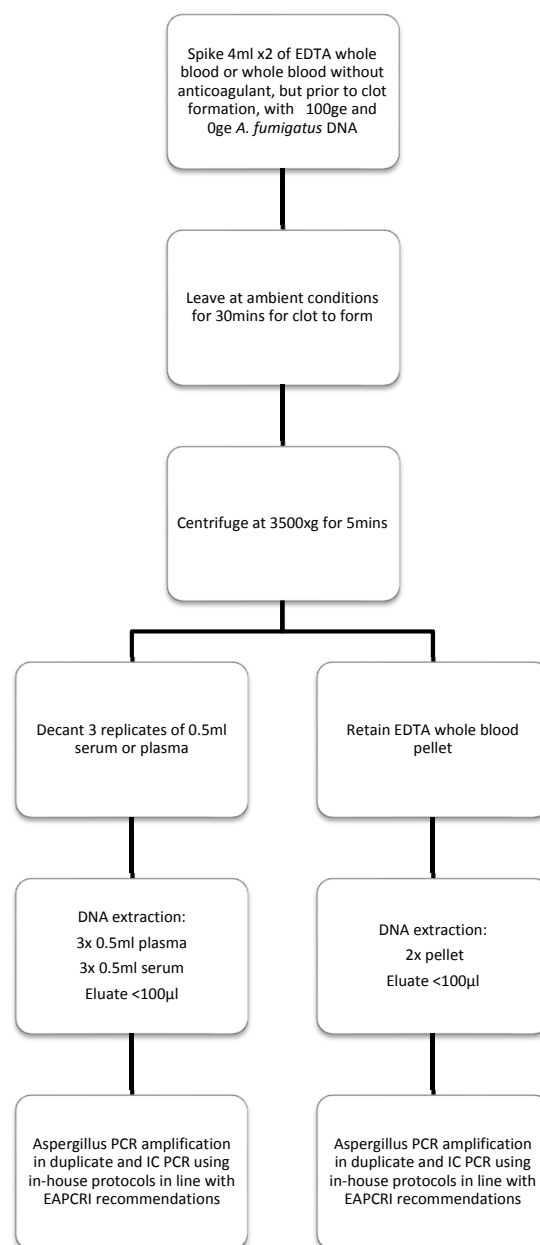
489

490 **Figure 4a:** Flow diagram highlighting the process for determining the effect of centrifugation  
491 on the availability of *Aspergillus* DNA in serum and plasma samples.  
492



493

494 **Figure 4b:** Flow diagram highlighting the process for determining the effect of clot formation  
495 on the availability of *Aspergillus* DNA in serum compared to the processing of plasma  
496 samples.



497

498 **Table 1:** Bivariate analysis for the sensitivity of *Aspergillus* PCR testing spiked plasma  
499 specimens distributed to 8 EAPCRI core centres. All continuous and binary center-specific  
500 covariates were included into the basic model. With a negative sign of the z-score, the  
501 variable tended to exert a favorable effect on the PCR assay. The covariates exerting a  
502 significant effect are indicated by a  $P < 0.05$  (\*) and an absolute z-score  $> 1.96$

503

Variable	Z-Score	P-Value
No of plasma samples		
analyzed / month	-1.61	0.107
Plasma starting volume	-2.50	0.01*
DNA elution volume	-0.65	0.513
Ratio starting plasma		
volume : elution volume	-2.32	0.02*
Total PCR reaction		
volume	-2.64	0.01*
Template volume	-1.47	0.141
Use of internal control	-4.90	0.00*
Use of $\geq 2$ replicates	-2.31	0.02*
Percentage of eluate		
volume used in PCR	-0.95	0.343

504

505 **Table 2: *Aspergillus* PCR performance using plasma samples spiked with different fungal**  
 506 **loads**

507

Sample No	Burden genomes/ml	95% sample variance	Positivity rate (% /95% CI)	Mean Cq (95% CI)	Std. Err.
1	1000	939.6-1060.4	100	32.4 (30.7-34.0)	0.70
2	100	80.8-119.2	100	36.1 (34.1-38.1)	0.84
3	50	36.4-53.6	100	36.9 (35.1-38.7)	0.75
4	10	4.0-16.0	100	39.00 (37.1-40.9)	0.79
5	5	0.8-9.2	100	39.9 (37.8-42.0)	0.88
6	1	0-2.92	8.3	40.0*	n. a.
7, 8	0 x2	n. a.	0	No signal	n. a.

508

509 \*Plasma 6 was positive in one single centre in a single sample only

510 Plasma samples 1 – 8 were spiked with different fungal burdens (genome equivalents [ge] /  
 511 ml plasma); results shown as mean Cq values, standard errors (Std. Err.) and 95% Confidence  
 512 intervals (Conf. Interval); n. a. = not available

513



514 **Table 3:** The influence of sample volume (0.1-0.5 ml) on *Aspergillus* real-time PCR crossing  
515 points when testing plasma samples containing a range of *Aspergillus fumigatus* genomic  
516 DNA concentrations (5-1000 ge/ml). Prediction of Cq values calculated using a linear mixed  
517 model.  
518

Plasma volume [ml]	Fungal load [ge / ml plasma]				
	5	10	50	100	1000
0.1	40.68	39.70	37.43	36.45	33.21
0.2	39.90	38.92	36.65	35.67	32.42
0.3	39.11	38.14	35.86	34.89	31.64
0.4	38.33	37.35	35.08	34.11	30.86
0.5	37.55	36.57	34.30	33.32	30.07

519

**Table 4:** Details of the molecular procedures used by the EAPCRI centres investigating the performance of *Aspergillus* PCR when testing serum and plasma

EAPCRI	Monthly serum	DNA	DNA extraction	Elution	Template	PCR	PCR	Sensitivity	Sensitivity
Centre #	sample numbers	extraction	system	volume	volume [µl]	reaction	target	Serum [%]	Plasma [%]
		volume		[µl]		volume	gene		
		[ml]				[µl]			
1	0	0.2	MagNAPure	50	10	20	18S	66.7	100
2	80	1	QIAamp	70	10	21	ITS	100	100
			UltraSense Virus						
3	40	1	QIAamp	38	10	21	ITS	100	100
			UltraSense Virus						
4	15	0.2	QIAamp DNA	50	2	20	ITS	0	100
			Mini						

5	70	1	MagNAPure Total	50	10	20	18S	100	100
			NA Large Volume						
6	240	0.5	EZ1 DSP Virus	60	15	50	28S	100	100
7	50	0.5	MagNAPure Total	100	5	20	28S	100	100
			NA Large Volume						
8	10	0.5	MagNAPure Viral	50	10	50	28S	100	100
			NA Large Volume						

523

524

**Table 5:** The effect of centrifugation at 3500 x g on DNA recovery (as indicated by mean Cq values) from spiked serum and plasma specimens.

Both centres revealed no significant differences in Cq values between centrifuged and non-centrifuged plasma and serum samples, respectively,  $P >$

0.05 (\*)

	Centre 1	Centre 2
Material	[Mean Cq values]	[Mean Cq Values]
Plasma centrifuged	47.1*	37.8*
Plasma non-centrifuged	46.9*	37.6*
Serum centrifuged	46.1*	37.2*
Serum non-centrifuged	47.2*	37.0*