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Article

## <sup>1</sup> Singlet versus Triplet Reactivity in an Mn(V)–Oxo Species: Testing <sup>2</sup> Theoretical Predictions Against Experimental Evidence

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#### 8 Supporting Information

**ABSTRACT:** Discerning the factors that control the reactivity 9 of high-valent metal-oxo species is critical to both an 10 understanding of metalloenzyme reactivity and related transition 11 metal catalysts. Computational studies have suggested that an 12 excited higher spin state in a number of metal-oxo species can 13 provide a lower energy barrier for oxidation reactions, leading to 14 the conclusion that this unobserved higher spin state complex 15 should be considered as the active oxidant. However, testing 16 these computational predictions by experiment is difficult and 17 has rarely been accomplished. Herein, we describe a detailed 18 19 computational study on the role of spin state in the reactivity of a high-valent manganese(V)-oxo complex with para-Z-substituted 20



thioanisoles and utilize experimental evidence to distinguish between the theoretical results. The calculations show an unusual 21 change in mechanism occurs for the dominant singlet spin state that correlates with the electron-donating property of the para-Z 22 substituent, while this change is not observed on the triplet spin state. Minimum energy crossing point calculations predict small 23 spin-orbit coupling constants making the spin state change from low spin to high spin unlikely. The trends in reactivity for the 2.4 para-Z-substituted thioanisole derivatives provide an experimental measure for the spin state reactivity in manganese-oxo 25 corrolazine complexes. Hence, the calculations show that the V-shaped Hammett plot is reproduced by the singlet surface but 26 not by the triplet state trend. The substituent effect is explained with valence bond models, which confirm a change from a 27 nucleophilic to an electrophilic mechanism through a change of substituent. 28

#### 29 INTRODUCTION

30 Metal-oxo complexes are proposed to be the active species in 31 enzyme-catalyzed water oxidation, energy utilization, drug 32 metabolism, and many other vital functions of organisms. 33 One particular class of enzymes with great relevance to 34 biocatalysis and biodegradation are the cytochromes P450, 35 which in the human body have functions that give them their 36 primary purpose in the metabolism of harmful xenobiotics 37 (drugs), as well as in the synthesis of hormones.<sup>2</sup> These 38 enzymes form a high-valent iron(IV)-oxo heme cation radical 39 as the active oxidant that performs a versatile set of reactions 40 efficiently.<sup>3</sup> While metal-oxo complexes are generally thought 41 to reside in their lower spin states in these hexacoordinated 42 heme structures, actually the iron(IV)-oxo species in 43 pentacoordinated nonheme enzymes typically exhibits a high-44 spin state.<sup>4</sup> It has been argued that the spin state of the metal-45 oxo oxidants determines its reactivity pattern with substrates.<sup>5</sup> Over the years a range of biomimetic model complexes have 46

<sup>46</sup> Over the years a range of bioinfinitetic model complexes have <sup>47</sup> been designed that mimic the active features of enzymatic <sup>48</sup> systems.<sup>6</sup> A number of the former studies characterized an <sup>49</sup> active metal—oxo oxidant, which is often found in an <sup>50</sup> intermediate-spin iron(IV)—oxo or low-spin manganese(V)— oxo state.<sup>7,8</sup> It has been proposed from computational studies <sup>51</sup> that in many cases the active species that reacts with substrates <sup>52</sup> is, in fact, an excited high-spin state of the metal—oxo species <sup>53</sup> generated from spin crossover from the lower spin ground <sup>54</sup> state.<sup>9</sup> This proposal arises because the excited high-spin state is <sup>55</sup> often calculated to give a lower energy barrier for the activation <sup>56</sup> of substrates, providing a possible faster reaction pathway. <sup>57</sup>

Experimental methods to test these computational predic- 58 tions are rare. Recent work on C–H activation by nonheme 59  $Fe^{IV}(O)$  complexes has shown that experimental kinetic isotope 60 effects (KIEs) can serve as a potential probe for the reactive 61 spin state of iron–oxo species.<sup>10</sup> For example, very large, 62 nonclassical KIEs observed for the C–H activation reactions of 63 two nonheme  $Fe^{IV}(O)$  complexes matched calculations for the 64 lower S = 1  $Fe^{IV}(O)$  spin state but did not fit for the higher S = 65 2 excited state. It was concluded that reactivity occurred along 66 the S = 1 spin state pathway, even though the quintet state was 67 calculated to yield a lower reaction barrier.<sup>10c</sup> Although this 68 recent analysis of observable KIEs has provided some 69

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70 experimental measure of spin state reactivity correlations for 71 C-H activation, an experimental test regarding spin state 72 reactivity in the other major class of biomimetic oxidations, 73 oxygen-atom-transfer reactions, has yet to be described. In 74 addition, there are no reports, to our knowledge, discussing 75 direct experimental evidence that can distinguish between 76 possible reactive spin states in high-valent manganese—oxo, as 77 opposed to iron—oxo, complexes.

Particularly useful for the studies of manganese(V)-oxo 78 79 complexes are the porphyrinoid ligand systems corrole and 80 corrolazine,<sup>8,11</sup> which are able to stabilize metals in high 81 oxidation states. Work of our groups established that a low-spin s2 manganese(V)-oxo porphyrinoid complex  $[Mn^{V}(O)]$ - $_{83}$  (TBP<sub>8</sub>Cz)], TBP<sub>8</sub>Cz = octakis(*p*-tertbutylphenyl) corrolazina-84 to<sup>3-</sup>, underwent a drastic rate enhancement in hydrogen-atom 85 abstraction reactivity upon the addition of anionic axial ligands  $_{86}$  (X<sup>-</sup>) such as cyanide or fluoride.<sup>12</sup> In a separate computational  $_{87}$  study, our conclusions regarding this low-spin Mn<sup>V</sup>(O) 88 reactivity were questioned, and it was suggested that the 89 reactant state had a close-lying triplet spin conformation that <sup>90</sup> was more likely the reactive state.<sup>13</sup> A similarly large increase in <sup>91</sup> reactivity for oxygen-atom-transfer (OAT) reactions was seen <sup>92</sup> upon addition of  $X^-$  to  $[Mn^V(O)(TBP_8Cz)]$  and reported in <sup>93</sup> two separate studies.<sup>14</sup> In one of these studies,  $[Mn^V(O)-$ 94  $(TBP_8Cz)(CN)$ <sup>-</sup> was reacted with derivatives of seven para-Z-95 substituted thioanisoles, and a Hammett analysis involving the 96 measure of reaction rates versus the  $\sigma_{\rm p}$  Hammett parameter of 97 the para-Z substituent was conducted (lower part of Scheme 98 1).<sup>14a</sup> The obtained plot shows a surprising V-shaped pattern,





whereby a negative slope is observed for electron-donating 99 substituents but a positive slope is seen for electron- 100 withdrawing substituents. This observation was explained by 101 differences in reaction mechanism, in which the former 102 substrates reacted through an electrophilic pathway while the 103 latter substrates reacted through a nucleophilic pathway. 104 However, the role of spin state in these OAT reactions and, 105 in particular, the unusual V-shaped Hammett plot was not 106 examined in this earlier work. 107

Herein, we describe a detailed density functional theory 108 (DFT) and ab initio study on the spin state reactivity of 109  $[Mn(O)(H_8Cz)(CN)]^-$  with para-Z-substituted thioanisole 110 substrates whereby we expanded the substrate range to eight 111 substrates (top part of Scheme 1). This study shows that the V- 112 shaped Hammett plot provides a direct, experimental measure 113 of the reactive spin state pathway for OAT in a high-valent 114 manganese-oxo complex. The experimental and computational 115 findings point to direct sulfoxidation on a dominant low-spin 116 singlet pathway, even though an excited state triplet pathway 117 provides an apparent lower reaction barrier. The experimentally 118 determined Hammett plot for *p*-Z-thioanisole sulfoxidation by 119  $[Mn^{V}(O)(TBP_{8}Cz)X]^{-}$  provides, to our knowledge, the first 120 experimental evidence of singlet spin reactivity and the lack of 121 spin crossing to a higher spin state surface in a high-valent 122 manganese-oxo complex.

METHODS

124

Calculations were performed using the Orca (version 3.0.3) and 125 Gaussian-09 computational chemistry software packages.<sup>15</sup> Our model 126 uses a corrolazine macrocycle (Scheme 1) with the peripheral aryl 127 substituents replaced with hydrogen atoms (H<sub>8</sub>Cz), as previous work 128 showed that the peripheral groups on porphyrin scaffolds have little 129 influence on the spin state ordering and relative energies.<sup>16</sup> Reactivities 130 with para-Z-substituted thioanisoles were calculated for  $Z = N(CH_3)_{2}$ , 131 NH<sub>2</sub>, OCH<sub>3</sub>, CH<sub>3</sub>, H, Br, CN, and NO<sub>2</sub>. The work was aimed at 132 establishing whether the reaction mechanisms are electrophilic or 133 nucleophilic and how the intrinsic chemical properties of oxidant and 134 substrate affected these reactivity differences. The nature of all 135 transition states, in particular, the singlet spin transition states, was 136 established (i) through frequency calculations that gave a single 137 imaginary mode for the S-O bond formation and (ii) intrinsic 138 reaction coordinate (IRC) scans in both the forward and the reverse 139 directions. The latter unequivocally connected the transition states to 140 the reactants in one direction and to products in the opposite 141 direction. 142

Enthalpies of activation of the chemical reactions are compared to 143 experimental data reported previously.<sup>14a</sup> However, it should be noted 144 that generally gas-phase calculations overestimate the entropy of 145 activation and often find higher values than experiment. As such, 146 previous experience of calibrating oxygen transfer reactivities against 147 low-pressure gas-phase measured rate constants gave a better 148 correlation with enthalpies of activation,<sup>17</sup> which we will adopt here. 149

All initial geometry optimizations (including transition state 150 geometry optimizations) were performed without constraints and 151 used the hybrid generalized gradient approximation (GGA) functional 152 B3LYP that includes the VWN5 local density approximation.<sup>1</sup> 153 Relativistic small effective core potential basis sets SDD or LACVP 154 were used on Mn and the all-electron 6-31G(d) on the rest of atoms: 155 basis set BS1.<sup>19</sup> Long-range dispersion interactions were applied using 156 the D3 procedure of Grimme et al.<sup>20</sup> Geometry optimizations were 157 followed by a frequency calculation at the same level of theory and 158 confirmed all structures as local minima or first-order saddle points 159 (transition states). Using Orca, energies were calculated from single- 160 point calculations at the UB3LYP/BS1-optimized geometries using a 161 correlation-consistent basis set of triple- $\zeta$  quality (cc-pVTZ) on Mn 162 and cc-pVDZ on the rest of the atoms: basis set BS2. The resolution of 163 identity (RI) approximation to the Coulomb integrals was used with 164

216

Scheme 2. High-Lying Occupied and Virtual Molecular Orbitals of  $[Mn(O)(H_8Cz)(CN)]^-$  and Occupation Levels in Various Electronic States



165 corresponding auxiliary basis sets, as implemented in Orca. The 166 integration grid was increased from 3 to 4 (Orca notation) to increase 167 numerical accuracy. Single-point energy calculations on all optimized 168 structures were also performed using the hybrid meta-GGA functional 169 TPSSh with 10% HF exchange and the D3 dispersion correction.<sup>20,21</sup> 170 A similar protocol was followed for the results obtained using the 171 Gaussian software program, although it uses the VWN3 local density 172 approximation in B3LYP; furthermore, these calculations utilized the 173 triple- $\zeta$  quality LACV3P+\* on the Mn (with core potential) and 6-174 311+G\* on the rest of the atoms: basis set BS3. Generally, these 175 studies confirmed the B3LYP obtained landscape and conclusions and 176 did not deviate significantly. Solvent effects were included in Orca by applying the conductor-like screening model (COSMO) with a 177 dielectric constant of 26.0 and probe radius of 1.528 Å mimicking 178 179 benzonitrile.<sup>22</sup> An implicit solvent correction in Gaussian was included 180 using the polarized continuum model (CPCM) with a dielectric constant of  $\varepsilon$  = 35.688 mimicking acetonitrile. 181

To test the accuracy and reproducibility of the density functional 182 183 methods, a range of test calculations with alternative density functional <sup>184</sup> methods and the def2-TZVPP basis set (BS4) were performed, <sup>185</sup> including BP86,<sup>23</sup> BLYP,<sup>18b,23a</sup> PBE,<sup>24</sup> B3LYP,<sup>18</sup> PBE0,<sup>25</sup> and <sup>186</sup> TPSSh.<sup>21</sup> In addition, the spin state ordering of the [Mn(O)(H<sub>8</sub>Cz)-(CN)]<sup>-</sup> complex was investigated using the complete active space self-187 188 consistent field (CASSCF) calculations in Orca. Dynamic correlation 189 was recovered by following these CASSCF studies with the N-electron 190 valence second-order perturbation theory (NEVPT2) correction on 191 the converged multiconfigurational wave functions with basis set BS5 192 (cc-pVTZ/cc-pVDZ). Due to the size of our chemical system, the 193 NEVPT2:CAS studies were performed at the single-point energy level on the UB3LYP/BS1-optimized geometries of the reactant complexes 194 195 only. The resolution of identity approximation and the chain-of-sphere 196 approximation (RIJCOSX) were applied to the Coulomb and exchange correlation, respectively, with density fitting auxiliary basis 197 198 set corresponding to each atomic basis set throughout the calculations 199 below

Single-point energies were calculated on the triplet spin state of the 201 optimized singlet spin transition state geometry using B3LYP. The 202 ZORA Hamiltonian with the model potential due to Van Wuellen<sup>26</sup> 203 was used to account for the relativistic effect along with the segmented 204 all-electron relativistically recontracted version of basis sets def2-205 TZVPP.<sup>27</sup> The Ahlrichs (2df,2pd) polarization functions were 206 obtained from the Turbomole basis set library<sup>28</sup> for Mn, while the def2-SVP basis set<sup>27</sup> was employed on the rest of atoms. The 207 resolution of identity (RI) and the chain-of-sphere approximations 208 were used for the Coulomb and Exchange correlation, respectively. 209 Spin–orbit coupling constants (SOC) were calculated on the 210 converged unrestricted natural orbitals using the spin–orbit mean 211 field Hamiltonian including 1-electron term and local DFT correlation 212 including VWNS.<sup>29</sup> Coulomb terms were computed with the RI 213 approximation, and the exchange terms were computed with one- 214 center exact integrals including the spin–orbit interaction. 215

#### RESULTS AND DISCUSSION

Density functional theory (DFT) methods sometimes struggle 217 with the correct description and spin state ordering of 218 transition metal complexes, in particular of manganese 219 complexes.<sup>30</sup> In this work a series of test calculations were 220 performed with a variety of density functional and ab initio 221 methods, and the results were compared with experimental 222 (spectroscopic) data. It should be noted that computational 223 methods that better reproduce experimental crystal structure 224 coordinates are often not the preferred methods for 225 reproducing reaction rates, as found previously.<sup>31</sup> 226

We undertook an extensive benchmarking study of the  $^{227}$  [Mn(O)(H<sub>8</sub>Cz)(CN)]<sup>-</sup> complex using a range of density  $^{228}$  functional and ab initio methods, particularly aimed at  $^{229}$  establishing the amount of Hartree–Fock exchange interaction  $^{230}$  needed in the calculations.  $^{231}$ 

Optimized geometries were compared against the reported 232 structural parameters from X-ray absorption spectroscopy 233 (XAS) published previously<sup>14a</sup> and calculated using a variety 234 of density functional methods. An overview of the full account 235 of the results is given in the Supporting Information (Tables 236 S1–S4). In general, the results lead to the conclusion that the 237 BLYP and TPSS density functional methods perform 238 consistently better than alternative pure density functional 239 methods for matching the metrical parameters obtained from 240 XAS (Table S1, Supporting Information). TPSS performed 241 slightly better than BLYP, as expected according to the Jacob's 242 ladder scheme.<sup>32</sup> Among all three hybrid density functional 243 methods, B3LYP performed the best except in Medium 244

Table 1. Calculated Adiabatic Singlet-Triplet Energy Gaps (	$(\Delta E_{\rm ST})$ and	Unpaired Spin	Density in the '	Triplet Spin	State of
$[Mn(O)(H_8Cz)(CN)]^-$ Using a Range of Density Functional	Methods a	nd Basis Set BS2	2 on Different O	ptimized Gec	ometries <sup>a,b</sup>

geometry	BP86	BLYP	PBE	TPSS	B3LYP	PBE0	TPSSh
BLYP <sup>c</sup>	9.15 (2.13)	9.48 (2.08)	9.14 (2.12)	8.78 (2.11)	1.84 (3.05)	-3.87 (3.44)	5.25 (2.62)
TPSS <sup>d</sup>	9.23 (2.12)	9.41 (2.06)	9.21 (2.11)	8.83 (2.10)	2.15 (3.03)	-3.26 (3.43)	5.47 (2.63)
B3LYP <sup>e</sup>	15.37 (2.77)	14.45 (2.55)	15.48 (2.69)	13.58 (3.07)	0.69 (3.79)	-6.51 (3.99)	6.74 (3.64)
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"Relative energies in kcal mol<sup>-1</sup>; a positive value denotes a singlet spin ground state. <sup>b</sup>Total unpaired spin density is given in parentheses as the sum of the absolute values of Mn and O. <sup>c</sup>Calculated Mn–O distances of 1.59 (singlet) and 1.66 (triplet) Å. <sup>d</sup>Calculated Mn–O distances of 1.58 (singlet) and 1.66 (triplet) Å.

245 Absolute Deviation. It is surprising that B3LYP performs better 246 than TPSSh for two reasons: (1) B3LYP contains a larger 247 amount of HF exchange and (2) has a better parametrized 248 correlation functional. On the basis of these results, therefore, 249 we continued the studies with hybrid density functional 250 methods only. The effective core potential-all electron basis 251 set combination SDD/6-31G(d) excelled compared to the 252 other two combinations tested in agreement with previous 253 findings.<sup>33</sup>

Spin State Ordering and Electronic Ground State of 254  $[Mn(O)(H_8Cz)(CN)]^-$ . It is sometimes difficult to calculate 255 excited states and spin state ordering by DFT, because it is 256 257 formally a ground-state theory. As a consequence, different density functional methods can give inconsistent results, 258 especially for transition metal complexes where near-degener-259 260 acy of the d orbitals poses a difficulty for this singledeterminant theory.<sup>30</sup> The exchange-correlation term is differ-261 262 ent for each density functional method, and its value determines the energy associated with electron pairing. 263 Therefore, the correct choice of DFT method influences the 264 obtained results and is extremely important in determining spin 265 state ordering, where many close-lying spin states are present. 266 Indeed, Shaik and co-workers previously demonstrated that 267 268 the spin state ordering of  $[Mn(O)(H_8Cz)]$  could vary greatly 269 with different exchange-correlation potentials and/or the 270 amount of HF exchange included.<sup>13</sup> They also suggested that <sup>271</sup> the spin ground state of  $[Mn(O)(H_8Cz)(CN)]^-$  should be the triplet spin state as opposed to the experimentally determined 272 273 singlet spin state of the parent five-coordinate complex. To 274 highlight the spin accessibility and the electronic possibilities of 275 the  $[Mn(O)(H_8Cz)(CN)]^-$  reactant complex, we show high-276 lying occupied and low-lying virtual orbitals in Scheme 2.

The metal orbitals form bonding and antibonding combina-277 278 tions with orbitals on the first coordination sphere ligands. In 279 the xy plane, the  $3d_{x^2-y^2}$  orbital on Mn mixes with  $2p\sigma$  orbitals 280 on the nitrogen atoms of the corrolazine ring to give the  $\sigma_{x^2-y^2}/\sigma_$ 281  $\sigma_{x^2-y^2}^*$  pair of orbitals, whereas the nonbonding  $\delta_{xy}$  orbital lies 282 in between the nitrogen atoms. Along the z axis, the  $3d_{z^2}$  orbital 283 on Mn mixes with the  $2p\sigma$  orbital of oxygen to form the  $\sigma_{z^2}/\sigma_{z^2}/\sigma_{z^2}/\sigma_{z^2}$ 284  $\sigma^*_{z^2}$  orbitals, whereas the  $3d_{xz}/3d_{yz}$  orbitals form  $\pi$ -type 285 interactions with the  $2p_x/2p_y$  orbitals to give the  $\pi_{xz}/\pi^*_{xz}$  and 286  $\pi_{yz}/\pi^*_{yz}$  pair of orbitals. In addition, there are several high-lying  $_{287}$   $\pi$  orbitals on the corrolazine ligand, and the a" shape is shown 288 in Scheme 2. This highly dispersed orbital shows similarity to 289 the a<sub>1u</sub> orbital in heme structures.<sup>34</sup> The experimental evidence 290 indicates a closed-shell singlet ground state  $({}^{1}A_{LS})$  for 291 manganese(V)-oxo corrolazine complexes. However, the a" 292 orbital can become singly occupied through valence tautomer-293 ism upon binding of a Lewis acid such as Zn<sup>2+</sup> to the oxo 294 ligand, stabilizing a <sup>3</sup>A" electronic state.<sup>35</sup> These findings 295 suggest that the orbital manifold is close in energy and various

ground states could be accessible dependent on the local 296 environmental conditions.

In the closed-shell singlet spin state  $({}^{1}A_{LS})$  these sets of 298 orbitals are occupied as [core]  $\pi_{yz}{}^{2}\delta_{xy}{}^{2}$  with [core] = 299  $\sigma_{x^{2}-y^{2}}\sigma_{z^{2}}^{2}\pi_{xz}{}^{2}$ , and all orbitals are in a low-spin (LS) 300 configuration. The triplet spin state that retains the +5 301 oxidation state on Mn has occupation [core]  $\pi_{yz}{}^{2}\delta_{xy}{}^{1}\pi^{*}{}_{xz}{}^{1}$  302 ( ${}^{3}\Pi_{LS}$ ) and can be described as a high-spin Mn<sup>V</sup> species. The 303 alternative triplet spin state with four unpaired electrons (in 304 high-spin configuration,  ${}^{3}\Pi_{HS}$ ) is different, arising from 305 promotion of an electron from  $\pi_{yz}$  to  $\pi^{*}_{yz}$ , and can be 306 described as high-spin Mn<sup>V</sup> antiferromagnetically coupled with 307 an oxyl radical (Mn<sup>IV</sup>=O<sup>•</sup>).

As the three electronic states (  $^1A_{LS}\!\!\!\!\!\!\!\!\!\!\!\!^3\Pi_{LS}\!\!\!\!\!$  and  $^3\Pi_{HS}\!\!\!\!\!\!\!$ ) of 309  $[Mn(O)(H_8Cz)(CN)]^-$  are expected to be close in energy we 310 decided to investigate their spin state ordering and relative 311 energies using various computational models. Although we 312 attempted to characterize the <sup>3</sup>A" as well, which would 313 represent an Mn<sup>IV</sup>  $\pi$ -cation–radical configuration, it was not 314 low enough in energy for any of the systems examined to play a 315 key role in reactivity. The results obtained for the DFT 316 methods are summarized in Table 1, while raw data can be 317 tl found in Tables S1–S5 (Supporting Information). Thus, the 318  $[Mn(O)(H_8Cz)(CN)]^-$  complex was optimized in the singlet 319 and triplet spin states using BLYP, TPSS, and B3LYP methods. 320 The pure density functionals (BLYP and TPSS) give almost 321 identical geometries with a short Mn-O distance below 1.6 Å 322 in the singlet spin state that implicates a Mn-O triple bond. By 323 contrast, due to additional antibonding character through 324 occupation of the  $\pi^*_{xz}$  orbital in the triplet spin state the Mn- 325 O distance is elongated to 1.66 Å. At the B3LYP level of theory, 326 the singlet spin state has a somewhat shorter Mn–O distance of 327 1.55 Å in the singlet spin state but a considerably larger one in 328 the triplet spin state of 1.78 Å. The group spin densities and 329 orbital occupations, however, show that the B3LYP optimiza- 330 tion led to the  ${}^{3}\Pi_{HS}$  state, whereas the pure density functionals  ${}_{331}$ gave the  ${}^{3}\Pi_{LS}$  state instead. As a consequence of occupation of  ${}^{332}$ an extra  $\pi^*$  orbital in the  ${}^3\Pi_{
m HS}$  state the Mn–O distances are 333 significantly elongated as compared those in the  ${}^{3}\Pi_{LS}$  state. In  ${}^{334}$ principle, the  ${}^{3}\Pi_{\text{HS}}$  state has two singly occupied  $\pi^{*}$  orbitals for 335 the MnO interaction, which would result in significant oxyl 336 radical character. By contrast, in the  ${}^3\Pi_{1.5}$  state only one  $\pi^*$  337 orbital is singly occupied and the oxyl character will be 338 significantly less than in the  ${}^{3}\Pi_{\rm HS}$  state. 339

In order to obtain an accurate value of the singlet-triplet 340 energy gap and the nature of the lowest triplet spin 341 configuration, we decided to study this chemical system with 342 a method that allows accurate description of multiconfigura- 343 tional systems, namely, the complete active space self-consistent 344 field (CASSCF) method followed by the N-electron valence 345 state second-order perturbation theory (NEVPT2) that 346 accounts for dynamic correlation. The CASSCF calculations 347 348 utilized either an active space of eight electrons in seven 349 molecular orbitals or 12 electrons in 11 molecular orbitals, i.e., 350 CAS(8,7) or CAS(12,11). The smallest CAS space contained 351 the three oxygen 2p orbitals and four manganese 3d orbitals 352  $(3d_{xz}, 3d_{yz}, 3d_{x2-y2}, and 3d_{z2})$ , whereas the larger CAS space 353 included also the HOMO–1, HOMO, LUMO, and LUMO+1 354 orbitals on the H<sub>8</sub>Cz moiety. Due to the size of the chemical 355 system, we were unable to do a geometry optimization at the 356 NEVPT2:CAS level of theory and consequently ran single 357 points on DFT-optimized geometries (either B3LYP or BLYP) 358 only.

t2

f1

Table 2 gives NEVPT2:CAS calculated singlet-triplet energy s60 splitting as well as the unpaired spin population from CASSCF

Table 2. Spin State Energies between the Singlet and Triplet States of  $[Mn(O)(H_8Cz)(CN)]^-$  As Calculated with NEVPT2:CAS/BS5 on Optimized DFT Geometries<sup>b</sup>

active space	geometry <sup>a</sup>	$\Delta E_{\mathrm{ST}}$	ho(Mn)	$\rho(O)$
(8,7)	BLYP	8.0	2.17	-0.21
(12,11)	BLYP	8.1	2.17	-0.20
(8,7)	B3LYP	9.9	2.40	-0.44
(12,11)	B3LYP	8.8	2.40	-0.43

<sup>*a*</sup>Singlet spin geometries have  $r_{MnO} = 1.59$  Å for BLYP and 1.55 Å for B3LYP, and triplet spin geometries use  $r_{MnO} = 1.66$  Å for BLYP and 1.78 Å for B3LYP. <sup>*b*</sup>Also given are unpaired spin densities on Mn and O.

361 on the MnO group. In agreement with the DFT results (except 362 PBE0) from Table 1, the singlet spin state is the ground state 363 and well lower in energy than the triplet spin state. The result 364 of the larger CAS(12,11) calculation is almost identical to that 365 found for the CAS(8,7), with the triplet spin state about 8 kcal 366 mol<sup>-1</sup> higher in energy. Therefore, the high-lying occupied and 367 low-lying virtual corrolazine orbitals had little contribution to 368 the singlet—triplet splitting. In addition, the radical character in 369 the triplet spin states implicates a situation closest to the <sup>3</sup> $\Pi_{LS}$ 370 state with two unpaired electrons in  $\delta_{xy}$  and  $\pi^*_{xz}$  (see the 371 natural orbitals and their corresponding occupancies in the 372 Supporting Information Tables S19 and S20 and Figures S2— 373 S9) as also found for pure density functional methods.

<sup>374</sup> By contrast, using the B3LYP-optimized geometry a mixed <sup>375</sup> state in between the  ${}^{3}\Pi_{\rm LS}$  and the  ${}^{3}\Pi_{\rm HS}$  configurations is <sup>376</sup> obtained with spin density of about 2.4 on Mn and -0.4 on O. <sup>377</sup> As such, the  ${}^{3}\Pi_{\rm HS}$  state found by hybrid functionals can be <sup>378</sup> attributed to a lack of electronic correlation of the Hartree– <sup>379</sup> Fock orbitals.

DFT-optimized geometries were used as the input geometry 380 for NEVPT2:CAS single-point energy calculations because the 381 382 system of interest is too large to be optimized at that level of theory. Both NEVPT2:CAS and pure density functional 383 384 methods find the singlet spin state of  $[Mn(O)(H_8Cz)(CN)]^$ to be the ground state and use optimized geometries that match 385 the experimentally determined ones by the EXAFS methods 386 excellently.<sup>14a</sup> However, in order to determine the variation in 387 singlet-triplet energy levels, we did an additional set of 388 calculations on the lowest lying singlet and triplet spin states 389 with variable Mn-O distances. Thus, we performed con-390 strained surface scans using NEVPT2:CAS along the Mn-O 391 392 bond using B3LYP relaxed geometries. As can be seen from 393 Figure 1, such constraints should give insight into the adiabatic 394 and diabatic spin state ordering with varying Mn-O distance. 395 The singlet spin state stays the ground state as the Mn-O bond



**Figure 1.** Constrained potential energy scan along the Mn–O bond of  $[Mn(O)(H_8Cz)(CN)]^-$  calculated by NEVPT2:CAS(8,7) with BS5. Singlet scans are shown in blue solid squares. Triplet scans are shown in red solid squares. Energies are shown relative to the minimum of the singlet complex for clarity.

stretches from 1.50 to 1.75 Å, consistent with the spin state 396 ordering predicted by pure density functional methods as well 397 as B3LYP. At 1.55 Å, the singlet spin state is the ground state 398 and resides at the minimum point of the singlet PES. At 1.65 Å, 399 the singlet spin state is still the ground state while triplet spin 400 state resides at its minimum point of the triplet PES, consistent 401 with the geometries optimized for the singlet and triplet 402 manganese-oxo species. The triplet and singlet spin states 403 become near-degenerate in the range between 1.75 and 1.85 Å 404 with a spin population of  $\sim$ 2.4 on Mn and  $\sim$ -0.4 on O. This 405 distance is in line with Mn(IV) species reported in the 406 literature<sup>35</sup> and is the operating bond length during the 407 transition states (vide infra). The triplet spin state becomes the 408 ground state at 1.9 Å in favor of the singlet spin state by ~4 kcal 409  $mol^{-1}$  with a spin population of 2.5 on Mn and -0.6 on O. At 410 2.1 Å, the spin population is 2.7 on Mn and -0.8 on O. 411 Therefore, the scan along the Mn–O bond distance confirms 412 that a fully optimized NEVPT2 structure would lie in a low- 413 spin ground state, with a significant singlet-triplet energy gap. 414

The calculations presented here implicate that multireference 415 techniques including NEVPT2 and CASSCF propose the 416  $[Mn(O)(H_8Cz)(CN)]^-$  system to be in a closed-shell singlet 417 ground state. However, its separation from the nearest triplet 418 spin state is considerably larger than previously thought and of 419 the order of 8-10 kcal mol<sup>-1</sup>, which is at a thermally 420 inaccessible level at room temperature. In addition, the singlet- 421 triplet transition from  ${}^{1}A_{LS}$  to  ${}^{3}\Pi_{HS}$  requires a double electron 422 excitation, one from  $\delta_{xy}$  to  $\pi^*$  and one from  $\pi$  to  $\pi^*$ . As such, 423 this is a spin-forbidden process and may not proceed with a 424 large probability. Moreover, the spin distribution gives a slightly 425 favorable  ${}^{3}\Pi_{LS}$  state over alternative triplet spin states. The only 426 exception came from the CASSCF spin distribution calculated 427 on top of B3LYP-optimized geometry, which features an 428 unusually long Mn-O distance at 1.78 Å. However, surface 429 scans along the Mn-O bond by NEVPT2:CAS rule out the 430 B3LYP-optimized geometry residing on the minimum of the 431 triplet potential surface of  ${}^{3}\Pi_{LS}$ . B3LYP optimization very likely 432 converged to the higher excited state, the  ${}^{3}\Pi_{HS}$ , of the triplet 433 state, as evidenced by the corresponding spin populations, 434 owing to the lack of electron correlation from the HF exchange 435 parameters. 436



**Figure 2.** Potential energy landscape for the sulfoxidation of para-Z-substituted thioanisole (SubZ,  $Z = N(CH_3)_3$ , NH<sub>2</sub>, OCH<sub>3</sub>, CH<sub>3</sub>, H, Br, CN, and NO<sub>2</sub>) by <sup>1,3</sup>[Mn(O)(H<sub>8</sub>Cz)(CN)]<sup>-</sup>. Table gives relative energies ( $\Delta E_{SO}$ ) for <sup>1</sup>TS<sub>SO</sub> as calculated with basis set BS2 and given in kcal mol<sup>-1</sup>. Optimized geometries of <sup>1</sup>TS<sub>SO</sub> give bond lengths in Angstroms and the imaginary frequency of the transition state in cm<sup>-1</sup>. Data calculated at RIJCOSX-TPSSh-D3/def2-QZVPP/ZORA//RIJCOSX-B3LYP-D3/SDD/6-31G(d) in Orca. **RC** is the reactant complex, TS<sub>SO</sub> is the sulfoxidation transition state, **P**<sub>SO</sub> is the sulfoxide product complex, and **MECP** refers to the minimum energy crossing point between the singlet and the triplet spin state.

The pure density functional methods better reproduce the 437 singlet-triplet energy gap found by NEVPT2:CAS compared 438 to the hybrid methods. The spin populations found by 439 NEVPT2:CAS are reproduced well with a BLYP single point 440 on a geometry optimized with a hybrid density functional 441 method. We, therefore, studied the sulfoxidation of para-Z-442 substituted thioanisoles mediated by  $[Mn(O)(H_8Cz)(CN)]^-$  at 443 444 different spin states by BLYP and TPSSh on B3LYP-optimized 445 geometries. B3LYP is used for geometry optimizations for its 446 success in reproducing experimental rate constants in the 447 literature.<sup>36</sup> TPSSh is also used for reaction energetics for the fact that it is the only hybrid functional that matches the spin 448 449 state ordering found by NEVPT2:CAS and is the highest rank 450 on the Jacob's ladder scheme among the functionals tested in 451 the section above. As such, the procedure that is used in the 452 following represents a geometry optimization at the B3LYP level of theory followed by a single-point calculation using 453 454 BLYP or TPSSh to obtain more reliable spin state energetics. Calculated Hammett Plots for the Reaction of 455 456 [Mn(O)(H<sub>8</sub>Cz)(CN)]<sup>-</sup> with Thioanisole Derivatives. In previous work, our groups have shown that  $[Mn(O)(H_8Cz)-$ 457 458 (CN)]<sup>-</sup> reacts with para-Z-substituted thioanisoles efficien-459 tly.<sup>14a</sup> The experimentally determined plot of the logarithms of 460 the rate constants of para-Z-substituted versus para-H-461 substituted thioanisole reactions, i.e.,  $\log(k_Z/k_H)$ , did not give 462 a linear correlation with the Hammett constant ( $\sigma_{\rm p}$ ) of the 463 substituent but rather a "V-shaped" Hammett correlation, 464 Scheme 1. It was proposed that different reaction mechanisms 465 were operative, depending on the nature of the substituent. In 466 particular, it was suggested that a nucleophilic attack on the

metal-oxo group took place with substrates with electron- 467 donating substituents to give a negative Hammett slope, 468 whereas an electrophilic attack occurred with substrates with 469 electron-withdrawing substituents instead.<sup>14a</sup> Interestingly, the 470 axially vacant five-coordinated  $[Mn^{V}(O)(Cz)]$  species did not 471 react with any of the para-Z-substituted thioanisoles within a 472 measurable time, and hence, a considerable rate enhancement is 473 observed upon binding of the axial ligand.<sup>14b</sup> Our work as well 474 as that of Fujii and co-workers<sup>37</sup> showed computationally that 475 such a drastic rate enhancement tracked with the increased 476 stability of the product Mn(III) complex, and this thermody- 477 namic driving force extended into the transition state through 478 the Bell–Evans–Polanyi principle. Clearly, a nonlinear 479 Hammett plot would correspond to a change in reaction 480 mechanism between substrates with electron-donating and 481 electron-withdrawing para substituents. We calculated the 482 substrate sulfoxidation of para-Z-substituted-thioanisole (Z = 483N(CH<sub>3</sub>)<sub>2</sub>, NH<sub>2</sub>, OCH<sub>3</sub>, CH<sub>3</sub>, H, Br, CN, and NO<sub>2</sub>) with 484  $^{1,3}$ [Mn(O)(H<sub>8</sub>Cz)(CN)]<sup>-</sup>. Figure 2 displays the calculated  $_{485 \text{ f2}}$ potential energy profiles for substrate sulfoxidation by <sup>1,3</sup>[Mn- 486 (O)(H<sub>8</sub>Cz)(CN)]<sup>-</sup>, with structural and energetic values for all 487  ${}^{1}\text{TS}_{\text{SO.Z}}$  geometries. The singlet spin barriers range from 12.1 to  $_{488}$ 16.2 kcal mol<sup>-1</sup> for the substrates studied here. All data for the 489 other intermediates, transition states, and products can be 490 found in the Supporting Information (Tables S7-S13). The 491 sulfoxidation reaction is concerted via a single oxygen insertion 492 transition state  $extsf{TS}_{ extsf{SO}}$  from a reactant complex (RC) and leading 493 to products **P**<sub>SO</sub>. These labels are given the subscript for the Z 494 substituent for the para-Z-substituted thioanisole substrate 495 used. The mechanism follows previously reported substrate 496

<sup>497</sup> sulfoxidation reactions by analogous chemical systems.<sup>38</sup> In all 498 cases, the isolated reactants and reactant complexes are in a 499 closed-shell singlet ground state, and as such the spin state 500 ordering does not change upon the formation of an oxidantsol substrate complex RC. However,  ${}^{1}TS_{SO,Z}$  is found to be higher  $_{502}$  in energy than  ${}^{3}TS_{SO,Z}$  in all cases and so is the ordering of the 503 product complexes. To confirm the spin state ordering and find 504 the energy splitting of the two transition states, we ran 505 NEVPT2:CAS(8,7) single point on the optimized geometries 506 of  ${}^{1,3}TS_{SO,NO2}$ . These calculations establish that the triplet spin 507 barrier is 4.3 kcal mol<sup>-1</sup> lower in energy than the singlet spin state. Furthermore, at the NEVPT2:CAS(8,7) level of theory 508  ${}^{3}TS_{SO,OCH3}$  had a barrier of 11.8 kcal mol<sup>-1</sup> relative to the 509 510 reactant complex, which is not dramatically different from the values obtained at RIJCOSX-TPSSh-D3/def2-QZVPP/ 511 512 ZORA//RIJCOSX-B3LYP-D3/SDD/6-31G(d). As such, the 513 barrier heights displayed in Figure 2 match the NEVPT2:CAS-(8,7) and experimental values well. Moreover, the high-level 514 515 NEVPT2:CAS(8,7) calculations implicate a much smaller singlet-triplet energy gap in the transition states as initially 516 thought, whereas the gap is considerable in the reactant 517 518 complexes.

The potential energy landscape covering the two spin states 519 s20 for substrate sulfoxidation by  $[Mn(O)(H_8Cz)(CN)]^-$  is 521 schematically depicted at the top of Figure 2. This mechanism 522 is the same for all substrates investigated here. Thus, there is a 523 substrate sulfoxidation mechanism from isolated reactants via 524 RC and  $TS_{SO}$  leading to products on the singlet spin state (gray 525 surface), and there is an analogous pathway on the triplet spin 526 state (green surface). A 3D representation of the potential 527 energy surface is shown in Figure 2, where the two surfaces are bisected on a spin crossing line, with the lowest energy crossing 528 529 point the minimum energy crossing point (MECP). The spin 530 transition from singlet to triplet is located on the axis to the left. 531 Thus, the spin crossing seam will have a MECP, where the 532 singlet and triplet energies overlap. As such the landscape will 533 follow a bifurcation pathway, whereby one pathway from singlet spin reactants will directly lead to sulfoxide products via  ${}^{1}TS_{SO}$ 534 whereas the alternative pathway will proceed via a spin 535 crossover via <sup>1,3</sup>MECP to the triplet spin state surface followed 536 by sulfoxidation through  ${}^{3}TS_{SO}$  en route to products. 537

To find out whether the singlet and triplet spin state surfaces sign cross and could lead to a spin state change along the reaction the mechanism, we calculated minimum energy crossing points (MECP) for the singlet to triplet transitions using the procedures of Harvey.<sup>39</sup> Thus, our MECP-calculated singlet– static triplet crossing points give chemical structures (see Figure 3) state that do not lie on the substrate sulfoxidation reaction pathway.

f3



In particular, the sulfur atom of the substrate is oriented away 545 from the terminal oxo ligand, and there is no S–O bond 546 formation. Instead, the MECP structures show a weak 547 (hydrogen bonding) interaction between substrate and oxidant 548 with the protons of the methyl and phenyl groups of the 549 substrate forming nonbonding interactions with the oxo ligand. 550 The singlet-triplet crossing does not appear to happen along 551 the sulfoxidation mechanism but rather occurs as a spin state 552 crossover in the reactant complexes. 553

The <sup>1,3</sup>MECP structures have long Mn-O distances of well 554 over 1.67 Å and resemble the triplet spin reactants. Our MECP- 555 calculated crossing points are approximately 4-5 kcal mol<sup>-1</sup> 556 higher in energy than <sup>1</sup>RC<sub>Z</sub> and correspond to a triplet spin 557 state with about two unpaired electrons on the MnO unit. 558 Recall that in the reactant structures a singlet-triplet energy 559 gap of 8 kcal mol<sup>-1</sup> was obtained using the highest level of 560 theory, and, hence the real crossing points may be well higher 561 than that. Therefore, upon elongation of the Mn-O bond 562 similarly to the scan in Figure 1, the surface crossing is reached. 563 These MECP structures can lead to a singlet-triplet crossing 564 during the lifetime of the reactant complexes but may not 565 connect to the sulfoxide products and/or transition states. In 566 the event of long-lived reactant complexes a thermal Boltzmann 567 equilibration may populate the triplet spin state and lead to 568 reactivity with sulfides on the lower energy surface. However, 569 based on the energetic separation by the singlet and triplet spin 570 state as calculated with NEVPT2:CAS, we expect the thermal 571 occupation of the triplet spin state to be very small. 572

Key bond lengths of the optimized low-spin transition state 573 structures  ${}^{1}TS_{SO,Z}$  are given in Figure 2. As follows for the series 574  $Z = N(CH_3)_2$  to  $Z = NO_2$  the Mn–CN distance gradually 575 decreases from 2.071 to 2.052 Å, while the Mn–O distance 576 elongates from 1.724 to 1.740 Å in an almost linear fashion. At 577 the same time the O–S distance decreases from  $Z = N(CH_3)_2$  578 to  $Z = NO_2$  from 1.919 to 1.864 Å. These trends imply that an 579 electron-withdrawing substituent, such as NO<sub>2</sub>, gives transition 580 states with structures that are later on the potential energy 581 surface (shorter S–O bonds) than substrates with electron-582 donating substituents, in agreement with what was observed 583 previously on analogous systems.<sup>40</sup> All transition states are 584 characterized with a single imaginary mode for the S–O bond 585 formation with values in the range of i503–i518 cm<sup>-1</sup>. 586

The Hammett correlation for the enthalpy of activation of 587 para-Z-substituted thioanisole in reaction with [Mn(O)-588] $(H_8Cz)(CN)$ ]<sup>-</sup> versus  $\sigma_P$  for the singlet and triplet spin 589 sulfoxidation barriers is shown in Figure 4. The values of 590 f4  $\log(k_{\rm Z}/k_{\rm H})$  were estimated from the enthalpies of activation, 591 see Supporting Information for details. The computations 592 reproduce experiment well for the singlet spin state only, giving a 593 "V-shaped" Hammett plot, regardless of the choice of 594 functionals and basis sets, although the calculations give a 595 slightly earlier mechanistic switch from Z = Br to Z = H with 596 respect to experiment. In particular, the triplet spin barriers give 597 a linear correlation between the Hammett  $\sigma_{\rm P}$  value and log  $k_{\rm Z}/_{598}$  $k_{\rm H}$  for the full set of substrates tested in the range from  $\sigma_{\rm p}$  = 599 -0.83 (Z = (N(CH<sub>3</sub>)<sub>2</sub>) to  $\sigma_{\rm P}$  = +0.778 (NO<sub>2</sub>). Clearly, the 600 experimentally determined V-shaped Hammett plot cannot 601 correspond to rate constants obtained through the triplet spin 602 pathway. These results also imply that the spin state crossing 603 from triplet to singlet before the rate-determining step is 604 unlikely, in line with the conclusion reached from the low 605 spin–orbit coupling constants. 606



**Figure 4.** Computational Hammett plot for the reaction of singlet and triplet  $[Mn(O)(H_8Cz)(CN)]^-$  with para-Z-substituted thioanisole derivatives. Data calculated at RIJCOSX-TPSSh-D3/def2-QZVPP/ZORA//RIJCOSX-B3LYP-D3/SDD/6-31G(d) and includes zeropoint, thermal, and solvent corrections. (a) Correlation for singlet spin barriers ( ${}^{1}TS_{SO,Z}$ ). (b) Correlation for triplet spin barriers ( ${}^{3}TS_{SO,Z}$ ).

607 As computational trends often give a systematic error with 608 respect to experiment as shown before, they do tend to 609 correctly reproduce regio- and chemoselectivities of reaction<sup>41</sup> 610 as well as product isotope effects.<sup>42</sup> In particular, the 611 computation gives a somewhat wider energy gap between the 612 enthalpy of activation of the para-Z substituted thioanisoles 613 with respect to the experimental trends. As a consequence, the 614 Hammett  $\rho$  values are larger than those reported in ref 14a. The 615 deviation between experimental and computational rate 616 constants may have to do with the incorrect description of 617 solvent and neglecting entropic and thermal corrections in the 618 calculations.

619 Technically, the transition state can also exist in a triplet and 620 guintet spin state, and therefore, we calculated the trends for 621 sulfoxidation reactions on those spin states and show the results 622 in Figures 4b and S1. The calculated relative energies from 623 DFT for the triplet and quintet spin states give a good match to 624 those obtained from the NEVPT2:CAS(12,11) calculations. 625 However, despite the fact that the triplet and quintet barriers 626 have structural similarities to the singlet spin state transition 627 states, no mechanistic switch was observed when the rate constant ratio  $\log(k_{\rm Z}/k_{\rm H})$  was plotted against the Hammett 628 629 parameter. For the full set of substrates, a linear trend with 630 positive slope was found. The calculated Hammett plots for the 631 triplet and quintet spin states do not match with experiment, 632 indicating that these barriers cannot be the rate-determining 633 step in the reaction mechanism. These findings also suggest 634 that the spin-orbit coupling for the singlet-triplet transition is 635 small, and little or no conversion from singlet to triplet takes

place during the lifetime of the reactant complexes. We 636 conclude that the reaction most likely takes place on a 637 dominant singlet spin state surface. There is a spin state 638 crossing to a more stable spin state only after passing the 639 transition state, and this crossing then happens through thermal 640 equilibrium of product complexes, forming a final quintet spin 641 Mn(III) product as experimentally observed.<sup>12,13</sup> To confirm 642 these results, the spin–orbit coupling (SOC) constants for all 643 systems were calculated (Table 3). Values ranging from 2.9 644 t3

Table 3. Spin–Orbit Coupling at the Triplet Transition States of Sulfoxidation of Different Para-Z-Substituted Thioanisoles by  $[Mn(O)(H_8Cz)(CN)]^-$  (values in cm<sup>-1</sup>)

Z	OCH <sub>3</sub>	CH <sub>3</sub>	Н	Br	CN	$NO_2$
SOC	2.9	3.3	3.7	5.0	3.9	3.6

cm<sup>-1</sup> for *p*-OCH<sub>3</sub>-thioanisole to 5.0 cm<sup>-1</sup> for *p*-Br-thioanisole 645 are found. These SOC values are very small and implicate little 646 or no spin state change, and the highest probability will lie on 647 the low-spin surface. These give further support for single-state 648 reactivity on the low-spin state. 649

As shown above, the substrate sulfoxidation reaction by 650 manganese(V)—oxo corrolazine complexes is dependent on the 651 axial ligand bound to the manganese center and on the para-Z- 652 substituent of the thioanisole substrate. In the following we will 653 analyze the properties associated with these trends in detail. 654

Nature of the Axial Ligand on Reactivity Patterns. 655 Similarly to studies on manganese(V)—oxo corrolazine 656 complexes reported previously<sup>12,14</sup> as well as heme and 657 nonheme iron systems,<sup>43,44</sup> the axial ligand can affect the 658 reactivity properties of metal—oxo complexes dramatically. In 659 particular, an electron-donating or electron-withdrawing axial 660 ligand can influence the electron affinity of the oxidant and/or 661 the  $pK_a$  of the oxo group and thereby affect the reactivity 662 patterns and regioselectivity distributions as seen before, for 663 instance, in P450 chemistry.<sup>45</sup> In order to generalize and 664 understand the axial ligand effects we set up a thermochemical 665 cycle as shown in Scheme 3.







Figure 5. VB curve crossing diagram for nucleophilic and electrophilic sulfoxidation reactions. For explanations see text.

The top reaction in Scheme 3 represents the binding 667 668 equilibrium of an axial ligand to the manganese(V)-oxo 669 corrolazine with free energy difference  $\Delta G_{\text{bind,Mn}(V)}$ . The 670 bottom reaction, by contrast, represents the binding equili-671 brium of an axial ligand to a singlet spin manganese(III) 672 corrolazine complex with free energy difference  $\Delta G_{\text{bind.Mn}(\text{III})}$ . 673 The oxygen-atom transfer (OAT) reaction on the singlet spin 674 state will lead to singlet spin manganese(III) products. 675 However, the singlet spin manganese(III) products can through 676 thermal collisions convert to the more stable quintet spin 677 products afterward. The two vertical reactions in Scheme 3 678 describe the OAT reactions of thioanisole with [Mn<sup>V</sup>(O)-679  $(H_8Cz)$ ] (left) and  $[Mn^V(O)(H_8Cz)X]^-$  (right), which have an 680 overall driving force of  $\Delta G_{\text{OAT,ox1}}$  and  $\Delta G_{\text{OAT,ox2}}$ , respectively. 681 Thus, for the Born cycle in Scheme 3, the sum of the four free 682 energy values will be zero, eq 1.

$$\Delta G_{\text{bind,Mn}(V)} + \Delta G_{\text{OAT,ox2}} - \Delta G_{\text{bind,Mn}(\text{III})} - \Delta G_{\text{OAT,ox1}}$$
  
683 = 0 (1)

Therefore, the change in binding strength of an axial ligand to a manganese(V)—oxo versus a manganese(III) center will be equal to the free energy change of sulfoxidation between the axially ligated and the nonaxially ligated complexes, eq 2.

$$\Delta G_{\text{bind,Mn}(\text{V})} - \Delta G_{\text{bind,Mn}(\text{III})} = \Delta G_{\text{OAT,ox1}} - \Delta G_{\text{OAT,ox2}}$$
(2)

If we assume that the driving force change between 689  $[Mn(O)(H_8Cz)]$  and  $[Mn(O)(H_8Cz)X]^-$  is proportional to 690 the free energy of activation change then based on transition 691 state theory we can replace the OAT driving forces with the 692 reaction rates for the oxidation reactions and essentially the rate 693 enhancement  $k_{ox1}/k_{ox2}$ . The correlation between axial ligand 694 binding strength and rate enhancement with R being the gas 695 696 constant and T the actual temperature is given in eq 3. 697 Consequently, the stronger the binding strength difference 698 between the four-coordinate manganese(III) and five-coor-699 dinate manganese(V)-oxo complex, the stronger will be the 700 rate enhancement for substrate activation. This conclusion was 701 observed and reported by Fujii earlier.<sup>40</sup>

$$\Delta G_{\text{bind,Mn(V)}} - \Delta G_{\text{bind,Mn(III)}} \propto RT \ln k_{\text{ox2}} / k_{\text{ox1}}$$
(3) 702

In order to test our hypothesis, we calculated the binding 703 strength of axial ligands to manganese(III) and manganese- 704 (V)-oxo corrolazine. With  $X = CN^{-}$ , we calculated an axial 705 ligand bond strength difference between the manganese(V)- 706 oxo and the manganese(III) complexes of  $\Delta G_{
m bind,Mn(V)}$  – 707  $\Delta G_{\text{bind,Mn(III)}} = 48.4 \text{ kcal mol}^{-1}$ . If we assume a correlation 708 factor of 1.6 for eq 3 based on Marcus theory,<sup>47</sup> this would 709 correspond with a rate enhancement  $k_{0x2}/k_{0x1}$  of 4 × 10<sup>7</sup> for 710 oxygen-atom transfer. Indeed, no reactivity was observed for 711 thioanisoles with  $[Mn(O)(H_8Cz)]$  in agreement with a 712 considerably slower reaction rate as compared to the  $_{713}$   $[Mn(O)(H_8Cz)(CN)]^-$  system.  $^{14a}$  We further attempted to  $_{714}$ predict the rate enhancement of alternative complexes with X = 715F<sup>-</sup>, N<sub>3</sub><sup>-</sup>, OCN<sup>-</sup>, and NO<sub>3</sub><sup>-</sup>, see Supporting Information 716 (Tables S23 and S24). We find similar rate enhancements of 717  $[Mn(O)(H_8Cz)X]$  with X =  $CN^{-}/F^{-}$  in agreement with 718 experimental rate enhancements measured for dehydroanthra- 719 cene dehydrogenation by  $[Mn(O)(H_8Cz)X]^{-12}$  The studies 720 also show that much lower rate enhancements may be expected 721 for manganese(V)-oxo corrolazine complexes with  $N_3^-$ , 722 OCN<sup>-</sup>, and  $NO_3^{-}$  ligands, since these are much weaker 723 bound ligands. In particular, an N3-, OCN-, or NO3- ligand 724 binds much weaker to the Mn<sup>III</sup> complex, and therefore, their 725 rate enhancements are not as dramatic as with F<sup>-</sup> and CN<sup>-</sup> that 726 see major differences in the binding strength between the Mn<sup>III</sup> 727 and the  $Mn^{V}(O)$  complexes.

Valence Bond Modeling of Reactivity Patterns. <sup>729</sup> Previously, we used valence bond curve-crossing diagrams <sup>730</sup> extensively to rationalize reactivity patterns of iron(IV)—oxo <sup>731</sup> oxidants with substrates.<sup>48</sup> These studies explained why the <sup>732</sup> reactions were stepwise but also pinned down the electro- <sup>733</sup> chemical and thermochemical properties of oxidant and <sup>734</sup> substrate that drive the reaction. The VB curve-crossing <sup>735</sup> diagrams give a rationalization of the electronic changes to <sup>736</sup> oxidant and substrate during the oxygen-atom transfer process. <sup>737</sup> Figure 5 gives the two VB diagrams representing the <sup>738</sup> fs nucleophilic and electrophilic reaction pathways for thioanisole <sup>739</sup> sulfoxidation by  $[Mn^V(O)(H_8Cz)(CN)]^-$ . <sup>740</sup>

688

741 The landscapes start on the bottom-left with the reactant 742 complexes, which are manganese(V)-oxo complexes in the 743 closed-shell singlet spin state  $({}^{1}A_{LS})$  with orbital occupation 744 [core]  $\pi_{yz}^{2} \delta_{xy}^{2} a^{"2}$ . Key bonds in the VB structures are indicated 745 with two dots separated by a line. In particular, along the Mn-746 O bond there are interactions due to the  $\pi$  and  $\pi^*$  orbitals for 747 mixing of the metal  $3d_{yz}$  and  $3d_{yz}$  atomic orbitals with 2p 748 orbitals on the oxo group. The  $\pi_{xz}/\pi^*_{xz}$  pair of orbitals is 749 depicted in red, while the  $\pi_{yz}/\pi^*_{yz}$  pair of orbitals is given in 750 blue. The para-Z-substituted thioanisole substrate (SubZ) is 751 located in the vicinity, and one of the sulfur lone pairs is 752 highlighted with two dots. Upon oxygen-atom transfer some of 753 the bonds break and electrons are migrated between groups as 754 shown in the corresponding VB structures of the two possible 755 product VB wave functions in part a and b. In VB theory, the 756 reactant state connects to an excited state in the sulfoxide product, whereas the product wave function is linked to an 757 excited state of the reactant wave function. Along the reaction 758 pathway the two wave functions cross, leading to an avoided 759 760 crossing that results in a transition state for the reaction. It has been shown that the excitation energy (G) from the reactant to 761 762 the product state in the geometry of the reactants is 763 proportional to the barrier height of the reaction, i.e., the 764 energy difference between  ${}^{1}\Psi_{R1}$  and  ${}^{1}\Psi_{R1}^{*}$  in Figure 5a. 765 Therefore, we compare VB structures of the ground and excited 766 state complexes in the geometry of the reactants to ascertain the properties of oxidant and substrate that determine the 767 reactivity. 768

We consider two possibilities for the reaction mechanism, 769 770 namely, a nucleophilic and an electrophilic pathway. The 771 nucleophilic pathway is shown in Figure 5a and includes a single bond formation between the substrate and the oxo 772 group. In the process, the  $\pi_{xz}/\pi^*_{xz}$  pair of orbitals along the 773 Mn-O bond split back into atomic orbitals, i.e., 2po and 774 775 3d<sub>xz,Mn</sub>, both with one electron, which will cost the system an 776 amount of energy  $E\pi_{xx}$ . The radical in  $2p_0$  forms a bond with one electron from the lone pair on sulfur, and the S-O bond 777 778 formed will have an energy  $E_{SO,\sigma}$ . The second electron from the 779 lone pair is transferred to the manganese, so that the excitation 780 energy for the nucleophilic mechanism  $(G_{nucl})$  essentially 781 includes the one-electron ionization  $(IE_{SubZ})$  of the substrate 782 and the one-electron reduction of the oxidant  $(EA_{MnO})$  as 783 shown by eq 4.

$$G_{\text{nucl}} = E_{\pi,\text{xz}} - E_{\text{SO},\sigma} + IE_{\text{SubZ}} - EA_{\text{MnO}}$$
(4)

As shown in Figure 5a, the reactant has three sets of bonding 785 orbitals along the Mn–O bond, namely, the  $\sigma_{z2}/\sigma_{z2}^*$ ,  $\pi_{yz}/\pi_{yz}^*$ 786 and  $\pi_{xz}/\pi^*_{xz}$  pair of orbitals, which formally gives the Mn–O 787 interaction a triple bond of which we only show the  $\pi/\pi^*$  pairs 788 in the figure. However, upon thioanisole attack the triple bond 789 is converted into a double bond as also seen from the distances 790 displayed in Figure 1 as compared to the much shorter reactant 791 Mn-O distances. 792

The alternative reaction mechanism would provide us an 794 electrophilic reaction with excitation energy  $G_{elec}$ , eq 5. Now 795 the  $\pi_{xz}/\pi^*_{xz}$  and  $\pi_{yz}/\pi^*_{yz}$  pair of orbitals revert back into atomic 796 orbitals, and both lone pairs of sulfur form a bond with the two 797 newly generated 2p orbitals on oxygen. In this process the 798 substrate loses two electrons to the metal, so that the  $G_{elec}$  value 799 will be proportional to twice the substrate ionization energy 800 plus the first and second reduction energy of the manganese– 801 oxo complex. Of course the S=O bond formed with energy 802  $E_{S=O}$  is now a double bond rather than a single bond in the

nucleophilic pathway and is based on the energy to form the  $\sigma$  803 bond  $(E_{SO,\sigma})$  and the energy to form the  $\pi$  bond  $(E_{SO,\pi})$ . 804

$$G_{\text{elec}} = E_{\pi,\text{xz}} + E_{\pi,\text{yz}} - E_{\text{SO},\sigma} - E_{\text{SO},\pi} + IE_{\text{SubZ}} - EA_{\text{MnO}} + IE_{2\text{SubZ}} - EA_{2\text{MnO}}$$
(5) 805

To understand the driving force for the switch of trend in the 806 Hammett correlation, one can picture two reaction mechanisms 807 leading to products, namely, those described in Figure 5a and 808 5b, respectively. Pathway A can be formally described as 809 oxidation of the oxo group by manganese(V) to form 810 manganese(IV)—oxyl followed by radical coupling between 811 the oxyl radical and sulfur radical into an S–O bond. This 812 pathway will be followed by substrates with electron-with- 813 drawing substituents such as  $NO_2$  and compensates for the 814 lower ionization energy of the thioanisole by delaying oxidation 815 of sulfur until later along the mechanism. 816

To strengthen our hypothesis we evaluated values of  $G_{\text{nucl}}$  817 and  $G_{\text{elec}}$  for all substrates SubZ using eqs 4 and 5 and 818 subsequently converted those to sulfoxidation barrier heights by 819 multiplying with a factor of 1/3.<sup>49</sup> The correlations of these 820 parameters with the Hammett parameter  $\sigma_{\text{p}}$  are shown in 821 Figure 6. Thus, we calculated the one-electron ionization 822 66



**Figure 6.** VB predicted values of the barrier heights  $\Delta E_{\text{nucl}}$  and  $\Delta E_{\text{elec}}$  from first principles. Values are in kcal mol<sup>-1</sup> and plotted against the  $\sigma_{\text{P}}$  Hammett parameter.

energy of all substrates  $(IE_{SubZ})$  and the one-electron reduction <sup>823</sup> of  $[Mn(O)(H_8Cz)(CN)]^ (EA_{MnO})$ . In addition, we evaluated <sup>824</sup> the one-electron ionization of the oxidized substrates  $(IE2_{SubZ})$  <sup>825</sup> and the one-electron reduction of  $[Mn(O)(H_8Cz)(CN)]^{2-}$ , <sup>826</sup> i.e.,  $EA2_{MnO}$ .

Then, we took one-half the energy gap between the  $\pi_{xz}$  and 828 the  $\pi^*_{xz}$  molecular orbitals in the singlet spin state as a measure 829 for  $E_{\pi,xz}$  and utilized the same procedure for  $E_{\pi,yz}$ . Finally, the 830 strength of the  $\sigma$  and  $\pi$  orbitals along the S–O bond was 831 estimated from the energy gap between the  $\sigma_{SO}/\sigma^*_{SO}$  and the 832  $\pi_{SO}/\pi^*_{SO}$  orbitals from the individual isolated product 833 structures. The resulting values of  $G_{nucl}$  and  $G_{elec}$  for each 834 reaction of  $[Mn(O)(H_8Cz)(CN)]^-$  with substrate SubZ (Z = 835 OCH<sub>3</sub>, CH<sub>3</sub>, H, Br, CN, and NO<sub>2</sub>) were calculated and 836 converted into barrier heights and plotted versus the Hammett 837 parameter  $\sigma_P$  of the substrate. As can be seen from Figure 6, the 838 value for  $\Delta E_{nucl}$  gradually increases from Z = OCH<sub>3</sub> to Z = CN 839 but dips slightly for Z = NO<sub>2</sub>. A similar trend for  $G_{elec}$  is found, 840 although the slope is considerably different. As a result, the 841 lowest reaction barrier for Z = OCH<sub>3</sub> and Z = CH<sub>3</sub> leads to a 842

843 favorable electrophilic over nucleophilic pathway, whereas for 844 the other substrates a more favorable nucleophilic pathway is 845 predicted. The empirical values used in the valence bond 846 model, therefore, predict reactivity trends in close agreement 847 with those found experimentally even though a slightly earlier 848 change from electrophilic to nucleophilic is found. Con-849 sequently, the VB diagram and VB analysis predicts a 850 mechanistic change for substrate sulfoxidation by [Mn(O)- $(H_8Cz)(CN)^{-}$  upon replacing the para substituent from a 852 strongly electron-donating group, such as OCH<sub>3</sub>, to a more 853 electron-withdrawing substituent like CN or NO<sub>2</sub>. This unique 854 profile is only found for the singlet spin reaction pathway via TS<sub>SOZ</sub> and not found for the triplet spin barriers. Therefore, 855 856 the change in mechanism from nucleophilic to electrophilic is 857 clear evidence of singlet spin reactivity without crossover to a 858 higher spin state surface. Thus, the experimental Hammett plot 859 represents the first example of proof of singlet spin reactivity 860 originating from a closed-shell singlet manganese(V)-oxo complex. 861

Finally, note that computational modeling proposed spin-862 863 selective reactivity for several examples previously. Thus, substrate sulfoxidation by iron(IV)-oxo porphyrin cation 864 865 radical complexes generally gives lower barriers on the doublet 866 spin state than on the quartet spin state and thereby gives spin-867 selective reactivities with different reaction trends. 38,50 868 Furthermore, aromatic hydroxylation by iron(IV)-oxo por-869 phyrin cation radical models often gives spin-selective reactivity 870 too through a rate-determining electrophilic reaction step 871 where two electrons are transferred from substrate to oxidant 872 and hence gives different barrier heights on each spin state surface.<sup>51</sup> As such, these systems may very well give different 873 874 Hammett plots for substrate sulfoxidaton and aromatic 875 hydroxylation, but future studies will need to be done to 876 establish these details.

#### 877 CONCLUSION

878 A series of detailed computational studies has been performed 879 on the reaction mechanism of  $[Mn(O)(H_8Cz)(CN)]^-$  with 880 para-Z-substituted thioanisole substrates. This is a rare example 881 where a change in reaction mechanism is observed upon 882 changing the para-Z substituent of thioanisoles. Our detailed 883 computational analysis provides evidence that this mechanistic 884 change can only happen on the singlet spin state surface in 885 barrier  ${}^{1}TS_{SO,Z}$ , whereas no mechanistic change is expected on 886 the triplet spin state surface. The experimental Hammett plot 887 provides a means to identify the reactive spin state of a high-888 valent manganese—oxo complex and highlights a low-spin 889 reactivity pathway.

A range of density functional and ab initio methods up to the 890 891 NEVPT2:CAS(12,11) level of theory have been applied and 892 tested the models and methods. The NEVPT2:CAS calcu-893 lations predict well-separated singlet and triplet spin states in the reactant structures by well over 8-10 kcal mol<sup>-1</sup>. Although 894 during the reaction mechanism we find close-lying singlet and 895 896 triplet spin state surfaces with an accessible spin-crossing point 897 lower in energy than the sulfoxidation barriers, actually the spin-orbit coupling constant is very small. Therefore, theory 898 predicts it to be unlikely that a spin state crossing from the 899 900 singlet to the triplet spin state will take place. The 901 computational rate constants give a V-shaped Hammett plot 902 for para-Z-substituted sulfoxidation reactions in agreement with 903 experiment. The mechanism and ligand and substituent effects 904 are generalized with thermochemical cycles and valence bond 908

theory, which confirm the hypothesis and explain the change in 905 reaction mechanism from nucleophilic to electrophilic. 906

### ASSOCIATED CONTENT 907

#### Supporting Information

The Supporting Information is available free of charge on the 909 ACS Publications website at DOI: 10.1021/jacs.6b05027. 910

Computational tables with group spin densities and 911 charges and absolute and relative energies of all 912 structured discussed here as well as Cartesian coordinates 913 of optimized geometries (PDF) 914

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